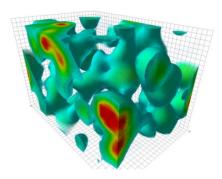
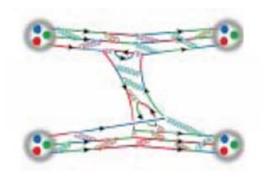
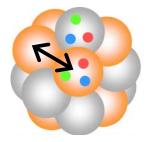
# Lectures on Lattice QCD study of Hadron interactions (I)

## **Takumi Doi** (RIKEN iTHEMS)





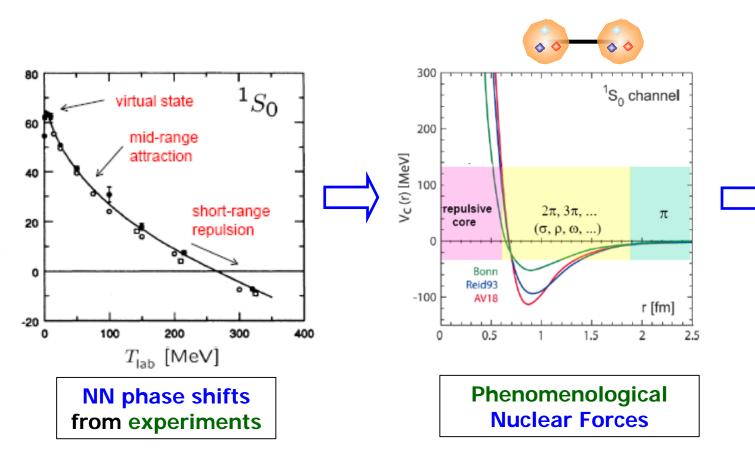


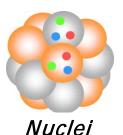
Lectures at HHIQCD2024 workshop @ YITP

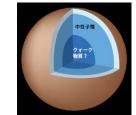
# Why Hadron interactions?

One of the most important quantities which bridge particle physics and nuclear physics / astrophysics

### Nuclear Forces: Foundation of nuclear physics







Neutron Stars



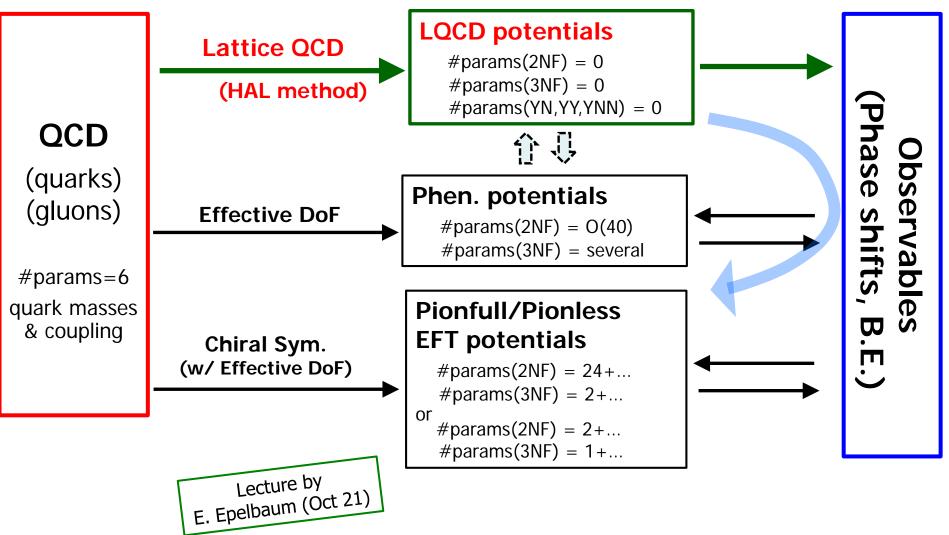
Super Novae

Various applications

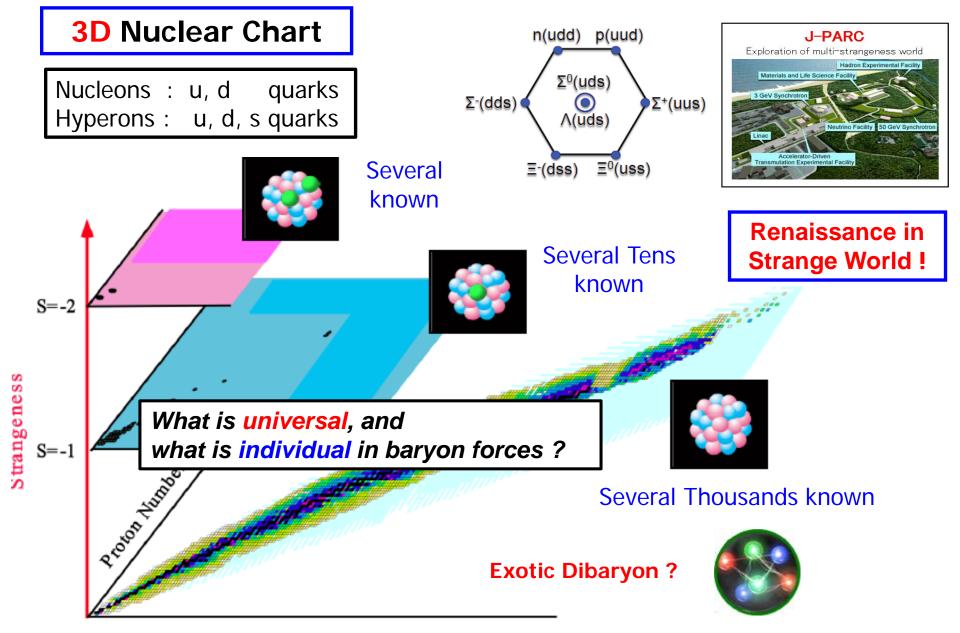
- <u>Nuclear Forces</u> play crucial roles
  - Yet, no clear connection to QCD so far

Phen. NN potentials: #params =  $30 \sim 40$  $\leftarrow \rightarrow$  QCD: #inputs = 6 : quark masses (m<sub>u</sub>, m<sub>d</sub>, m<sub>s</sub>, m<sub>c</sub>, m<sub>b</sub>) & coupling  $\alpha_s$ 

# Nuclear Forces from QCD

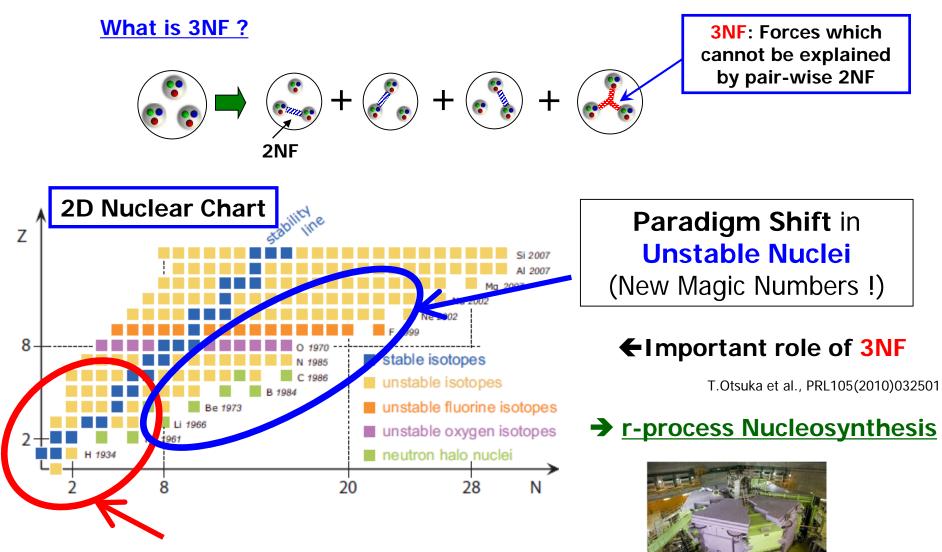


## Nuclear Forces → Baryon Forces (incl. Hyperons)



Neutron Number

#### Nuclear Forces → Thee-Nucleon Forces (3NF)

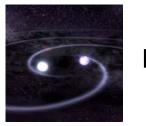


Precise ab initio calculations / experiments  $\rightarrow$  3NF is indispensable

**RIBF/FRIB** 

## Dense Matter ← Interactions of YN, YY, + NNN, YNN,... are crucial

Neutron Stars, Supernovae
 ←→ EoS of dense matter



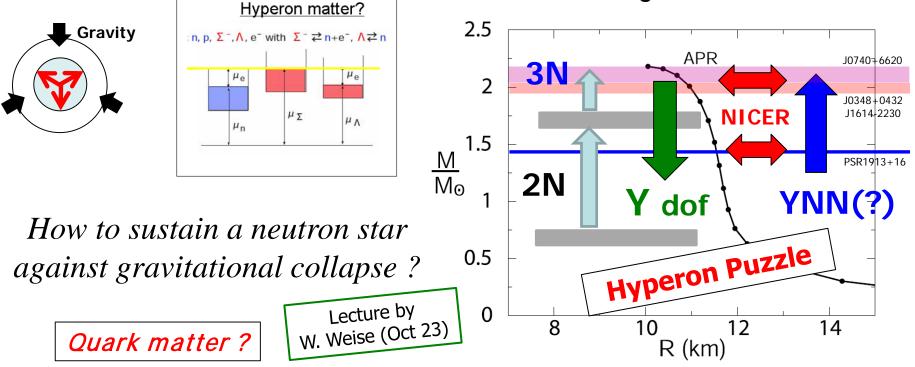
GW



**NS-NS merger** 

LIGO/Virgo/KAGRA

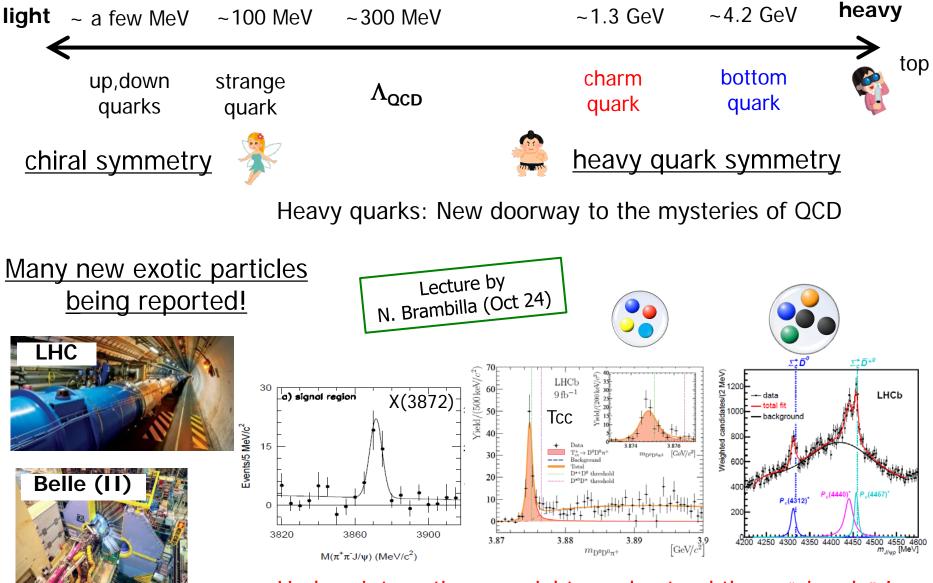
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G. Baym et al., Rep.Prog.Phys 81(2018)056902 Fukushima, Fujimoto, Kojo, ...

Akmal et al.('98), Nishizaki et al.('02), Takatsuka et al.('08)

#### Nuclear/Hyperon Forces -> Charmed/Bottomed Forces



Hadron interactions crucial to understand these "signals" !

# QCD (DoF=quarks/gluons)

• Formula of QCD: very simple & beautiful

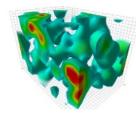
$$\mathcal{L} = -\frac{1}{4} G^a_{\mu\nu} G^{\mu\nu}_a + \bar{q} \left[ \gamma^\mu (i\partial_\mu - gA_\mu) - m \right] q$$
$$G^a_{\mu\nu} = \partial_\mu A^a_\nu - \partial_\nu A^a_\mu + gf_{abc} A^b_\mu A^c_\nu$$

- Only 6 parameters

quark masses (m<sub>u</sub>, m<sub>d</sub>, m<sub>s</sub>, m<sub>c</sub>, m<sub>b</sub>, (m<sub>t</sub>)) coupling constant  $\alpha_s = g^2/4\pi$ 

| $\boxed{\text{mass } (\overline{MS}, \mu = 2 \text{GeV or } m_q)}$ | $m_u$      | $m_d$      | $m_s$     | $m_c$   | $m_b$   |
|--|------------|------------|-----------|---------|---------|
| [MeV]  | 2.16(0.07) | 4.70(0.07) | 93.5(0.8) | 1273(5) | 4183(7) |

- Solving QCD: very challenging
  - Coupling is "strong" at low energy
  - Nonperturbative effects
  - Quantum effects w/ infinite # of DoF



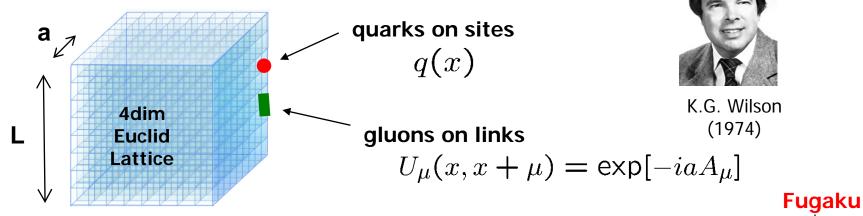
QCD vacuum

 $\alpha_s(M_Z^2) = 0.1180(9)$ 

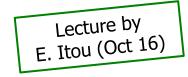
(PDG2024)

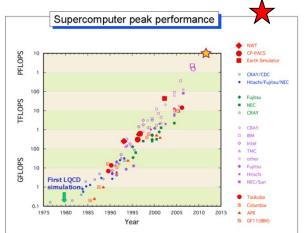
# Lattice QCD First-principle calculation of QCD

$$Z = \int dU dq d\bar{q} \ e^{-S_E}$$



- Regularized system (finite a and L)
- Gauge-invariance manifest
- Fully-Nonperturbative
- DoF ~  $10^{9-10}$   $\rightarrow$  Monte-Carlo w/ Euclid time
  - Numerical calc by supercomputers





# Status of Lattice QCD

Mass & structure of single hadrons well reproduced !



0.05

0.10

model average

1.35

1.30

1.25

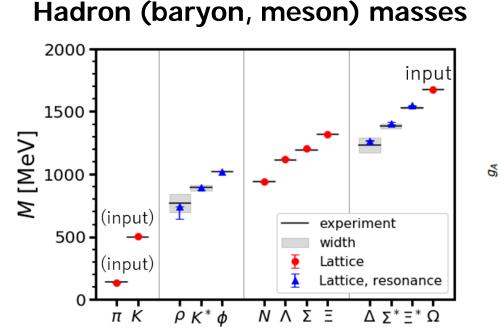
1.20

1.15

1.10

0.00

Next challenge: Interactions between 11 2 (& 3, ...) hadrons



HAL QCD Coll., PRD in press, arXiv:2406.16665

LOCD PACS-CS Coll., PRD81(2010)074503 BMW Coll., JHEP1108(2011)148

LOCD + LOEDBMW Coll., Science 347(2015)1452



 $g_A(\epsilon_{\pi}, a \simeq 0.15 \text{ fm})$ 

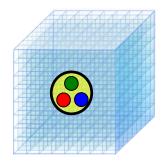
 $g_A(\epsilon_{\pi}, a \simeq 0.12 \text{ fm})$ 

 $g_A(\epsilon_{\pi}, a \simeq 0.09 \text{ fm})$ 

0.15

 $\epsilon_{\pi} = m_{\pi}/(4\pi F_{\pi})$ 

0.20



 $g_{A}^{LQCD}(\epsilon_{\pi}, a=0)$ 

 $q_{A}^{PDG} = 1.2723(23)$ 

 $a \simeq 0.15$  fm

 $a \simeq 0.12$  fm

 $a \simeq 0.09$  fm

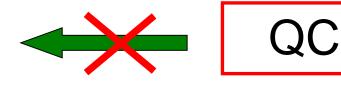
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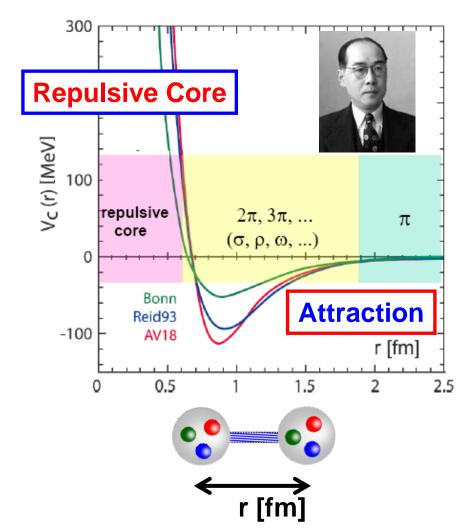
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### Traditional Nuclear Physics (1935(Yukawa) ~ 20th C.)

#### Based on

Phenomenological Two-Nuclear Forces (2NF)



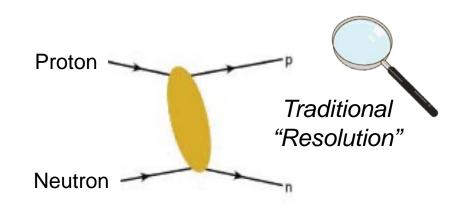


#### Y. Nambu:

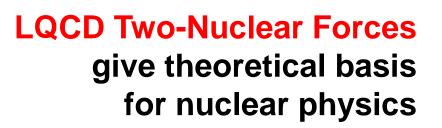


"Even now, it is impossible to completely describe nuclear forces beginning with a fundamental equation. ..., a practically impossible task."

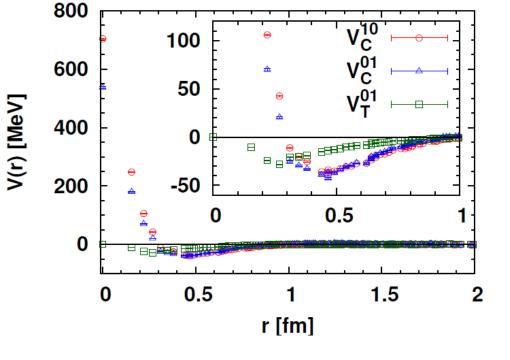
(Quarks: Frontiers in Elementary Particle Physics" (World. Sci. (1985))

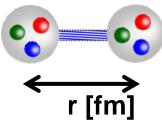


#### Nuclear Physics in the New Era (21th C. ~)







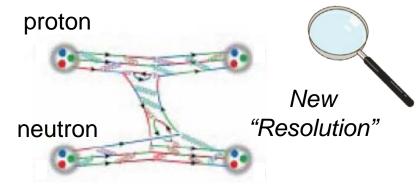


Novel theoretical framework

Massive numerical simulations

# First-principles LQCD calc for 2NF becomes possible !

(Ishii-Aoki-Hatsuda, '07)



#### Outline

#### Introduction

- Brief review of scattering theory
- Scattering on the lattice
  - Luscher's finite volume method
  - HAL QCD method
- S/N problem
- More on HAL QCD method
- Reliability issue and NN controversy
- Summary

Consider the two particle scattering by potential

$$\left[-\frac{1}{2\mu}\nabla^2 + V(\vec{r})\right]\psi(\vec{r}) = 0$$

- Incoming state:  $\psi(\vec{r}) = e^{ikz} = e^{i\vec{k}_i \cdot \vec{r}} = e^{ikr\cos\theta}$  For simplicity, we consider the central potential,  $V(\vec{r}) = V(r)$ 

– Outgoing state:

$$\psi(\vec{r}) = e^{ikz} + f(\Omega)\frac{e^{ikr}}{r}$$

Cross section

 $k_f$ 

- Green function  $(\nabla^2 + k^2)G(\vec{r}) = \delta(\vec{r}) \qquad \qquad G(\vec{r}) = -\frac{1}{4\pi}\frac{e^{\pm ikr}}{r}$ 
  - Solution of Schrodinger eq.

$$\psi(\vec{r}) = \psi_0(\vec{r}) + \int d^3 \vec{r}' G(\vec{r} - \vec{r}') U(\vec{r}') \psi(\vec{r}') \qquad U(\vec{r}) \equiv 2\mu V(\vec{r})$$

Born approximation 
$$f(\Omega) = -\frac{\mu}{2\pi} \int d^3 \vec{r} e^{-i(\vec{k}_f - \vec{k}_i) \cdot \vec{r}} V(\vec{r})$$

 $l(\vec{z}) = D(\vec{z})V(\vec{0}) = l^2 - 2uE$ 

• Partial wave decomposition

- General solution is given by Bessel and Neumann func

$$R_{l} = \alpha_{l}(k)j_{l}(kr) + \beta_{l}(k)n_{l}(kr) \qquad \begin{array}{c} j_{l}(z) \propto z^{l} & j_{l}(z) \propto \sin(z - l\pi/2)/z \\ n_{l}(z) \propto z^{-(l+1)} & n_{l}(z) \propto \cos(z - l\pi/2)/z \\ (z \to 0) & (z \to \infty) \end{array}$$

• Asymptotic behavior and phase shift

$$R_{l} = \alpha_{l}(k)j_{l}(kr) + \beta_{l}(k)n_{l}(kr)$$

$$\simeq \alpha_{l}(k)\frac{\sin(kr - l\pi/2)}{kr} - \beta_{l}(k)\frac{\cos(kr - l\pi/2)}{kr}$$

$$= \sqrt{\alpha_{l}(k)^{2} + \beta_{l}(k)^{2}}\frac{\sin(kr - l\pi/2}{kr} \underbrace{\delta_{l}(k))}_{kr}$$
tan  $\delta_{l}(k) = -\frac{\beta_{l}(k)}{\alpha_{l}(k)}$ 
hatrix

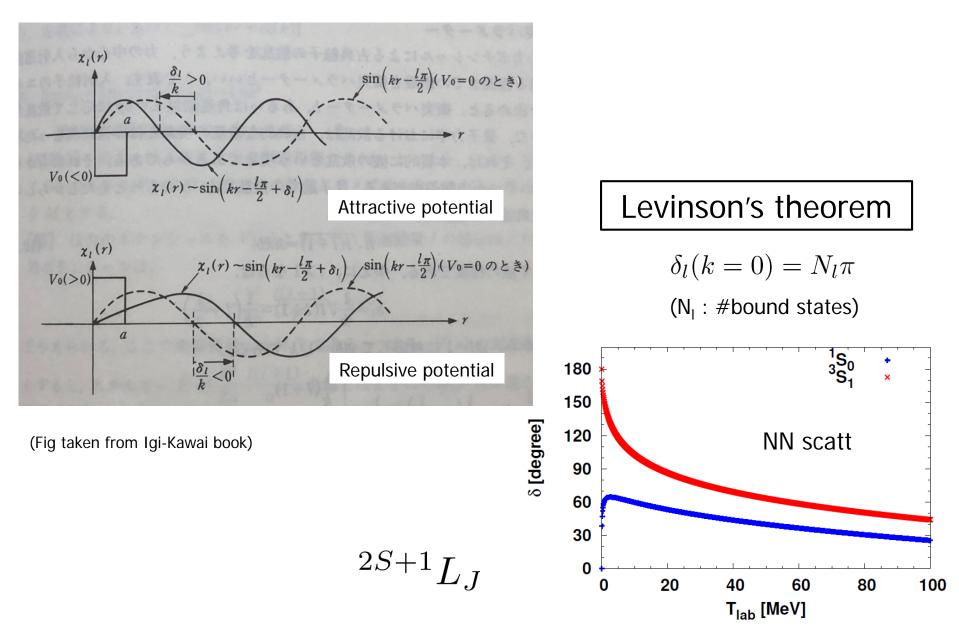
• S-matrix

$$e^{ikz} = \frac{1}{2ikr} \sum_{l} i^{l} (2l+1) \left( e^{i(kr-l\pi/2)} - e^{-i(kr-l\pi/2)} \right) P_{l}(\cos\theta)$$
  

$$\psi(\vec{r}) = e^{ikz} + f(\Omega) \frac{e^{ikr}}{r}$$
  

$$= \frac{1}{2ikr} \sum_{l} i^{l} (2l+1) \left[ \left( e^{2i\delta_{l}(k)} e^{i(kr-l\pi/2)} - e^{-i(kr-l\pi/2)} \right) P_{l}(\cos\theta) \right]$$
  

$$f(\Omega) = \sum_{l} (2l+1) \frac{e^{2i\delta_{l}(k)} - 1}{2ik} P_{l}(\cos\theta)$$
 17



Cross section

$$\sigma = \frac{4\pi}{k^2} \sum_{l} (2l+1) \sin^2 \delta_l(k) \qquad f(\Omega) = \sum_{l} (2l+1) \frac{e^{2i\delta_l(k)} - 1}{2ik} P_l(\cos \theta)$$

Low-energy expansion

$$\delta_l(k) \propto k^{2l+1} \quad (k \to 0)$$

- S-wave is dominant

$$k \cot \delta_0 = +\frac{1}{a} + \frac{1}{2}r_0k^2 + \cdots$$

$$\sigma_{l=0} = 4\pi a^2 \quad (k \to 0)$$

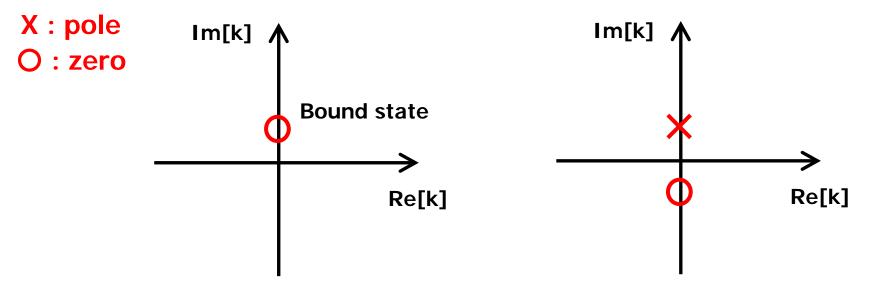
$$\sigma_{l=0} = 4\pi a^2 \quad (k \to 0)$$

$$\phi_0(r) \propto r \cdot \frac{\sin(kr + \delta_0(k))}{kr} \simeq r + a$$

Analytical structure

$$\phi_l(k,r) \propto \begin{bmatrix} F_l(k)\hat{h}_l^-(kr) - F_l(-k)\hat{h}_l^+(kr) \end{bmatrix} \qquad (r \to \infty)$$
 in-coming out-going



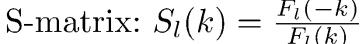


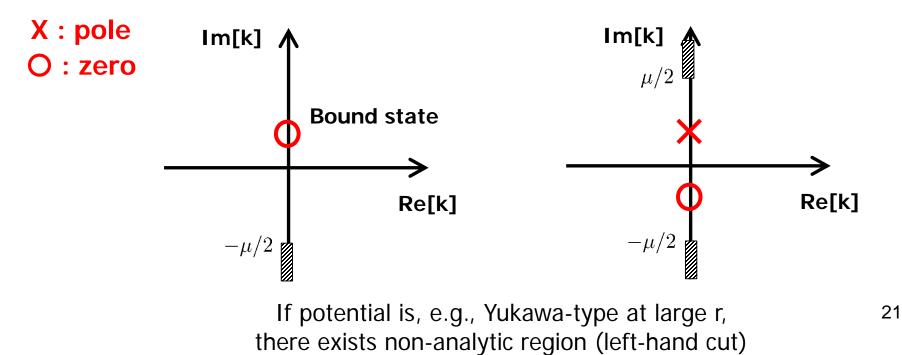
If potential has IR-cut (V(r)=0 for r>R), F(k), S(k) are analytic for all k-plane  $^{20}$ 

Analytical structure

$$\phi_l(k,r) \propto \begin{bmatrix} F_l(k)\hat{h}_l^-(kr) - F_l(-k)\hat{h}_l^+(kr) \end{bmatrix} \qquad (r \to \infty)$$
  
in-coming out-going







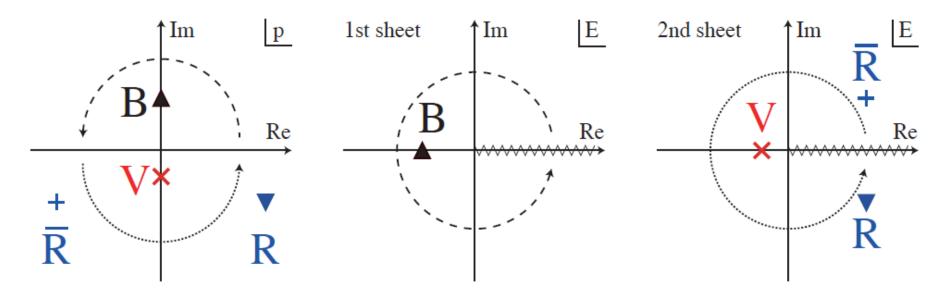


Fig. from Hyodo (原子核研究)

Poles in S-matrix for Bound state (B), Virtual state (V), Resonance (R)

# Phase shift in QFT

• Unitarity and the form of T-matrix

$$S^{\dagger}S = SS^{\dagger} = 1$$

$$S = 1 + iT,$$

$$\langle f|T|i\rangle - \langle f|T^{\dagger}|i\rangle = i\sum_{n} \langle f|T^{\dagger}|n\rangle \langle n|T|i\rangle.$$

$$\langle p_{a}, p_{b}|T|k_{a}, k_{b}\rangle = (2\pi)^{4}\delta^{4}(p_{a} + p_{b} - k_{a} - k_{b})T(p_{a}, p_{b}; k_{a}, k_{b}),$$
Elastic scattering in center of mass
$$p = p_{a} = -p_{b}$$

$$k = k_{a} = -k_{b}$$

$$|p| = |k|$$

Insert complete basis

 $\rightarrow$ 

$$T(p, k) - T^{\dagger}(k, p) = i \int \frac{d^{3}q}{(2\pi)^{2}(2E_{q})^{2}} \delta(2E_{p} - 2E_{q})T^{\dagger}(q, p)T(q, k)$$
  
$$= i \frac{|p|}{32\pi^{2}E_{p}} \int d\Omega_{q}T^{\dagger}(q, p)T(q, k),$$
  
$$|q| = |p|$$
<sup>23</sup>

# Phase shift in QFT

Using partial wave decomposition,

$$T(\boldsymbol{p}, \boldsymbol{k}) = 4\pi \sum_{l=0}^{\infty} \sum_{m=-l}^{l} T_l(\boldsymbol{k}) Y_{lm}(\Omega_{\boldsymbol{p}}) Y_{lm}^*(\Omega_{\boldsymbol{k}}), \qquad (\boldsymbol{p} \equiv |\boldsymbol{p}| = \boldsymbol{k} \equiv |\boldsymbol{k}|)$$

we obtain 
$$T_l(p) - T_l^*(p) = i \frac{p}{8\pi E_p} |T_l(p)|^2$$
,

 $\rightarrow$  T-matrix can be parametrized as

$$T_{l}(p) = \frac{16\pi E_{p}}{p} e^{i\delta_{l}(p)} \sin \delta_{l}(p), \qquad \delta_{l}(p) \in \mathbb{R}$$

$$\frac{\text{Relation with cross section}}{\left(\frac{d\sigma}{d\Omega}\right)_{\text{CM}}} = \frac{1}{2E_{k_{a}}2E_{k_{b}}|v_{a} - v_{b}|} \frac{|p|}{16\pi^{2}E_{cm}} |T(p;k)|^{2}$$

$$= \frac{|p|}{|k|} \cdot \frac{1}{64\pi^{2}E_{cm}^{2}} |T(p;k)|^{2}$$

$$\left(\frac{d\sigma}{d\Omega}\right)_{\text{CM}} = |f(\Omega)|^{2}$$

$$f(\Omega) \equiv \sum_{l} (2l+1) \frac{e^{i\delta_{l}(p)}}{p} \sin \delta_{l}(p) P_{l}(\cos \theta) \qquad \Box > \delta_{l}(p) : \text{ phase shift}$$

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# Maiani-Testa's No-go theorem

Maiani-Testa, PLB245(1990)245

Consider a correlation function in infinite V limit •

$$\begin{split} G(t_1,t_2) &= \langle \hat{\pi}_{\vec{q}}(t_1) \hat{\pi}_{-\vec{q}}(t_2) J(0) \rangle & (t_1 \gg t_2 \gg 0) \\ &\propto \sum_n \langle \pi, \vec{q} | \hat{\pi}_{-\vec{q}}(0) | n, \text{out} \rangle e^{-(E_n t_2 - E_q (t_2 - t_1))} F_n \\ &\uparrow & F_n = \langle n, \text{out} | J(0) | 0 \rangle \text{ (form factor)} \\ &\text{disconn} \times \delta_{2n} + \text{conn} \times M_{2n}^*(\vec{q}, -\vec{q}; n) / (q^2 - m_{\pi}^2 + i\epsilon) \\ &\downarrow & \vec{q} = \vec{0} & \text{Physical scattering} \\ &\text{In the infinite V in} \\ &\text{Euclidean time, you can} \\ &\text{access only threshold} \\ &\text{(Information of phase} \\ &\text{(complex-ness) is lost)} & \vec{q} \neq \vec{0} & \text{"with a coefficient proportional to an off-shell amplitude,} \end{split}$$

(complex-ness) is lost)

In

with no direct meaning in terms of observable quantities"

# Interactions on the Lattice

- Luscher's finite volume method
  - Phase shift & B.E. from temporal correlation in finite V

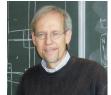
M.Luscher, CMP104(1986)177 CMP105(1986)153 NPB354(1991)531

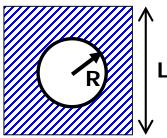
### HAL QCD method

- "Potential" from spacial (& temporal) correlation in finite V
- Phase shift & B.E. by solving Schrodinger eq in infinite V

Ishii-Aoki-Hatsuda, PRL99(2007)022001, PTP123(2010)89 HAL QCD Coll., PTEP2012(2012)01A105 Aoki-Doi, Front.Phys.8(2020)307

- Consider Schrodinger eq at asymptotic region  $(\nabla^2 + k^2)\psi_k(r) = mV_k(r)\psi_k(r)$   $V_k(r) = 0$  for r > R
  - (periodic) Boundary Condition in finite V
     → constraint on energies of the system
  - Energy E and phase shift (at E) are related





Example in two bosons in 1+1 dim QM

$$\left[-\frac{1}{2\mu}\frac{d^2}{dx^2} + V(|x|)\right]\psi(x) = E\psi(x) \equiv \frac{k^2}{2\mu}\psi(x)$$

In the case of free theory

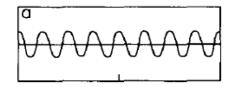
Solution in infinite V = in finite V

$$\psi(x,k) = A_k \cos(k|x|)$$



PBC poses a quantization condition  $\rightarrow$ 

$$kL = 0 \pmod{2\pi}$$



 $\psi(x) = \psi(-x)$ 

Example in two bosons in 1+1 dim QM

$$\left[-\frac{1}{2\mu}\frac{d^2}{dx^2} + V(|x|)\right]\psi(x) = E\psi(x) \equiv \frac{k^2}{2\mu}\psi(x)$$

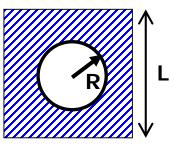
In the case of interacting theory

Consider finite V effect w/ PBC

$$\psi(x) = \psi(-x)$$

 $V_L(|x|) = \sum V(|x+nL|)$ 





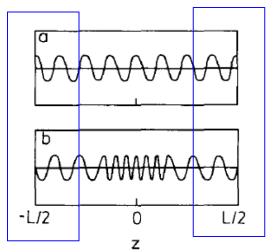
and obtain solution in infinite/finite V at asymptotic region (where potential=0)

Solution at asymptopic region  $\psi(x,k) = A_k \cos(k|x| + \delta(k))$ 

PBC poses a quantization condition  $\rightarrow$ 

$$kL + 2\delta(k) = 0 \pmod{2\pi}$$
$$e^{2i\delta(k)} = e^{-ikL}$$

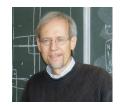
matching  $\psi_{L=\infty}$  and  $\psi_L$  at asymptotic region



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- Example in two bosons in 3+1 dim QM (S-wave)

 $(\nabla^2 + k^2)\psi_k(r) = mV_k(r)\psi_k(r)$  $V_k(r) = 0 \text{ for } r > R$ 



Solution in infinite V

generally complicated, but solution at asymptotic region (r > R) is simple

$$\psi_{\infty}^{k}(r) = A_{k} \sin(kr + \delta(k))/(kr)$$
$$= \cos \delta(k) \cdot j_{0}(kr) + \sin \delta(k) \cdot n_{0}(kr)$$

#### - Example in two bosons in 3+1 dim QM (S-wave)

 $(\nabla^2 + k^2)\psi_k(r) = mV_k(r)\psi_k(r)$  $V_k(r) = 0 \text{ for } r > R$ 

Solution in finite V

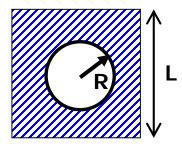
PBC: 
$$\psi(\vec{r} + \vec{n}L) = \psi(\vec{r})$$

Assume that there exists asymptotic region within a finite box : R < L/2

Consider a solution at asymptotic region R < r < L/2

$$\begin{split} \psi_L^k(r) &= \frac{1}{L^3} \sum_{\vec{n} \in \mathbb{Z}^3} \frac{e^{i\vec{p}_n \cdot \vec{x}}}{\vec{p}_n^2 - k^2}, \quad \vec{p}_n = 2\pi/L \cdot \vec{n} \\ &= g_{00}(k) \frac{1}{\sqrt{4\pi}} j_0(kr) + \frac{k}{4\pi} n_0(kr) + \cdots (j_{l \ge 1}(kr)) \\ g_{00}(k) &= \frac{\sqrt{4\pi}}{L^3} \sum_{\vec{n} \in \mathbb{Z}^3} \frac{1}{\vec{p}_n^2 - k^2} \end{split}$$





- Example in two bosons in 3+1 dim QM (S-wave)

 $(\nabla^2 + k^2)\psi_k(r) = mV_k(r)\psi_k(r)$  $V_k(r) = 0 \text{ for } r > R$ 

matching  $\psi_{L=\infty}$  and  $\psi_L$  at asymptotic region

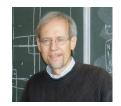
 $\psi_{\infty}^{k}(r) = \cos \delta(k) \cdot j_{0}(kr) + \sin \delta(k) \cdot n_{0}(kr)$ 

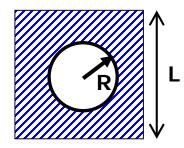
$$\psi_L^k(r) = g_{00}(k) \frac{1}{\sqrt{4\pi}} j_0(kr) + \frac{k}{4\pi} n_0(kr) + \dots (j_{l\geq 1}(kr))$$

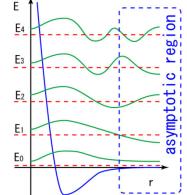
Luscher's formula

$$k \cot \delta(\mathbf{k}) = \frac{2}{\sqrt{\pi}L} Z_{00}(1; q^2), \quad q = \frac{kL}{2\pi} \qquad E =$$

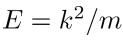
Luscher's zeta function







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 $Z_{00}(s;q^2) = \frac{1}{\sqrt{4\pi}} \sum_{\boldsymbol{n} \in \mathbb{Z}^3} \frac{1}{(\boldsymbol{n}^2 - q^2)^s}$ 

- Example in two bosons in 3+1 dim QM (S-wave)

 $(\nabla^2 + k^2)\psi_k(r) = mV_k(r)\psi_k(r)$  $V_k(r) = 0 \text{ for } r > R$ 

A simpler formula suitable for intuitive understanding

Large V expansion

$$\Delta E = E - 2m = -\frac{4\pi \mathbf{a}}{mL^3} \left[ 1 + c_1 \frac{a}{L} + c_2 \left(\frac{a}{L}\right)^2 + \mathcal{O}(\frac{1}{L^3}) \right]$$

a: scattering length

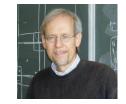
$$c_1 = Z_{00}(1;0)/\pi = -2.837$$

 $c_1, c_2$ : geometric constants

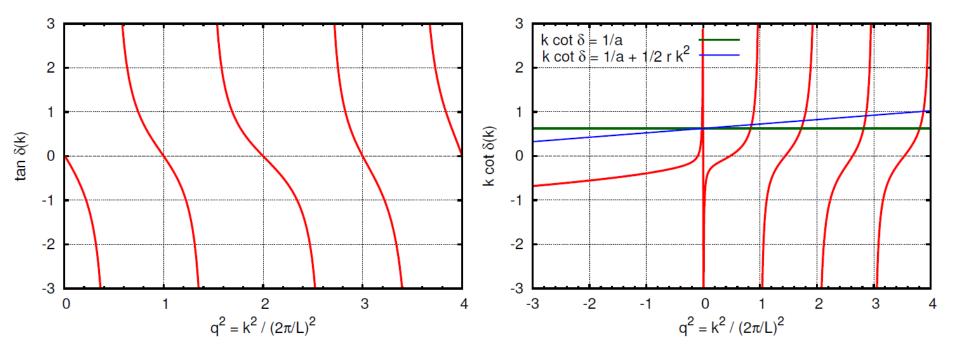
 $c_2 = [Z_{00}(1;0)^2 - Z_{00}(2;0)]/\pi^2 = 6.375$ 

As intuitive derivation,

one can obtain LO formula from Born approximation



#### Interactions from Luscher's formula

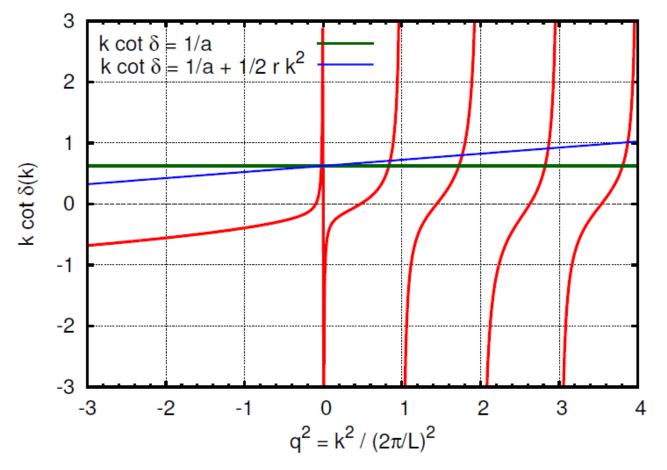


Luscher's formula (red lines) gives quantization condition (kinematical constraint) on finite V

(high-end version of k=(2pi/L)n in free theory)

Quantization condition itself does not have any information on dynamics (fixed L)

#### Interactions from Luscher's formula

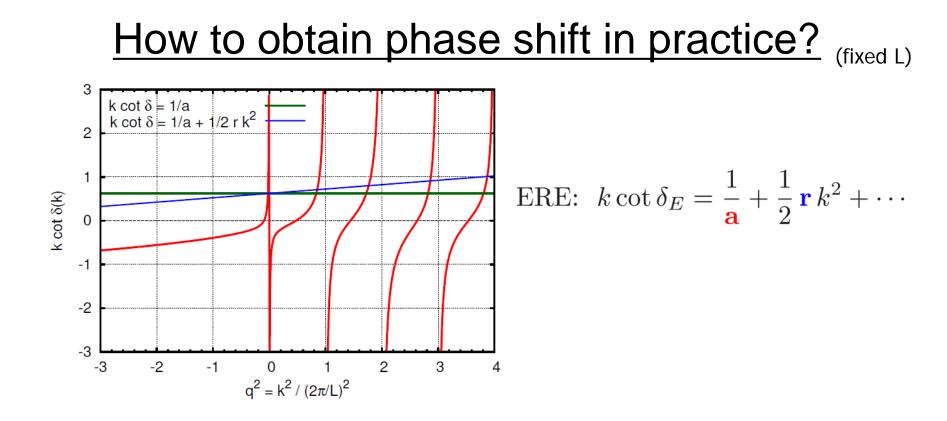


Intersections of

- (1) Luscher's quantization condition
- (2) Interaction (e.g., shown by ERE) are realized on a lattice

ERE: 
$$k \cot \delta_E = \frac{1}{\mathbf{a}} + \frac{1}{2} \mathbf{r} k^2 + \cdots$$

(fixed L)

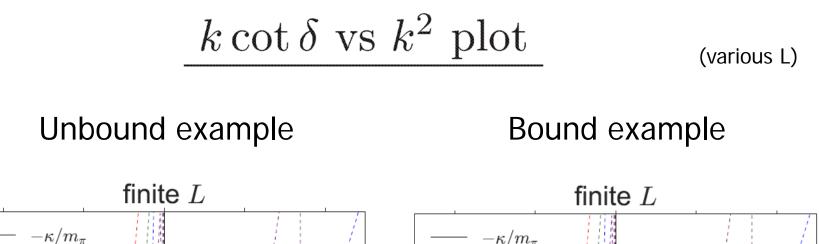


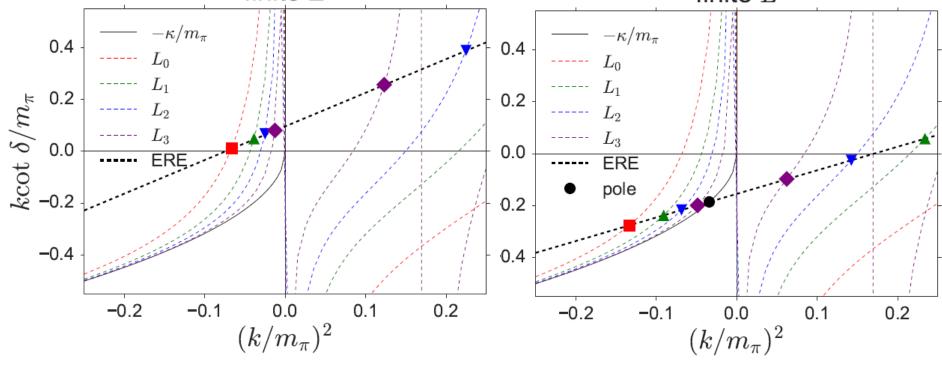
Calculate the energy spectrum of 2-hadron on finite V lattice
 Temporal correlation in Euclidean time 

 energy

 $G(t) = \langle 0 | \mathcal{O}(t) \overline{\mathcal{O}}(0) | 0 | \rangle = \sum_{n} A_{n} e^{-E_{n}t} \to A_{0} e^{-E_{0}t} \quad (t \to \infty)$ 

• Convert the energy shift to phase shift by Luscher's formula  $E \rightarrow \Delta E = E - 2m$  (effect of int.)  $\rightarrow k$  (asymp. mom.)  $\rightarrow \delta_E$ 





$$k \cot \delta(k) = \frac{1}{a} + \frac{1}{2}rk^2 + \cdots$$

Unbound : 1/a > 0Bound : 1/a < 0

## (non-rela) QM $\rightarrow$ QFT

- Essentially the same formula can be used
- QM wave func.  $\rightarrow$  Nambu-Bethe-Salpeter (NBS) wave func.
  - Interaction kernel (or so-called "potential") can be defined (see later)
  - The interaction does not become exactly zero at large r
    - Systematic error of  $\sim \exp[-m_h(L/2)]$
    - N.B. To use Luscher's method, one has to check whether the volume is sufficiently large compared to the interaction range
- [Energy vs. asymptotic momentum] becomes relativistic

$$\Delta E = E - 2m: \quad k^2/m \quad \rightarrow \quad 2\sqrt{m^2 + k^2} - 2m$$

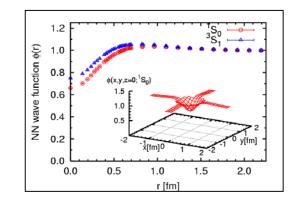
## Outline

### – Introduction

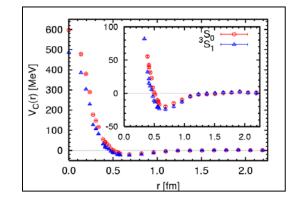
- Brief review of scattering theory
- Scattering on the lattice
  - Luscher's finite volume method
  - HAL QCD method
- S/N problem
- More on HAL QCD method
- Reliability issue and NN controversy
- Summary

# How to define/calc Hadron interactions? <u>HAL QCD method</u>

#### **NBS** wave func.



#### **Lat Hadron Force**



$$\psi_{\text{NBS}}(\vec{r}) = \langle 0|H_1(\vec{r})H_2(\vec{0})|H_1(\vec{k})H_2(-\vec{k}),in\rangle$$
  

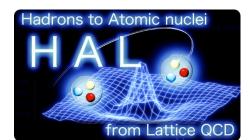
$$\simeq A_k \sin(kr - l\pi/2 + \frac{\delta_l(k)}{k})/(kr)$$

(at asymptotic region)

 $(k^2/m_N - H_0) \psi_{\text{NBS}}(\vec{r})$   $= \int d\vec{r}' U(\vec{r}, \vec{r}') \psi_{\text{NBS}}(\vec{r}')$ (Schrodinger eq.)

#### Potential Faithful to phase shifts

Ishii-Aoki-Hatsuda PRL99(2007)022001



Lattice QCD

## NBS wave func and its asymptotic behavior

Nambu-Bethe-Salpeter (NBS) wave func.

Y. Nambu, PTP5(1950)614 Hayashi-Munakata, 素粒子論研究3(1951)89, PTP7(1952)451 Salpeter-Bethe, Phys.Rev.84(1951)1232 (See also Salpeter, 0811.1050 for some history)

$$\psi(\mathbf{r}, t_a, t_b) = \langle 0 | \mathrm{T} \left[ \pi_a(\mathbf{r} + \mathbf{x}, t_a) \pi_b(\mathbf{x}, t_b) \right] | \mathbf{k}_a, a, \mathbf{k}_b, b; \mathrm{in} \rangle.$$

(Example for two distinguishable spinless boson system)

Consider equal time NBS w.f. in the center of mass

Insert a complete set

$$1 = \sum_{c} \int \frac{d^{3} \boldsymbol{p}}{(2\pi)^{3} 2E_{\boldsymbol{p}}} |\boldsymbol{p}, c; \text{out}\rangle \langle \boldsymbol{p}, c; \text{out}| + \sum_{X} \frac{1}{2E_{X}} |X; \text{out}\rangle \langle X; \text{out}|,$$

Inelastic states: neglected for simplicity

$$\psi(\mathbf{r},t) = \sqrt{Z_{\pi}} \int \frac{d^3 \mathbf{p}}{(2\pi)^3 2E_{\mathbf{p}}} e^{-iE_{\mathbf{p}}t + i\mathbf{p}\cdot(\mathbf{r}+\mathbf{x})} \langle \underline{\mathbf{p}}, a; \operatorname{out} | \pi_b(\mathbf{x},t) | \mathbf{k}_a, a, \mathbf{k}_b, b; \operatorname{in} \rangle.$$

By LSZ reduction formula ... 42

## NBS wave func and its asymptotic behavior

By LSZ reduction formula ...

 $\langle \boldsymbol{p}, a; \mathrm{out} | \pi_b(\boldsymbol{x}, t) | \boldsymbol{k}_a, a, \boldsymbol{k}_b, b; \mathrm{in} \rangle$ 

$$= \sqrt{Z_{\pi}} (2\pi)^{3} 2E_{p} \delta^{3}(p-k) e^{-ik_{b}x} + \sqrt{Z_{\pi}} \int \frac{d^{4}q}{(2\pi)^{4}} e^{-iqx} i(-p^{2}+m^{2}) \cdot i(-k_{a}^{2}+m^{2}) \cdot i(-k_{b}^{2}+m^{2}) \langle 0| \mathrm{T} \left[\pi_{a}(p)\pi_{b}(q)\pi_{a}^{\dagger}(k_{a})\pi_{b}^{\dagger}(k_{b})\right] |0\rangle_{\mathrm{comm}}$$

$$= \sqrt{Z_{\pi}}(2\pi)^{3}2E_{p}\delta^{3}(p-k)e^{-ik_{b}x} + \sqrt{Z_{\pi}}\frac{e^{-iqx}}{m^{2}-q^{2}-i\epsilon}T(p,q;k_{a},k_{b})\Big|_{q=k_{a}+k_{b}-p}$$
(disconnected) (connected)  
half on-shell T-matrix (p, k<sub>a</sub>, k<sub>b</sub>: on-shell, q: off-shell)

$$\psi(\mathbf{r},t) = Z_{\pi}e^{-2iE_{\mathbf{k}}t}e^{i\mathbf{k}\cdot\mathbf{r}} + Z_{\pi}e^{-2iE_{\mathbf{k}}t}\int \frac{d^{3}p}{(2\pi)^{3}}\frac{1}{p^{2}-\mathbf{k}^{2}-i\epsilon}H(\mathbf{p},\mathbf{k})e^{i\mathbf{p}\cdot\mathbf{r}}$$

$$\equiv \psi_{k}(\mathbf{r})\cdot Z_{\pi}e^{-2iE_{\mathbf{k}}t}$$

$$E_{\mathbf{p}}+E_{\mathbf{k}}$$

(integral dominated by on-shell contribution)

$$H(p,k) \equiv \frac{E_{p} + E_{k}}{8E_{p}E_{k}}T(p,k).$$
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## NBS wave func and its asymptotic behavior

Using partial wave decomposition,

$$H(p,k) = 4\pi \sum_{l=0}^{\infty} \sum_{m=-l}^{l} H_l(p,k) Y_{lm}(\Omega_p) Y_{lm}^*(\Omega_k) \quad \text{etc.}$$

We obtain

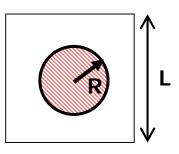
Information of phase shift is encoded in asymptotic region with the same functional form as QM

# "Potential" as a representation of S-matrix

Consider the wave function at "interacting region"

 $(\nabla^2 + k^2)\psi(\mathbf{r}) = m \int d\mathbf{r'} U(\mathbf{r}, \mathbf{r'})\psi(\mathbf{r'}), \quad \mathbf{r} < R$ 

Probe interactions in "direct" way



- U(r,r'): faithful to the phase shift by construction
  - U(r,r'): NOT an observable, but well defined
    - Potential is NOT unique, but different potentials are phase-shift equivalent potentials
    - Choosing the pot.  $\leftarrow \rightarrow$  choosing the "scheme" (sink op.)
  - U(r,r'): E-independent, while non-local in general

### Proof of Existence of E-independent potential

 $V_W(r)\psi_W(r) = (E_W - H_0)\psi_W(r)$  [START] <u>local</u> but <u>E-dep</u> pot. (L<sup>3</sup>xL<sup>3</sup> dof) -

• We consider the linear-indep wave functions and define

$$\mathcal{N}_{W_1W_2} = \int dm{r} \overline{\psi_{W_1}(m{r})} \psi_{W_2}(m{r})$$

• We define the non-local potential

$$U(\mathbf{r},\mathbf{r}') = \sum_{W_1,W_2}^{W_{\rm th}} (E_{W_1} - H_0) \psi_{W_1}(\mathbf{r}) \mathcal{N}_{W_1W_2}^{-1} \overline{\psi_{W_2}(\mathbf{r}')}$$

• The above potential trivially satisfy Schrodinger eq.

[GOAL] non-local but E-indep pot. (L<sup>3</sup>xL<sup>3</sup> dof)

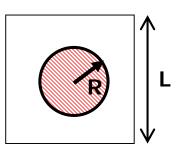
c.f. Krolikowski-Rzewuski, Nuovo Cimento, 4, 1212 (1956)

# "Potential" as a representation of S-matrix

Consider the wave function at "interacting region"

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Okubo-Marshak(1958)

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  - U(r,r'): E-independent, while non-local in general
    - Non-locality 
       → derivative expansion

$$U(\vec{r}, \vec{r'}) = V_c(r) + S_{12}V_T(r) + \vec{L} \cdot \vec{S}V_{LS}(r) + \mathcal{O}(\nabla^2)$$
  
LO LO NLO NNLO 47

# Most general form of the NN potential

 $V(\vec{r}_1, \vec{r}_2, \vec{\nabla}_1, \vec{\nabla}_2; \vec{\sigma}_1, \vec{\sigma}_2)$  Okubo-Marshak(1958)

- Imposed condition
  - Hermiticity
  - Energy/Momentum conservation
  - Galilei invariance
  - Rotational invariance
  - Parity conservation
  - Time reversal
  - Pauli principle
- LO

1 (unit operator),  $(\vec{\sigma}_1 \cdot \vec{\sigma}_2)$ ,  $S_{12} \equiv 3(\vec{\sigma}_1 \cdot \hat{r})(\vec{\sigma}_2 \cdot \hat{r}) - (\vec{\sigma}_1 \cdot \vec{\sigma}_2)$ 

• NLO

 $(\vec{L}\cdot\vec{S})$ 

 $V^{\dagger} = V$   $V(\vec{r}, \vec{\nabla}_{1}, \vec{\nabla}_{2}; \vec{\sigma}_{1}, \vec{\sigma}_{2}), \quad \vec{r} = \vec{r}_{1} - \vec{r}_{2}$   $V(\vec{r}, \vec{\nabla}_{r}; \vec{\sigma}_{1}, \vec{\sigma}_{2})$  V: scalar  $V(\vec{r}, \vec{\nabla}_{r}; \vec{\sigma}_{1}, \vec{\sigma}_{2}) = V(-\vec{r}, -\vec{\nabla}_{r}; \vec{\sigma}_{1}, \vec{\sigma}_{2})$   $V(\vec{r}, \vec{\nabla}_{r}; \vec{\sigma}_{1}, \vec{\sigma}_{2}) = V(\vec{r}, -\vec{\nabla}_{r}; -\vec{\sigma}_{1}, -\vec{\sigma}_{2})$   $V(\vec{r}, \vec{\nabla}_{r}; \vec{\sigma}_{1}, \vec{\sigma}_{2}) = V(-\vec{r}, -\vec{\nabla}_{r}; \vec{\sigma}_{2}, \vec{\sigma}_{1})$ 

Independent DoF in Isospin space:

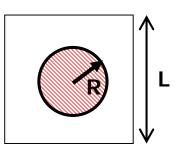
1 (unit op.),  $(\vec{\tau}_1 \cdot \vec{\tau}_2)$ 

# "Potential" as a representation of S-matrix

Consider the wave function at "interacting region"

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Probe interactions in "direct" way



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    - Potential is NOT unique, but different potentials are phase-shift equivalent potentials
    - Choosing the pot.  $\leftarrow \rightarrow$  choosing the "scheme" (sink op.)
  - U(r,r'): E-independent, while non-local in general
- Phase shifts at <u>all E</u> (below inelastic threshold) obtained by solving Scrodinger eq in infinite V

## Elementary particle vs composite particle?

LSZ reduction formula : elementary particle

#### Nishijima-Haag-Zimmermann (NHZ) reduction formula : composite particle

K. Nishijima, Phys.Rev.111(1958)995, 133(1964)B204
R. Haag, Phys.Rev 112(1958)669)
W.Zimmerman, Nuovo Cim X10 (1958) 597
(See also 西島和彦, 日本物理学会誌 47(1992)859 for history)

The same reduction formula can be used as far as "almost-local field" B(x) is used for composite particle

(1) space-time translation like an elementary field

$$B(x+a) = e^{-iPa}B(x)e^{+iPa}$$

(2) B(x) may be expressed as (the limit of) a polynomial in the basic field A(x):

$$B(x) = h^{(0)} + \int h^{(1)}(x-y)A(y)dy + \int h^{(2)}(x-y_1, x-y_2)A(y_1)A(y_2)dy_1dy_2 + \cdots,$$

h(r) : sufficiently smooth and decrease rapidly (stronger than any power for large r)

Example for nucleon op.  $N(x) = \epsilon_{abc}(q_a^T(x)C\gamma_5q_b(x))q_c(x)$  50

# **Coupled Channel**

(beyond inelastic threshold)

Asymptotic behavior of NBS wave func
 Ex.) A + B ←→ C + D

 $\psi_{AB}(r, \mathbf{k}) = 1/\sqrt{Z_A Z_B} \cdot \langle 0 | \phi_A(x+r) \phi_B(x) | W \rangle$  $\psi_{CD}(r, \mathbf{q}) = 1/\sqrt{Z_C Z_D} \cdot \langle 0 | \phi_C(x+r) \phi_D(x) | W \rangle$ 

 $|W\rangle = c_{AB}|AB,W\rangle_{\text{in}} + c_{CD}|CD,W\rangle_{\text{in}}$ 

$$W = \sqrt{m_A^2 + k^2} + \sqrt{m_B^2 + k^2} = \sqrt{m_C^2 + q^2} + \sqrt{m_D^2 + q^2}$$

$$\psi_{AB}^{l}(r,k) = c_{AB} \left[ j_{l}(kr) + \frac{k}{4\pi} H_{l}^{AB,AB}(k,k)(n_{l}(kr) + ij_{l}(kr)) \right] + c_{CD} \left[ \frac{k}{4\pi} H_{l}^{AB,CD}(k,q)(n_{l}(kr) + ij_{l}(kr)) \right]$$
  
$$\psi_{CD}^{l}(r,q) = c_{CD} \left[ j_{l}(qr) + \frac{q}{4\pi} H_{l}^{CD,CD}(q,q)(n_{l}(qr) + ij_{l}(qr)) \right] + c_{AB} \left[ \frac{q}{4\pi} H_{l}^{CD,AB}(q,k)(n_{l}(qr) + ij_{l}(qr)) \right]$$

where

$$H^{AB,AB(CD)}(\mathbf{k};\mathbf{k}(\mathbf{q})) = \frac{1}{2W}T^{AB,AB(CD)}(k_A,k_B;k_A,k_B(q_C,q_D))$$
  
$$H^{CD,AB(CD)}(\mathbf{q};\mathbf{k}(\mathbf{q})) = \frac{1}{2W}T^{CD,AB(CD)}(q_C,q_D;k_A,k_B(q_C,q_D))$$

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S.Aoki et al. (HAL Coll.), Proc. Jpn. Acad. Ser. B87(2011)

# **Coupled Channel**

• T-matrix parametrization by unitarity

$$T_l^{I,J}(W) = \frac{8\pi W}{p_I} \left[ O(W) \left( \begin{array}{c} \frac{e^{i\delta_l^1(W)} \sin \delta_l^1(W)}{0} & 0 \\ 0 & e^{i\delta_l^2(W)} \sin \delta_l^2(W) \end{array} \right) O^{-1}(W) \right]^{I,J}$$
$$O(W) = \left( \begin{array}{c} \cos \theta(W) & -\sin \theta(W) \\ \sin \theta(W) & \cos \theta(W) \end{array} \right) \qquad (p_1 = k, p_2 = q)$$

• Asymptotic behavior  $\bigstar \delta_l^1(W), \delta_l^2(W), \theta(W)$ 

$$\begin{pmatrix} \psi_{AB}(r,k) \\ \psi_{CD}(r,q) \end{pmatrix} \simeq \begin{pmatrix} j_l(kr) & 0 \\ 0 & j_l(qr) \end{pmatrix} \begin{pmatrix} c_{AB} \\ c_{CD} \end{pmatrix}$$

$$+ \begin{pmatrix} n_l(kr) + ij_l(kr) & 0 \\ 0 & n_l(qr) + ij_l(qr) \end{pmatrix} O(W) \begin{pmatrix} e^{i\delta_l^1(W)} \sin \delta_l^1(W) & 0 \\ 0 & e^{i\delta_l^2(W)} \sin \delta_l^2(W) \end{pmatrix} O^{-1}(W) \begin{pmatrix} c_{AB} \\ c_{CD} \end{pmatrix}$$

### Coupled channel potentials can be defined

 $(E_{k_i}^{AB} - H_0^{AB})\psi_{AB}(\boldsymbol{r}, k_i) = \int d\boldsymbol{r}' U_{AB,AB}(\boldsymbol{r}, \boldsymbol{r}')\psi_{AB}(\boldsymbol{r}', k_i) + \int d\boldsymbol{r}' U_{AB,CD}(\boldsymbol{r}, \boldsymbol{r}')\psi_{CD}(\boldsymbol{r}', q_i)$  $(E_{q_i}^{CD} - H_0^{CD})\psi_{CD}(\boldsymbol{r}, q_i) = \int d\boldsymbol{r}' U_{CD,AB}(\boldsymbol{r}, \boldsymbol{r}')\psi_{AB}(\boldsymbol{r}', k_i) + \int d\boldsymbol{r}' U_{CD,CD}(\boldsymbol{r}, \boldsymbol{r}')\psi_{CD}(\boldsymbol{r}', q_i)$ 

# **Coupled Channel**

S.Aoki et al. (HAL Coll.), PRD87(2013)034512

### Proof of Existence of E-indep potential

#### NBS wave func.

$$\begin{split} \psi_{AB,AB}(r) &= 1/\sqrt{Z_A Z_B} \cdot \langle 0 | \phi_A(x+r) \phi_B(x) | AB, W \rangle_{\text{in}} \\ \psi_{AB,CD}(r) &= 1/\sqrt{Z_A Z_B} \cdot \langle 0 | \phi_A(x+r) \phi_B(x) | CD, W \rangle_{\text{in}} \\ \psi_{CD,AB}(r) &= 1/\sqrt{Z_C Z_D} \cdot \langle 0 | \phi_C(x+r) \phi_D(x) | AB, W \rangle_{\text{in}} \\ \psi_{CD,CD}(r) &= 1/\sqrt{Z_C Z_D} \cdot \langle 0 | \phi_C(x+r) \phi_D(x) | CD, W \rangle_{\text{in}} \end{split}$$

#### Vector of NBS

 $\Psi_{XY} = (\psi_{AB,XY}, \psi_{CD,XY})^T, \quad (XY = AB \text{ or } CD) \quad \text{for } W \in \Delta_1$  $\Psi_{XY} = (\psi_{AB,XY}, \psi_{CD,XY})^T, \quad (XY = AB \text{ only}) \text{ for } W \in \Delta_0$ 

Norm

 $W_{th}^2$ 

$$\mathcal{N} = \begin{pmatrix} \mathcal{N}_{AB,AB}(\Delta_{0}, \Delta_{0}) & \mathcal{N}_{AB,AB}(\Delta_{0}, \Delta_{1}) & \mathcal{N}_{AB,CD}(\Delta_{0}, \Delta_{1}) \\ \mathcal{N}_{AB,AB}(\Delta_{1}, \Delta_{0}) & \mathcal{N}_{AB,AB}(\Delta_{1}, \Delta_{1}) & \mathcal{N}_{AB,CD}(\Delta_{1}, \Delta_{1}) \\ \mathcal{N}_{CD,AB}(\Delta_{1}, \Delta_{0}) & \mathcal{N}_{CD,AB}(\Delta_{1}, \Delta_{1}) & \mathcal{N}_{CD,CD}(\Delta_{1}, \Delta_{1}) \end{pmatrix} \quad \mathcal{N}_{XY,X'Y'} = (\Psi_{XY}, \Psi_{X'Y'})$$

E-indep pot.

$$U = \sum (E - H_0) \Psi \mathcal{N}^{-1} \overline{\Psi}$$

- Generalization to A+B  $\leftarrow \rightarrow$  C+D+E, etc. possible
  - 2-body relativistic, otherwise non-rela approx. necessary

# Extension to multi-particle systems (n>=3)

• Unitarity of S-matrix

S.Aoki et al. (HAL Coll.), PRD88(2013)014036 Gongyo-Aoki PTEP2018(2018)093B03

 $T^{\dagger} - T = iT^{\dagger}T$  Hyper-spherical func in D=3(n-1) dim

$$T([\mathbf{q}^{A}]_{n}, [\mathbf{q}^{B}]_{n}) = \sum_{[L], [K]} T_{[L][K]}(Q_{A}, Q_{B})Y_{[L]}(\Omega_{Q_{A}})\overline{Y_{[K]}(\Omega_{Q_{B}})}$$
$$[L] = L, M_{1}, M_{2}, \dots$$

diagonalization

$$T_{[L][K]}(Q,Q) = \sum_{[N]} U_{[L][N]}(Q) T_{[N]}(Q) U_{[N][K]}^{\dagger}(Q)$$

$$(Q = Q_A = Q_B)$$

$$T_{[L]}(Q) = -\frac{2n^{3/2}}{mQ^{3n-5}} e^{i\delta_{[L]}(Q)} \sin \delta_{[L]}(Q)$$

c.f. R.B. Newton (1974) for n = 3

#### Similar formula to 2-body system

(w/ diagonalization matrix U which includes dynamics)

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(non-rela approx.)

## Extension to multi-particle systems (n>=3)

• NBS wave function

S.Aoki et al. (HAL Coll.), PRD88(2013)014036 Gongyo-Aoki PTEP2018(2018)093B03

 $\psi_{\alpha}([x]) =_{\text{in}} \langle 0|\phi([x])|\alpha\rangle_{\text{in}} =_{\text{in}} \langle 0|N(\vec{x}_1)N(\vec{x}_2)\cdots N(\vec{x}_n)|\alpha\rangle_{\text{in}}$ 

Lippmann-Schwinger eq.

$$\psi_{\alpha}([x]) =_{\text{in}} \langle 0|\phi([x])|\alpha\rangle_{0} + \int d\beta \frac{\ln\langle 0|\phi([x])|\beta\rangle_{0}T_{\beta\alpha}}{E_{\alpha} - E_{\beta} + i\epsilon}$$

Expansion w/ hyper-coordinate

$$\psi(\boldsymbol{R}, \boldsymbol{Q}_A) = \sum_{[L], [K]} \psi_{[L][K]}(\boldsymbol{R}, \boldsymbol{Q}_A) Y_{[L]}(\boldsymbol{\Omega}_R) \overline{Y_{[K]}(\boldsymbol{\Omega}_{Q_A})}$$

$$\psi_{[L],[K]}(R,Q_A) \propto \sum_{[N]} U_{[L][N]}(Q_A) e^{i\delta_{[N]}(Q_A)} \frac{\sin(Q_A R - \Delta_L + \delta_{[N]}(Q_A))}{(Q_A R)^{(D-1)/2}} U^{\dagger}_{[N][K]}(Q_A)$$

#### Similar asymptotic behavior to 2-body system

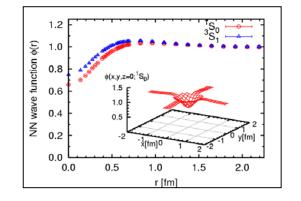
(non-rela approx.)

c.f. Finite V spectrum, n=3 only, relativistic: Hansen, Sharpe, Briceno, ...

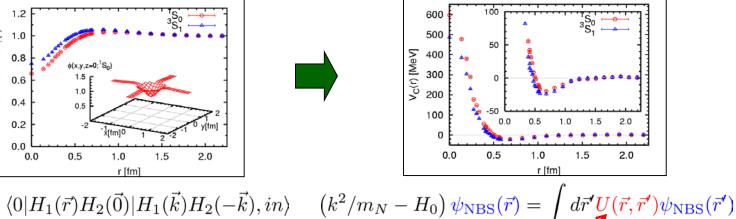
## HAL QCD method

#### **NBS** wave func.

#### **Lat Hadron Force**



 $\psi_{\rm NBS}(\vec{r})$ = $A_k \sin(kr - l\pi/2 + \delta_l(k))/(kr)$  $\simeq$ 



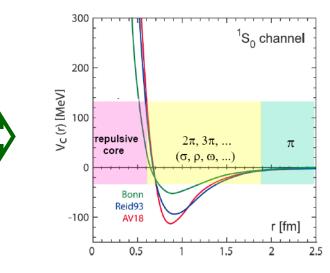
E-indep (& non-local) Potential

Analog to ...

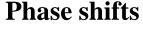
Lattice QCD

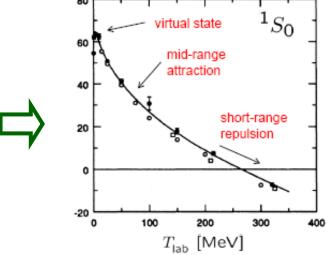


**Phen.** Potential



Scattering Exp.





## Digression: Nishijima's thesis

He wrote his Ph.D. thesis on the subject of field theory of composite particle (not on the strangeness!) 「場の理論に於ける多体問題」(1955, Osaka U.)



(Photo from Wikipedia)

束縛状態の話の方が、一番時間を食われました。ストレンジネスの仕事というのは、わかってし まえば書くのは1日で書けるという種類の仕事です。だから bound state の論文を学位論文に したわけです。

たのですが、当時、大阪市大では学位を出していませんでした。そこで何処か学位を出す大学は ないかと思って探したら、目の前にあったわけです。大阪市大は当時梅田にありました。阪大が 中之島にありまして、walking distance にありましたので、阪大に行って学位が欲しいと言っ た訳です。そしたら、他にチョイスがなかったんですが、内山さんが主査になりました。で、内 山さんが言うには、「俺はこんな理論はすっかりわかってんだけど、他の審査員がわかるかどう かわからないから、他の審査員にわかるように説明したら通してやる。」、実際はこんな表現じゃ なかったんです、もっとひどい表現だったんです(笑)。とにかく審査員が大変勉強して下さいま して、わかっていただけましたので通していただきました。

## Digression: Nishijima's thesis

He wrote his Ph.D. thesis on the subject of field theory of composite particle (not on the strangeness!) 「場の理論に於ける多体問題」(1955, Osaka U.)



(Photo from Wikipedia)

The discussion about bound states took up the most time. Once you understand it, the work involving strangeness is the kind of task that can be written in a day. That's why I made the paper on bound states my dissertation.

At that time, Osaka City Univ. did not confer degrees. So, I started looking for a university that did, and there it was right in front of me. Osaka Univ. was within walking distance, so I went there and said I wanted to get a degree. There were no other choices, and Ryoyu Utiyama san became the chief examiner. Utiyama-san said, 'I completely understood this kind of discussion, but I don't know if the other examiners will. If you explain it in a way the other examiners can understand, I'll pass you.' In reality, it wasn't phrased like that; the way he said it was much harsher (laughs). Anyway, the examiners studied very hard, they understood, and I was able to pass.