Higher partial waves in femtoscopy

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<u>Outline</u>

Introduction

- Correlation function & Koonin-Pratt (KP) formula
- Useful formulae and assumptions
- Dynamical modeling of high-energy collisions

Higher partial waves

- Spherical-source KP formula with I > 0
- LL formula with / > 0
- LL formula and optical theorem
- Regularized LL formula

High-energy nuclear collisions

RHIC at BNL (USA)

Au+Au, etc (vs_{NN} = 200 GeV...)

LHC at CERN (EU) Pb+Pb, etc (vs_{NN} = 5.02/2.76/... TeV)

FAIR, NICA, HIAF, J-PARC-HI, ...



[LHC ALICE Web site http://alice20.web.cern.ch/alice20/より]

Colliding nuclei (Au, Pb, Cu, U, p, d, ³He, Xe, Zr, Ru, ...)





[LHC ALICE Web site http://aliceinfo.cern.ch/Public/en/C hapter1/fstablebeams.html より]

Femtoscopy for hadron interactions

See e.g. ExHIC, Prog. Part. Nucl. Phys. 95 (2017) 279-322

Extract hadron interactions from two-particle correlations:

Particle source

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$$C(\boldsymbol{q}, \boldsymbol{P}) = \frac{E_1 E_2 dN_{12} / d\boldsymbol{p}_1 d\boldsymbol{p}_2}{(E_1 dN_1 / d\boldsymbol{p}_1)(E_2 dN_2 / d\boldsymbol{p}_2)}$$

q & P: Relative & total momentum

"Koonin-Pratt (KP) formula" (widely used to relate it to physics)

$$C(\boldsymbol{q},\boldsymbol{P}) = \int d\boldsymbol{r} S_{12}(\boldsymbol{r}) |\varphi^{(-)}(\boldsymbol{q},\boldsymbol{r})|^2.$$

 $\varphi^{(-)}$: Relative wave function, S_{12} : two-particle source (-) = inverse process of scattering

<u>Picture</u> behind Koonin-Pratt formula

Three stages:

Emmitted = isolated from the rest of the system at time t and evolve independently. (Well-defined?)

(1) Two (or a few number of) particles (hadrons) emitted from source at $r_1 \& r_2$ with relative & total momentum q & P. Two-particle source function $S_{12}(q, r_1-r_2)$

(2) Two particles interact with each other with *interactions*

assuming no change between initial and final q ... "on-shell/smoothness approx."

(3) Two particles become distant from each other and observed as asymptotic plane waves $p_1 \& p_2$

Note: interaction \rightarrow plane waves (cf plane wave \rightarrow interaction in standard scattering) $\varphi^{(-)} \sim \varphi^*_{\text{scatt}}$

$$C(\boldsymbol{q},\boldsymbol{P}) = \int d\boldsymbol{r} S_{12}(\boldsymbol{r}) |\varphi^{(-)}(\boldsymbol{q},\boldsymbol{r})|^2.$$

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Koonin-Pratt (KP) formula

S. E. Koonin (1977), Pratt, et al (1992), etc. Based on various assumptions

Three quantities are related by KP formula

$$C(q, P) = \int dr S_{12}(r) |\varphi^{(-)}(q, r)|^{2}.$$
()Momentum correlation
function (Experiment)
$$q \sim (p_{1} - p_{2})/2, P \sim p_{1} + p_{2}$$
()Particle source
$$\uparrow$$
 Bireball size & shape
$$(p_{1}^{(-)}(r) = e^{iq \cdot r}) = E_{q}\varphi_{q}^{(-)}(r)$$

$$= E_{q}\varphi_{q}^{(-)}(r)$$
Boundary condition at $r \rightarrow \infty$
$$\varphi_{q}^{(-)}(r) = e^{iq \cdot r} + \frac{f(\theta)}{r}e^{-iqr} + O(\frac{1}{r^{2}})$$

Koonin-Pratt (KP) formula

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Three quantities are related by KP formula

$$C(q, P) = \int dr S_{12}(r) |\varphi^{(-)}(q, r)|^{2}.$$
(1)Momentum correlation
function (Experiment)
$$q \sim (p_{1} - p_{2})/2, P \sim p_{1} + p_{2}$$
(2)Particle source
$$\uparrow$$
 (3)Relative wave function
$$\uparrow$$
 (1)Exp & (3)Wave fn \rightarrow (2)Source
(assumption)
(1)Exp & (2)Source (assumption)
 \rightarrow (3)Interaction
(2)Exp & (2)Source (assumption)
 \rightarrow (2)Exp & (2)Source (assumption)
 \rightarrow (3)Interaction

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M. Lisa, U. Heinz, U. Wiedemann (2000), etc.

$$C_{12} \sim \operatorname{Tr}[|q\rangle \langle q|\hat{U}(\infty,t) \,\hat{\rho}(t) \,\hat{U}(t,\infty)]$$

$$\sim \int dr dr' \langle q|\hat{U}(\infty,t)|r\rangle \langle r|\hat{\rho}|r'\rangle \langle r'|\hat{U}(t,\infty)|q\rangle$$

$$\sim \cdots \sim \int dr S_{12}(\boldsymbol{r},\boldsymbol{q})|\varphi(\boldsymbol{q};\boldsymbol{r})|^{2}.$$

S(r) is essentially the Wigner transform of the density matrix of 2-particle system (the rest system is traced out) at emission time t

- + (1) Two particles are separated instantly and become an isolated system
- + (2) Number of particles does not change

Note: particles can change in coupled-channel calculations

+ (3) Relative momentum q* at emission can be replaced with the final q "on-shell approx." / "smoothness approx."

KP formula

In addition to the assumptions of the picture of KP formula...

Spherical-source KP for s-wave

+ (4) Only s-wave interaction modifies wave fn. Higher partial waves are ignored
+ (5) Spherical source fn: S₁₂(r) = S(|r|)

$$C(\boldsymbol{q}) = 1 + \int d\boldsymbol{r} S(r) \left\{ |\chi_q(r)|^2 - |j_0(qr)|^2 \right\}$$

s-wave fn.

s-wave of plane wave

In addition to the assumptions of the picture of KP formula...

Lednicky-Lyuboshits (LL) formula Lednicky, Lyuboshitz (1982)

$$C_{\rm LL}(q) = 1 + \frac{|f(q)|^2}{2R^2} F_3\left(\frac{r_{\rm eff}}{R}\right) + \frac{2\text{Re}f(q)}{\sqrt{\pi}R}F_1(2x) - \frac{\text{Im}f(q)}{R}F_2(2x)$$

Note: F_1 , F_2 , F_3 are some mathematical functions

+ (6) S(r): Gaussian with size $\sqrt{2} R$

← R: 1-particle source size / "chaotic source"

← Matter expansion, local equilibrium, small q, etc.

"homogeneity region"

+ (7) Asymptotic wave fn in entire range:

$$\varphi_{\boldsymbol{q}}^{(+)} = e^{iqz} + f(q)\frac{e^{iqr}}{r}$$

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← Source size is sufficiently larger than interaction range

+ (8) f(q) is parametrized by (a_0, r_0) (effective range expansion)

 \rightarrow Useful for fitting experimental data to extract (a_0, r_0)

In future: femtoscopy analysis with precision using realistic modeling of high-energy nuclear collision reactions (initial-state model & hydrodynamics & hadronic transport)

Toward realistic modeling

Many approximations exist behind the useful formulae (KP, LL, etc.) under corresponding assumptions.

Most assumptions seem "consistent" with data *in most cases*, but their explicit (or theoretical) justification is unclear (to me).

Recently, femtoscopy is becoming an indispensable tool for accessing hadronic interactions. We want to revisit and resort those assumptions before going to the next step.

Example: Assumptions on source function

Ideally S(r) should be given by dynamical models of high-energy nuclear collision reactions

S. Pratt, PRL 102 (2009), P. Batyuk, I. Karpenko et al, PRC 96 (2017), P. Chakraborty, A.K. Pandey, S. Dash, EPJA 57 (2020), V.M. Shapoval, Y.M. Sinyukov, NPA 1016 (2021), D. Kincses, M. Stefaniak, M. Csanad, Entropy 24 (2022), K. Kuroki (2024), etc.



What is "emission" in this more realistic picture?

- (1) End of hydro: many hadrons still interact
- (2) End of hadronic transport: no interaction anymore

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Should emission be somewhere between (1) and (2)? + Realistic modeling of hadron production?

Example: Assumptions on source function

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Event-by-event (EBE) fluctuations are important physics in HIC. EBE flucts Introduce correlations between particles.

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 \rightarrow Non-trivial relation btw relative and 1-particle sources

Higher partial waves (angular momentum *I* > 0)

Effect of higher partial waves (l > 0) *I*: angular momentum Existing analyses mainly considered s-wave $(l = 0) \leftarrow$ Another assumption

Correlation function cannot be measured for each I

All-/ contributions are mixed.



Structures from resonances of p-wave / d-wave are observed in experimental data.
Fitting correlation function with a naive Breit-Wigner form → How is this justified?

Spherical-source KP with higher partial waves

Wave function can be fully expanded into partial waves

$$\varphi_q(r,\theta) = \sum_{l=0}^{\infty} (2l+1)i^l R_l(r) P_l(\cos\theta)$$
 $P_l(z)$ Legendre polynomial

Apply it to KP formula (non-identical particles, single channel)

 \rightarrow Correlation is sum of each partial contributions ΔC_{l} [\leftarrow spherical S(r)]

$$C(q) = 1 + \sum_{l=0}^{\infty} (2l+1) \int dr 4\pi r^2 S(r) \\ \times [|R_l(r)|^2 - |j_l(qr)|^2] \\ \Delta C_l$$

This is a natural extension for the s-wave case

Example: Potential well



Small $q \rightarrow$ higher partial waves are suppressed Large $q \rightarrow$ higher partial waves become relatively large

 $f_l \sim a_l q^{2l}$

Example: Potential well (with resonances)

Change the depth of V_0 μ = 600 MeV, b = 1 fm, R = 2 fm



Contributions from higher partial wave can be significant with resonances

LL formula with higher partial waves

With the same assumptions for s-wave case,

$$C(q) = 1 + \sum_{l=0}^{\infty} \frac{(2l+1)(-1)^l e^{-4x^2} q}{2\sqrt{\pi}x^2} \left(\sqrt{\pi}\Im f_l + 2\Re f_l \int_0^{2x} dt e^{t^2} dt e^{t^2} \right)$$

Note: effective range correction is not included

One can perform the sum over *I* using $(-1)^{\prime} = P_{\prime}(\cos \pi)$ 2 terms

Note: **3** terms in the original LL are actually essentially 2 terms due to the optical theorem: $|f_0|^2 = q \operatorname{Im} f_0$

$$C(q) = 1 + \frac{4\pi}{q} \Im\left[f(\pi) \int_0^\infty dr S(r) e^{2iqr}\right]$$

Backward scattering amplitude: $f(\theta = \pi)$

Similar structure as optical theorem: $\sigma_{tot} = (4\pi/q) \operatorname{Im} f(0)$, But the direction is opposite.

Optical theorem vs correlation function

Plane wave contains $\delta(\theta)$ and $\delta(\theta-\pi)$

 φ

at the O(1/r) order of partial wave expansion

$$e^{iqz} \sim \frac{1}{iq}\delta(\theta)\frac{e^{iqz}}{r} - \frac{1}{iq}\delta(\theta - \pi)\frac{e^{-iqz}}{r}$$

Wave function

$$= e^{iqz} + f(q)\frac{e^{iqr}}{r}$$
$$= \underbrace{\left[\frac{1}{iq}\delta(\theta) + f(\theta)\right]}_{=:A} \underbrace{\frac{e^{iqz}}{r} - \frac{1}{iq}\delta(\theta - \pi)}_{=:B} \underbrace{\frac{e^{-iqz}}{r}}_{=:B}$$

Optical theorem

$$\int d\Omega (|A|^2 - |B|^2)|_{r \to \infty} = 0.$$

Correlation fn

Interference of two terms in A remains $\propto \delta(\theta)f(\theta)$: forward $C(r) = \int dr S(r) \int d\Omega |A + B|^2$ Interference of A and B remains $\propto \delta(\theta - \pi)f(\theta)$: backward

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Example: Potential well

Bad: $\Delta C_1 > 0$ does not match even qualitatively

Note: Analytic solution for δ_l is used (no effective range expan.)



R = 3 fm (V_0 = 50 MeV, μ = 600 MeV, b = 1 fm)



 $R = 3 \text{ fm} (V_0 = 50 \text{ MeV}, \mu = 600 \text{ MeV}, b = 1 \text{ fm})$



In particular, the contribution from odd / in the native LL formula has the opposite sign to the analytic solution.

Why alternating sign (-1)?

Asymptotic form: $R_l \sim \sin(kr - \frac{\ln}{2} + \delta_l)/kr$

<u>We extend this form to $r \rightarrow 0$ </u>







Decreases $|\varphi|^2$ φ diverges as 1/r

This is unphysical

(i.e., doesn't happen in reality). Note: If we use j_l and n_l as the asymptotic form directly, for all l, wave fn diverges at origin more strongly and C(q) does not converge because of $n_l \sim 1/r^{2l+1}$.

<u>Replacing wave fn (at r \sim 0) with regular one</u>

Reproduces potential well



Example: Gaussian potential

600

Reproduces Gaussian case to a good degree





Wave function for regularized LL formula

$$\varphi(r) = \begin{cases} aj_l(qr), & (r < c), \\ \cos \delta_l j_l(qr) - \sin \delta_l y_l(qr), & (r > c). \end{cases}$$

Note: This is a solution with the δ -fn potentials

$$V(r) = V_d \delta(r - c),$$

$$V_d = \frac{y_l(qc)[\partial_c \ln j_l(qc) - \partial_c \ln j_l(qc)]}{\cot \delta_l j_l(qc) - y_l(qc)}.$$

Regularized LL formula w/ reference potential

Except for the asymptotic wave function $\varphi \sim O(1/r)$, the KP formula gives a similar behavior (for the same δ_{l}).

- Wave fn with the potential well
- Wave fn with the Gaussian potential
- Wave fn with the delta-function potential
- Wave fn of the asymptotic form O(1/r) (traditional LL)

Bad for l > 0

Similar C(q)

 \rightarrow One may use one of the realistic wave functions as a reference model to define the fitting form of C(q).

Suggestion: the delta-function potential as a reference, which has a simple analytic wave function φ .

<u>Summary</u>

Femtoscopy

- KP and LL formulae allow us to access hadron interaction through the correlation function measured in high-energy nuclear collisions, but with many assumptions.
- Realistic dynamical modeling of high-energy collisions is important, but future development is necessary.

Higher partial waves

- With spherical source, the correction by the higher partial waves is given by sum over *l*
- LL formula at the full order gives an interesting structure with the backward amplitude $f(\pi)$ similar to the optical theorem, which is explained by plane-wave expansion.
- Naïve LL formula with I > 0 gives unphysical result, but regularization of wave function gives an improved formula.

BACKUP

Koonin-Pratt (KP) formula Emitted = isolated from the rest of the system at time *t* and evolve independently. *(Well-defined?)* **Assumption 1:** Two (or a few number of) particles are emitted from source at $r_1 \& r_2$ with total & relative momentum q' & P. Two-particle source function $S_{12}(q', r_1-r_2)$

Assumption 2: After interaction with V(r), no change in relative momentum: q' = q between emission point q' and final state q

Assumption 3: Two particles become distant from each other and observed as asymptotic plane waves $p_1 \& p_2$

Wave fn: $\varphi^{(-)} \sim \varphi^*_{\text{scatt}}$ (cf plane wave \rightarrow interaction in scattering) $C(\boldsymbol{q}, \boldsymbol{P}) = \int d\boldsymbol{r} S_{12}(\boldsymbol{r}) |\varphi^{(-)}(\boldsymbol{q}, \boldsymbol{r})|^2.$

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KP with s wave and spherical source

Only s-wave

Plane wave without S-wave S-wave wave fn

$$\varphi_{\boldsymbol{q}}(\boldsymbol{r}) = e^{i\boldsymbol{q}\cdot\boldsymbol{r}} - j_0(qr) + \chi_q(r)$$

Assumption 1: Spherical two-particle source S(r)

$$\begin{split} C(\boldsymbol{q}) &= \int d\boldsymbol{r} S(r) |\varphi_{\boldsymbol{q}}(\boldsymbol{r})|^2 \\ &= 1 + \int d\boldsymbol{r} S(r) \left\{ |\chi_q(r)|^2 - |j_0(qr)|^2 \right\} \end{split}$$

 j_0 : contribution from the plane wave

 X_a : S-wave component of scattered wave fn

<u>Lednicky-Lyuboshitz (LL) 公式 (S-wave)</u>

Assumption 2: Gaussian source

$$S(r) = \frac{1}{(4\pi R^2)^{3/2}} e^{-\frac{r^2}{4R^2}}.$$

Assumption 3: φ is asymptotic form in the entire region

$$\varphi = e^{ikz} + f(\theta) \frac{e^{ikr}}{r}$$

Result: Correlation function is analytically calculated

$$C_{\rm LL}(q) = 1 + \int d\mathbf{r} S_{12}(r) \left(|\psi_{\rm asy}(r)|^2 - |j_0(qr)|^2 \right)$$

= $1 + \frac{|f(q)|^2}{2R^2} F_3\left(\frac{r_{\rm eff}}{R}\right) + \frac{2\text{Re}f(q)}{\sqrt{\pi}R}F_1(2x) - \frac{\text{Im}f(q)}{R}F_2(2x)$

Conventionally used to fit the experimental data

Spherical source w/ higher partial wave

Full partial-wave expansion

$$\varphi_q(r,\theta) = \sum_{l=0}^{\infty} (2l+1)i^l R_l(r) P_l(\cos\theta)$$

Correlation function

$$C(q) = 1 + \sum_{l=0}^{\infty} (2l+1) \int dr 4\pi r^2 S(r) \times [|R_l(r)|^2 - |j_l(qr)|^2]$$

Contributions from partial waves: sum of each wave

Note: if source is not spherical, there arise all the mixtures of different partial waves $l \neq l'$

Spherical source w/ higher partial wave

Correlation function

$$C(q) = \sum_{l=0}^{\infty} (2l+1) \int dr \, 4\pi r^2 S(r) |R_l(r)|^2.$$

Correlation function for plane wave (LHS from defs)

$$1 = \sum_{l=0}^{\infty} (2l+1) \int dr \, 4\pi r^2 S(r) |j_l(qr)|^2.$$

By subtracting both-hand sides, we can obtain the correlation function written as sum of corrections.

<u>Another version of LL formula for I > 0</u>

Assume the following asymptotic form [with $O(1/r^2)$ terms of plain wave retained]

$$\varphi \approx e^{iqz} + \frac{f(\theta)e^{ikr}}{r} + \underbrace{\mathcal{O}\Big(\frac{l(l+1)}{r^2}\Big)}_{\text{ignore}},$$

We may obtain the following correlation function with an additional term

$$\begin{split} C(q) &= 1 + \frac{4\pi}{q} \Im[f(\pi)\hat{S}(-2iq)] \\ &+ \frac{4\pi}{q} \Im \int_{-1}^{1} d\cos\theta f'(\theta)\hat{S}(-iq(1-\cos\theta)). \end{split}$$

Another version of LL formula for I > 0

Assume the following asymptotic form [with $O(1/r^2)$ terms of plain wave retained]

$$\varphi \approx e^{iqz} + \frac{f(\theta)e^{ikr}}{r} + \underbrace{\mathcal{O}\Big(\frac{l(l+1)}{r^2}\Big)}_{\text{ignore}},$$

Intermediate expression

$$\begin{split} C(q) &= 1 + \int d\Omega |f(\theta)|^2 \int_0^\infty dr S(r) \\ &+ \frac{4\pi}{q} \int_0^\infty dr S(r) \Im[f(\pi) e^{2iqr} - f(0)] \\ &+ \frac{4\pi}{q} \int_0^\infty dr S(r) \int_{-1}^1 d\cos \theta e^{iqr(1-\cos\theta)} f'(\theta) \end{split}$$

LL formula with higher-partial waves

With the same assumptions

Assumption: Gaussian source

$$S(r) = \frac{1}{(4\pi R^2)^{3/2}} e^{-\frac{r^2}{4R^2}}.$$
Assumption: φ is asymptotic form in the entire range

$$\varphi \approx e^{iqz} + \frac{f(\theta)e^{ikr}}{r} + \mathcal{O}\left(\frac{l(l+1)}{r^2}\right)_{\text{ignore}}$$
Note: With spherical
Bessel, KP divergent
Bessel, KP divergent

$$C(q) = 1 + \sum_{l=0}^{\infty} \frac{(2l+1)(-1)^l e^{-4x^2} q}{2\sqrt{\pi}x^2} \times \left(\sqrt{\pi}\Im f_l + 2\Re f_l \int_0^{2x} dt e^{t^2}\right)$$

$$f_l: \quad f(\theta) =: \sum_{l=0}^{\infty} (2l+1) P_l(\cos \theta) f_l.$$

For *I*=0 (S-wave), this reproduces the original LL formula (w/o r_{eff} correction)

Q. Only two terms?

A. With the optical theorem $|f_0|^2 = q \text{ Im } f_0$, LL reduces to only two terms

Simpler expression for LL formula

In correlation function

$$C(q) = 1 + \sum_{l=0}^{\infty} \frac{(2l+1)(-1)^l e^{-4x^2} q}{2\sqrt{\pi}x^2} \\ \times \left(\sqrt{\pi}\Im f_l + 2\Re f_l \int_0^{2x} dt e^{t^2}\right)$$

The *I*-dependent part

$$\sum_{l=0}^{\infty} (2l+1)(-1)^l f_l = f(\theta = \pi) \quad \text{Note: (-1)'} = P_l(-1) = P_l(\cos\pi)$$

Simpler representation of LL formula

$$C(q) = 1 + \frac{4\pi}{q} \Im\left[f(\pi) \int_0^\infty dr S(r) e^{2iqr}\right]$$

 \sim Im f Re S[^] + Re f Im S[^]

Discussion

<u>Why backward amplitude f(π)?</u>

The plane waves contains the delta functions at forward and backward when expanded by the power of centrifugal force [l(l+1)/r²]^p

$$\begin{aligned} e^{iqz} &= \frac{2}{iqr} [\delta(1 - \cos\theta) e^{iqr} \\ &\quad \text{outgoing } \theta = 0 \\ &\quad + \delta(1 + \cos\theta) e^{-iqr}] + \mathcal{O}\left(\frac{1}{r^2}\right) \\ &\quad \text{Incoming } \theta = \pi \end{aligned}$$

Correlation function $\Delta C(q)$ is generated by interference between outgoing $f(\theta)/r$ and incoming plane wave $\delta(\theta-\pi)$ $\rightarrow f(\theta = \pi)$

Note: **Optical theorem** comes from the normalization of the outgoing wave $|f(\theta)/r + \delta(\theta=0)/iqr|^2$ and thus $f(\theta=0)$ plays a role

Heavy-ion collisions and hadron interaction

Heavy-ion collisions can be used to constrain interactions



Femtoscopy

Contribution of higher partial waves are also contained in the correlation function

- What is a good fitting form of the contribution?
- What is its understanding?

<u>Future</u>



Further development & understanding in hadronic stage

- Hadronic transport model Covariant formulation of RQMD & RAMD, Dynamical integration with hydro, Dynamical formation of light nuclei, etc.
- Underlying assumptions and understanding of KP formula (Re-)Validation of assumptions What is the source function S(r) in dynamical model?