

Formulation of $SU(N)$ Lattice Gauge Theories with Schwinger Fermions

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Based on 2112.02090, 2312.17734 and work in progress

HHIQCD 2024 @YITP, KYOTO

NOVEMBER 15, 2024



LA-UR-24-29558

- 1 Overview
- 2 Kogut-Susskind Hamiltonian and the Schwinger fermion formulation
- 3 The continuum theory of 2d QCD and its bosonization
- 4 Strong coupling analysis of phases and confinement
- 5 Numerical results for the critical physics
- 6 Conclusions

Part I

Formulation of $SU(N)$ Hamiltonian lattice gauge theory with finite-dimensional Hilbert space using Schwinger fermions

Part II

Phase diagram of this formulation in 2d and comparison with the continuum theory

Connection to some of the previous talks

- General idea: similar to quantum link models, fermionic rishons (Uwe-Jens Wiese, Pietro Silvi)
- Technical aspect: similar to formulation of gauge link with Schwinger bosons (Indrakshi Raychowdhury)
- Numerical method: Tensor network (Akira Matsumoto, Pietro Silvi, etc.)

Outline

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Hamiltonian LGT and qubit-regularization of the Hilbert space

Kogut-Susskind Hamiltonian

$$H = \underbrace{\frac{g^2}{2} \sum_{\langle i,j \rangle} (L_{ij}^{a2} + R_{ij}^{a2})}_{\text{electric field}} - \underbrace{\frac{1}{4g^2} \sum_{\square} (W_{\square} + W_{\square}^{\dagger})}_{\text{magnetic field:}} + \underbrace{t \sum_{\langle i,j \rangle} (c_i^{\alpha\dagger} U_{ij}^{\alpha\beta} c_j^{\beta} + \text{h.c.})}_{\text{fermion hopping}}$$

Qubit regularization: same Hamiltonian, but truncates the link Hilbert space:

	Traditional	Qubit regularization
Hilbert space	$L^2(G) = \bigoplus_{\lambda \in \widehat{\text{SU}(N)}} V_{\lambda} \otimes V_{\lambda}^*$ (Peter-Weyl theorem)	$\mathcal{H}_Q := \bigoplus_{\lambda \in Q} V_{\lambda} \otimes V_{\lambda}^*$ (Symmetry is preserved)
Irreps	$\widehat{\text{SU}(N)}$: Young diagrams with at most $N - 1$ rows	$Q = \{ \circ, \square, \begin{array}{ c } \hline \square \\ \hline \end{array}, \begin{array}{ c } \hline \square \\ \hline \square \\ \hline \end{array}, \dots, \begin{array}{ c } \hline \square \\ \hline \square \\ \hline \square \\ \hline \end{array}, \begin{array}{ c } \hline \square \\ \hline \square \\ \hline \square \\ \hline \square \\ \hline \end{array} \}$

Reasons for Q -scheme

$$Q = \{ \circ, \square, \begin{array}{|c|} \hline \square \\ \hline \square \\ \hline \end{array}, \begin{array}{|c|} \hline \square \\ \hline \square \\ \hline \square \\ \hline \end{array}, \dots, \overline{\begin{array}{|c|} \hline \square \\ \hline \square \\ \hline \end{array}}, \overline{\square} \}$$

- Contains all N -ality: string tensions at large distance are dictated by N -ality (screening)
- Smallest quadratic Casimir among each N -ality: minimize $\frac{g^2}{2}(L^{a2} + R^{a2})$
- Anti-symmetric representations: formulation using Schwinger fermions

Introducing Schwinger fermion representation of $SU(N)$

- $c^{\alpha\dagger} : \alpha = 1, \dots, N$ are fermion creation operators that transform in the fundamental representation of $SU(N)$:

$$\{c^\alpha, c^\beta\} = \{c^{\alpha\dagger}, c^{\beta\dagger}\} = 0, \quad \{c^\alpha, c^{\beta\dagger}\} = \delta^{\alpha\beta}.$$

- The generators of $SU(N)$ are defined as $Q^a := c^{\alpha\dagger} T_{\alpha\beta}^a c^\beta$, where $[T^a, T^b] = i f^{abc} T^c \implies [Q^a, Q^b] = i f^{abc} Q^c$.
- $|0\rangle$ satisfies $c^\alpha|0\rangle = 0$ for $\alpha = 1, 2 \implies$ the trivial representation

$$|\alpha_1 \cdots \alpha_k\rangle = c^{\alpha_k\dagger} \cdots c^{\alpha_1\dagger} |0\rangle.$$

- The number operator $\hat{k} := \sum_{\alpha=1}^N c^{\alpha\dagger} c^\alpha$ distinguishes different irreps of $SU(N)$ (number of boxes in the Young diagram $(\square \cdots \square)^T$), and is related to the Casimir operator as

$$\sum_a (Q^a)^2 = \frac{N+1}{2N} (N - \hat{k}) \hat{k}$$

Schwinger fermion representation of the link variables

- Link algebra:

$$\begin{aligned} [L_i^a, L_j^b] &= if^{abc} L_i^c \delta_{ij}, \quad [R_i^a, R_j^b] = if^{abc} R_i^c \delta_{ij}, \quad [L_i^a, R_j^b] = 0, \\ [L_i^a, U_{jk}^{\alpha\beta}] &= -T_{\alpha\gamma}^a U_{jk}^{\gamma\beta} \delta_{ij}, \quad [R_i^a, U_{jk}^{\alpha\beta}] = U_{jk}^{\alpha\gamma} T_{\gamma\beta}^a \delta_{ik}, \end{aligned}$$

- Each link is made of two Schwinger fermions $l^{\alpha\dagger}, r^{\alpha\dagger} : \alpha = 1, \dots, N$

$$\begin{aligned} \{l^\alpha, l^{\beta\dagger}\} &= \delta^{\alpha\beta}, \quad \{l^\alpha, l^\beta\} = \{l^{\alpha\dagger}, l^{\beta\dagger}\} = 0, \\ \{r^\alpha, r^{\beta\dagger}\} &= \delta^{\alpha\beta}, \quad \{r^\alpha, r^\beta\} = \{r^{\alpha\dagger}, r^{\beta\dagger}\} = 0, \\ \{l^\alpha, r^{\beta\dagger}\} &= \{l^{\alpha\dagger}, r^\beta\} = \{l^\alpha, r^\beta\} = \{l^{\alpha\dagger}, r^{\beta\dagger}\} = 0, \end{aligned}$$

- Schwinger fermion representation of the link variables:

$$L^a = l^{\alpha\dagger} T_{\alpha\beta}^a l^\beta, \quad R^a = r^{\alpha\dagger} T_{\alpha\beta}^a r^\beta, \quad U^{\alpha\beta} = l^\alpha \frac{1}{\sqrt{\hat{k}_l \hat{k}_r}} r^{\beta\dagger}$$

- Peter-Weyl theorem, or conservation of electric flux $\implies k_l + k_r = N$.
- $|k_l = N; k_r = 0\rangle \equiv |k_l = 0; k_r = N\rangle$ (does not ruin global fermion parity).

Schwinger fermion representation of the KS Hamiltonian

Kogut-Susskind Hamiltonian

$$H = \underbrace{\frac{g^2}{2} \sum_{\langle i,j \rangle} \frac{N+1}{N} (N - \hat{k}) \hat{k}}_{\text{electric field}} - \underbrace{\frac{1}{4g^2} \sum_{\square} (W_{\square} + W_{\square}^{\dagger})}_{\text{magnetic field:}} + \underbrace{t \sum_{\langle i,j \rangle} (c_i^{\alpha\dagger} l_i^{\alpha}) \frac{1}{\sqrt{\hat{k}_{l_i} \hat{k}_{r_j}}} (r_j^{\beta\dagger} c_j^{\beta})}_{\text{fermion hopping}} + \text{h.c.}$$

where

$$W_{\square} = l_1^{\alpha_1} \frac{1}{\sqrt{\hat{k}_{l_1} \hat{k}_{r_2}}} r_2^{\alpha_2\dagger} l_2^{\alpha_2} \frac{1}{\sqrt{\hat{k}_{l_2} \hat{k}_{r_3}}} r_3^{\alpha_3\dagger} l_3^{\alpha_3} \frac{1}{\sqrt{\hat{k}_{l_3} \hat{k}_{r_4}}} r_4^{\alpha_4\dagger} l_4^{\alpha_4} \frac{1}{\sqrt{\hat{k}_{l_4} \hat{k}_{r_1}}} r_1^{\alpha_1\dagger}$$

Advantages:

- manifestly gauge invariant on each site. Gauss law: conservation of quark number plus Schwinger fermion number mod N .
- gauge invariant operators on each site are bosonic

Gauge invariant operators

$$C_{x,\mu}^\dagger := c_x^{\alpha\dagger} f_{x,\mu}^\alpha, \quad F_{x,\mu\nu} := f_{x,\mu}^{\alpha\dagger} f_{x,\nu}^\alpha.$$

$F_{x,\mu\nu}^\dagger = F_{x,\nu\mu}$ and $F_{x,\mu\mu} = F_{x,\mu\mu}^\dagger = \hat{k}_{x,\mu}$ by definition.
These operators satisfies

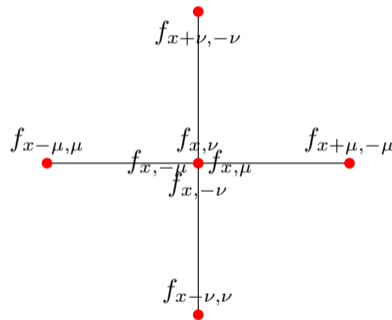
$$[C_\mu, C_\nu^\dagger] = F_{\mu\nu} - \hat{n} \delta_{\mu\nu}.$$

$$[F_{\mu\nu}, F_{\rho\sigma}] = F_{\mu\sigma} \delta_{\nu\rho} - F_{\rho\nu} \delta_{\mu\sigma}$$

$$[F_{\mu\nu}, C_\rho] = C_\mu \delta_{\nu\rho},$$

$$[F_{\mu\nu}, C_\rho^\dagger] = -C_\nu^\dagger \delta_{\mu\rho},$$

which is the algebra of $U(2d + n_f)$. ($\mathfrak{l} = F \oplus \hat{n}$ and $\mathfrak{p} = C$ form a Cartan decomposition.)



Where is N ?

Since the Hamiltonian can be written solely in terms of gauge invariant operators C_μ and $F_{\mu\nu}$ that form $U(2d + N_f)$ algebra, where is the information of the original gauge group $SU(N)$?

Where is N ?

Since the Hamiltonian can be written solely in terms of gauge invariant operators C_μ and $F_{\mu\nu}$ that form $U(2d + N_f)$ algebra, where is the information of the original gauge group $SU(N)$?

- Answer: It is hidden in the representation!

$$(C_\mu^\dagger)^{N+1} = 0, (F_{\mu\nu}^\dagger)^{N+1} = 0 \quad \text{when } \mu \neq \nu.$$

A trivial example:

$$C_\mu = |\mu\rangle\langle 0|, \quad C_\mu^\dagger = |0\rangle\langle \mu|, \quad F_{\mu\nu} = |\mu\rangle\langle \nu|,$$

Form a representation of the $U(2d + 1)$ algebra in the case of $N = 1$.

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The continuum theory of 2d QCD

SU(N) Yang-Mills theory coupled to single-flavor massless Dirac fermions

$$\mathcal{L}_0 = \frac{1}{2\tilde{g}^2} \text{tr} F^2 + \bar{\psi}^\alpha i \not{D} \psi^\alpha$$

Symmetries:

- $\tilde{g}^2 = 0$: free fermion, $O(2N)_L \times O(2N)_R$ chiral symmetry. ($\psi^\alpha = \frac{1}{\sqrt{2}}(\xi^{2\alpha-1} - i\xi^{2\alpha})$)
- $\tilde{g}^2 > 0$: Gauge symmetry $SU(N)$, $SU(2)_L \times SU(2)_R$ ($N = 2$); $U(1)_L \times U(1)_R$ ($N \geq 3$).

Bosonization:

- $\tilde{g}^2 = 0$: $U(N)_1$ or $SO(2N)_1$ WZW model. Central charge: $c = N$.
- $\tilde{g}^2 > 0$: $SO(2N)_1/SU(N)_1$ or $U(N)_1/SU(N)_1 \cong U(1)_N$ coset WZW model.
Central charge: $c = c(SO(2N)_1) - c(SU(N)_1) = N - (N - 1) = 1$.
 - ▶ $N = 2$: $SO(4) \cong SU(2)_s \times SU(2)_c$, coset is $SU(2)_1$ WZW model in the charge sector.

Symmetries from the lattice

- Continuum symmetries forbid any relevant or marginal couplings.
- When regularizing the theory on the lattice using staggered fermions,
 $U(1)_L \times U(1)_R \rightarrow U(1) \times \mathbb{Z}_2^X$ ($SU(2)_L \times SU(2)_R \rightarrow SU(2) \times \mathbb{Z}_2^X$ for $N = 2$).
 \mathbb{Z}_2^X forbids mass terms, but allows coupling between currents: $J_{L,R}^a := \frac{1}{2} \xi_{L,R}^T T^a \xi_{L,R}$

Full continuum theory

$$\mathcal{L} = \frac{1}{2\tilde{g}^2} \text{tr} F^2 + \bar{\psi}^\alpha i \not{D} \psi^\alpha + \sum_a \lambda^a J_L^a J_R^a$$

- $N \geq 3$: two independent couplings: λ_0 (Thirring coupling) and $\lambda_{\tilde{c}}$
- $N = 2$: one independent coupling: $\lambda_0 = \lambda_{\tilde{c}} = \lambda_c$

■ $N \geq 3$:

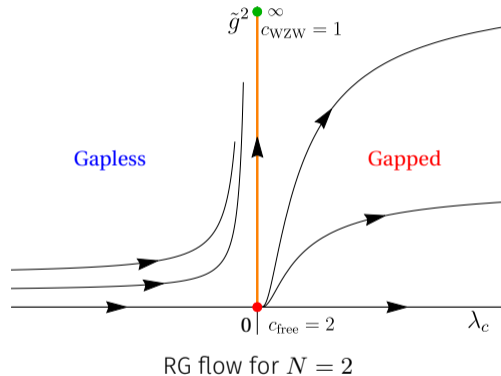
$$\frac{d\lambda_0}{d \ln \mu} = -\frac{N-1}{2\pi} \lambda_{\tilde{c}}^2,$$

$$\frac{d\lambda_{\tilde{c}}}{d \ln \mu} = -\frac{1}{N\pi} \lambda_0 \lambda_{\tilde{c}},$$

■ $N = 2$:

$$\frac{d\lambda_c}{d \ln \mu} = -\frac{1}{2\pi} \lambda_c^2.$$

Mixed anomaly between $U(1)$ and \mathbb{Z}_2^X
 \implies the gapped phase should spontaneously break \mathbb{Z}_2^X , the translation-by-one-site symmetry on the lattice.



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Phases in the strong coupling limit

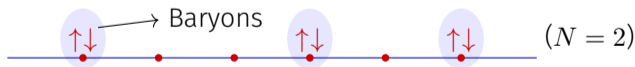
generalized Hubbard coupling

$$H = \frac{g^2}{2} \sum_{\langle i,j \rangle} (L_{ij}^{a2} + R_{ij}^{a2}) + t \sum_{\langle i,j \rangle} (c_i^{\alpha\dagger} U_{ij}^{\alpha\beta} c_j^\beta + \text{h.c.}) - U \sum_i n_i (N - n_i)$$

$g^2/t \gg 1$:

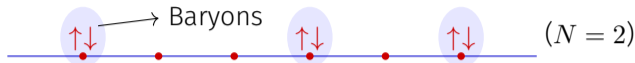
$$\frac{1}{2} (L_{ij}^{a2} + R_{ij}^{a2}) |k\rangle = \frac{N+1}{2N} k(N-k) |k\rangle,$$

gauge links prefer $k = 0$ (trivial rep)



Similar analysis for $-U/t \gg 1$.

Strong coupling expansion - spin-chain phase



When $g^2/t \gg 1$ or $-U/t \gg 1$, treat hopping terms as a perturbation:

$$XXZ \text{ spin chain: } H_{\text{eff}} = \sum_{\langle i,j \rangle} J_{\perp} (X_i X_j + Y_i Y_j) + J_z (Z_i Z_j - 1)$$

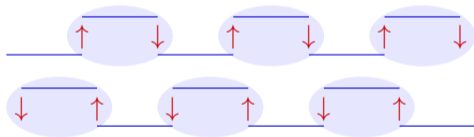
where

$$J_{\perp} = (-1)^{N-1} \frac{N}{2(N-1)!} \frac{t^N}{\left(\frac{N+1}{2N}g^2 + 2U\right)^{N-1}}, \quad J_z = \frac{N}{2(N-1)} \frac{t^2}{\frac{N+1}{2N}g^2 + 2U}$$

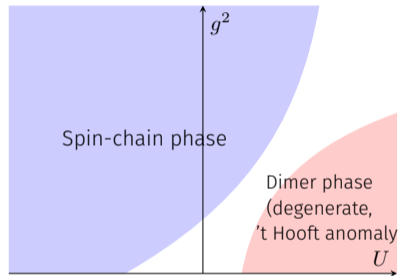
- When $N = 2$, $|J_{\perp}| = |J_z|$ (gapless) \implies SU(2) symmetry \leftrightarrow SU(2)₁ WZW model.
- When $N > 2$, $|J_{\perp}| < |J_z|$ (gapped, Néel) \implies U(1) symmetry \leftrightarrow U(1)_N WZW model.

Strong coupling expansion - dimer phase

when $U/t \gg 1$, each site is forced to have one fermion ($N = 2$)



gapped, dimerized, doubly degenerate, expected from 't Hooft anomaly matching



Confinement in the strong coupling limit

Put two test quarks and pull them apart, see how the energy changes:

$-U/t \gg 1$: Raise links in-between to higher irreps, confined

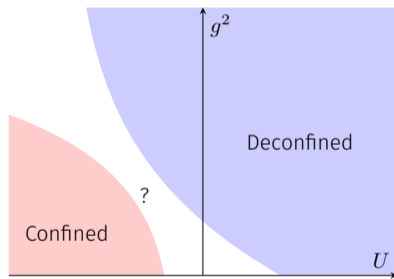


$$\text{String tension: } T_k = g^2 \frac{N+1}{2N} k(N-k).$$

$-U/t \gg 1$ or $g^2/t \gg 1$:

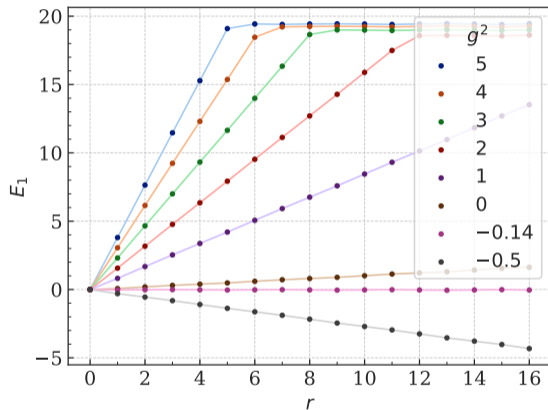
$$\text{String tension: } T_k = g^2 \frac{N+1}{2N} k(\lfloor \frac{N}{2} \rfloor - k).$$

\implies Deconfined for $N = 2, 3$



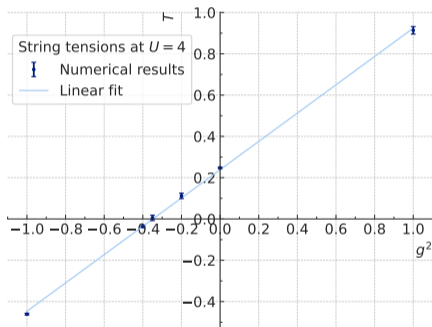
Confinement diagram for $N = 2, 3$

Confining phase



Energy as a function of the distance r between the test quarks at $N = 2$, $k = 1$ and $L = 20$ for $U = -10$.

String tensions at large U



$$T = 0.685(8)g^2 + 0.239(5)$$

- Strong coupling result: $T = 0.75g^2$
- Surprisingly, when $g^2 = 0$, $T > 0$. (In traditional theory, when $g^2 = 0$ the gauge field can be absorbed)
- In the qubit regularization, electric field term is generated by the hopping term in the RG sense:

$$H_{ij} = c_i^{\alpha\dagger} U_{ij}^{\alpha\beta} c_j^\beta + c_j^{\beta\dagger} (U_{ij}^{\alpha\beta})^\dagger c_i^\alpha$$

$$-\frac{1}{\beta} \log(\text{tr}_f e^{-\beta H_{ij}}) \begin{cases} \propto \mathbb{1} & : \text{traditional} \\ \propto L_{ij}^{a2} + R_{ij}^{a2} & : \text{qubit} \end{cases}$$

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Marginal operator, level crossing and critical point

- $SU(2)_1$ WZW has $SU(2)_L \times SU(2)_R$ symmetry
Lowest 5 states: $(s_L, s_R) = (0, 0)$ and $(\frac{1}{2}, \frac{1}{2})$
- On the lattice: chiral symmetry is broken
 $\lambda_c J_L \cdot J_R$ is allowed, can be tuned by U

$$SU(2)_L \times SU(2)_R \xrightarrow{\text{broken}} SU(2)_{\text{diag}}$$

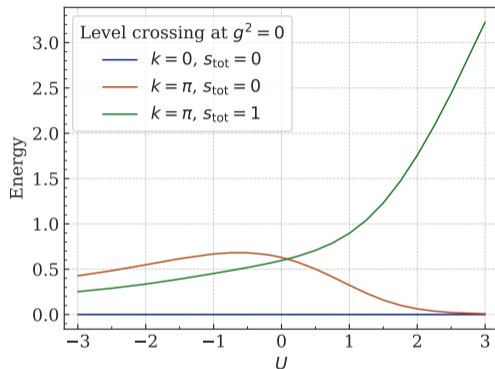
$$(s_L, s_R) = \left(\frac{1}{2}, \frac{1}{2}\right) \longrightarrow s_{\text{tot}} = 1, 0$$

$$\langle J_L \cdot J_R \rangle = \frac{1}{2} \langle (J_L + J_R)^2 - J_L^2 - J_R^2 \rangle$$

$$= \frac{1}{2} (s_{\text{tot}}(s_{\text{tot}} + 1) - s_L(s_L + 1) - s_R(s_R + 1))$$

λ_c is marginal, β -function:

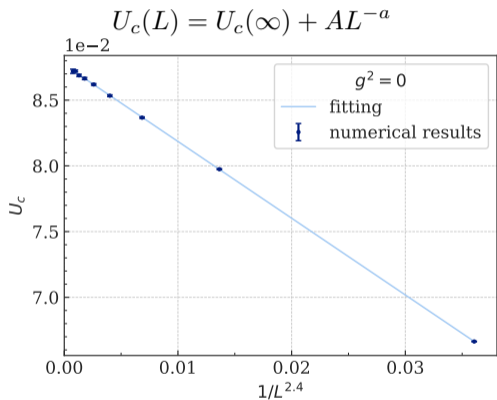
$$\frac{d\lambda_c}{d \ln \mu} = -\frac{1}{2\pi} \lambda_c^2$$



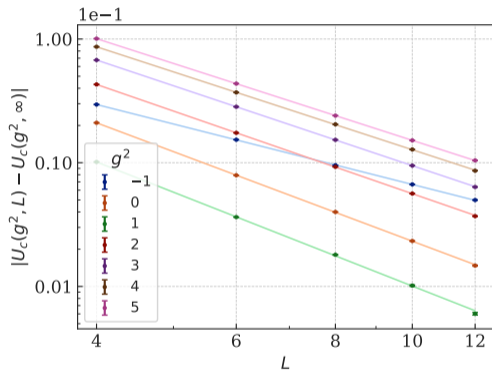
DMRG: ITensor^a

^aM. Fishman et al., 2022, *SciPost Phys. Codebases*

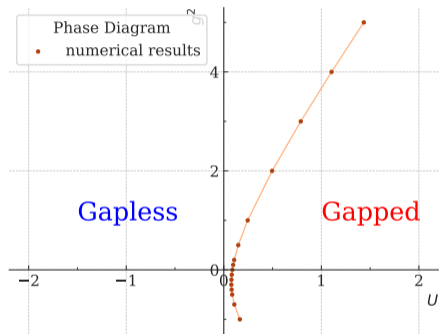
Critical point extrapolation in L



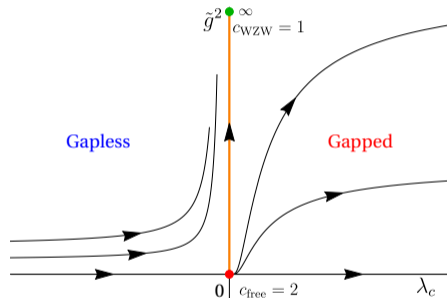
$$U_c(\infty) = -0.08769(3)$$



Phase Diagram

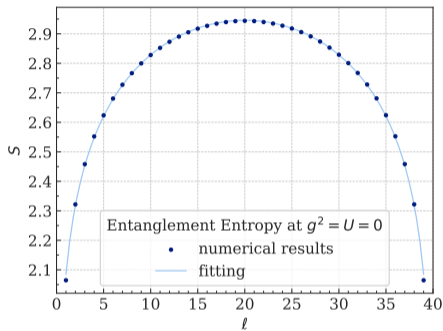


Phase diagram



Flow diagram

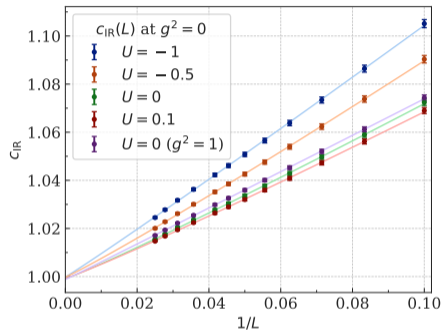
Central charge in the conformal phase



IR central charge via entanglement entropy:

$$S = \frac{c_{\text{IR}}}{3} \log \left(\frac{L}{\pi a} \sin \frac{\pi \ell}{L} \right) + \text{const.}$$

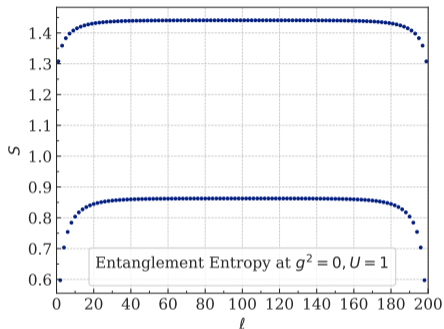
between two subsystems with size ℓ and $L - \ell$.



Central charge extrapolation

$c_{\text{IR}}(\infty)$ ranges from 0.9988(7) to 0.9998(9).

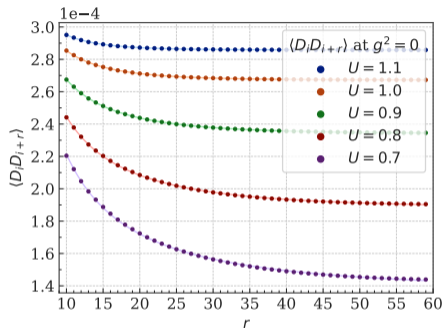
Central charge by approaching critical points from gapped phase



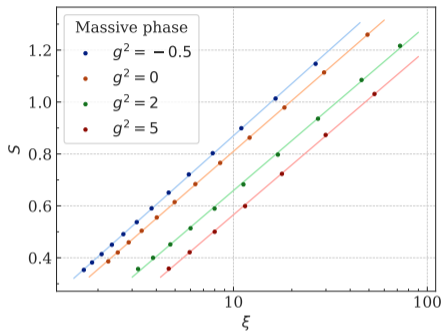
UV central charge via entanglement entropy

$$S = \frac{c}{6} \log \frac{\xi}{a} + \text{const.}$$

ξ is correlation length.



$$D_i := (-1)^i \frac{1}{2} (Q_i^z Q_{i+1}^z - Q_{i-1}^z Q_i^z),$$
$$\langle D_i D_{i+r} \rangle = \frac{A}{r} e^{-\frac{r}{\xi c}}$$



$$c = 1.737(6), 1.693(4), 1.66(1), 1.66(1)$$

Multiple sectors that decouple at the critical point and become critical simultaneously, entanglement entropy is given by the sum

$$S_0 = \sum_i S_i = \sum_i \frac{c_i}{6} \ln \frac{\xi_i}{a} + \text{const.},$$

If ξ_c diverges, and ξ_s diverges as $\frac{\xi_s}{a} \propto (\frac{\xi_c}{a})^\alpha$, this simplifies to

$$S_0 = \frac{c_{\text{eff}}}{6} \ln \frac{\xi_c}{a} + \text{const.},$$

where $c_{\text{eff}} = 1 + \alpha$. According to ^a, $c_{\text{eff}} = 1 + \alpha$ is achieved with $j_{\text{max}} = \frac{3}{2}$ links.

^aM. C. Bañuls et al., 2017, *Phys. Rev. X* arXiv: 1707.06434 (hep-lat)

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Conclusions

- Formulated $SU(N)$ lattice gauge theories using Schwinger fermions.
- Remarkably, the resulting theory can be expressed purely in terms of gauge-invariant operators, which form a $U(2d + N_f)$ algebra.
- This formulation applies to any $SU(N)$ gauge group in any spacetime dimension.
- Reproduced the IR phases of 2d QCD using finite-dimensional local Hilbert space, as well as signal of the free fermion fixed point.

THANKS FOR ATTENTION!