





Based on

arXiv: 2305.01016, 2403.17049 [hep-th] with C. Behan, S. Chester

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F-theory

Vafa '96; Morrison, Vafa '96

D7 branes in type IIB string theory

0	1	2	3	4	5	6	7	z	\overline{z}
×	×	×	×	×	×	×	×		

are sources for the **axio-dilaton** field τ

$$\tau = C_0 + \frac{i}{g_s}, \qquad \tau = \tau(z, \overline{z})$$

Generally strongly coupled regions are present

Heavily exploited in string **model building** (realistic string compactifications)

Q: effective action?

i.e. how do we compute the S-matrix at low energy?

SYM theory on D7 branes + HD corrections

$$S = -\frac{1}{4g_{YM}^2} \int d^8 x \sqrt{-g} \left[F^2 + c_1 F^4 + c_2 D^2 F^4 + \dots \right]$$

Goal: compute coefficients *c*₁, *c*₂ etc

Problem: strong coupling so no worldsheet methods available (*except for dualities*), similar to M-theory

A: bootstrap + free energy

Consider setups dual to CFTs and compute **holographic correlators**

$$\int \text{CFT correlator} = \frac{\partial^4}{\partial m^4} \text{ Free energy}$$

Chester '22

Fix coefficients in **effective action**

Flat space limit gives **S-matrix** Penedones '11

Developed for and applied to $Type IIB \text{ on } AdS_5 \times S^5$ Chester, Green, Puff, Wang, Wen '19,'20; Chester, Dempsey, Pufu '21 M-theory on $AdS_4 \times S^7 / \mathbb{Z}_k$ Binder, Chester, Pufu '19; Chester, Dempsey, Jerdee, Pufu '20

Plan of the talk



The F-theory setup







The non-Lagrangian cases



Elliptic fibrations

For a D7 brane at $z = z_0$: singularity $\tau \simeq \frac{1}{2\pi i} \log(z - z_0) + \dots$

Monodromy $\tau \rightarrow \tau + 1 \iff SL(2,\mathbb{Z})$

 τ : modular parameter of a **torus**, but *z*-dependent

Elliptic fibration $y^2 = 4x^3 - g_2(z)x - g_3(z)$

The curve is singular when its **discriminant** vanishes

$$\Delta \equiv 16 \left[g_2(z)^3 - 27g_3(z)^2 \right] = 0$$

Location of D7 branes



Constant coupling

Simplest examples have **constant axio-dilaton**

$$j(\tau) = 4(24g_2(z)^3)/\Delta$$

Solutions completely classified (ADE singularities)

\mathfrak{g}	$ A_1 $	A_2	D_4	E_6	E_7	E_8
h^{\vee}	2	3	6	12	18	30
τ	i	$e^{i\pi/3}$	any	$e^{i\pi/3}$	i	$e^{i\pi/3}$

Sen '96; Dasgupta, Mukhi '96; Banks, Douglas, Seiberg '96; Douglas, Lowe, Schwarz '96

Can be probed by *N* D3 branes ($N \rightarrow \infty$) 4d $\mathcal{N} = 2$ SCFTs with flavor algebra g

AdS



Х

D3

Х

 $\times \mid \times$

D7 branes: 8d SYM with flavor algebra g on $AdS_5 \times S^3$



R-symmetry: $SU(2)_R \times U(1)_r$ + Global symmetry: $SU(2)_L \times \mathfrak{g}$

Two cases

1) $D_4 = SO(8)$: *Lagrangian* description available. USp(2N) gauge theory w/ 8 fund & 2 antisymm half-hypers

> 2) *non-Lagrangian* theories. A_n : rank *N* Argyres-Douglas E_n : rank *N* Minahan-Nemeschansky

KK modes of gluon on $S^3 \leftrightarrow 1/2$ BPS multiplets in SCFT Massless AdS₅ gluon \leftrightarrow flavor current multiplet

Holographic correlators

Flavor current 4-pt function



$$G_{\mathbf{r}}(U, V; w) = \mathcal{G}_{\text{short}} + (1 - z/w)(1 - \overline{z}/w)\mathcal{G}_{\mathbf{r}}(U, V)$$

Integrated constraints

Deform 4d $\mathcal{N} = 2$ SCFT by masses m^A coupled to ϕ^A



constraints = # quartic Casimirs of g

 D_4 : 3 quartic Casimirs A_1, A_2, E_n : 1 quartic Casimir

Gluon amplitude at large N

$$S = -\frac{1}{4g_{YM}^2} \int d^8x \sqrt{-g} \left[F^2 + c_1 F^4 + c_2 D^2 F^4 + \dots \right] + \frac{1}{2\kappa^2} \int d^{10}X \sqrt{-G} \left[R + \dots \right]$$

Main problem:

How do we compute c_1 , c_2 *at finite* τ ?

$$\frac{1}{N} \bigvee_{F^2}^{F^2} + \frac{1}{N^2} \left[\bigvee_{F^2}^{F^2} + \frac{1}{F^2} \int_{F^2}^{F^2} + c_0 \log N \right] + \frac{c_2}{N^{5/2}} \bigvee_{F^4}^{F^4} + c_1 \int_{F^4}^{F^4} \left[+ \frac{c_2}{N^{5/2}} \right] + \frac{c_2}{N^{5/2}} \int_{F^4}^{F^4} \int_{F^4}^{F^4} \left[+ \frac{c_2}{N^{5/2}} \right] + \frac{c_2}{N^{5/2}} \int_{F^4}^{F^4} \left[+ \frac{c_2}{N^{5/2}} \right] + \frac{c_2}{N^{5/2}$$

$$\mathscr{G} = \frac{1}{N}\mathscr{G}_{F^2} + \frac{1}{N^2} \left[\mathscr{G}_R + \mathscr{G}_{F^2|F^2} + \boldsymbol{c_0} \log N \,\mathscr{G}_{F^4} + \boldsymbol{c_1} \,\mathscr{G}_{F^4} \right] + \frac{1}{N^{5/2}} \left[\boldsymbol{c_2} \,\mathscr{G}_{D^2 F^4} \right] + \dots$$

Known terms

 \mathcal{G}_{F^2} : tree level

Alday, Behan, PF, Zhou '21



 $\mathcal{G}_{F^2|F^2}$: one loop

Alday, Bissi, Zhou '21 Divergent, regulated by string theory (Log term in flat space)

 \mathcal{G}_R : graviton exchange

Alday, Bissi, Zhou '21 Exchange of short (computed) + ∞ long multiplets (unknown) (Log term in flat space) Chester, Pufu, Wang, Yin '23

Contact terms [this talk]

As many as quartic Casimirs of \mathfrak{g} $c_0 \log N \mathscr{G}_{F^4}$: regulates log terms in $\mathscr{G}_{F^2|F^2}$ and \mathscr{G}_R $c_1 \mathscr{G}_{F^4}$: fixed by first HD correction in effective action

We only study $c_2 \mathscr{G}_{D^2F^4}$ for D_4 theory 5 structures: more than quartic Casimirs! Solution: **integrated constraint + flat space limit**

The Lagrangian case

The *D*₄ theory

4d $\mathcal{N} = 2 USp(2N)$ gauge theory with

	$\bigcup USp(2N)$	SO(8)	$SU(2)_L$
8 half-hypers	Fund	$8_{ m v}$	1
2 half-hypers	Anti	1	2

N.B. For N = 1: SU(2) $\mathcal{N} = 2$ SQCD

 $\mathfrak{g}\otimes\mathfrak{g}=28\otimes28=1\oplus28\oplus35_{v}\oplus35_{c}\oplus35_{s}\oplus300\oplus350$

 $\tau_{YM} = \frac{\theta}{2\pi} + i\frac{4\pi}{g_{YM}^2}, \text{ but IR/string coupling } \tau \text{ fixed by } e^{i\pi\tau_{YM}} = 2\frac{\vartheta_2(\tau/2)}{\vartheta_3(\tau/2)}$ Douglas, Lowe, Schwartz '97; Hollands, Keller, Song '11

 $SL(2,\mathbb{Z}) \text{ duality } \leftrightarrow SO(8) \text{ triality}$ $S: \tau \to -1/\tau \qquad 35_{v} \leftrightarrow 35_{s}$ $T: \tau \to \tau + 1 \qquad 35_{c} \leftrightarrow 35_{s}$

Seiberg, Witten '94

Supersymmetric localization

For any 4d $\mathcal{N} = 2$ gauge theory Pestun '12

$$Z_{S^4} = e^{-F(m)} = \int [dX] e^{-\frac{\text{tr}X^2}{g_{YM}^2}} Z_{1-\text{loop}}(m,X) |Z_{\text{inst}}(X,m,\tau_{YM})|^2$$



Instantons exp suppressed

Instantons contribute

Three independent quartic mass derivatives

$$\begin{aligned} \mathscr{F}_{v} &\equiv -4\partial_{\mu_{1}}^{2}\partial_{\mu_{2}}^{2}F|_{\mu=0} \\ \mathscr{F}_{c} &\equiv -\partial_{\mu_{1}}^{4}F|_{\mu=0} -\partial_{\mu_{1}}^{2}\partial_{\mu_{2}}^{2}F|_{\mu=0} + 2\partial_{\mu_{1}}\partial_{\mu_{2}}\partial_{\mu_{3}}\partial_{\mu_{4}}F|_{\mu=0} \\ \mathscr{F}_{s} &\equiv -\partial_{\mu_{1}}^{4}F|_{\mu=0} -\partial_{\mu_{1}}^{2}\partial_{\mu_{2}}^{2}F|_{\mu=0} - 2\partial_{\mu_{1}}\partial_{\mu_{2}}\partial_{\mu_{3}}\partial_{\mu_{4}}F|_{\mu=0} \end{aligned}$$

Large *N*, large λ

Neglect instantons + single trace deformation \rightarrow use Toda equations Beccaria, Korchemsky, Tseytlin '22

$$\mathcal{F}_{v} = 8\log\lambda + 4\left(\frac{1}{N} - \frac{7}{48N^{2}} + \dots\right)$$
$$\mathcal{F}_{c} = \mathcal{F}_{s} = \frac{32\pi^{2}}{\lambda}N + 8\log(\lambda/4) + 4\left(\frac{1}{N} - \frac{7}{48N^{2}} + \dots\right) \qquad \frac{1}{\lambda} = \frac{1}{\lambda_{YM}} + \frac{\log 2}{2\pi^{2}N}$$

log $\lambda \leftrightarrow \log N$ regulating term with coefficient c_0 $1/\lambda \leftrightarrow F^4$ contact term with coefficient c_1 The expressions are <u>exact</u> in $1/\lambda$ [vs $\mathcal{N} = 4$ case]

Chester '19

Veneziano amplitude at O(1/N)

Veneziano amplitude in flat space

$$\mathcal{A}^{V} = \frac{F_{st}}{st} \operatorname{tr}(T^{A}T^{B}T^{C}T^{D}) + \frac{F_{su}}{su} \operatorname{tr}(T^{A}T^{B}T^{C}T^{D}) + \frac{F_{tu}}{tu} \operatorname{tr}(T^{A}T^{B}T^{C}T^{D})$$

$$F_{st} = \frac{\Gamma[1 - \alpha's]\Gamma[1 - \alpha't]}{\Gamma[1 - \alpha's - \alpha't]} \simeq 1 - st\zeta(2)(\alpha')^{2} + stu\zeta(3)(\alpha')^{3} + \dots$$

Veneziano amplitude in AdS

 $(\alpha')^2$ term: matched with localization constraint alone. $(\alpha')^3$ term: localization constraint + flat space limit.

$$\mathcal{M} = \frac{\tilde{F}_{st}}{st} \operatorname{tr}(T^{A}T^{B}T^{C}T^{D}) + \frac{\tilde{F}_{su}}{su} \operatorname{tr}(T^{A}T^{B}T^{C}T^{D}) + \frac{\tilde{F}_{tu}}{tu} \operatorname{tr}(T^{A}T^{B}T^{C}T^{D})$$
$$\tilde{F}_{st} = \simeq 1 - \frac{24}{\lambda} (s-2) (t-2) \zeta(2) + \frac{192}{\lambda^{3/2}} (s-2) (t-2) (u-2) \zeta(3) (\alpha')^{3} + \dots$$

Later improved by Alday, Chester, Hansen, Zhong '24

$$\begin{aligned} & \text{Large } N, \text{ finite } g_{YM} \\ & \tau = \tau_{1} + i\tau_{2} \\ & \mathcal{F}_{v} = g_{v}(\tau, \bar{\tau}) + \tilde{F}(N) = 8 \log[\sqrt{\tau_{2}} | \vartheta_{2}(\tau)|^{2}] - 24 \log[\sqrt{\tau_{2}} | \eta(\tau)|^{2}] + \tilde{F}(N) \\ & \mathcal{F}_{c} = g_{c}(\tau, \bar{\tau}) + \tilde{F}(N) = 8 \log[\sqrt{\tau_{2}} | \vartheta_{3}(\tau)|^{2}] - 24 \log[\sqrt{\tau_{2}} | \eta(\tau)|^{2}] + \tilde{F}(N) \\ & \mathcal{F}_{s} = g_{s}(\tau, \bar{\tau}) + \tilde{F}(N) = 8 \log[\sqrt{\tau_{2}} | \vartheta_{4}(\tau)|^{2}] - 24 \log[\sqrt{\tau_{2}} | \eta(\tau)|^{2}] + \tilde{F}(N) \\ & \theta_{2}(\tau) = \sum_{n=-\infty}^{+\infty} q^{(n+1/2)^{2}} = q^{1/4} (2 + 2q^{2} + 2q^{6} + 2q^{12} + q^{20} ...) \\ & \theta_{2}(\tau) = \sum_{n=-\infty}^{+\infty} q^{n^{2}} = 1 + 2q + 2q^{4} + 2q^{9} + 2q^{16} + ... \\ & \theta_{2}(\tau) \partial_{3}(\tau) \partial_{4}(\tau) = 2\eta(\tau)^{3} \\ & \theta_{4}(\tau) = \sum_{n=-\infty}^{+\infty} (-1)^{n} q^{n^{2}} = 1 - 2q + 2q^{4} - 2q^{9} + 2q^{16} + ... \\ & SL(2,\mathbb{Z}) \text{ action } \leftrightarrow SO(8) \text{ triality} \\ & S: \quad \tau \to -1/\tau \qquad 35_{v} \leftrightarrow 35_{s} \qquad \theta_{2} \leftrightarrow \theta_{4} \\ & T: \quad \tau \to \tau + 1 \qquad 35_{c} \leftrightarrow 35_{s} \qquad \theta_{3} \leftrightarrow \theta_{4} \end{aligned}$$

Surprising simplicity

Compare with $\mathcal{N} = 4$ SYM results

$$\begin{split} \partial_{m}^{4} \log Z \big|_{m=0,b=1} &= 6N^{2} + \frac{6\sqrt{N}}{\pi^{\frac{3}{2}}} E(\frac{3}{2},\tau,\bar{\tau}) + C_{0} - \frac{9}{2\sqrt{N}\pi^{\frac{5}{2}}} E(\frac{5}{2},\tau,\bar{\tau}) - \frac{27}{2^{3}\pi^{3}N} \mathcal{E}(3,\frac{3}{2},\frac{3}{2},\tau,\bar{\tau}) \\ &+ \frac{1}{N^{\frac{3}{2}}} \left[\frac{117}{2^{8}\pi^{\frac{3}{2}}} E(\frac{3}{2},\tau,\bar{\tau}) - \frac{3375}{2^{10}\pi^{\frac{7}{2}}} E(\frac{7}{2},\tau,\bar{\tau}) \right] + \frac{1}{N^{2}} \left[C_{1} + \frac{14175}{704\pi^{4}} \mathcal{E}(6,\frac{5}{2},\frac{3}{2},\tau,\bar{\tau}) - \frac{1215}{88\pi^{4}} \mathcal{E}(4,\frac{5}{2},\frac{3}{2},\tau,\bar{\tau}) \right] \\ &+ \frac{1}{N^{\frac{5}{2}}} \left[\frac{675}{2^{10}\pi^{\frac{5}{2}}} E(\frac{5}{2},\tau,\bar{\tau}) - \frac{33075}{2^{12}\pi^{\frac{9}{2}}} E(\frac{9}{2},\tau,\bar{\tau}) \right] + \frac{1}{N^{3}} \left[\alpha_{3}\mathcal{E}(3,\frac{3}{2},\frac{3}{2},\tau,\bar{\tau}) \\ &+ \sum_{r=5,7,9} \left[\alpha_{r}\mathcal{E}(r,\frac{3}{2},\frac{3}{2},\tau,\bar{\tau}) + \beta_{r}\mathcal{E}(r,\frac{5}{2},\frac{5}{2},\tau,\bar{\tau}) + \gamma_{r}\mathcal{E}(r,\frac{7}{2},\frac{3}{2},\tau,\bar{\tau}) \right] \right] + O(N^{-\frac{7}{2}}) \,, \end{split}$$

Chester, Green, Pufu, Wang, Wen '21

In our case:

Only holomorphic functions No τ dependence beyond leading order No genus corrections

Contact terms at finite g_{YM}

From integrated constraints alone, fix order 1/N²

 $c_0 \log N \mathscr{G}_{F^4}$ [matches $\log \ell_s$ in flat space limit from string theory]

 $c_1 \mathscr{G}_{F^4}$ in terms of $g_{v,c,s}(\tau, \overline{\tau})$ up to constant (require full \mathscr{G}_R) [matches known flat space limit] Lerche, Stieberger, Warner '98; Gutperle '99; Billò, Gallot, Lerda, Pesando '10

At order $1/N^{5/2}$ also use flat space limit:

$$\Delta_{\tau} c_2(\tau, \bar{\tau}) = \frac{3}{4} c_2(\tau, \bar{\tau}) \quad \text{Wang, Yin '15}$$

 $c_2(\tau, \bar{\tau}) \sim E_{3/2}(\tau, \bar{\tau})$ Non-holomorphic Eisenstein series

$$E_{3/2}(\tau) = 2\zeta(3)\tau_2^{3/2} + \frac{2\pi^2}{3\sqrt{\tau_2}} + 4\pi\sqrt{\tau_2}\sum_{k\neq 0} |k| \sigma_{-2}(|k|) K_1(2\pi\tau_2|k|) e^{2\pi i k\tau_1}$$

More on instantons

Instantons from Nekrasov partition function: $Z_{inst} = \sum_{k=0}^{\infty} e^{2\pi i \tau_{YM}} Z_k$

For USp(2N), Z_k computed with **ADHM construction** in 5d + reduction Nekrasov '03, Shadchin '05

$$Z_k(X,\mu_i) = \int \prod_{I=1}^n \frac{d\phi_I}{2\pi i} z_k^{\text{anti}}(X,\phi) z_k^{\text{vec}}(X,\phi) \prod_{i=1}^4 z_k^{\text{hyper}(i)}(X,\phi,\mu_i)$$

Naive expression problematic due to extra d.o.f. in antisymmetric hyper ($SL(2,\mathbb{Z})$ invariance + reproduce SCQD for N = 1)

Solution: **remove extra d.o.f.** with Z_{extra} , first found in 5d Hollands, Keller, Song '11; Kim, Kim, Lee '12; Hwang, Kim, Kim, Park '15

We fix Z_{extra} in 4d by assuming N-indep + reproduce SQCD for N = 1

The non-Lagrangian cases

Strong coupling

No Lagrangian description Only **one quartic Casimir** (consider a single mass *m*)

Q: how do we compute F(m)? **A: Use SW description expanding for small** *r* (radius of S^4)

Note: method proposed by other authors but corrections not under control. Here apply in large N limit where it is better defined. Russo '14; Grassi, Komargodski, Tizzano '19; Bissi, Fucito, Manenti, Morales, Savelli '21

SW reminder



Geometrically: τ *is modular parameter of a torus, fibered over CB (special Kahler)*

SW curve and prepotential

Rank 1 SW curve $y^2 = 4x^3 - g_2(u, m)x - g_3(u, m)$

Rank 1 prepotential
$$\widetilde{\mathscr{F}}_0 = -2\pi i \int^u du \,\omega_1(u', m) \int^{u'} du'' \,\omega_1(u'', m) \,\tau(u'', m)$$

$$\omega_1 = \frac{\partial a}{\partial u}, \qquad g_2(u,m) = \frac{E_4(\tau)}{3\omega_1^4}, \qquad g_3(u,m) = \frac{8E_6(\tau)}{27\omega_1^6}$$

Rank N prepotential
$$\mathscr{F}_0 = \sum_{i=1}^N \widetilde{\mathscr{F}}_0(u_i, m)$$

Douglas, Lowe, Schwartz '97

Prepotential in F-theory



Argyres, Douglas '95; Argyres, Plesser, Seiberg, Witten '95; Minahan, Nemeschansky '96

$$\widetilde{\mathcal{F}}_0 = -\frac{c_{\mathcal{F}}^2}{2}u^{2/\bar{\Delta}} - f_{\log}m^2 \log u + O(m^4), \qquad f_{\log} = \frac{1}{2}$$

Re
$$c_{\mathscr{F}}^2 > 0$$
; $\bar{\Delta} = \frac{4}{3}, \frac{3}{2}, 3, 4, 6$

N.B. crucial to normalize mass consistently Noguchi, Terashima, Yang '99

Free energy from prepotential

$$Z(m) = e^{-F(m)} = \int d^{N}a \left| e^{r^{2}\mathcal{F}(a,m)} \right|^{2}, \quad \mathcal{F}(a,m) = \sum_{k=0}^{\infty} r^{-2k}\mathcal{F}_{k}(a,m)$$

Coulomb branch parameters
$$\mathcal{F}_{1} = \frac{1}{2} \log \det \frac{\partial u}{\partial a} + \frac{1}{12} \log \Delta(u)$$

Nakajima, Yshioka '13; Witten '95; Moore, Witten '97; Shapere, Tachikawa '08; Manschot, Moore, Zhang '20

Using just
$$\mathcal{F}_0$$
 and \mathcal{F}_1 :

$$Z(m) = e^{-F(m)} = \int d^N u \prod_{i < j} |u_i - u_j| \exp\left[-c_{\mathcal{F}}^2 \sum_{i=1}^N u_i^{2/\bar{\Delta}} - 2f_{\log}m^2 \sum_{i=1}^N \log u_i + \dots\right]$$

N.B. non-Gaussian:
$$\bar{\Delta} = \frac{4}{3}, \frac{3}{2}, 3, 4, 6$$

The log term

 \mathcal{F}_0 and \mathcal{F}_1 are sufficient to compute $c_0 \log N \mathcal{G}_{F^4}$:

$$F(m) = -2f_{\log}^2 m^4 \left\langle \sum_{i=1}^N \log(N^{\Delta/2}u_i) \sum_{j=1}^N \log(N^{\Delta/2}u_j) \right\rangle_{\text{conn}} + O(1)$$

2-pt function has **universal form** in *any* matrix model Ambjorn, Jurkiewicz, Makeenko '90

$$F(m) = -\frac{\bar{\Delta}}{2} f_{\log}^2 m^4 \log N + O(1) = -\frac{\bar{\Delta}}{8} m^4 \log N + O(1)$$

Fixes $c_0 \sim \frac{1}{\bar{\Delta}}$

Matches the prediction from flat space limit: $\log(-s)$ term from $\mathscr{G}_{F^2|F^2} + \mathscr{G}_R$ regulated by string theory $\rightarrow \log(-\ell_s^2 s)$

Other terms?

 \mathcal{F}_0 and \mathcal{F}_1 are *not* enough to compute c_1 and c_2

Require all $\mathscr{F}_p \leftrightarrow$ large u expansion in Z(m)For rank 1: \mathscr{F}_p from holomorphic anomaly equations. Harder at rank N
Bershadski, Cecotti, Ooguri, Vafa '93; Huang, Klemm '07

Non-gaussian matrix model: computations are harder + require analytical continuation in *u* Eynard, Kimura, Ribault '15

Interesting problem for the future!



Lagrangian case

Compute $\partial_m^4 F(m) |_m = 0$ for finite N, τ ; use as input for numerical bootstrap Green, Dorigoni, Wen '21 Chester '22

Non-Lagrangian cases

Understand corrections to matrix model and how to use in practice

Graviton exchange

To compute \mathscr{G}_{F^4} we need full $\mathscr{G}_{R'}$, so far only known in flat space limit

Mixed gluon-graviton

New R^2F^2 HD correction in effective action from $\langle AAgg \rangle$ + localization Chester, Pufu, Wang, Yin '23

Integrability in *D*₄ **theory?**

Thank you for the attention!