

# Quantum Many-Body Scars in 2+1 D Gauge Theories

**Joao C. Pinto Barros**

Thea Budde, Marina Krstić Marinković

Lattice 2024

15th of November | Kyoto

$T_1$	$T_2$	$T_3$	$T_4$
$T_5$	$T_6$		$T_8$
	$T_7$		

# What Happens to an Isolated Quantum System When Left Alone?



Prepare Quantum State

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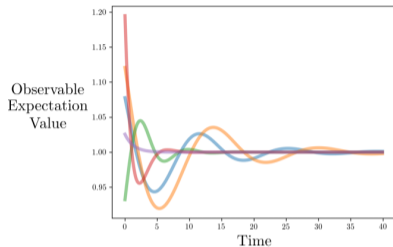


Measure



Come back

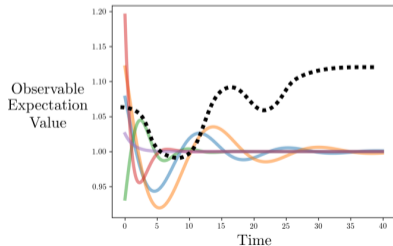
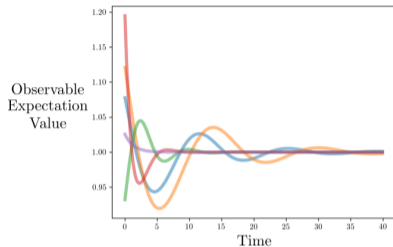
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**Thermalization:** observable converge to values independent of the initial details.



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**Scar:** special initial conditions avoid thermalization.  
(For a review: S. Moudgalya, B. A. Bernevig, N. Regnault. RPP (2022))

# Thermalization in Gauge Theories

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### Long-time dynamics in lattice gauge theories

- Fundamental question in quantum many-body physics;
- Real-time dynamics usually hard (e.g. sign problem);
- Scars challenge foundational aspects of thermalization;
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### **This Talk**

Pure gauge,  $U(1)$ , 2+1D.

# Outline

1. The Eigenstate Thermalization Hypothesis
2.  $U(1)$  Pure Gauge Theories
3. Low Entropy Zero-Energy States
4. Conclusions and Outlook

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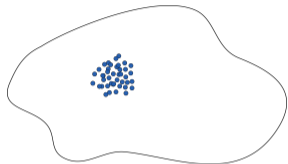
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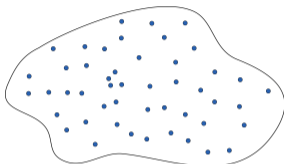
No assumption on initial states

Highly non-local observable

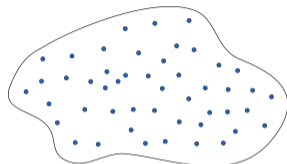
# The Intuition: Large system can serve as a thermal bath for its small subsystems



Initial state (at  $t = 0$ )

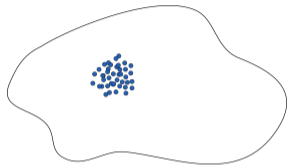


State at  $t = t_1 > 0$

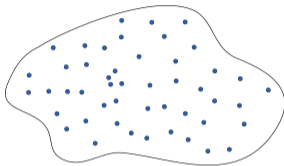


State at  $t = t_2 > t_1$

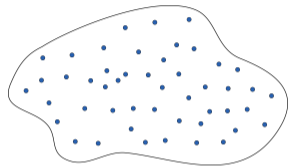
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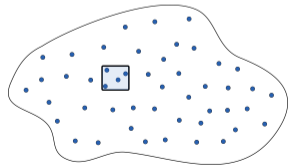
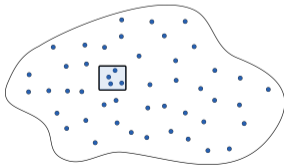
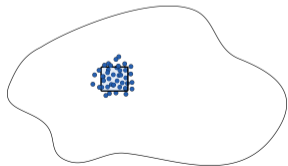
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# Real Time-Evolution of Local Observables

Initial state  $|\psi\rangle = \sum_n c_n |\psi_n\rangle$

$$\langle\psi(t)|O|\psi(t)\rangle = \sum_n |c_n|^2 O_{nn} + \sum_{n \neq m} c_n c_l^* e^{i(E_l - E_n)t} O_{ln}$$

Three ingredients towards thermalization:

- $O_{nn}$  varies smoothly with the energy;
- $O_{ln}$  ( $l \neq m$ ) is very small;
- $|\psi\rangle$  has high energy and has "small" variance;

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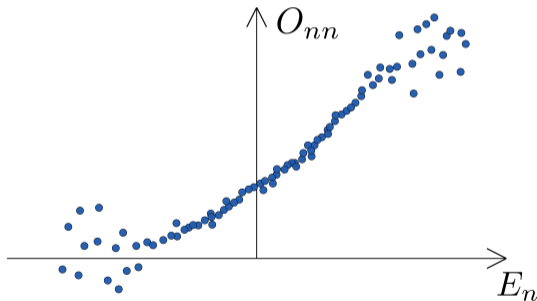
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$$\langle\psi(t)|O|\psi(t)\rangle \rightarrow O(E), \quad \text{where } E = \langle\psi|H|\psi\rangle$$

$O_{nn}$  varies smoothly with the energy

$$\langle \psi(t) | O | \psi(t) \rangle = \sum_n |c_n|^2 O_{nn} + \sum_{n \neq m} c_n c_m^* e^{i(E_l - E_n)t} O_{ln}$$

$$O_{nn} = \langle E_n | O | E_n \rangle$$

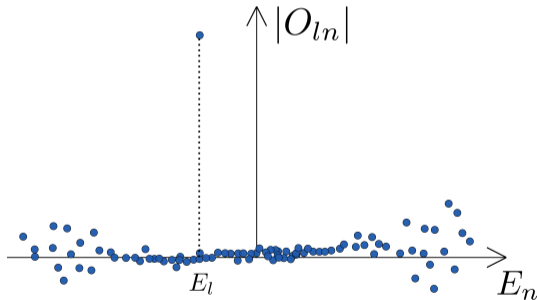


We describe this continuum function as  $O_{nn} = O(E_n)$

$O_{ln}$  ( $l \neq m$ ) is very small

$$\langle \psi(t) | O | \psi(t) \rangle \rightarrow \sum_n |c_n|^2 O(E_n) + \sum_{n \neq m} c_n c_l^* e^{i(E_l - E_n)t} O_{ln}$$

$$O_{ln} = \langle E_l | O | E_n \rangle$$

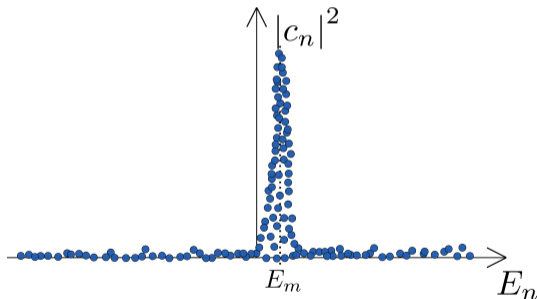


We can drop those terms for sufficiently large times.



$|\psi\rangle$  has high energy and has "small" variance

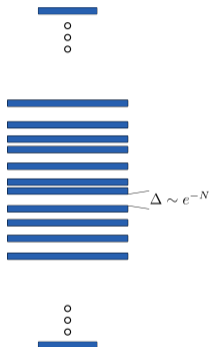
$$\langle \psi(t) | O | \psi(t) \rangle = \sum_n |c_n|^2 O(E_n)$$



We can approximate the sum by the average  $\langle \psi(t) | O | \psi(t) \rangle \rightarrow O(E_m)$

# Motivating the Eigenstate Thermalization Hypothesis

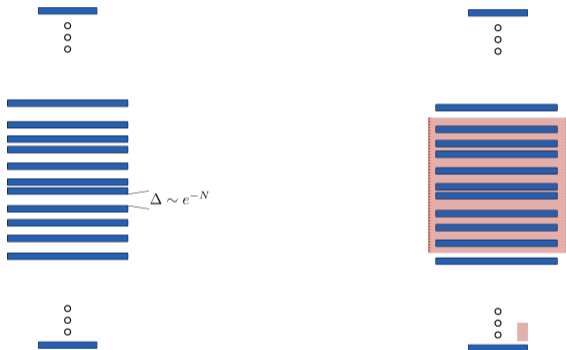
What happens to the spectrum of a Hamiltonian under small changes of parameters  $H \rightarrow H + \delta H$ ?



Mid-spectrum states have  
exponential small gaps

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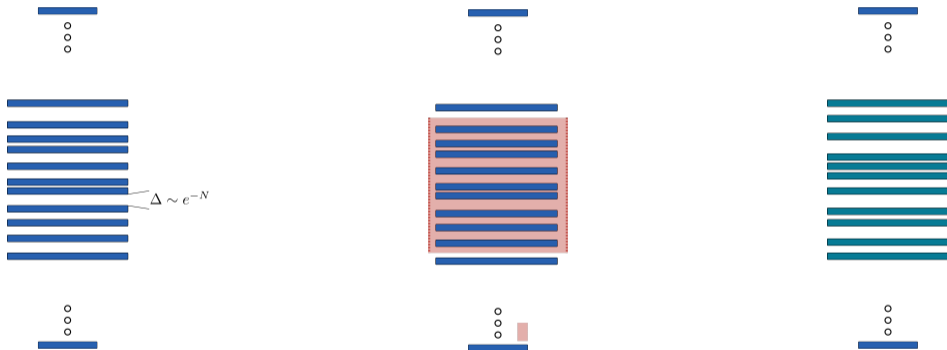


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Small changes mix many of these states

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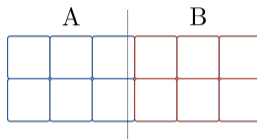
Small changes mix many of these states

Highly entangled seemingly "random" mid-spectrum states.

# Entanglement Entropy Across the Spectrum

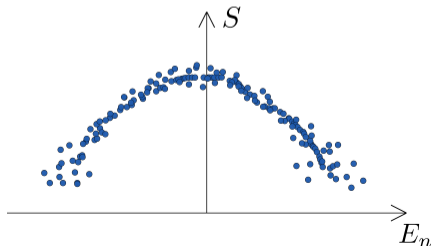


Entanglement Entropy



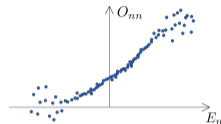
Trace out subsystem B:  $\rho_A = \text{tr}_B \rho$

Compute entropy of entanglement:  $S = -\text{tr} \rho_A \log \rho_A$

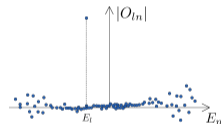


# Quick Summary of Expectations

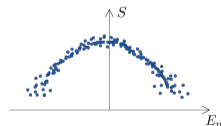
Local operators have continuous diagonal matrix elements



Local operators are ineffective in transforming one eigenstate into another



Mid-spectrum states have small gaps and are highly entangled.



# The Eigenstate Thermalization Hypothesis

$$O_{mn} = \underbrace{O(E_m)}_{\text{continuous}} \delta_{mn} + \underbrace{e^{-\frac{1}{2}S(\frac{E_m+E_n}{2})}}_{\text{exponentially small}} \underbrace{f_O(E_m - E_n, E_m + E_n)}_{\text{continuous}} \underbrace{R_{mn}}_{\text{random}}$$

$$O(E_m) = O(\langle \psi(0) | H | \psi(0) \rangle) = \frac{1}{\text{tr}(e^{-\beta H})} \text{tr}(O e^{-\beta H})$$

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Strong breaking of ETH:

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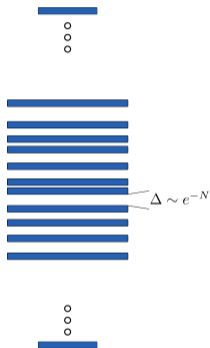
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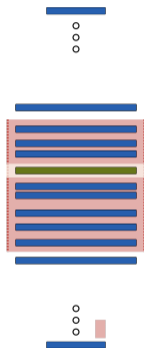
NEXT: Weak breaking of ETH with Quantum Many-Body Scars

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What happens to the spectrum of a Hamiltonian under small changes of parameters  $H \rightarrow H + \delta H$ ?



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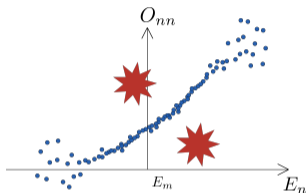
Special scar state with low entropy



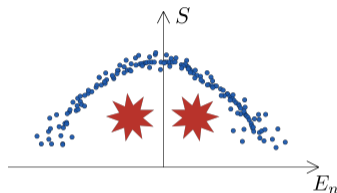
Scar is not significantly mixing with other states

# Signatures of Quantum Many-Body Scars

Scarred systems violate this picture for a *few* eigenstates



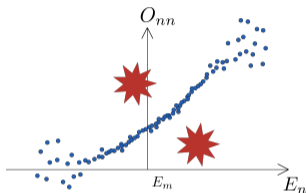
Some observables are no longer continuous



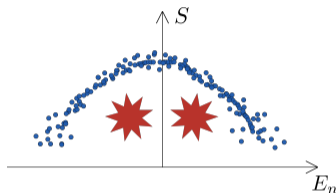
There are exceptionally low entanglement entropy

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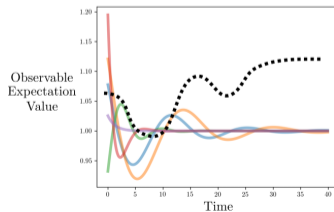
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# Quantum Many-Body Scars and Gauge Theories

- First found experimentally in the PXP model (Rydberg atoms);  
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HERE: Quantum Many-Body Scars for arbitrary truncation in 2+1D pure gauge theories

MAIN FOCUS: T. Budde, M. K. Marinkovic, JPB; PRD 110 (2024) 9, 094506, arXiv:2403.08892

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2.  $U(1)$  Pure Gauge Theories

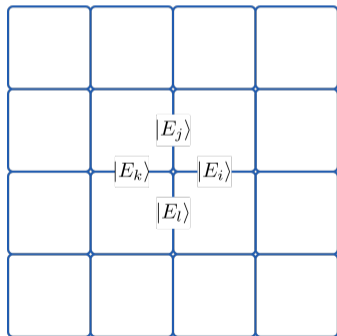
3. Low Entropy Zero-Energy States

4. Conclusions and Outlook



# Hamiltonian for $U(1)$ Pure Gauge Theories

$$H = -t \sum_n \underbrace{U_{n1}^\dagger U_{n+12}^\dagger U_{n2} U_{n+21}}_{U_\square} + \text{h.c.} + \kappa \sum_n E_n^2$$



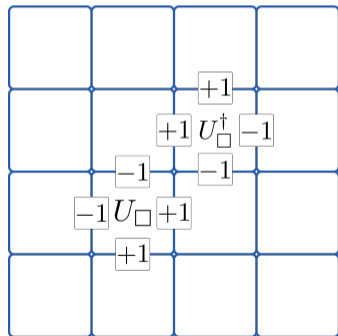
$$E_n \in \mathbb{Z}$$

$U_n$  unitary raising  
operator

$$U_i |E_i\rangle = |E_i + 1\rangle$$

Gauss' Law

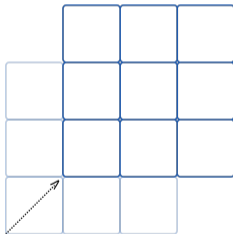
$$E_i - E_k + E_j - E_l = 0$$



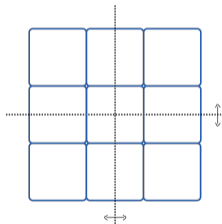
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$$H = -t \sum_n \underbrace{U_{n1}^\dagger U_{n+\hat{1}2}^\dagger U_{n2} U_{n+\hat{2}1}}_{U_\square} + \text{h.c.} + \kappa \sum_n E_n^2$$

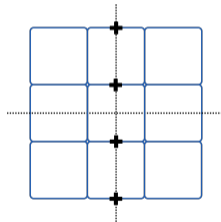
Translations



Reflections



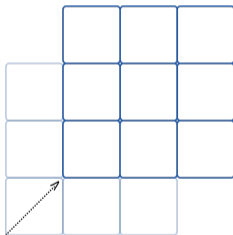
Windings



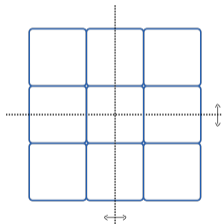
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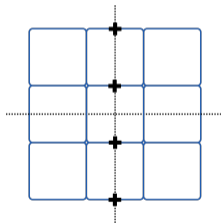
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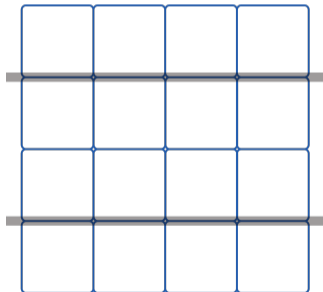


Winding symmetry:  $[H, \sum_a \sum E_{n+a\hat{2}1}] = 0$ .

Most of this talk: zero winding sector  $\sum_a E_{n+a\hat{2}1} = 0$ ,  $\sum_a E_{n+a\hat{1}2} = 0$

# Spectral Symmetry for $\kappa = 0$

$$H = -t \sum_n \underbrace{U_{n1}^\dagger U_{n+12}^\dagger U_{n2} U_{n+21}}_{U_\square} + \text{h.c.} + \kappa \sum_n E_n^2$$



Define

$$\zeta |\varepsilon\rangle = (-1)^\varepsilon |\varepsilon\rangle$$

$$\mathcal{C} = \prod_{a=0}^{L_1-1} \prod_{n=0}^{L_2/2-1} \zeta_{(n,2a)1} \text{ then } \{\mathcal{C}, H_\square\} = 0.$$

Then

$$\mathcal{C} |E\rangle \rightarrow |-E\rangle$$

# An Index Theorem

$$H = -t \sum_n \underbrace{U_{n1}^\dagger U_{n+1,2}^\dagger U_{n2} U_{n+2,1}}_{U_\square} + \text{h.c.} + \kappa \sum_n E_n^2$$

There are an exponentially large number of zero-energy states

- Consider  $\text{tr}(R_x \mathcal{C})$  ( $R_x$  reflection with respect to  $x$  axis);
- Only zero modes contribute;
- Grows exponentially with the volume.

M. Schechter and T. Iadecola PRB (2018)

T. Budde, M. Marinkovic, **JPB** - arXiv:2403.08892

# An Index Theorem

$$H = -t \sum_n \underbrace{U_{n1}^\dagger U_{n+1,2}^\dagger U_{n2} U_{n+2,1}}_{U_\square} + \text{h.c.} + \kappa \sum_n E_n^2$$

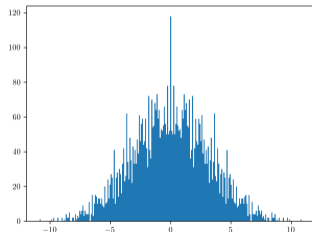
There are an exponentially large number of zero-energy states

- Consider  $\text{tr}(R_x \mathcal{C})$  ( $R_x$  reflection with respect to  $x$  axis);
- Only zero modes contribute;
- Grows exponentially with the volume.

M. Schechter and T. Iadecola PRB (2018)

T. Budde, M. Marinkovic, **JPB** - arXiv:2403.08892

Density of States



An exponential number of zero modes

Can we use the exponential number of zero-energy states to build low-entropy states?

# Non-Integrability and Level Statistics

Integrable systems leave their imprint on spectrum statistics. **Is this model integrable?**

- Resolve symmetries of the model;
- Compute the spectrum for one sector  $\{E_m\}_m$ ;
- Compute consecutive level ratios  $r_n = \min \left\{ \frac{E_n - E_{n-1}}{E_{n+1} - E_n} \right\}$ ;
- Compute the distribution  $p(r)$  with  $r \in [0, 1]$ .

Integrable:  $p(r) = \frac{2}{(1+r)^2}$

Non-Integrable:  $p(r) = \frac{27}{4} \frac{r+r^2}{(1+r+r^2)^{5/2}}$

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We will analyze this in detail for a ladder system





## Non-Integrability of the Ladder - Numerical Results

$$H = \sum_n (U_{\square} + U_{\square}^{\dagger}) + \lambda \sum_{l \text{ top row}} E_l$$



- Break translations using open boundaries;
- Include an electric field term  $\lambda \sum_{l \text{ in top row}} E_l$  breaks vertical reflections;
- Resolve horizontal reflection.

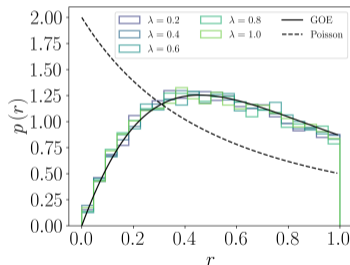
# Non-Integrability of the Ladder - Numerical Results

Address integrability:

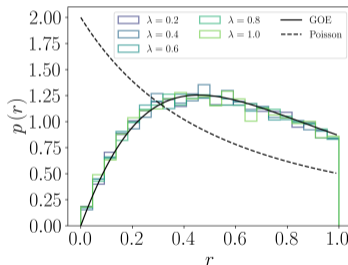
- Resolve symmetries;
- Compute level space distribution.

$$p(r), r_n = \min \left\{ \frac{E_{n+1} - E_n}{E_n - E_{n-1}}, \frac{E_n - E_{n-1}}{E_{n+1} - E_n} \right\}$$

– Parity Symmetry Sector



+ Parity Symmetry Sector



Integrable systems: expected Poisson

Non-integrable: expected Gaussian Orthogonal Ensemble (GOE)

# Outline

1. The Eigenstate Thermalization Hypothesis

2.  $U(1)$  Pure Gauge Theories

3. Low Entropy Zero-Energy States

4. Conclusions and Outlook

# Spin-1 QLM: Two Plaquettes Zero Mode

We construct a two-plaquette state (7 spins)

$$|\text{Blue}\rangle = \frac{1}{\sqrt{2}} \left( \begin{array}{c} \text{0} \quad \text{1} \\ \text{0} \quad \text{1} \quad \text{-1} \\ \text{0} \quad \text{-1} \end{array} - \begin{array}{c} \text{1} \quad \text{0} \\ \text{1} \quad \text{-1} \quad \text{0} \\ \text{-1} \quad \text{0} \end{array} \right)$$

ZERO-ENERGY

$$\sum_n \left( U_{n1}^\dagger U_{n+\hat{1}2}^\dagger U_{n2} U_{n+\hat{2}1} + \text{h.c.} \right) |\text{Blue}\rangle = 0$$

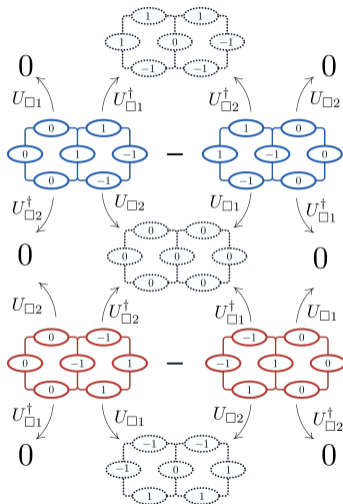
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ZERO-ENERGY

$$\sum_n \left( U_{n1}^\dagger U_{n+\hat{1}2}^\dagger U_{n2} U_{n+\hat{2}1} + \text{h.c.} \right) |\text{Blue}\rangle = 0$$



# Spin-1 QLM: Two Plaquettes Zero Mode

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$$\sum_n (U_{\square} + U_{\square}^{\dagger}) |\text{Blue}\rangle = \sum_n (U_{\square} + U_{\square}^{\dagger}) |\text{Red}\rangle = 0$$

# Spin-1 QLM: Two Plaquettes Zero Mode

Two-plaquette states

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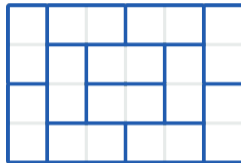
$$\sum_n (U_{\square} + U_{\square}^{\dagger}) |\text{Blue}\rangle = \sum_n (U_{\square} + U_{\square}^{\dagger}) |\text{Red}\rangle = 0$$

Larger Volumes: tiling

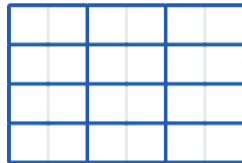
$$\left( \begin{array}{|c|c|} \hline \square & \square \\ \hline \end{array} - \begin{array}{|c|c|} \hline \square & \square \\ \hline \end{array} \right) \odot \left( \begin{array}{|c|c|} \hline \square & \square \\ \hline \end{array} - \begin{array}{|c|c|} \hline \square & \square \\ \hline \end{array} \right) = \sum \begin{array}{|c|c|c|c|} \hline \square & \square & \square & \square \\ \hline \end{array}$$

$$\begin{array}{c} 0 \quad 1 \\ 0 \quad 1 \quad -1 \\ 0 \quad -1 \end{array} \odot \begin{array}{c} 1 \quad 0 \\ 1 \quad -1 \quad 0 \\ -1 \quad 0 \end{array} = \begin{array}{c} 0 \quad 1 \quad 1 \quad 0 \\ 0 \quad 1 \quad 0 \quad -1 \\ 0 \quad -1 \quad -1 \quad 0 \end{array}$$

# An Interlude on Tatami



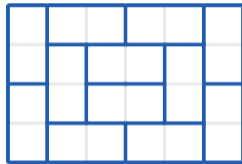
*Auspicious*



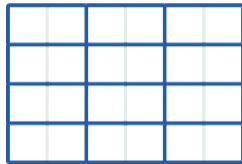
*Inauspicious*



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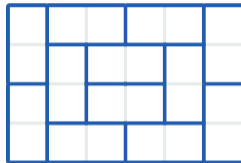
*Auspicious*



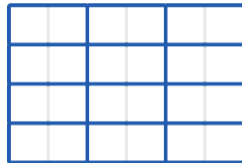
*Inauspicious*

Determining whether a large room has an auspicious arrangement using only full mats is NP-complete.

# An Interlude on Tatami



*Auspicious*



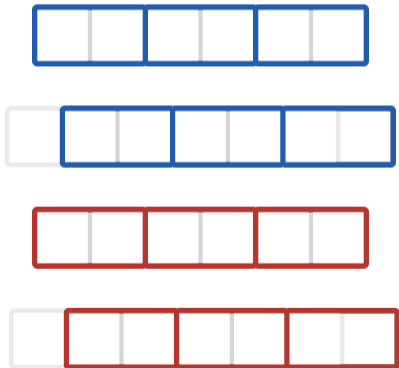
*Inauspicious*

Determining whether a large room has an auspicious arrangement using only full mats is NP-complete.

This is a NP-complete problem we don't have to worry about here!

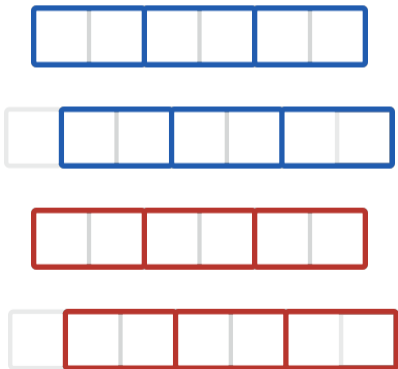
# The Periodic Ladder

$$H = \sum_n (U_{\square} + U_{\square}^{\dagger}) + \lambda \sum_{l \text{ top row}} E_l$$

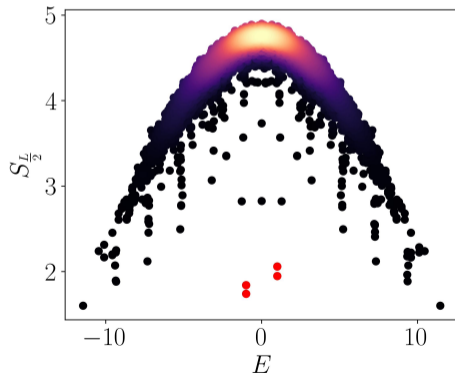


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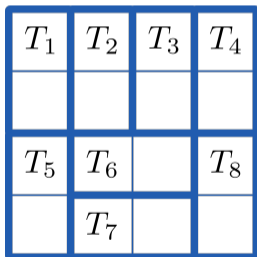
Bipartite Entanglement Entropy  $\lambda = 0.2$



# Scars for Arbitrary Volumes and Truncations

$$|\psi_s^{(i,T)}\rangle = \frac{1}{(S+1)^{|T|/2}} \prod_{(n,n') \in T} \left( \sum_{k=0}^S (-1)^k (U_{\square n})^{i-S+k} (U_{\square n'})^{i-k} \right) |\mathbf{0}\rangle$$

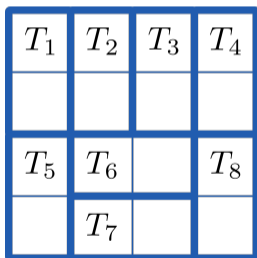
$|\mathbf{0}\rangle \equiv$  State where all links are zero



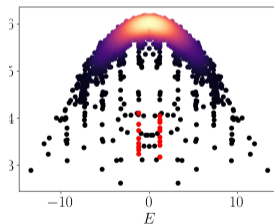
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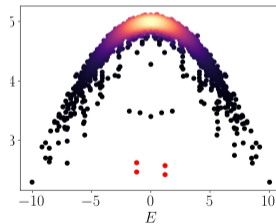
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Entanglement entropy for  
 $S = 1$  and  $6 \times 2$  volume



Entanglement entropy for a  
 $S = 2$  ladder

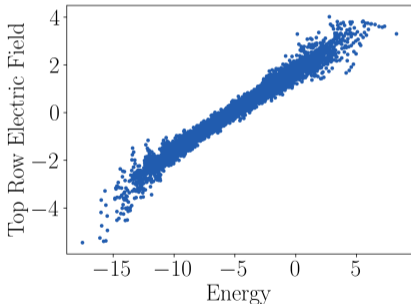


For details and other types of scars see T. Budde, M. Marinkovic, **JPB** - arXiv:2403.08892

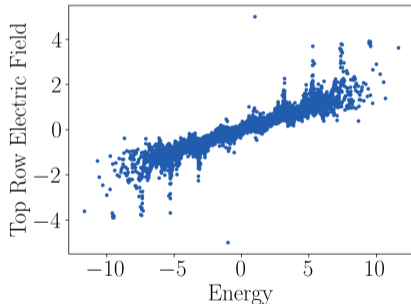
# Effect of Scars in Observables



Non-scarred Ladder



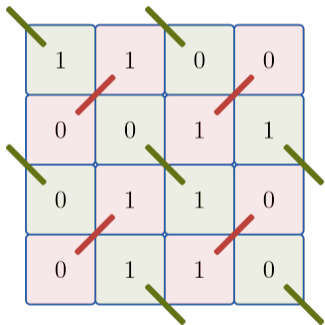
Scarred Ladder



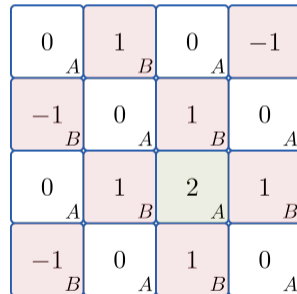
The expectation value of the magnetization of the top row is not continuous with respect to the energy

## Scars with the $E^2$ Term ( $S = 1$ )

$$H = \sum_n (U_{\square} + U_{\square}^{\dagger}) + \kappa \sum_l E_l^2$$



Half of the links are 0 and the other half  $\pm 1$



All links are  $\pm 1$



# Outline

1. The Eigenstate Thermalization Hypothesis
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4. Conclusions and Outlook

Are These States Relevant?

NO

For ground state or finite temperature physics

# Are These States Relevant?

NO

For ground state or finite temperature physics

YES

For long-time dynamics starting from physically relevant initial states

- Scar states are ground-states of different local Hamiltonians;
- State preparation does not need to be perfect (at least at finite volume).

# Conclusions

Scars violate ETH and can spoil thermalization

Scars appear in pure  $U(1)$  gauge theories for arbitrary volumes

T. Budde, M. K. Marinkovic, JPB; PRD 110 (2024) 9, 094506, arXiv:2403.08892

- For  $S = 1$  Quantum Link Models;
- For truncated models, with arbitrary truncation.



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Ongoing work:

- Other winding sectors;
- Connections to integrability;
- Non-zero-mode scars.



K. Keršič



J. Dong

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Some other interesting directions:

- Parent Hamiltonians: scars as ground states;
- Connection between gauge symmetry and scarring?
- Any consequence in the continuum limit?