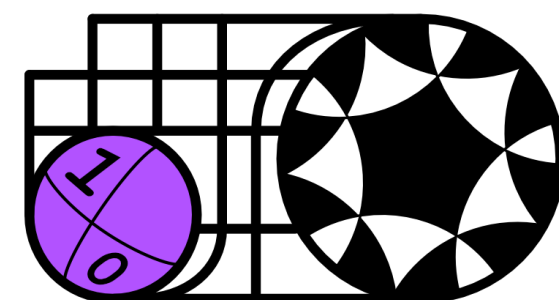
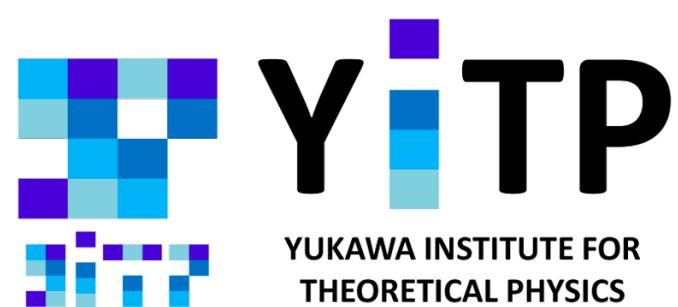


# Introduction to Lattice QCD (part1)

Etsuko Ito (YITP, Kyoto U./RIKEN iTHEMS)



YITP long-term workshop

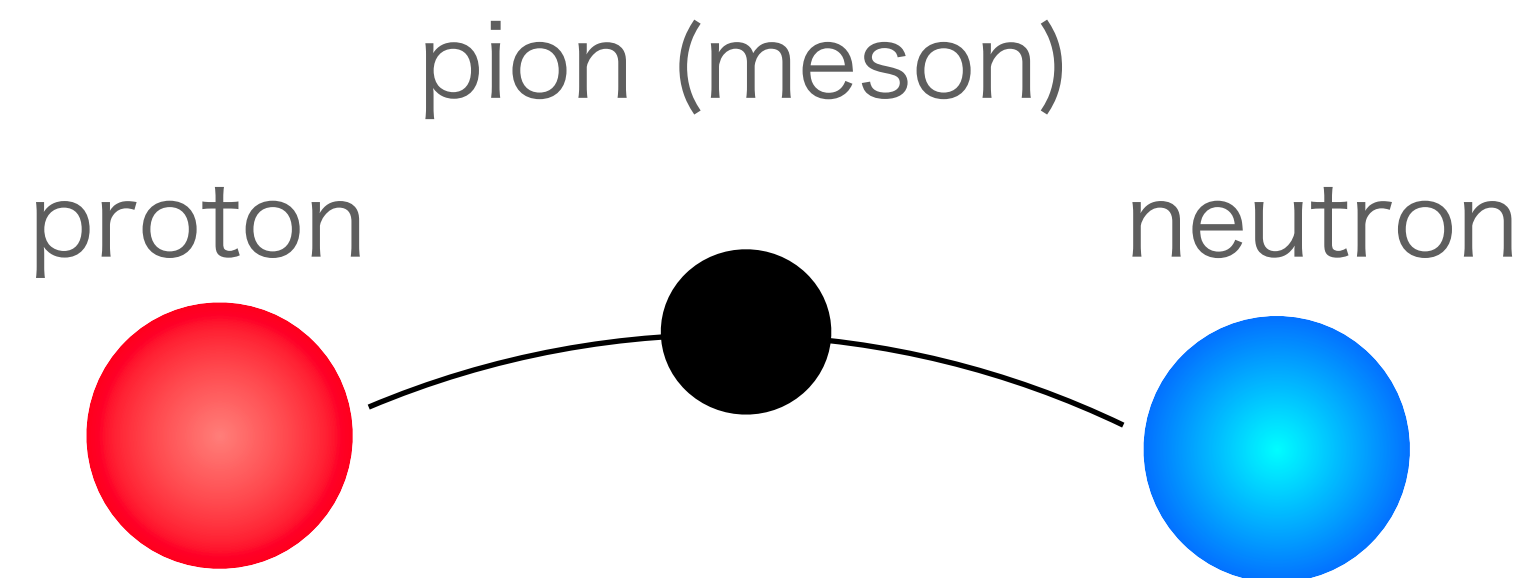
Hadrons and Hadron Interactions in QCD 2024 (HHIQCD2024)@ YITP, Kyoto U., 2024/10/16



# 1. Introduction

# YITP and Yukawa theory



## Yukawa interaction

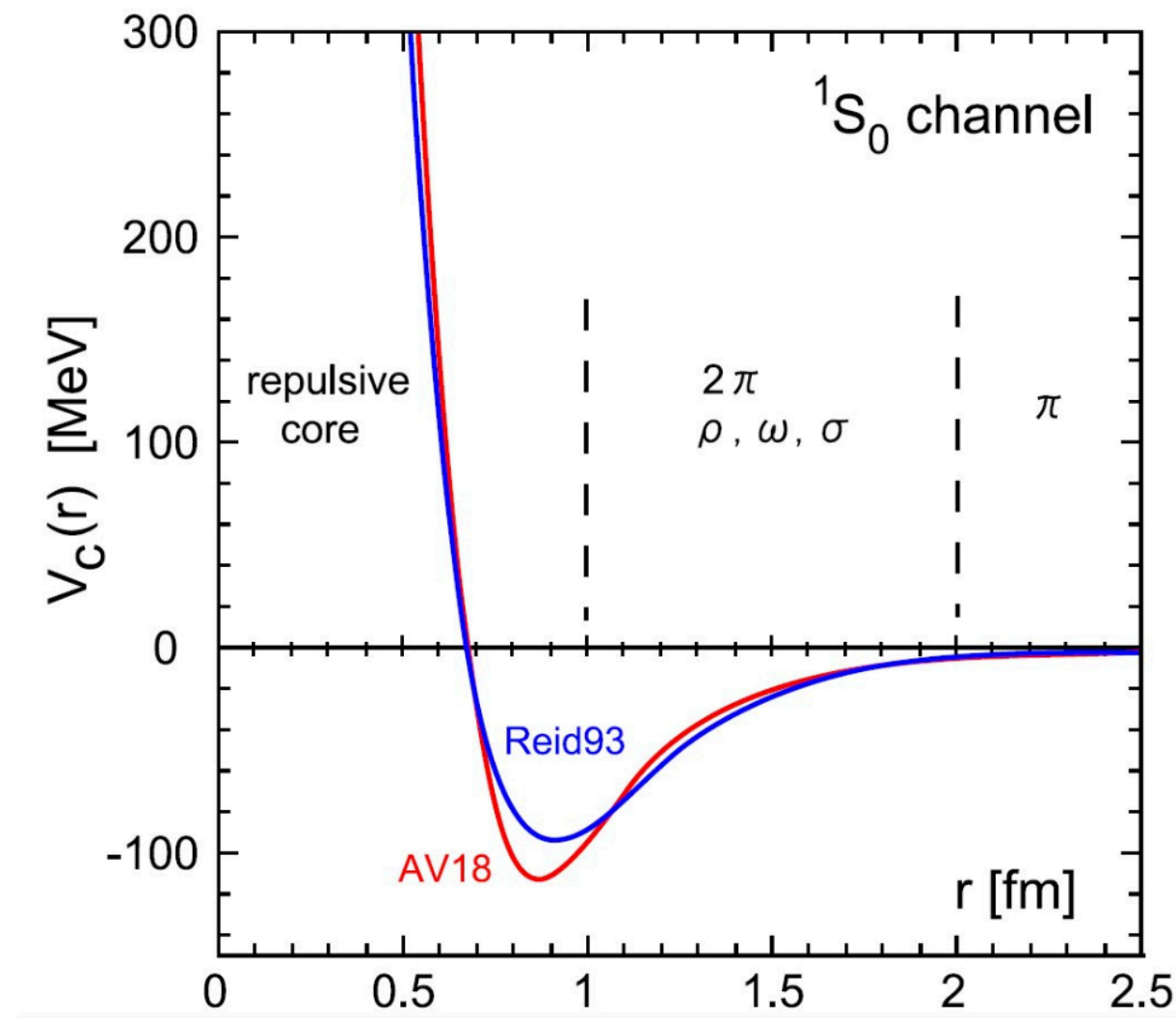
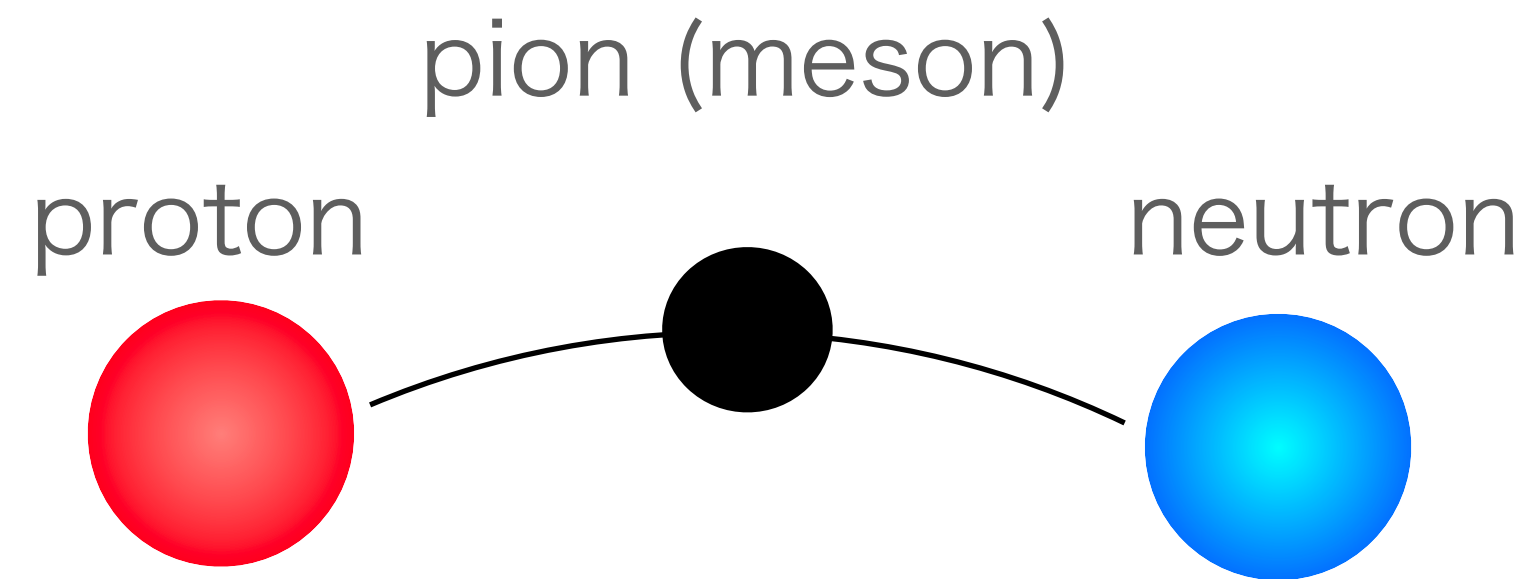


- Yukawa Institute for theoretical physics, Kyoto University (1952 -)
- Yukawa theory (1935) introduce a new particle (meson) to explain the nuclear force  (1949)
- Discovery of the neutron (1932) by James Chadwick  (1935)
- You can see his note in the salon in front of this lecture hall

# Proton, neutron and pion are composed of quarks

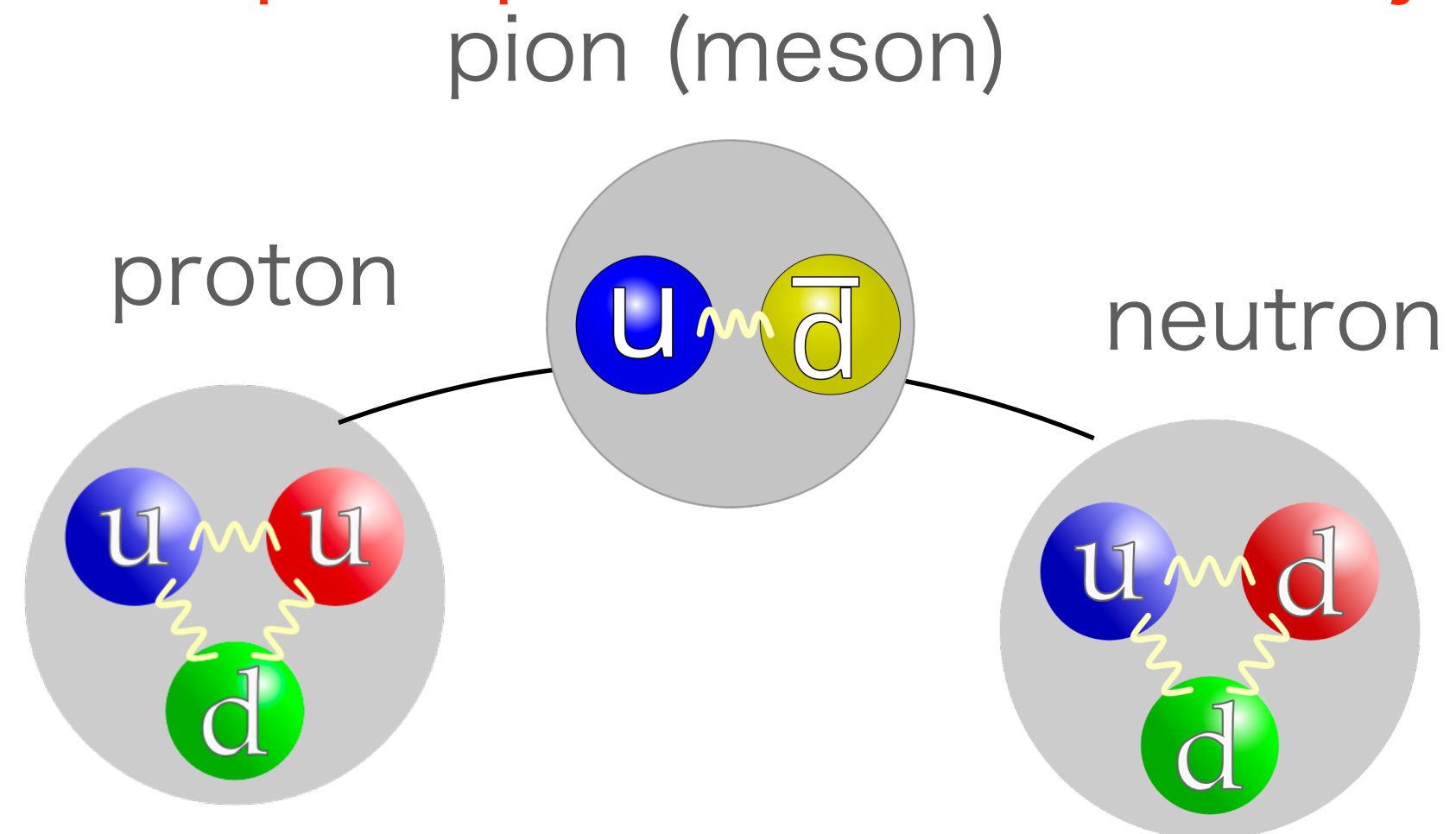
- Yukawa interaction is now described by further microscopic theory  
Quantum ChromoDynamics (QCD)

Yukawa interaction



N-N potential obtained phenomenologically

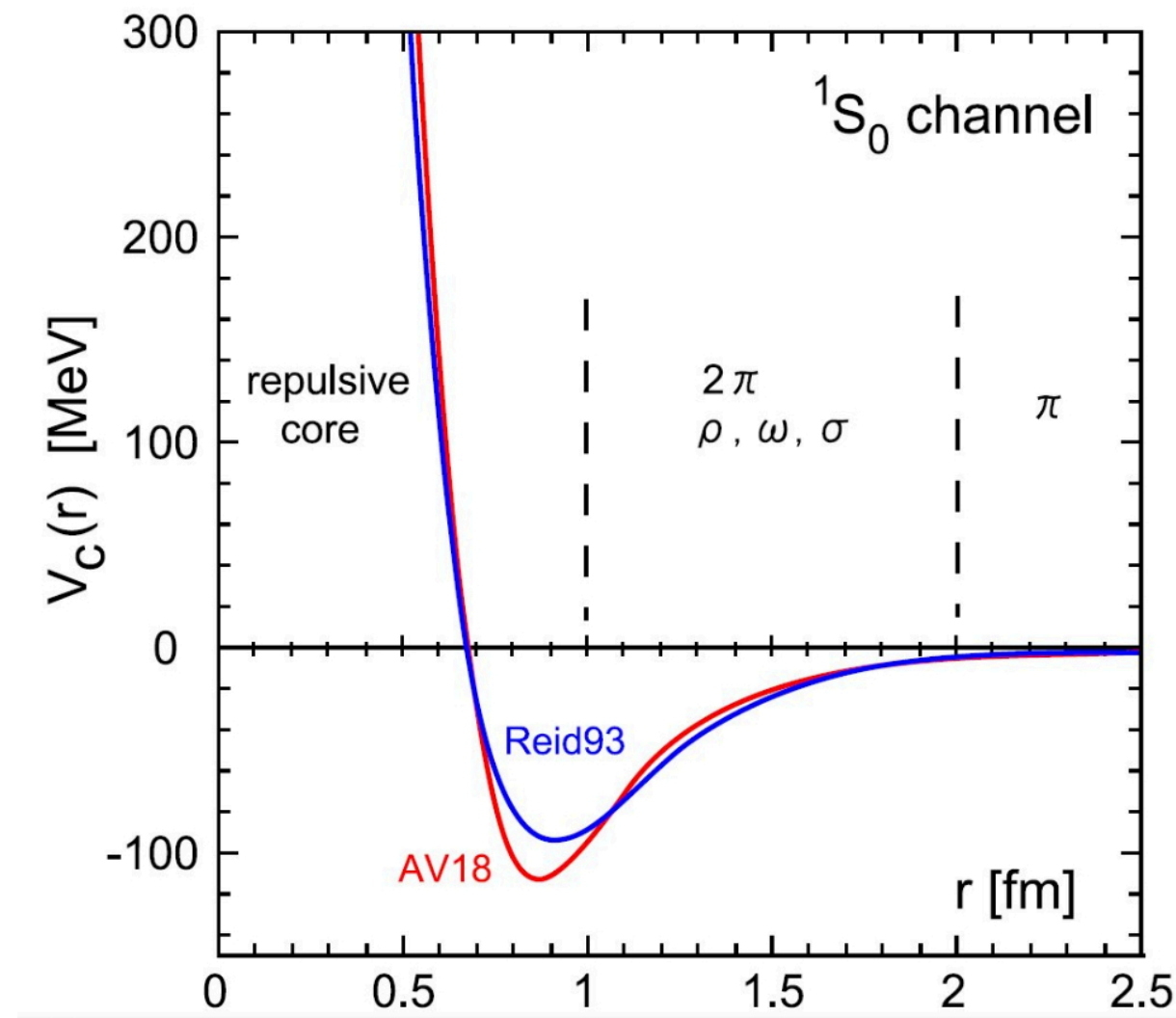
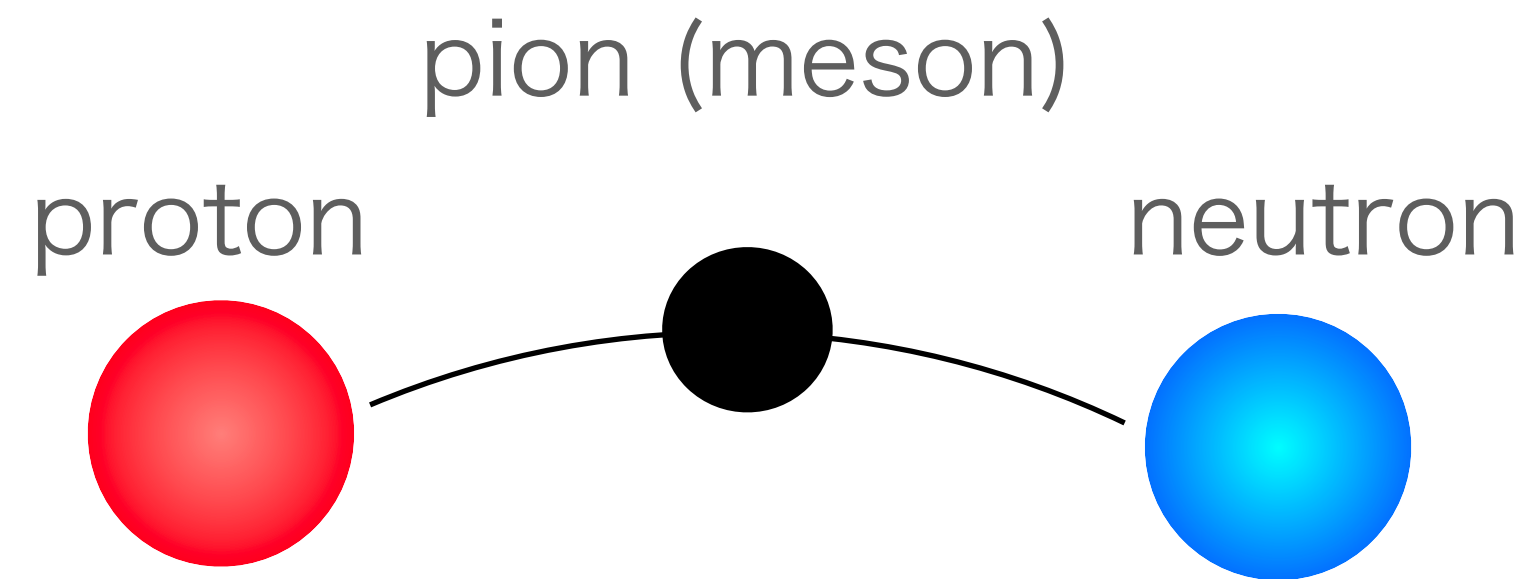
Microscopical picture described by quarks



# Proton, neutron and pion are composed of quarks

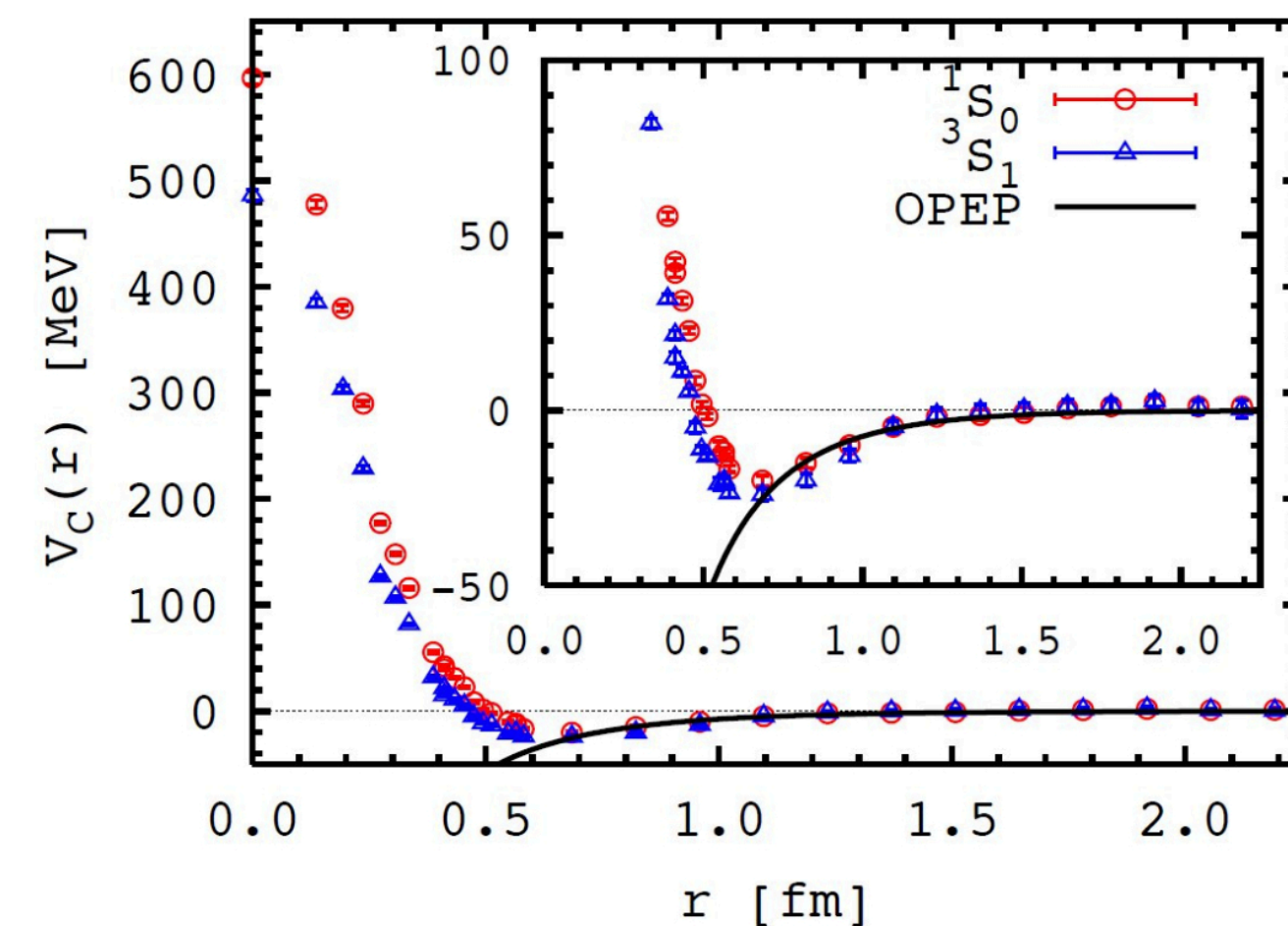
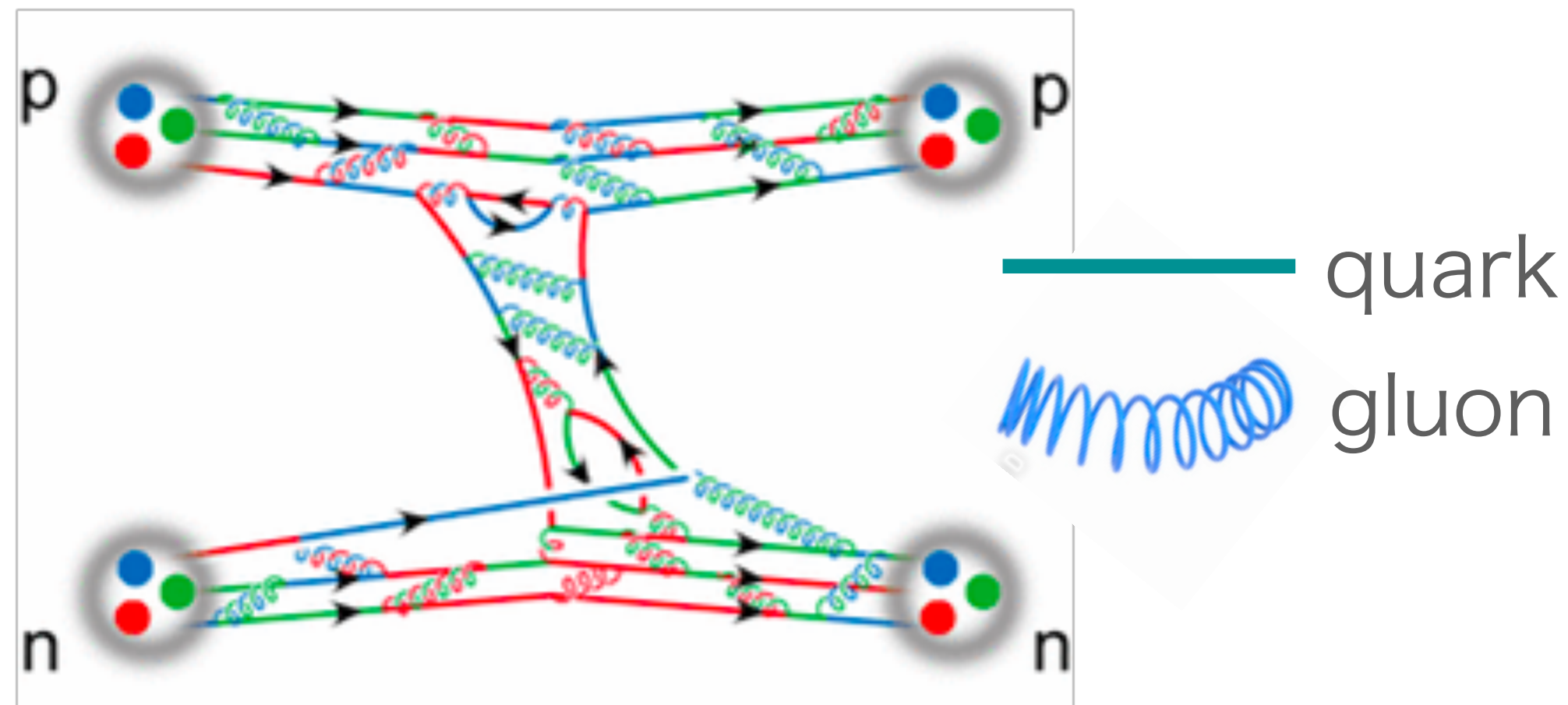
- Yukawa interaction is now described by further microscopic theory  
Quantum ChromoDynamics (QCD)

Yukawa interaction



N-N potential obtained phenomenologically

Microscopical picture described by quarks



N-N potential obtained from QCD using Lattice simulation  
(Lecture by T.Doï on Friday)

# Contents (part 1 and part 2)

1. Introduction
2. Lattice gauge theory  
confinement and cont. lim. - analytical results -
3. Introduction to numerical calculation
4. Configuration generation
5. Hadron mass spectrum
6. Advanced topics for mass spectrum
7. Summary

## 2. Lattice gauge theory

# QCD (quantum chromo dynamics)



May, 2023 @ U. of Minnesota

- QCD Lagrangian

$$\mathcal{L} = -\frac{1}{4}F_{\mu\nu}^a F_{\mu\nu}^a + \bar{\psi}(i\gamma_{\mu}D_{\mu} + m)\psi,$$

$$F_{\mu\nu}^a = \partial_{\mu}A_{\nu}^a - \partial_{\nu}A_{\mu}^a + gf^{abc}A_{\mu}^b A_{\nu}^c$$

$$D_{\mu} = \partial_{\mu} - igA_{\mu}^a T^a$$

$A_{\mu}^a$  : gluon,  $a=1\dots 8$

$\psi$  : quark

- Gauge theory is given by this eq. form  
cf.) Quantum electro-magnetic dynamics  
(QED)

$A_{\mu}^a$  : photon,  $a=1$

$\psi$  : electron

- Parameters of this theory

$g$  : (bare) coupling

$m$  : (bare) mass for each quark



# Regularization of quantum field theories

- Quantum field theory has infinite degrees of freedom (dof) at each point in spacetime
- But physical quantity is finite.  
Systematic method is needed to eliminate infinities from the calculations  
**=> renormalization / regularization**
- perturbative renormalization, large-N expansion,  $\epsilon$  expansion..
- Lattice regularization... **Discretizing spacetime makes dof finite**

K. Wilson, "Confinement of quarks", Phys.Rev. D10 (1974)

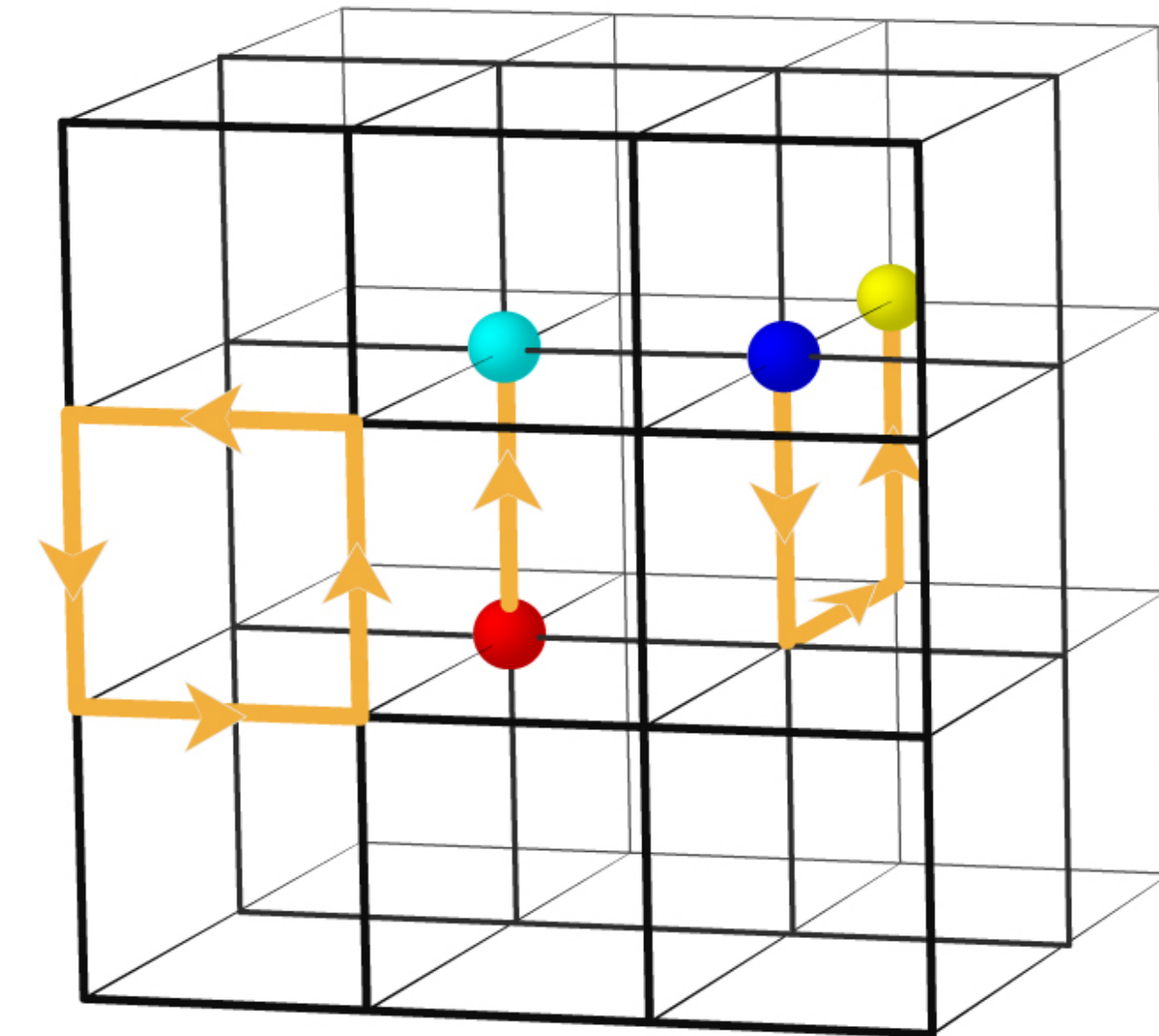
# Lattice regularization method

- Discretizing spacetime :  
lattice spacing  $a$  (UV cutoff) and finite volume  $L = N_s a$  (IR cutoff)

$N_s$  : # of lattice site (for each space-time direction)

- Calculate  $\langle \mathcal{O} \rangle$  on the lattice expressed by finite variables

Exactly speaking, the result  $\langle \mathcal{O} \rangle$  is the one for lattice model.



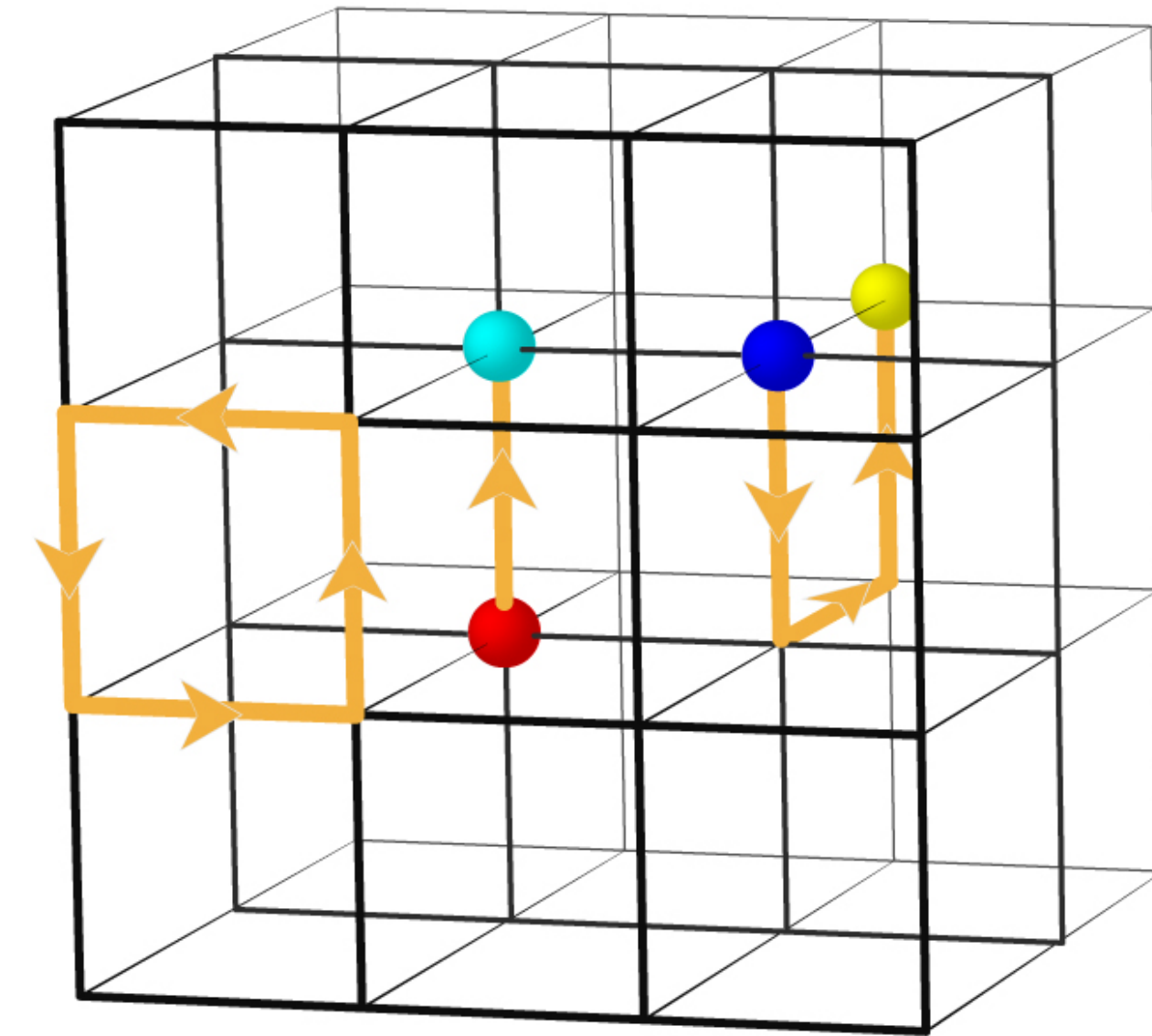
©KEK

- The continuum limit  $a \rightarrow 0$  (w/ fixed reference scale) and thermodynamic limit  $L \rightarrow \infty$  will be taken to be back to continuum theory

# Setup of lattice gauge theory

- Put the quarks on site and gluons on link  
the direction  $\mu$  of  $A_\mu^a$  correspond to the direction of the link

- Note : Do not put  $A_\mu^a$  itself on the link



©KEK

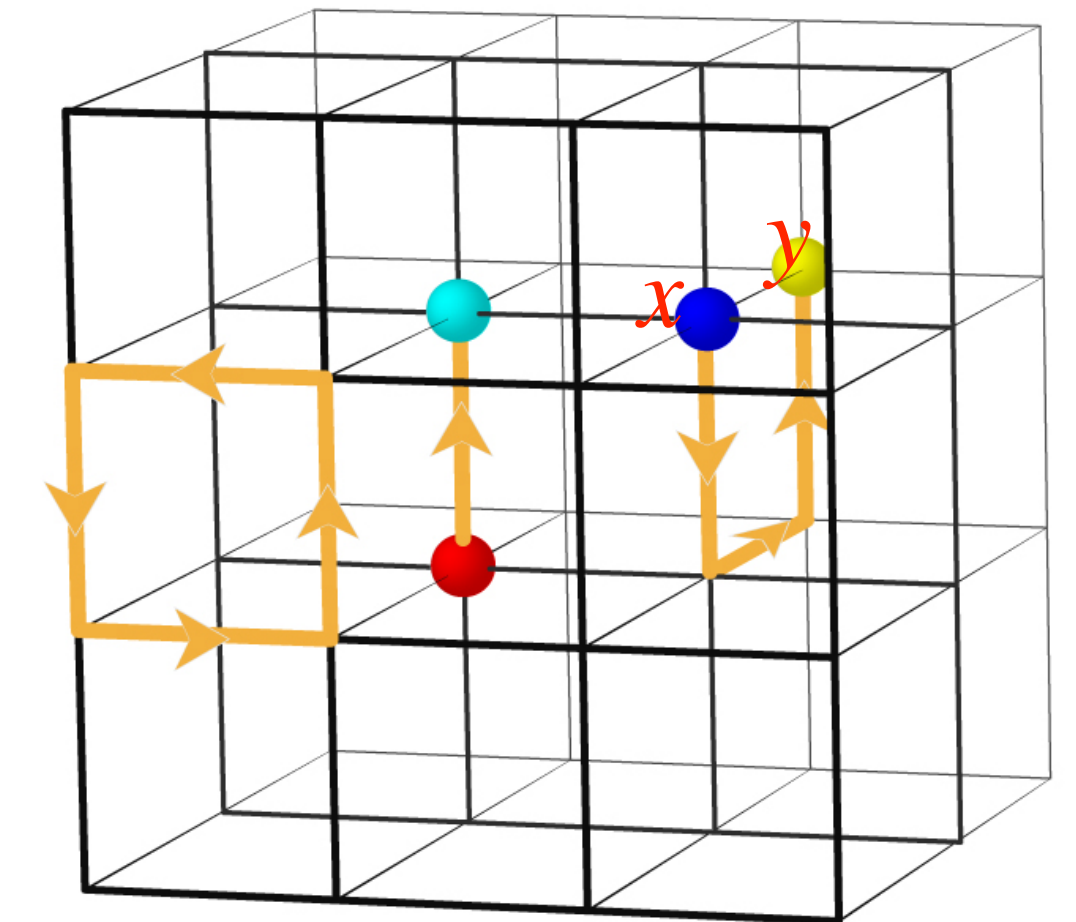
- link variable:  $U_\mu = e^{iagA_\mu^a T^a}$ ;  $T^a$  SU(N) representation matrix

# Link variable and gauge invariance

- **gauge transformation** :  $\Omega(x) \in \text{SU}(3)$  local transformation

$$\psi'(x) = \Omega(x)\psi(x), \quad \bar{\psi}' = \bar{\psi}\Omega(x)^\dagger, \quad A_\mu(x)' = \frac{1}{ig}\Omega(x)\partial_\mu\Omega(x)^\dagger + \Omega(x)A_\mu(x)\Omega(x)^\dagger$$

- QCD is invariance under this transformation at each space-time point independently
- **Physical observable is gauge invariant**
- Keeping the gauge invariance must be important



# Link variable and gauge invariance

- **gauge transformation** :  $\Omega(x) \in \text{SU}(3)$  local transformation

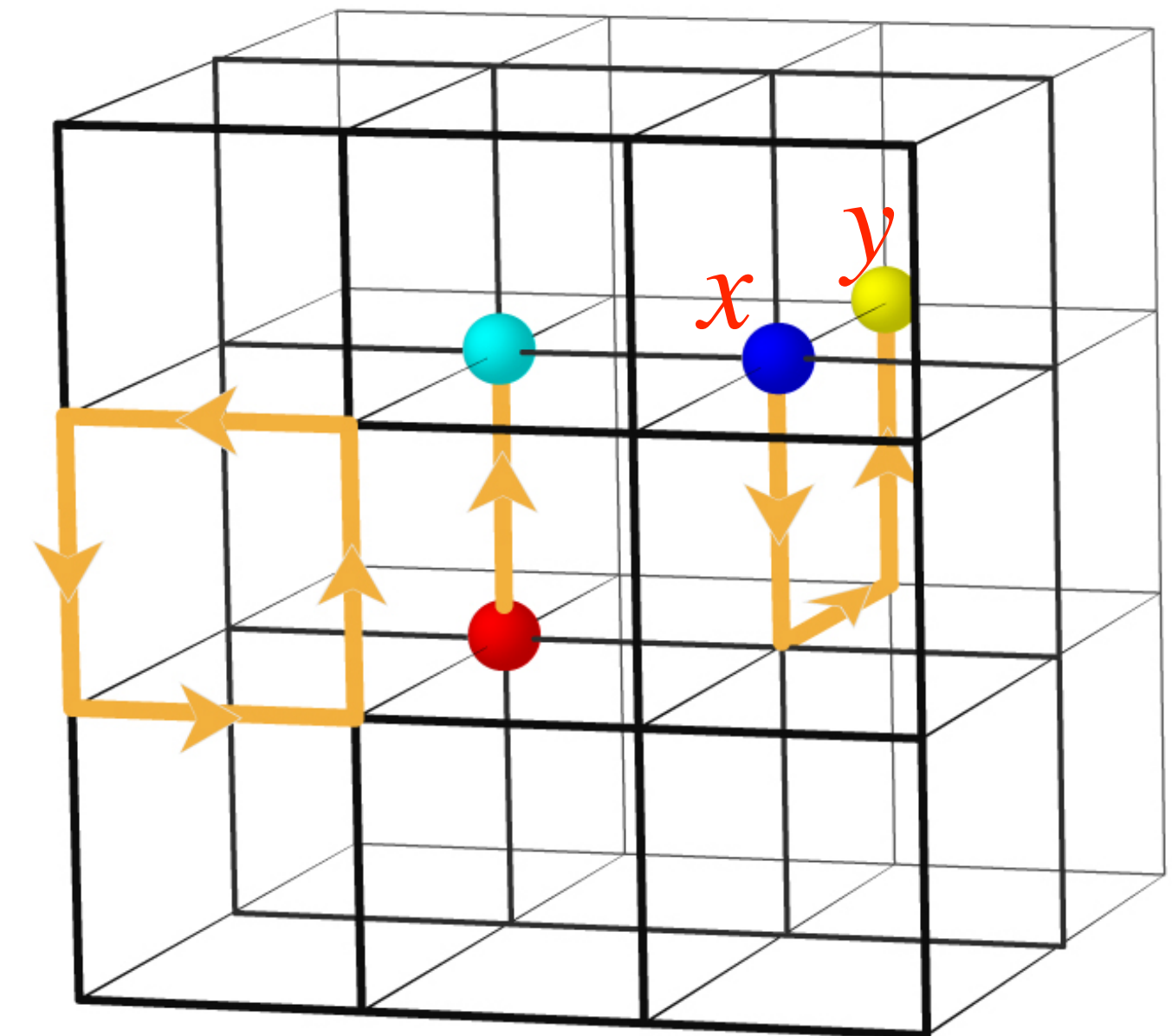
$$\psi'(x) = \Omega(x)\psi(x), \quad \bar{\psi}' = \bar{\psi}\Omega(x)^\dagger, \quad A_\mu(x)' = \frac{1}{ig}\Omega(x)\partial_\mu\Omega(x)^\dagger + \Omega(x)A_\mu(x)\Omega(x)^\dagger$$

- Operators and its gauge invariance

$\bar{\psi}(x)\psi(x)$  : gauge invariance ○

$\bar{\psi}(x)\psi(y), x \neq y$  : gauge invariance ✗

$\bar{\psi}(x) \boxed{e^{i\int_y^x A_\mu dx_\mu}} \psi(y)$  : gauge invariance ○



- Introducing the link variable,  $U_\mu = e^{iagA_\mu^a T^a}$ , makes it easier to see gauge invariance

# Link variable and gauge invariance

- **gauge transformation** :  $\Omega(x) \in \text{SU}(3)$  local transformation

**for link variable** :  $U'_\mu(x) = \Omega(x)U_\mu(x)\Omega(x + \hat{\mu})^\dagger$

- Gauge invariant ops.

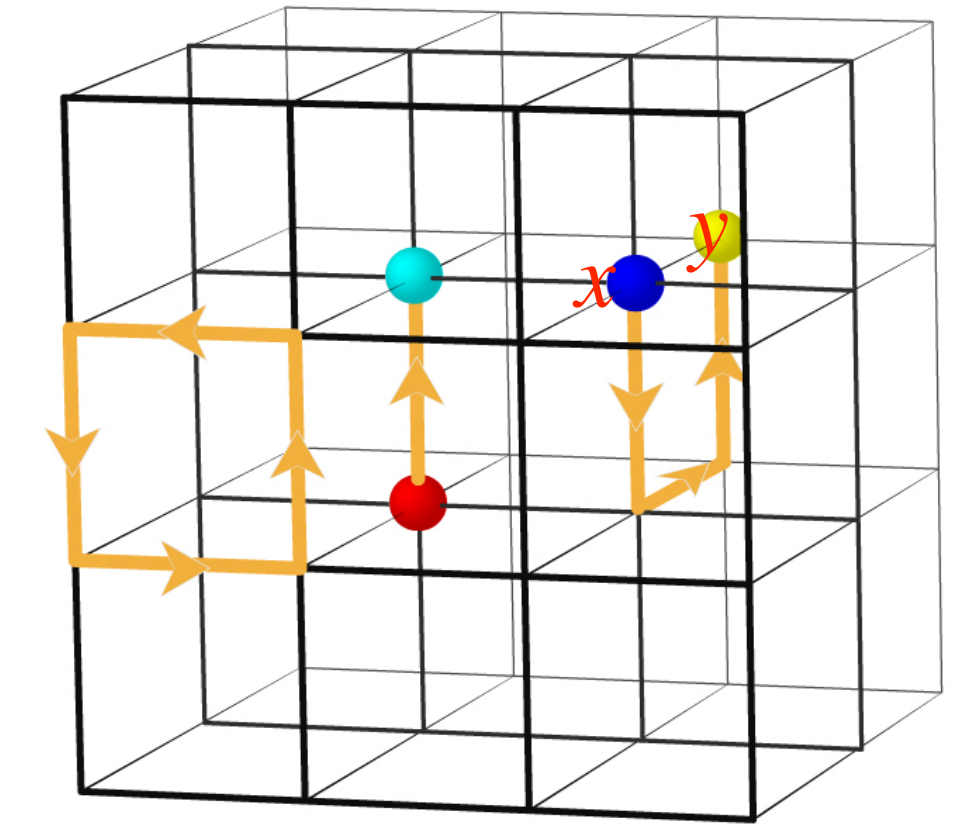
(1) All closed loop of links are gauge invariant

(ex) **Plaquette**:  $\text{tr}[U_\mu(x)U_\nu(x + \hat{\mu})U_\mu^\dagger(x + \hat{\nu})U_\nu^\dagger(x)]$

(2) quark-antiquark connected by link products between them

- **Note: The Elitzur's theorem**

only gauge-inv. operators can have non-vanishing expectation values  
Lattice calc. respects to the invariance, then it is hold naturally



# Numerical advantage of introducing link variables

- Gauge field takes value of  $-\infty \leq A_\mu^a \leq \infty$

Link variable is a compact reps.:  $\|U_\mu = e^{iagA_\mu^a T^a}\| \leq 1$

- Numerically, it's important.

If we use  $-\infty \leq A_\mu^a \leq \infty$ , keeping the calculation accuracy is hard

confinement and cont. lim.

- analytical results-



# Outline of discussions

- Introduce a lattice model
- The lattice model in cont. lim. converges to Yang-Mills theory (QCD)
- In  $g \rightarrow \infty$ , the lattice model shows the confinement of probe quarks
- In  $g \rightarrow 0$ , we can analyze it using lattice perturbation
- Using non-perturbative analysis (=numerical simulation), we can see that the lattice model connects  $g \rightarrow \infty$  and  $g \rightarrow 0$  correctly
- So, we can calc. physical quantity of QCD from the nonperturbative simulation of the lattice model

# Yang-Mills theory and lattice models

• (Euclidean) Yang-Mills action in the continuum limit :  $S_{YM} = -\frac{1}{4} \int d^4x F_{\mu\nu}^a F_{\mu\nu}^a(x)$

• **A lattice action** :  $S_G = \frac{1}{g^2} \sum_n \sum_{\mu \neq \nu} \text{tr}[U_{n,\mu} U_{n+\hat{\mu},\nu} U_{n+\hat{\nu},\mu}^\dagger U_{n,\nu}^\dagger]$  (plaquette gauge action)

• (calc.) Expand the link variable,  $U_{n,\mu} = e^{iagA_\mu^a(n+\hat{\mu}/2)T^a}$ , in small  $a$ .

Here,  $a$  denotes lattice spacing (mass-dim. = -1),  $agA_\mu^a$  is dimension-less

$$\text{tr}[U_{n,\mu} U_{n+\hat{\mu},\nu} U_{n+\hat{\nu},\mu}^\dagger U_{n,\nu}^\dagger] = \text{tr} \left[ 1 + ia^2 g F_{\mu\nu} + (*)a^3 + \frac{a^4 g^2}{2} F_{\mu\nu}^2 + O(a^5) \right]$$

2nd and 3rd terms = 0 because of  $\text{tr}T^a = 0$ ,  $O(a^5)$  becomes zero in  $a \rightarrow 0$

only  $\text{tr}[F_{\mu\nu}^2]$  remains in the continuum limit

• Cf.)  $O(a^5)$  term can vanish by adding some higher mass dim. term

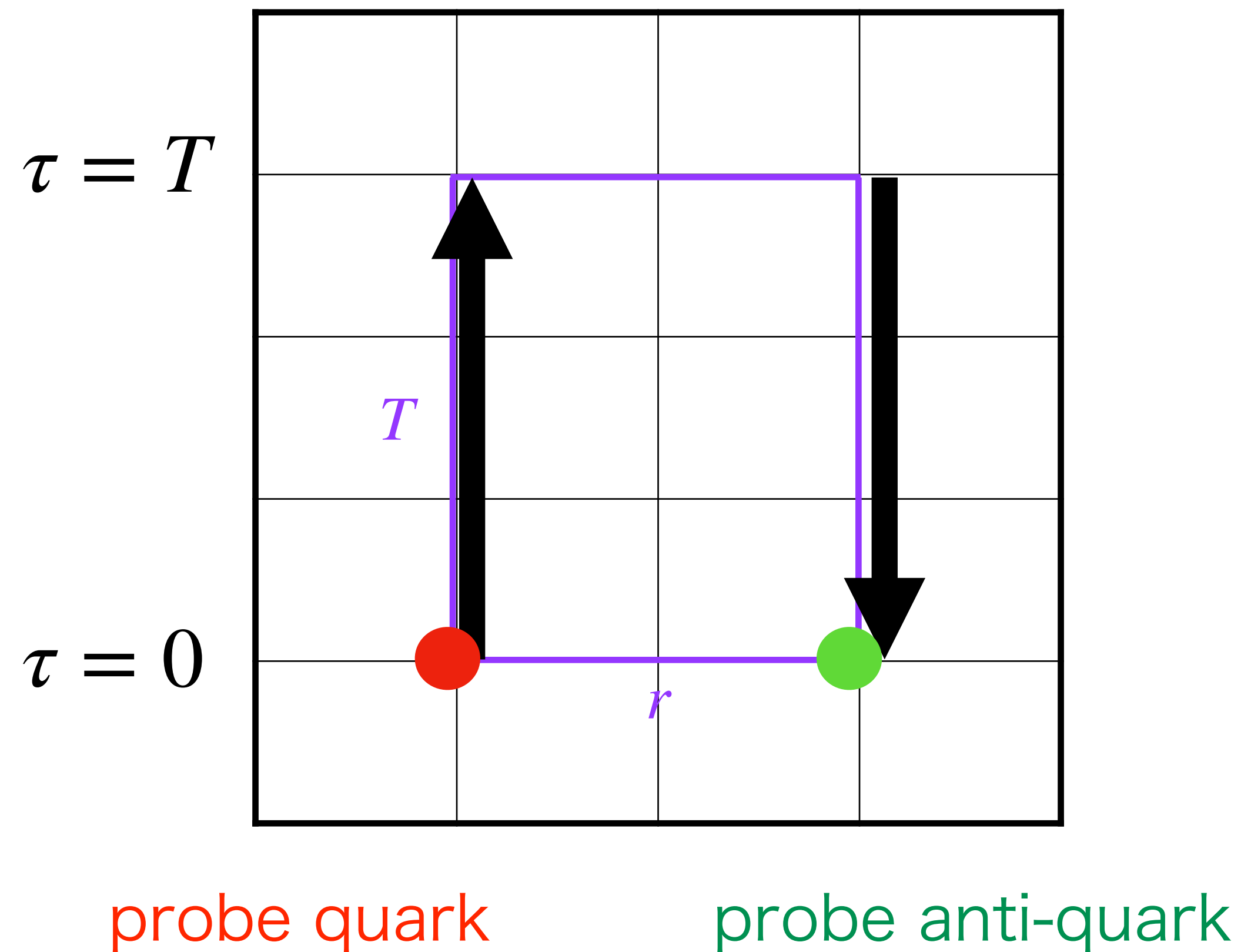
Improved action (Symanzik action/ Iwasaki gauge action....)

**Any lattice action is fine if it goes to the Yang-Mills action in the continuum limit**

# Wilson loop : probe quark anti-quark potential

Wilson loop on the lattice

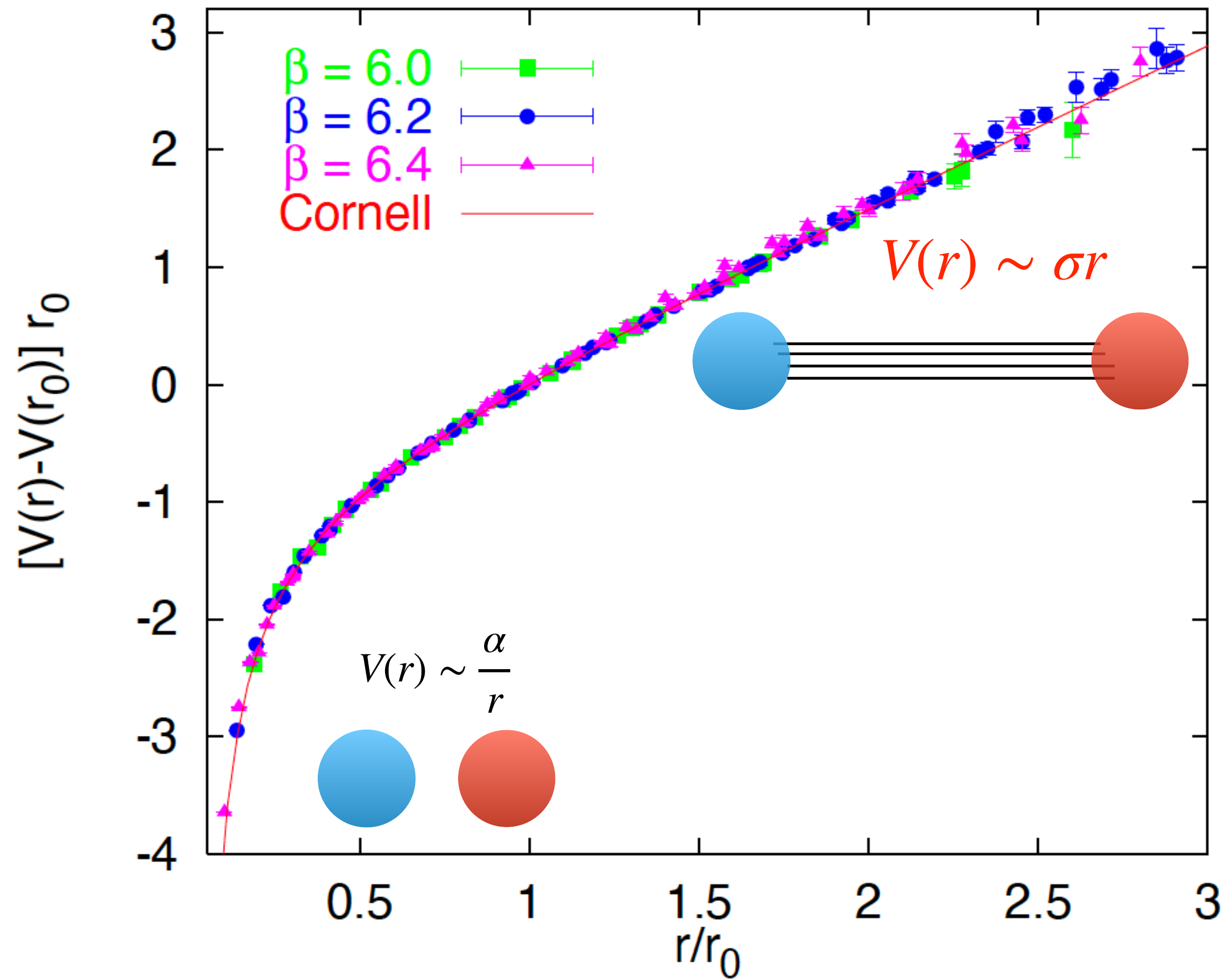
$$W(C) = \text{tr} \left[ \prod_{i \in C} U_i \right]$$



- $\langle W(C) \rangle \approx e^{-TV(r)}$  in  $T \rightarrow \infty$
- The path describes...
  - at  $\tau = 0$ ,  $q$  and  $\bar{q}$  are pair-created (immediately separate distance  $r$ )
  - at  $\tau = T$ , the pair-annihilation occurs
- $V(r)$  corresponds to probe  $q$  and  $\bar{q}$  potential w/ distance  $r$

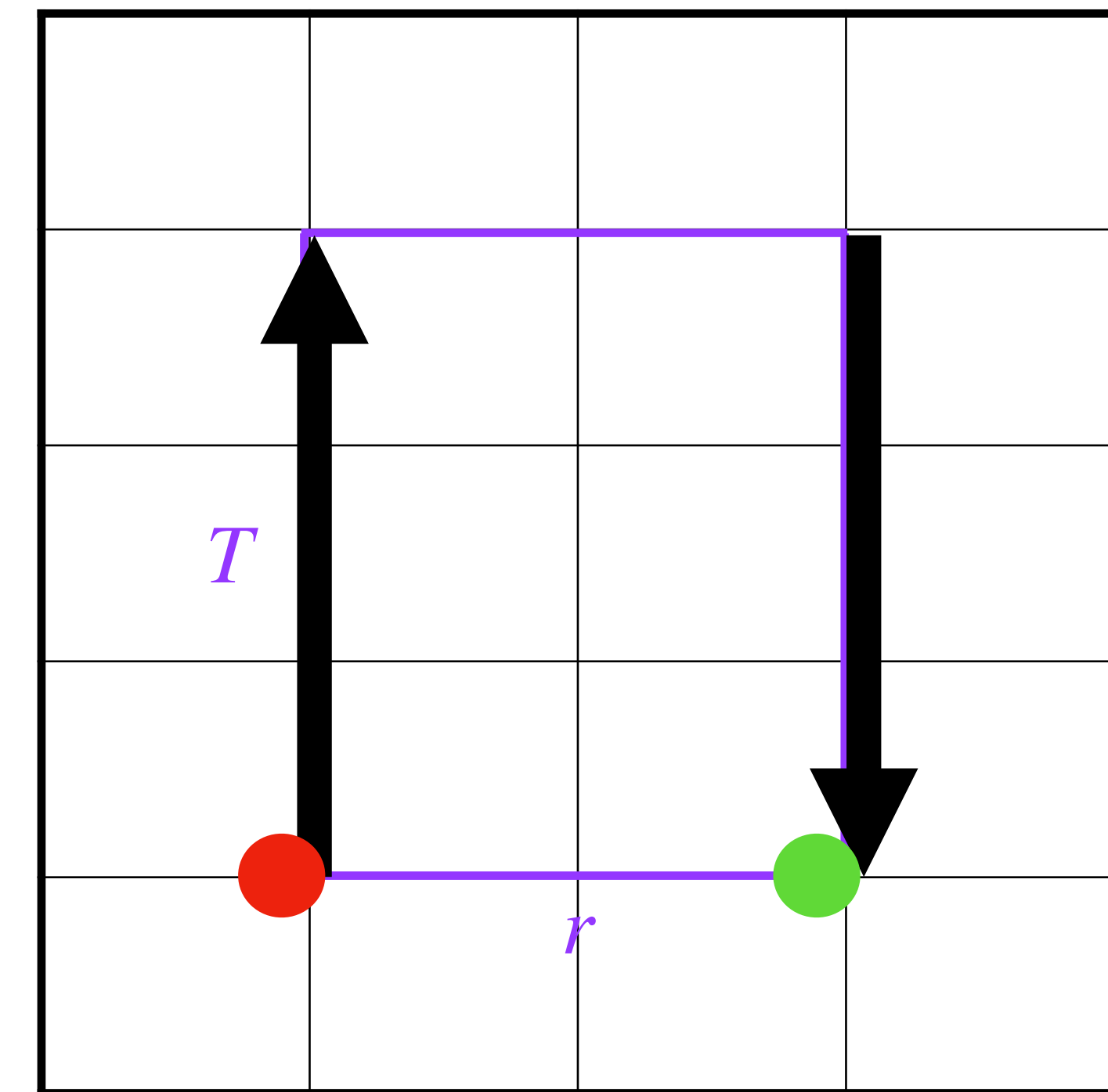
# Lattice results of potential for probe quarks

G.Bali, Phys.Rept.343:1 (2000)



Wilson loop on the lattice

$$W(C) = \text{tr} \left[ \prod_{i \in C} U_i \right]$$



probe quark

probe anti-quark

# Integration rules of link variables (SU(N) group)

• Now, we want to calculate  $\langle W(r \times T) \rangle = \int dU W(r \times T) e^{-S_G}$  analytically in some coupling limits.

• To do that, we need a integration rules of SU(N) group variables:

normalization:  $\int dU 1 = 1, \quad \int dU U_{ab} = 0$

$\int dU U_{ab} U_{kl}^\dagger = \frac{1}{N} \delta_{al} \delta_{bk}$  (propagator) Only if  $U_\mu(n) U_\mu^\dagger(n)$  can take non-vanishing value

(not take a sum of indices)

$\int dU U_{a_1 b_1} U_{a_2 b_2} \cdots U_{a_N b_N} = \frac{1}{N!} \epsilon_{a_1 a_2 \cdots a_N} \epsilon_{b_1 b_2 \cdots b_N}$  (vertex contraction)

$\int dU U_{ab} U_{cd} U_{ij}^\dagger U_{kl}^\dagger = \frac{1}{N^2 - 1} [\delta_{aj} \delta_{aj} \delta_{aj} \delta_{aj} + \cdots]$  (combination of contraction)

# Strong coupling expansion

- Let us consider  $\langle W(r \times T) \rangle = \int dU W(r \times T) e^{-S_G}$ , where

$$W(r \times T) = \text{tr} \left[ \prod_i U_{n,1} U_{n+1,1} \cdots U_{n+r,1} U_{n+r,4} U_{n+r+1,4} \cdots U_{n,4}^\dagger \right] = \text{tr} U_{n,1} \hat{W} U_{n,4}^\dagger$$

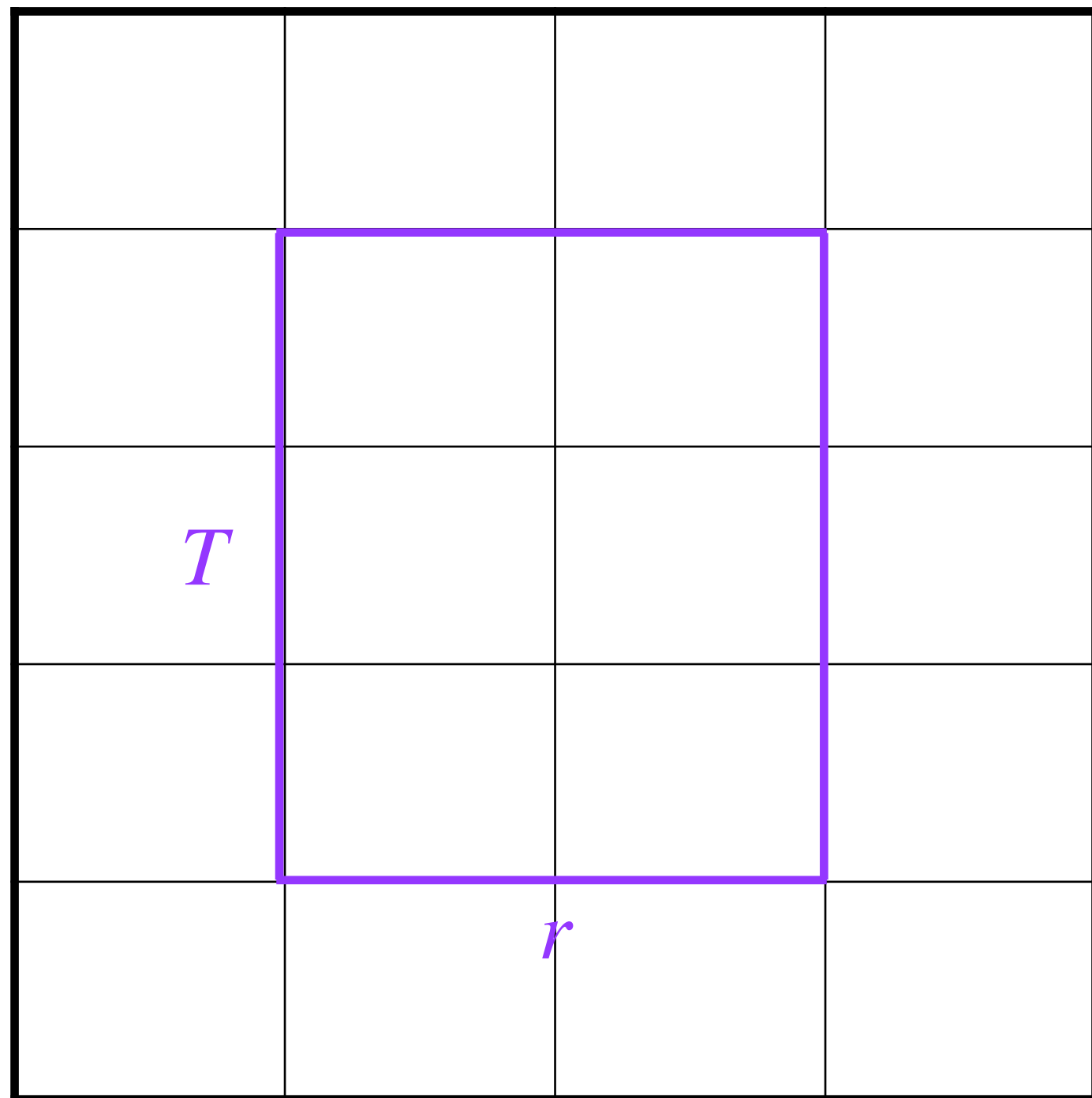
and plaquette gauge action:  $S_G = \frac{1}{g^2} \sum_n \sum_{\mu \neq \nu} \text{tr}[U_{n,\mu} U_{n+\hat{\mu},\nu} U_{n+\hat{\nu},\mu}^\dagger U_{n,\nu}^\dagger]$

- In large  $g$ , the action is expanded as

$$e^{-S_G} = \prod_{n,\mu \neq \nu} \left[ 1 - \frac{1}{g^2} \text{tr}[U_{n,\mu} U_{n+\hat{\mu},\nu} U_{n+\hat{\nu},\mu}^\dagger U_{n,\nu}^\dagger + \cdots] \right]$$

- At site  $n$ , only if  $\int dU_{n,1} dU_{n,4} U_{n,1} U_{n,1}^\dagger U_{n,4} U_{n,4}^\dagger$  combination exists, the integral has non-zero value.

If  $U_{n,1}^\dagger U_{n,4}$  comes from the plaquette action at site  $n$ :  $\frac{1}{g^2} \text{tr}[U_{n,4} U_{n+\hat{4},1} U_{n+\hat{1},4}^\dagger U_{n,1}^\dagger]$



# Strong coupling expansion

- The result of  $\int dU_{n,1} dU_{n,4}$  integral gives

$$\frac{1}{g^2 N} \text{tr} U_{n+4,1} U_{n+1,4}^\dagger \hat{W}$$

- Iteratively, we perform all U integral and get

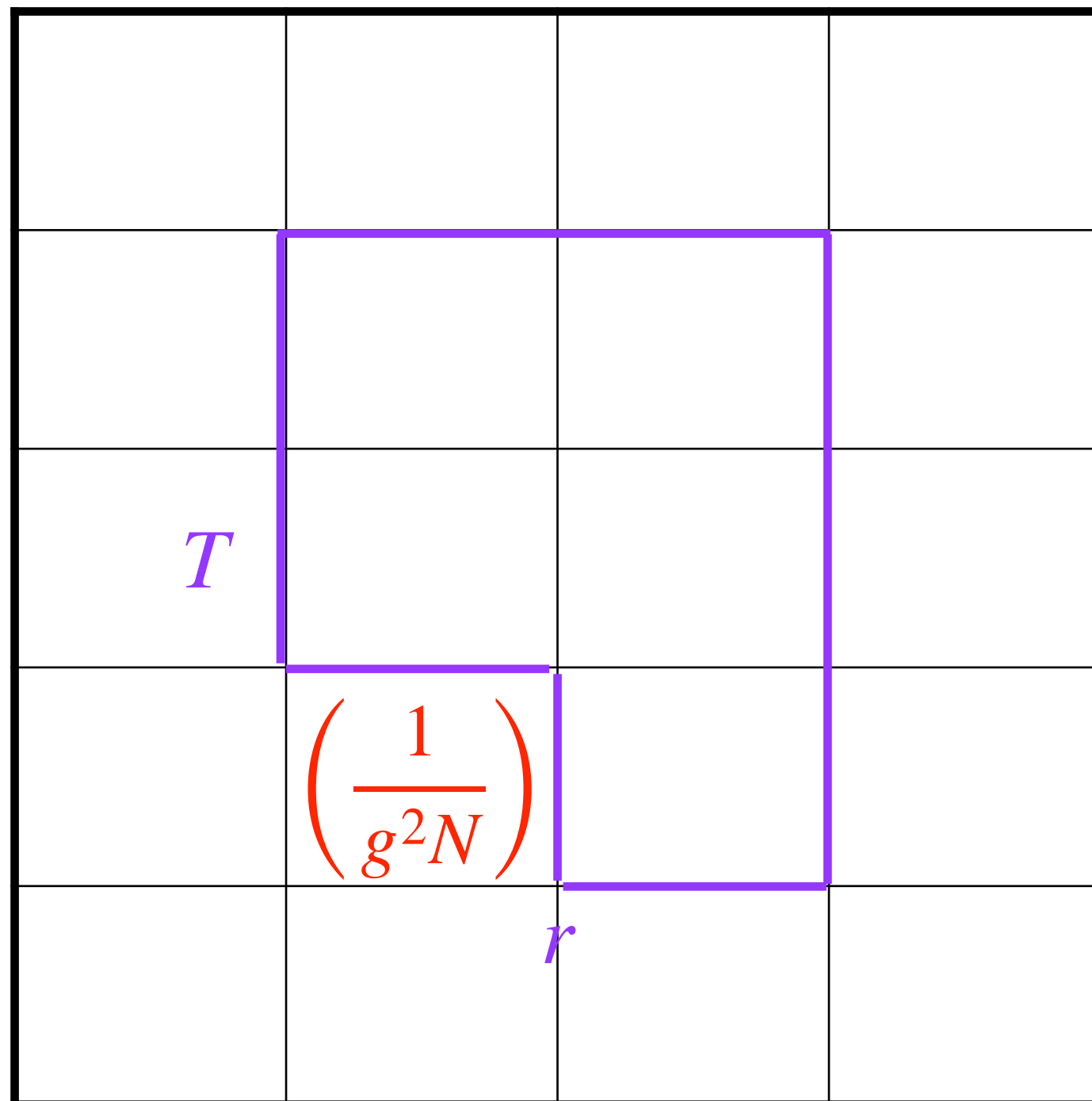
$$\langle W(r \times T) \rangle = \left( \frac{1}{g^2 N} \right)^{rT-1} \langle W(1 \times 1) \rangle = N \left( \frac{1}{g^2 N} \right)^{rT}$$

$$= N e^{-rT \log(g^2 N)}$$

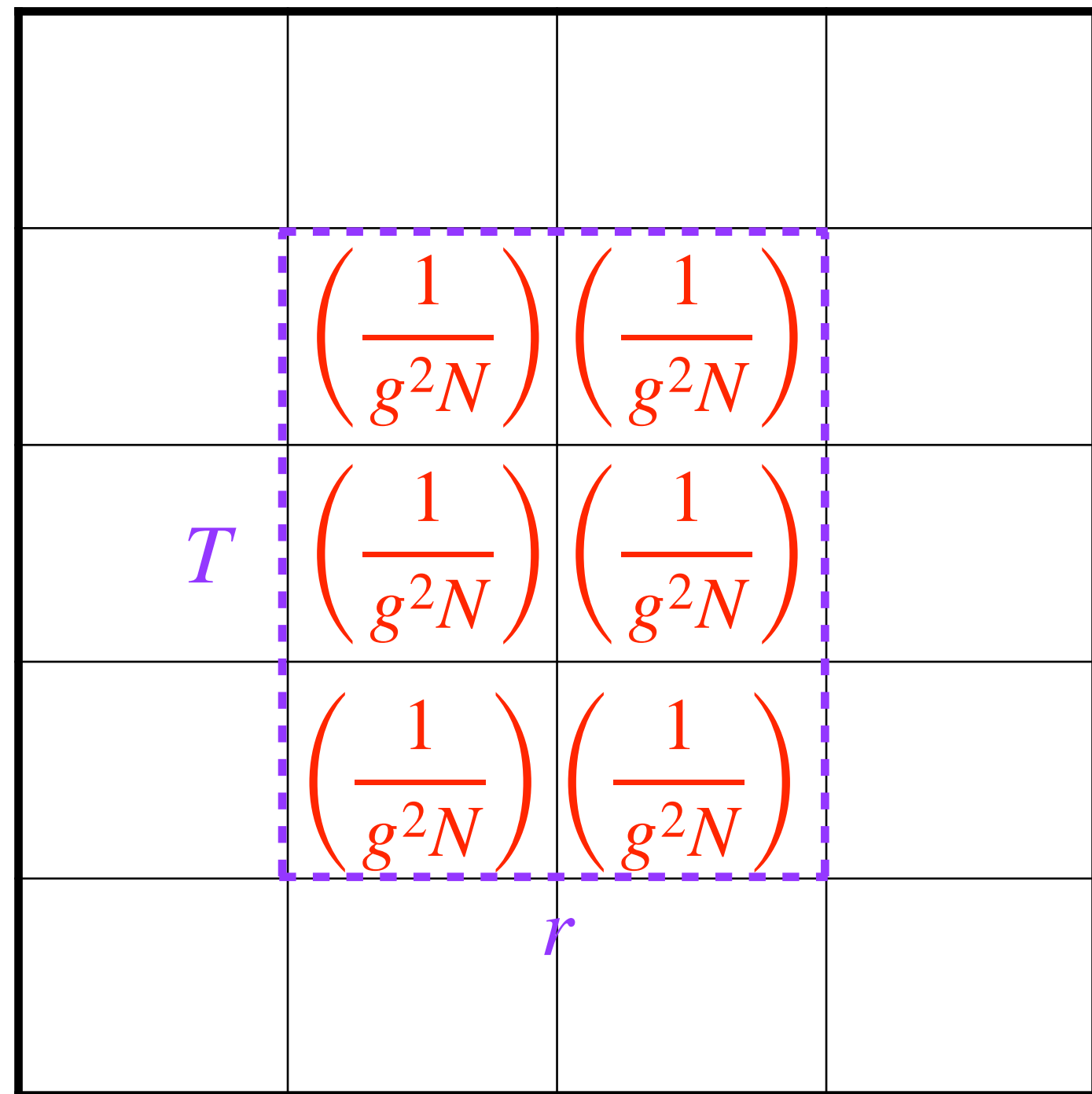
Namely, the potential  $V(r) = r \log(g^2 N)$  shows a linear fn. of r

- In large  $g$ , the confinement occurs and its string tension is

$$\sigma = \frac{1}{a^2} \log(g^2 N)$$



# Strong coupling expansion for the plaquette



- Plaquette (energy density) is 1x1 Wilson loop

$$\langle W(r \times T) \rangle = N \left( \frac{1}{g^2 N} \right) = \frac{\beta}{6}, \quad \beta \equiv 2N/g^2$$

- Here, we drop the normalization of trace (1/3 for SU(3)) and the leading term of 1

$$S_G = \frac{1}{g^2} \sum_n \sum_{\mu \neq \nu} \text{tr}[U_{n,\mu} U_{n+\hat{\mu},\nu} U_{n+\hat{\nu},\mu}^\dagger U_{n,\nu}^\dagger] = \frac{1}{g^2} \sum_{\square} \text{tr}(1 - \frac{1}{3} \Re \text{Tr} U_{\square})$$

- In the strong coupling regime,

$$\langle P \rangle = 1 - \frac{\beta}{18} + \dots$$



# Weak coupling expansion

- The partition fn.  $Z = \int [dU] e^{-S_G}$

$$S_G = \frac{1}{g^2} \sum_n \sum_{\mu \neq \nu} \text{tr}[U_{n,\mu} U_{n+\hat{\mu},\nu} U_{n+\hat{\nu},\mu}^\dagger U_{n,\nu}^\dagger] = \frac{1}{g^2} \sum_{\square} \text{tr}(1 - \frac{1}{3} \Re \text{Tr} U_{\square})$$

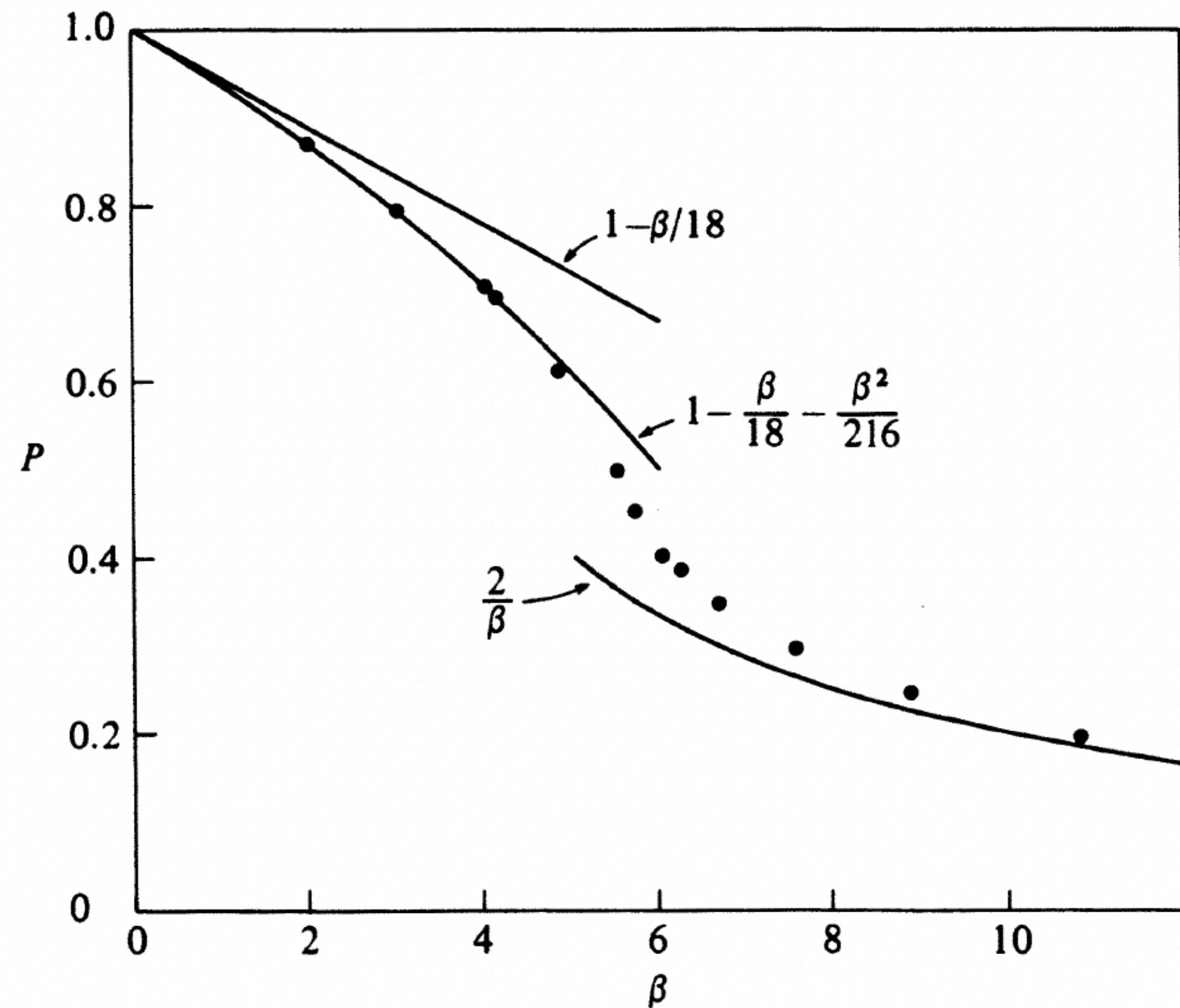
$U_{\square}$  is plaquette op.

- In small  $g$ ,  $U_{\square} \approx 1$  is dominated

- The perturbation theory around this saddle point gives  $\langle P \rangle = \frac{2}{\beta} + \dots$

cf.) M.Creutz's textbook, (open access) chapter 11

# Is lattice theory valid in all coupling regime?



M.Creutz, Textbook

- The results obtained by some expansion are not valid for all coupling regime
- Middle coupling regime is difficult to analysis
- Lattice numerical results connect both coupling regimes smoothly
- Looks valid for all coupling regime

# String tension (of the lattice theory)

Monte Carlo, SU(2) gauge,  $10^4$  lattice  
M.Creutz, PRD21 (1980) 2308

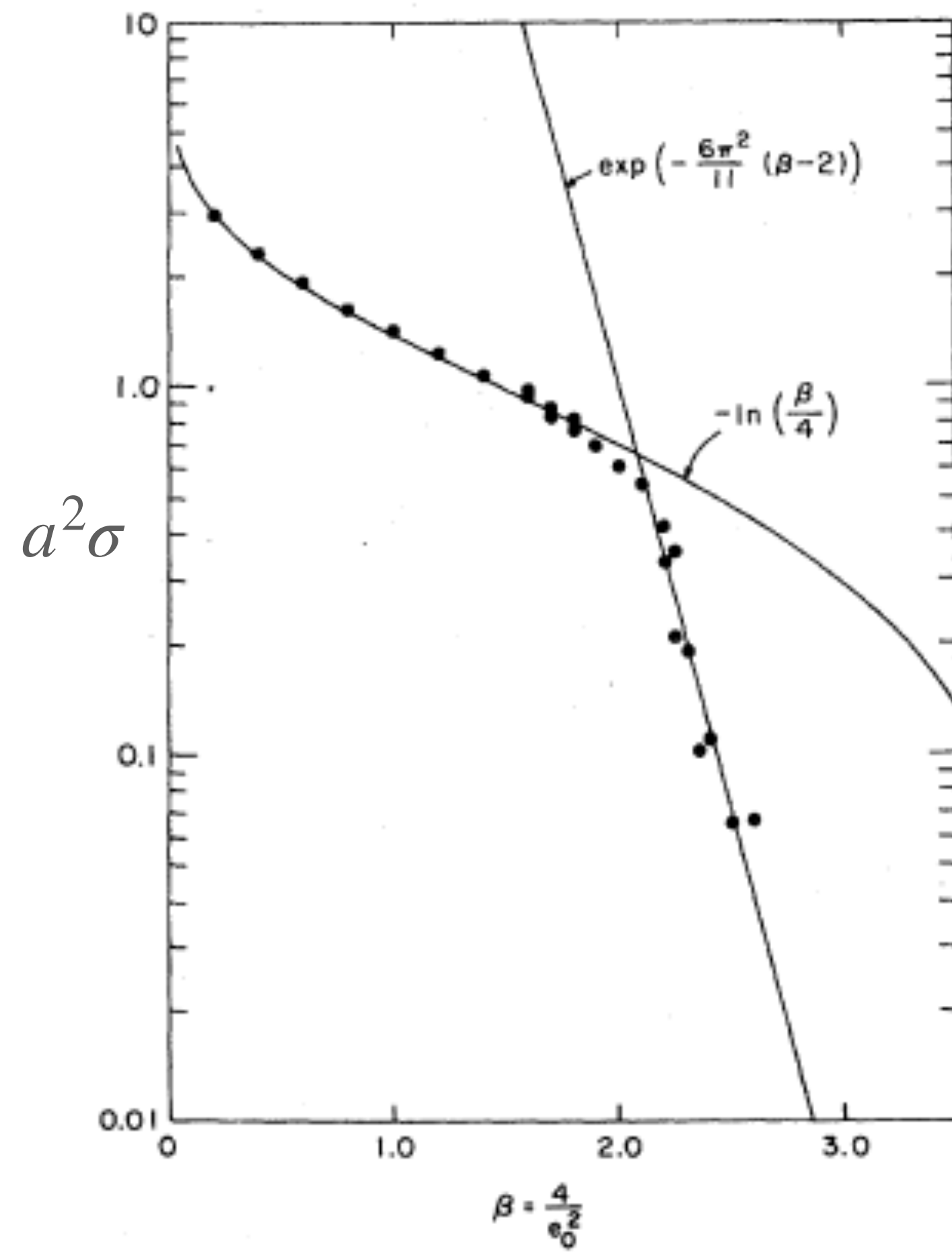


FIG. 6. The cutoff squared times the string tension as a function of  $\beta$ . The solid lines are the strong- and weak-coupling limits.

- Again the Lattice numerical result connect both coupling regimes smoothly
- In strong coupling, it corresponds to the confinement area law
- In weak coupling, the perturbative theory is valid
- After calculate  $\langle \mathcal{O} \rangle$  in strong coupling regime, then taking a weak coupling limit looks possible!!  
(From lattice model calculation, we can obtain  $\langle \mathcal{O} \rangle$  of QCD in the continuum spacetime)

# More about the continuum limit

- In non-abelian gauge theory, the beta fn. of coupling const.

$$\beta(g) = \mu \frac{dg}{d\mu} = -b_0 g^3 - b_1 g^5 + \dots \quad (\text{asymptotic free})$$

- At UV cutoff ( $\mu = 1/a$ ),  $g = g_0$  : (lattice) bare coupling constant

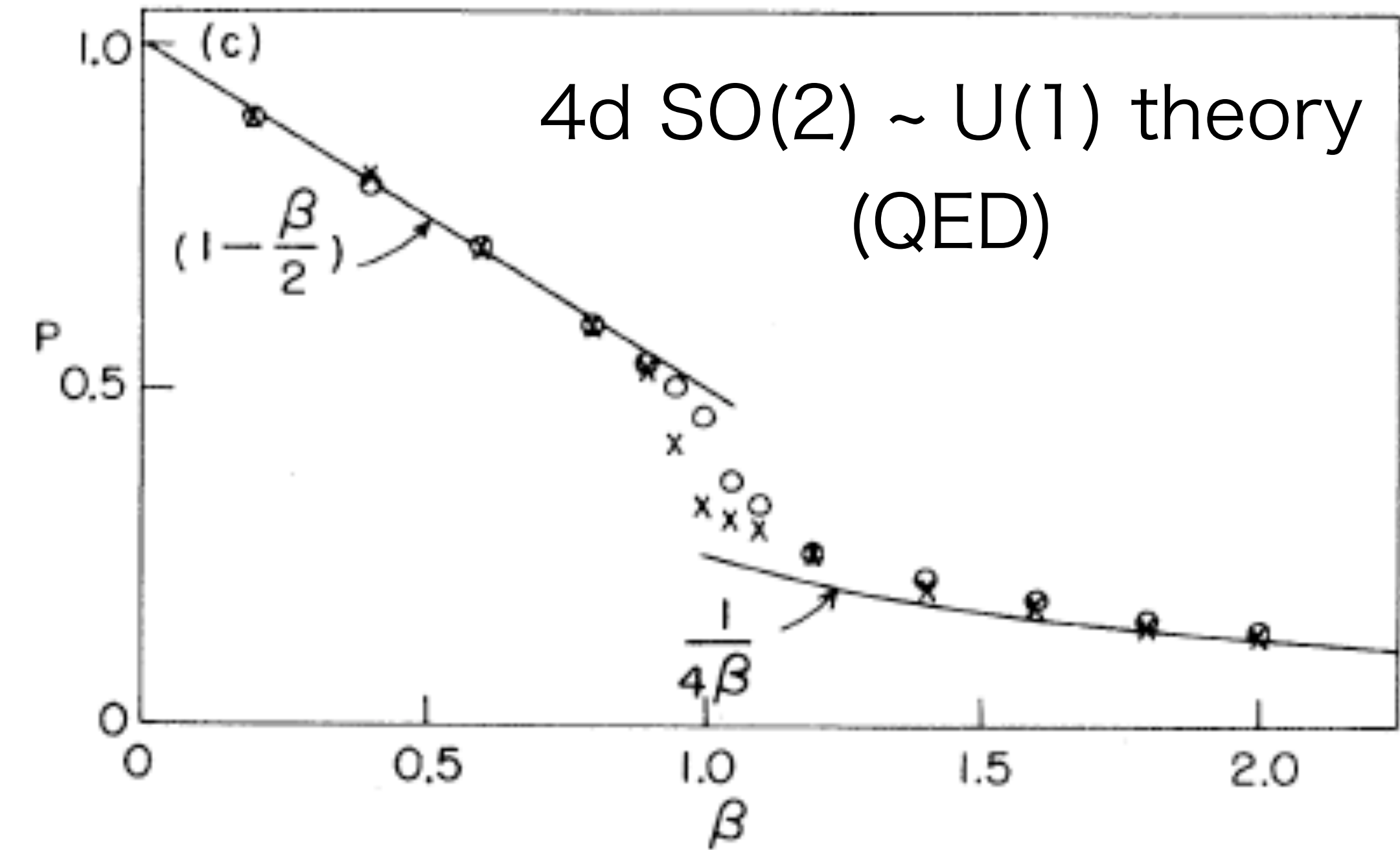
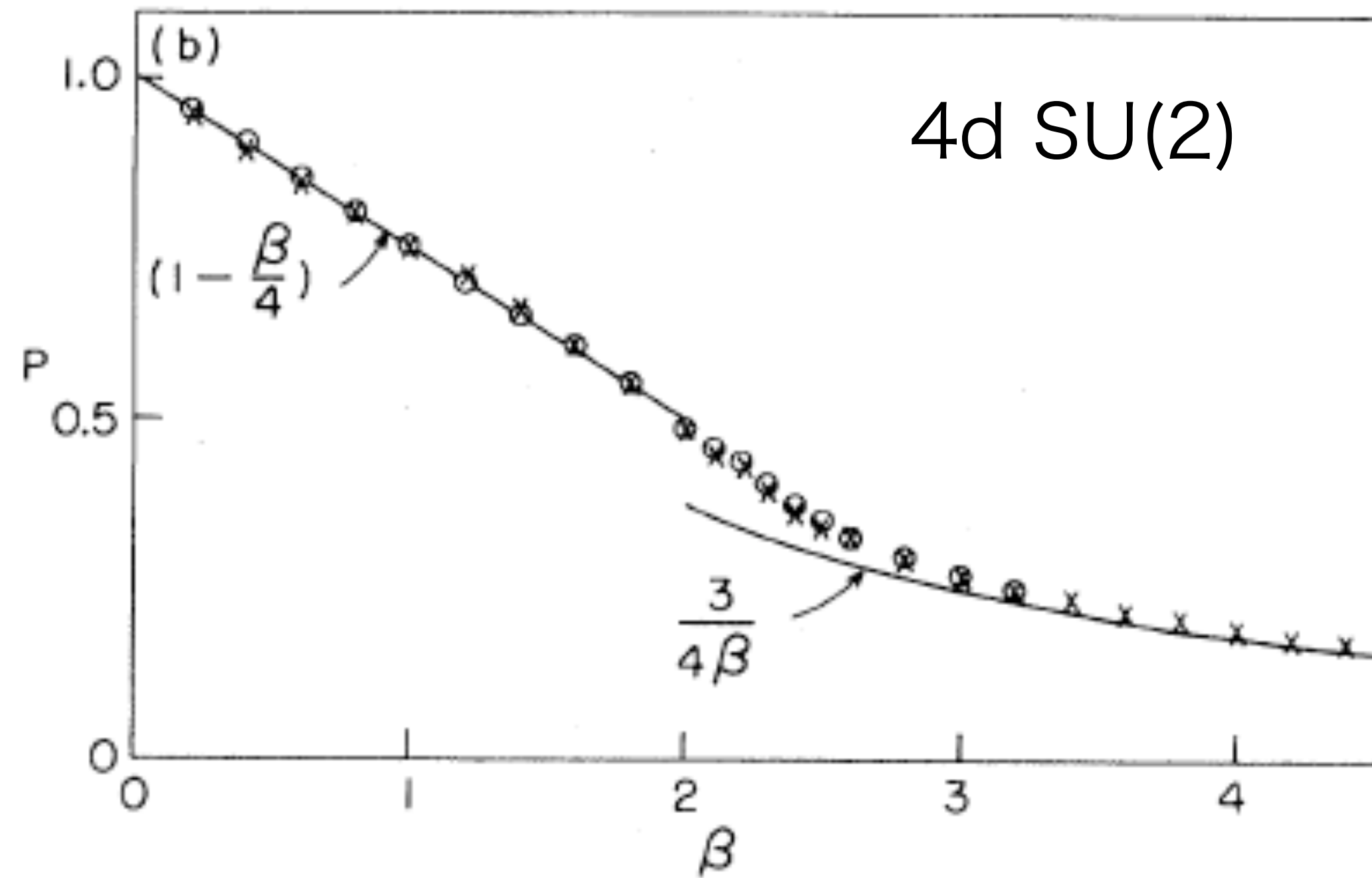
At an IR scale  $\mu = \Lambda$  (Lambda scale),  $g = \infty$

$$\int_{1/a}^{\Lambda} \frac{d\mu}{\mu} = -\frac{1}{2b_0} \int_{g_0^2}^{\infty} \frac{dg^2}{g^4(1 + \frac{b_1}{b_0}g^2)} \Rightarrow \Lambda a = (b_0 g_0^2)^{-b_1/(2b_0^2)} e^{-1/(2b_0 g_0^2)}$$

- continuum limit ( $a \rightarrow 0$ ) corresponds to the weak coupling limit ( $g_0^2 \rightarrow 0$ )
- If there is no phase transition from  $g_0^2 = 0$  to  $g_0^2 = \infty$ , then the confinement occurs even in the continuum theory
- Otherwise (there is phase transition), the lattice model cannot connect to the continuum theory. We cannot say anything about the continuum theory

# 4d U(1), 5d SU(2) cases

Michael Creutz (1979)

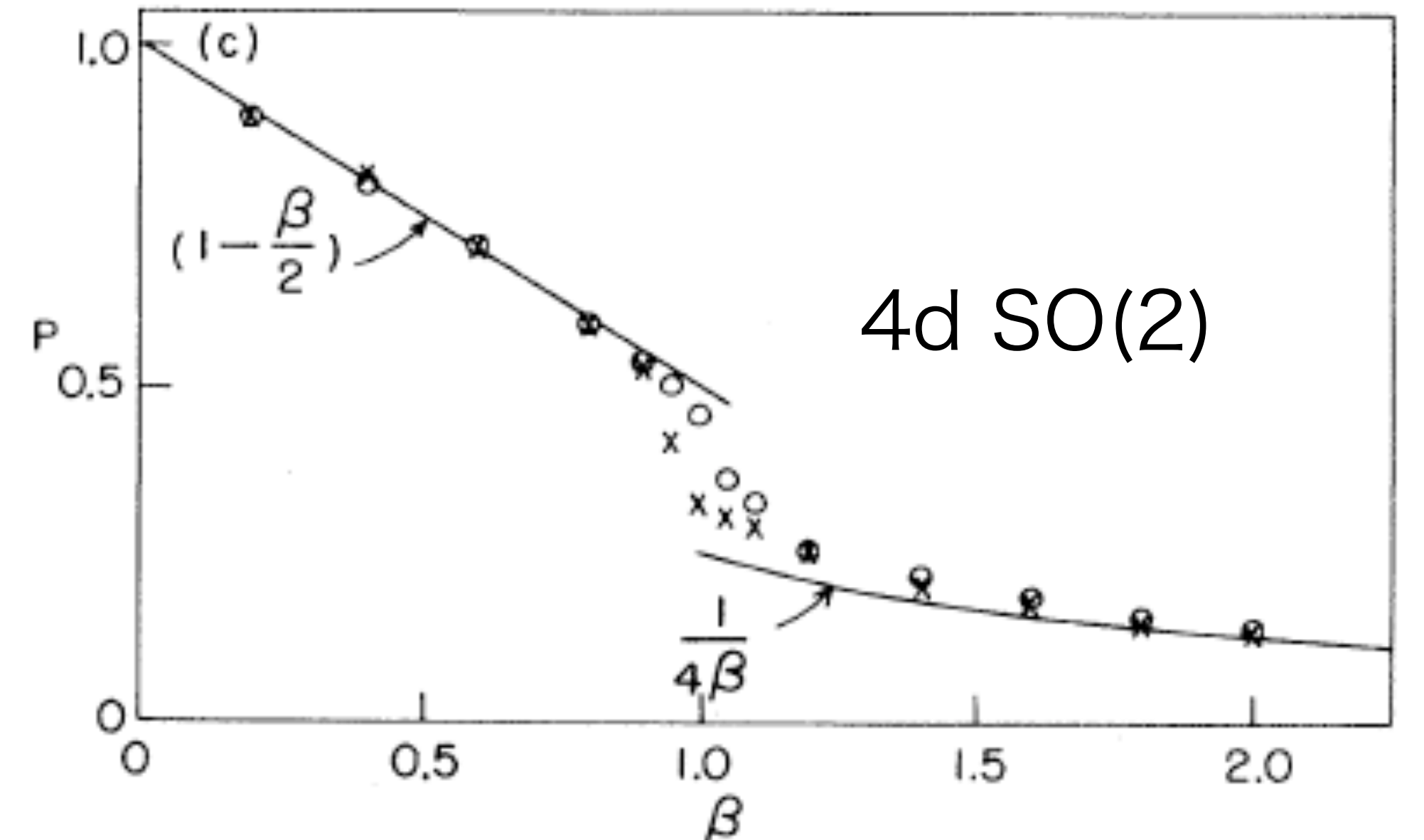
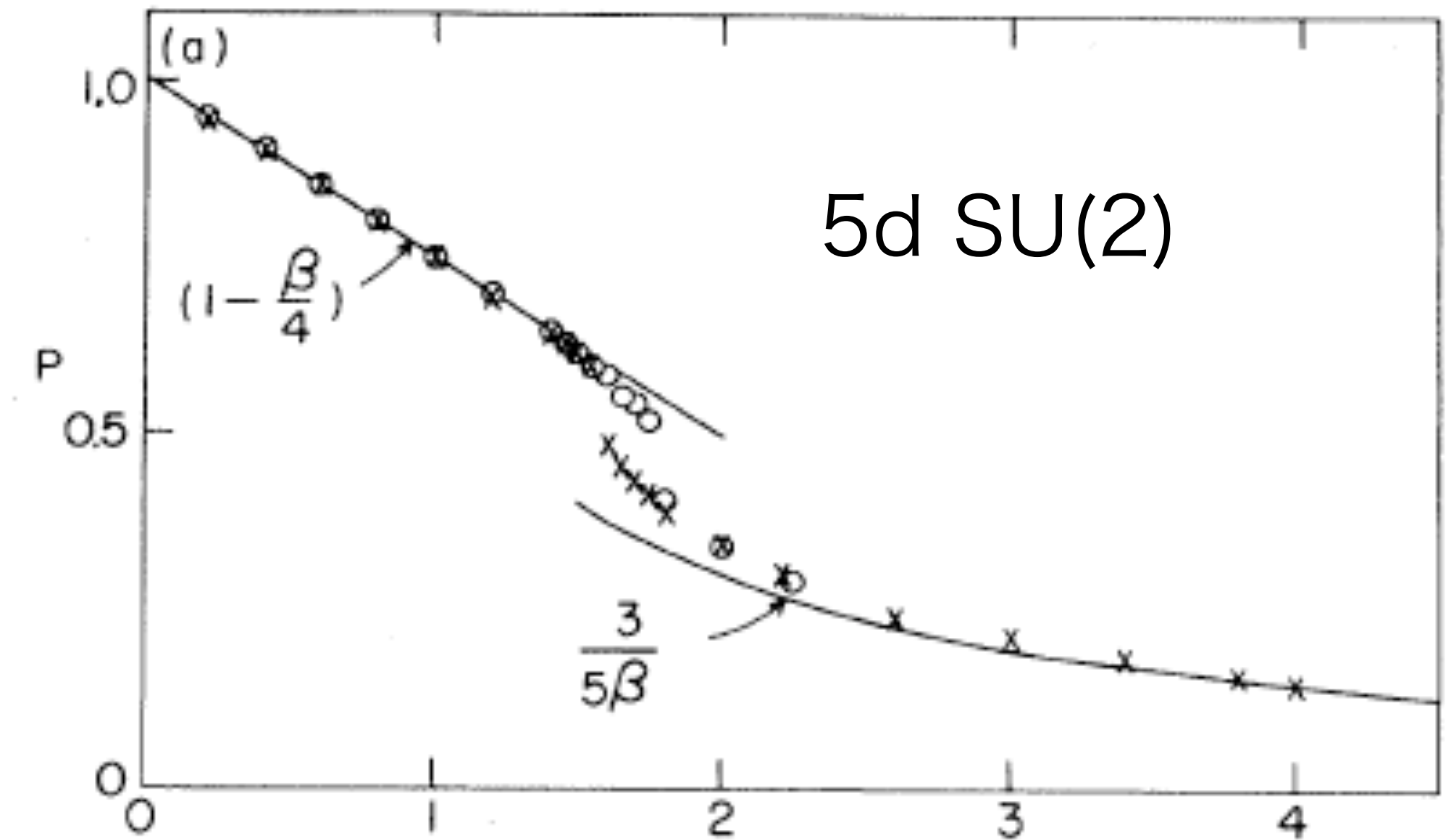
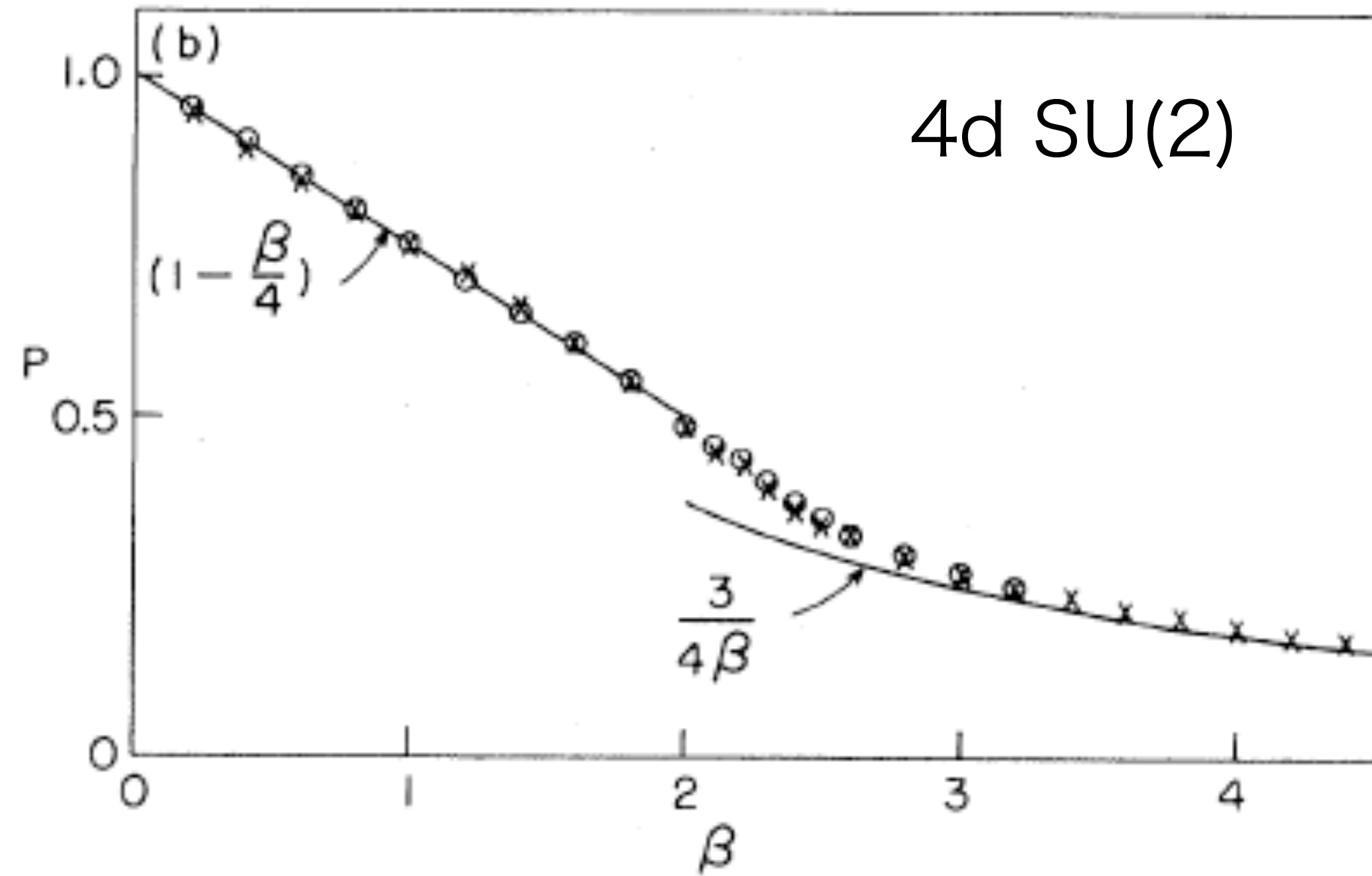


plaquette in 4d SU(2) smoothly connects between weak and strong coupling region  
But, there is 1st order phase transition 4d SO(2)~U(1), which has a Landau pole.

The ill-defined theory as a quantum field theory (nonperturbative sense),  
we cannot take a continuum limit at least from the plaquette gauge action.

# 4d U(1), 5d SU(2) cases

Michael Creutz (1979)



The 1st order phase transition emerges in 5d SU(2) as like 4d U(1) theory.

It suggests the critical dim. of non-abelian gauge theory is 4?

# From Yang-Mills to QCD w/ dynamical fermion

- QCD Lagrangian:  $\mathcal{L} = -\frac{1}{4}F_{\mu\nu}^a F_{\mu\nu}^a + \bar{\psi}(i\gamma_\mu D_\mu + m)\psi$

We want to know  $\langle \mathcal{O} \rangle = \frac{1}{Z} \int D\phi \mathcal{O} e^{-S[\phi]}$

- Difficult to deal with the fermion dof. (Grassmann number) on computers

- In the partition fn. :  $Z = \int \mathcal{D}A_\mu \mathcal{D}\bar{\psi} \mathcal{D}\psi \exp[-S_G(A_\mu) + \int d^4x \bar{\psi}(i\gamma_\mu D_\mu(A_\mu) + m)\psi(x)]$

Perform the Gaussian integral of  $\psi$  and  $\bar{\psi}$

$$Z = \int \mathcal{D}A_\mu \sqrt{\det[i\gamma_\mu D_\mu(A_\mu) + m]} \exp[-S_G(A_\mu)] \text{ written only by gauge fields!}$$

# Short summary, so far

- Lattice regularization is gauge invariant one.  
It is only known the gauge invariant and nonperturbative formula.
- Quark confinement can be shown using the strong coupling expansion analytically
- In weak coupling regime, the lattice action converges to QCD action
- Lattice numerical results correctly reproduce both weak and strong coupling expansions, and smoothly connect them
- From lattice model calculation, we can obtain  $\langle \mathcal{O} \rangle$  of actual QCD



# 3. Introduction to numerical calculation

# Lattice QCD and supercomputer usage

Slide of Lena Funcke @ Lattice2022

- Interesting dynamics of QCD  
confinement  
chiral symmetry breaking  
hadron spectrum  
instanton effect  
hadron scattering/potential  
thermodynamic quantities
- 40% of supercomputer resources are used!  
(In Fugaku case, around 25%)

Supercomputer usage for different fields (INCITE 2019)

→ **Lattice QCD: ~ 40%**

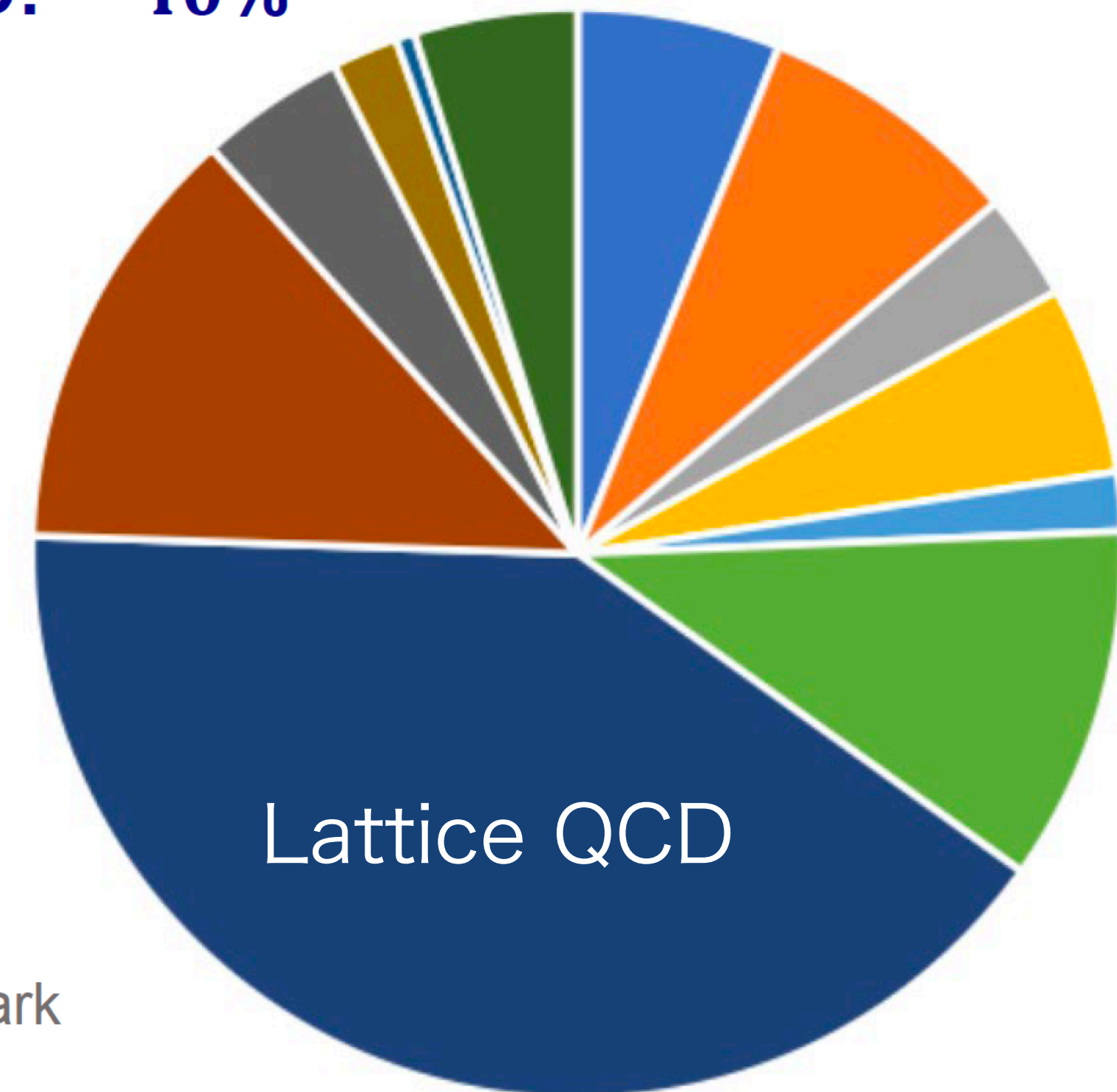
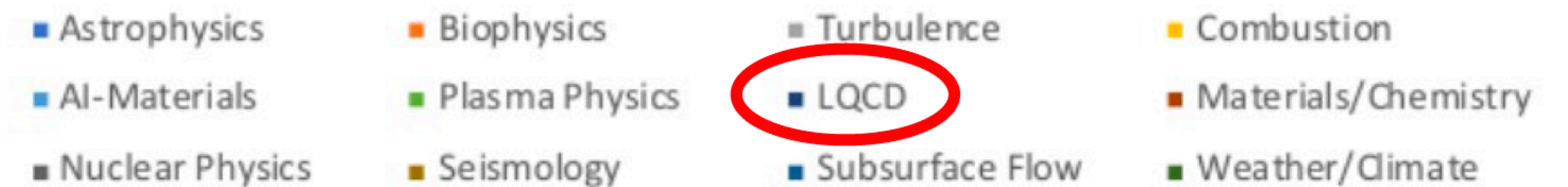


Figure credit:  
Jack Wells, Kate Clark



# Supercomputer Fugaku @ RIKEN in Kobe

Fugaku = 富岳 = Mt. Fuji

- first place in four global supercomputer rankings for 2 years  
「TOP500」 「HPCG」 「HPL-AI」 「Graph500」



iji-t.com

- performance : 400 PFlops

$4 \times 10^{17}$  times floating-point operations per second

Total memory :

4.85PiB(ペビバイト,  $1\text{PiB}=2^{50}\text{B}$ )

In this lecture, I sometimes assume a recent work on HAL QCD collaboration ([arXiv:2406.16665](https://arxiv.org/abs/2406.16665)), which has been done by Fugaku.

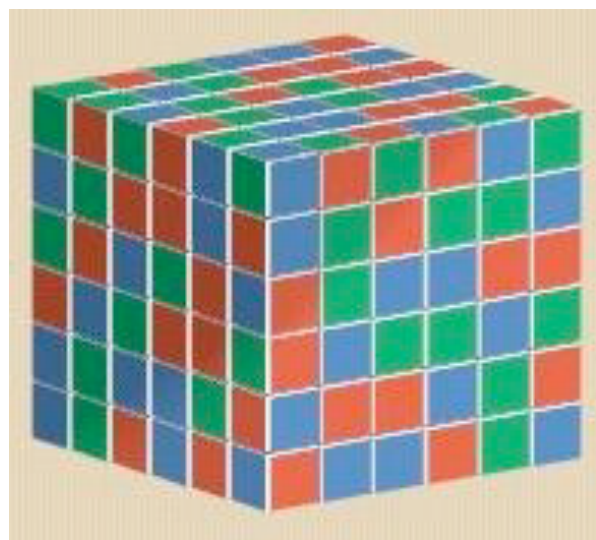


# Calculation strategy of Lattice QCD

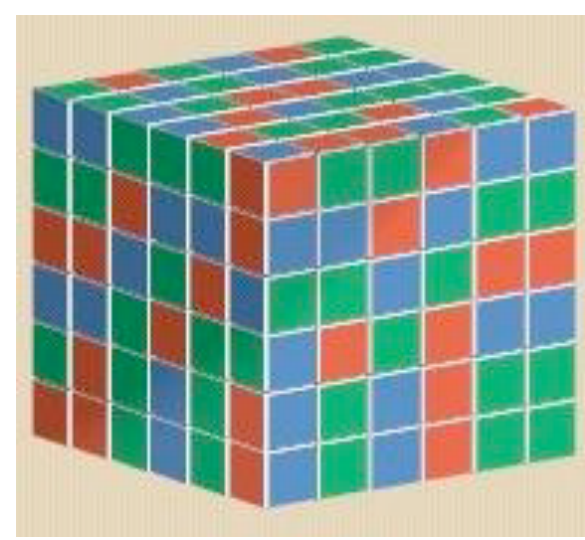
- We want to calculate the value:  $\langle \mathcal{O} \rangle = \frac{1}{Z} \int D\phi \mathcal{O} e^{-S[\phi]}$
- Main message here:  
Not perform an actual integration!!  
Estimate the value of  $\langle \mathcal{O} \rangle$ !
- For instance, the work on HAL QCD paper, we perform the calculation corresponding to " $6 \times 10^9$  dof of integral" @ Fugaku supercomputer

# Calculation strategy of Lattice QCD

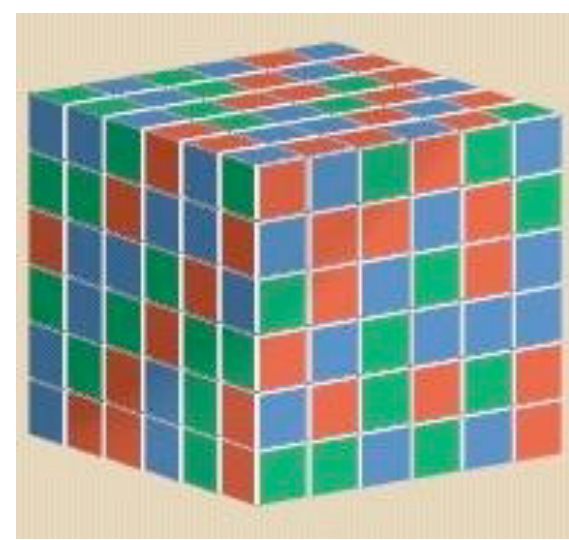
- We want to calculate the value:  $\langle \mathcal{O} \rangle = \frac{1}{Z} \int D\phi \mathcal{O} e^{-S[\phi]}$
- Step1 : Generate configuration samples
- Step2 : Measure the value of observable for each conf.



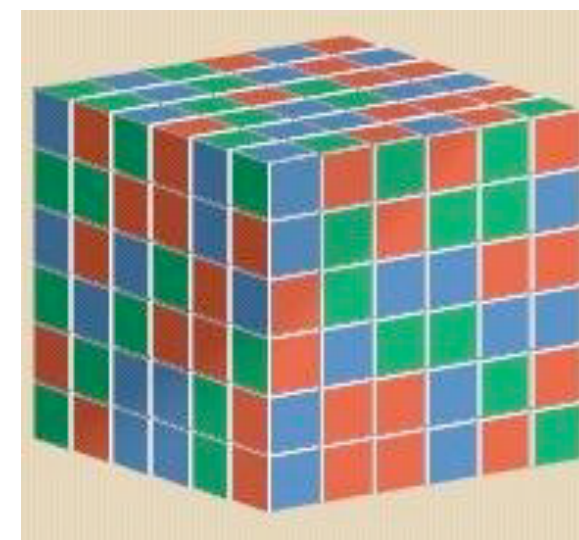
$\mathcal{O}_1$



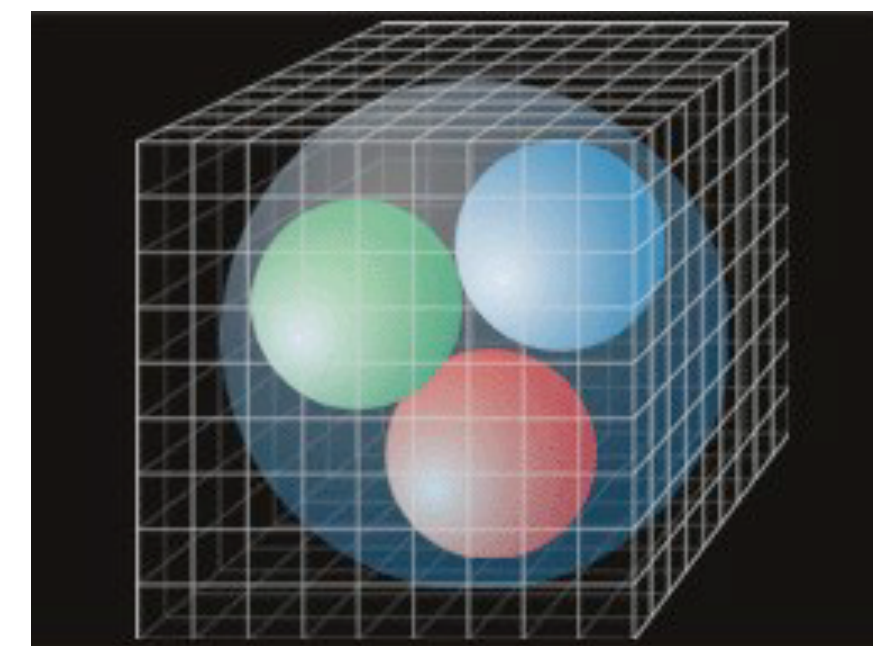
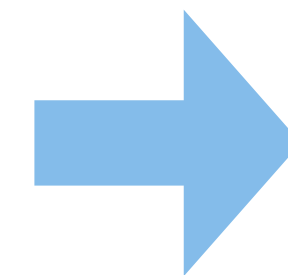
$\mathcal{O}_2$



$\mathcal{O}_3$



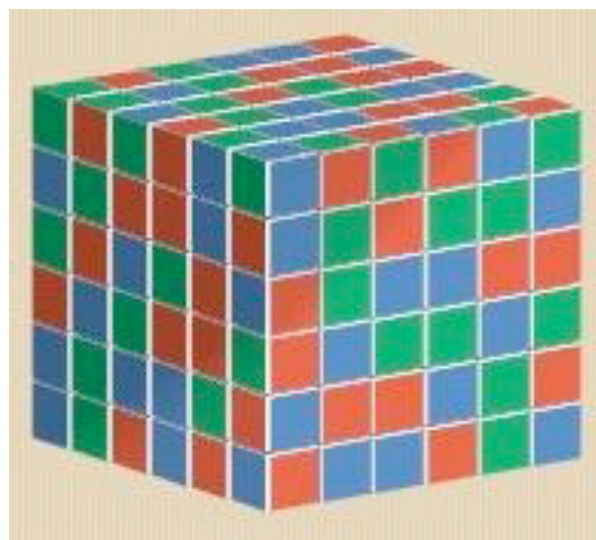
$\mathcal{O}_N$



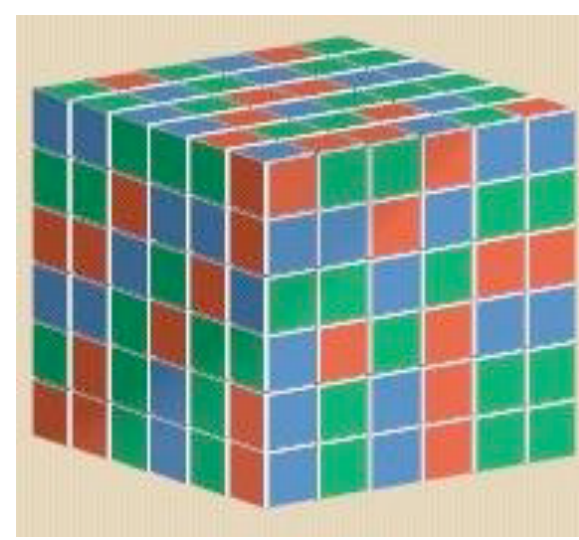
$$\langle O \rangle = \lim_{N \rightarrow \infty} \frac{1}{N} \sum_i^N O_i$$

# Calculation strategy of Lattice QCD

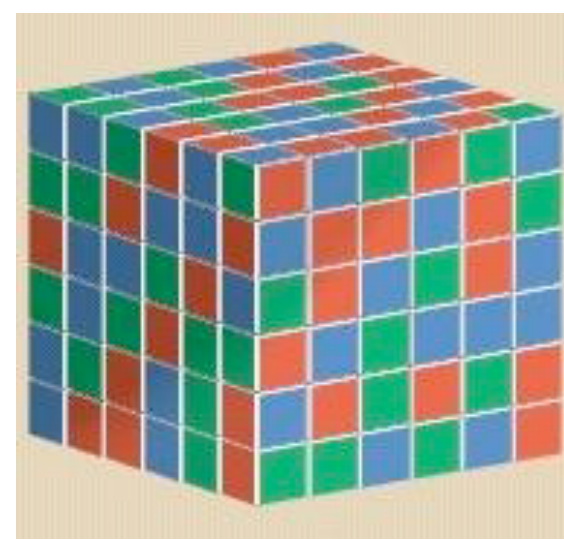
- We want to calculate the value:  $\langle \mathcal{O} \rangle = \frac{1}{Z} \int D\phi \mathcal{O} e^{-S[\phi]}$
- **Step1 : Generate configuration samples**  
High calculation cost. On HAL QCD paper, we need 1.5 years in total. We store the ensembles. In some cases, the ensembles are shared globally.
- **Step2 : Measure the value of observable for each conf.**  
Cost depends on quantities. In nuclear force calculations, it needs much more time than Step1



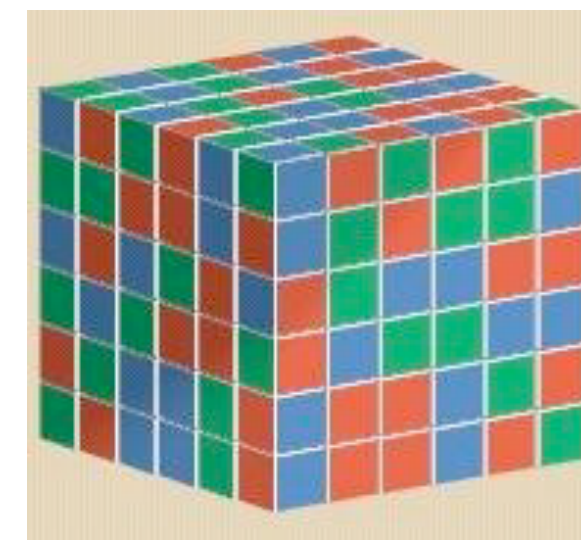
$\mathcal{O}_1$



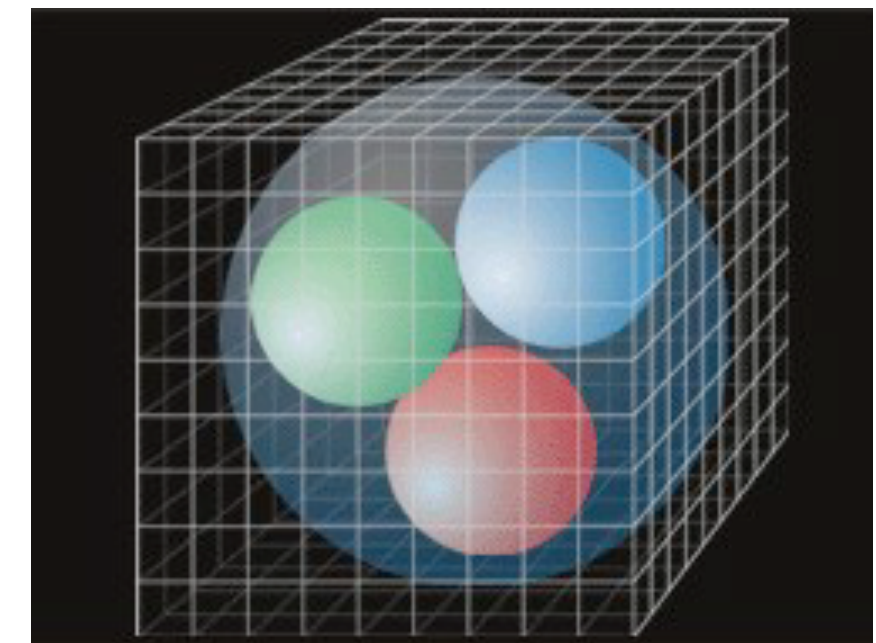
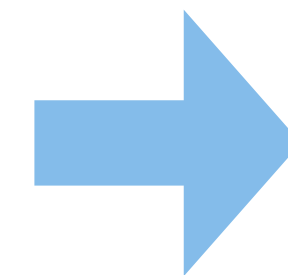
$\mathcal{O}_2$



$\mathcal{O}_3$



$\mathcal{O}_N$



$$\langle O \rangle = \lim_{N \rightarrow \infty} \frac{1}{N} \sum_i^N O_i$$

# 4. Configuration generation

# Methodology of configuration generation

4-1. What is Monte Carlo method

4-2. Importance sampling method

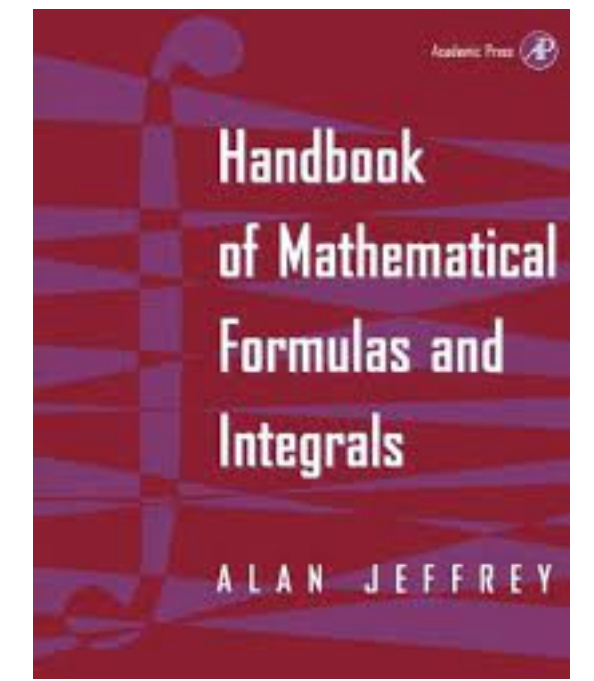
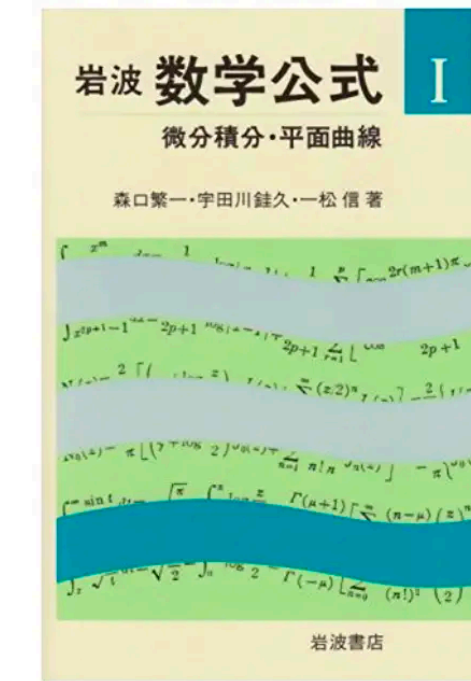
4-3. Algorithms and open codes



# 4-1. What is Monte Carlo method

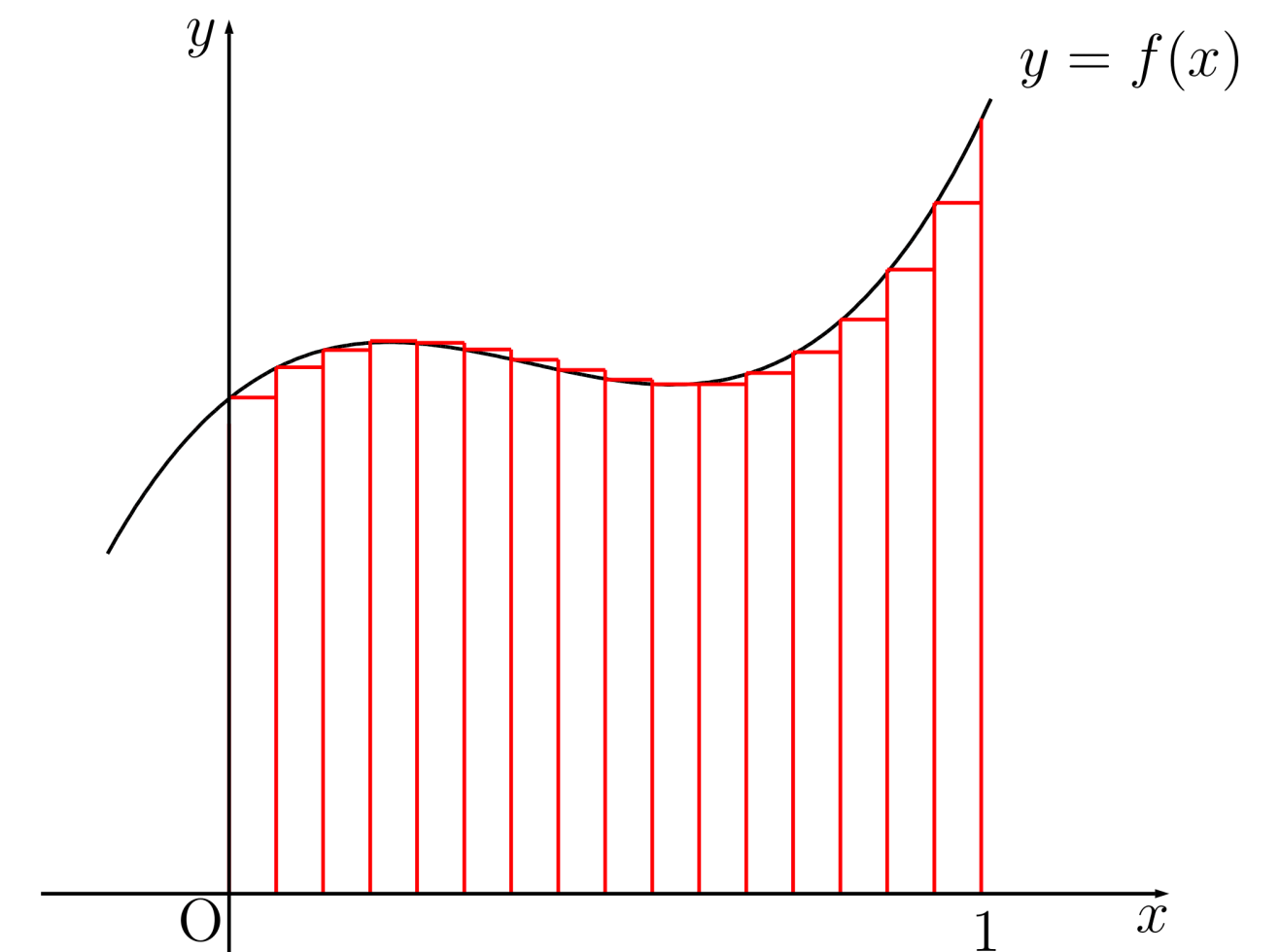
# How to calculate integral

- See a library of integral formulas for typical fns. and apply them as needed



- Numerical integration approximated by rectangular

- **Monte Carlo method**  
(A method that humans cannot do)

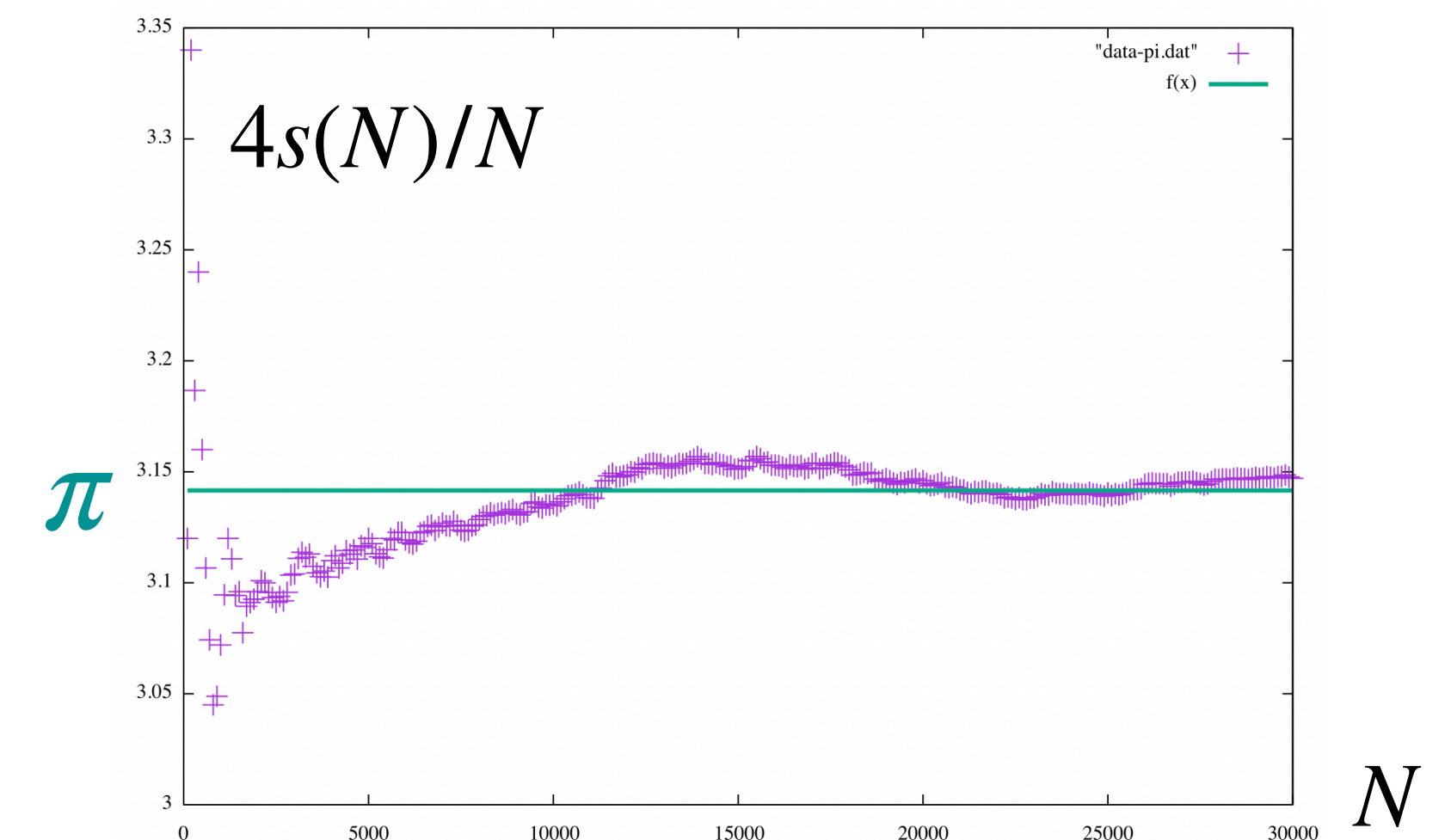
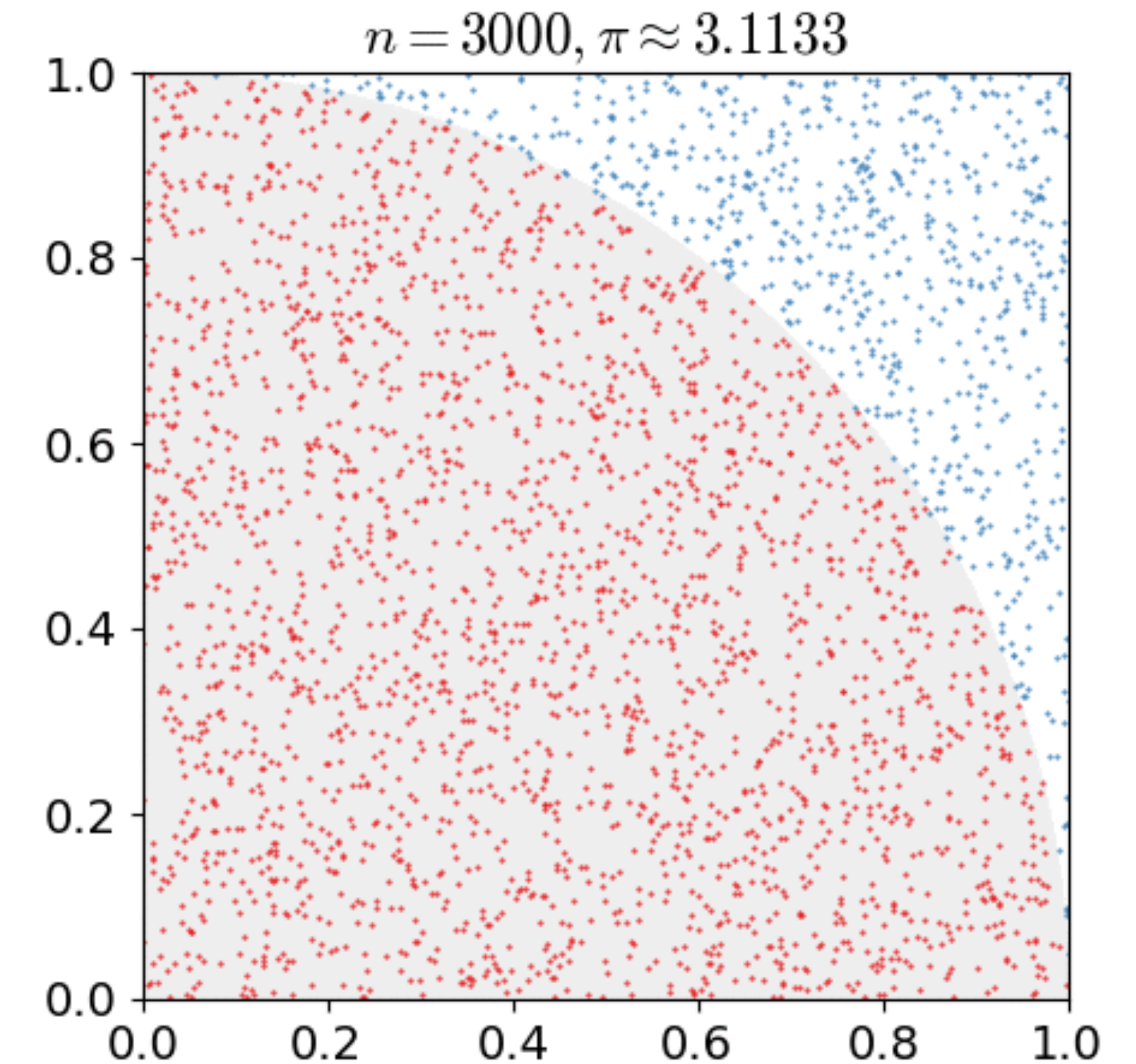


# Monte Carlo method of integration

- Consider the area of quarter circle w/ radius 1

$$S = \int_0^1 \sqrt{1-x^2} dx = \frac{\pi}{4}$$

- Generate two uniform random numbers w/ interval [0:1]
- Put a dot at  $(x_i, y_i) = (\text{1st \#}, \text{2nd \#})$
- Repeat N-times, and count the data point  $(s(N))$  satisfying  $x_i^2 + y_i^2 \leq 1$
- Area of quarter circle :  $S = \lim_{N \rightarrow \infty} s(N)/N$



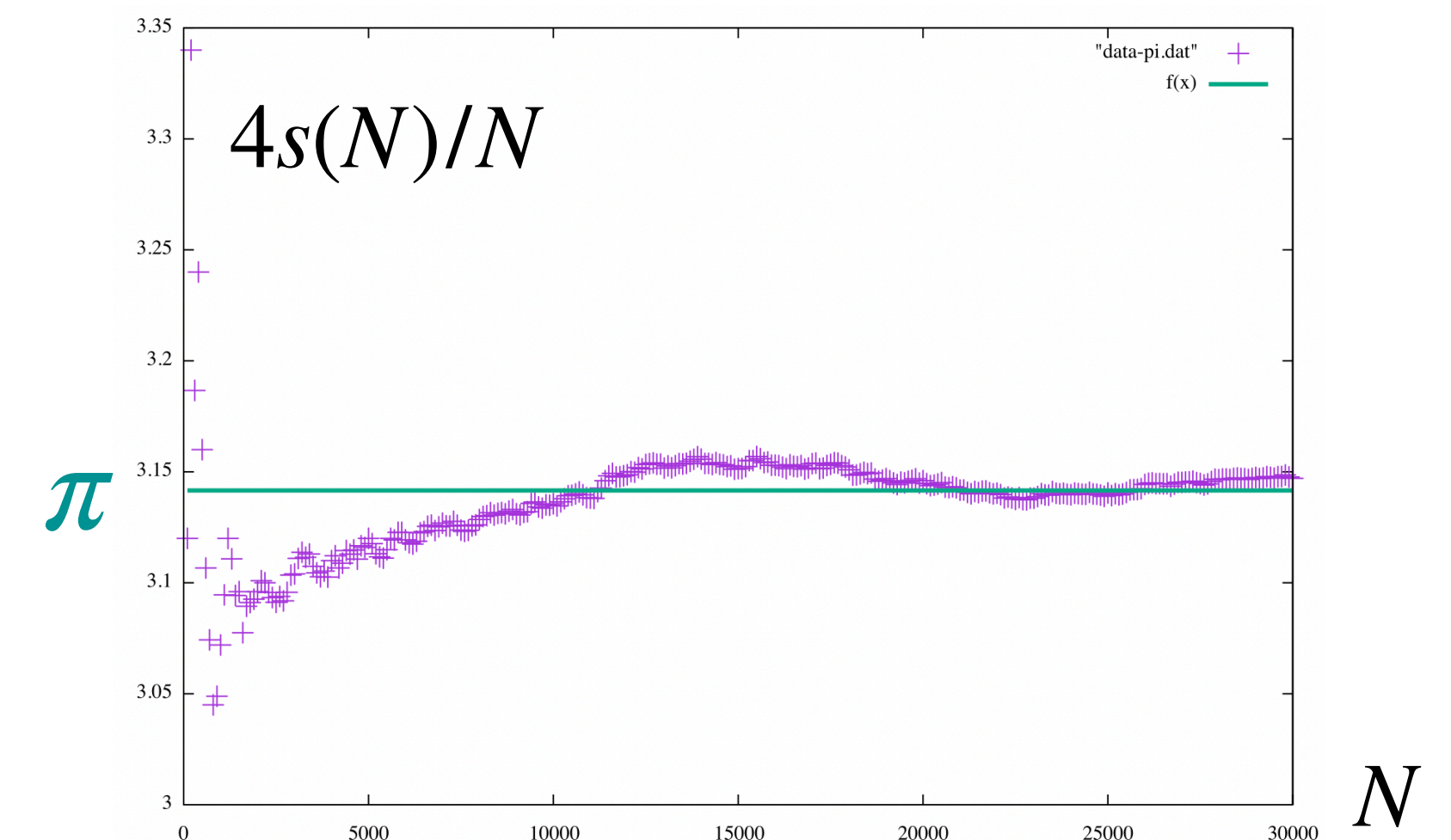
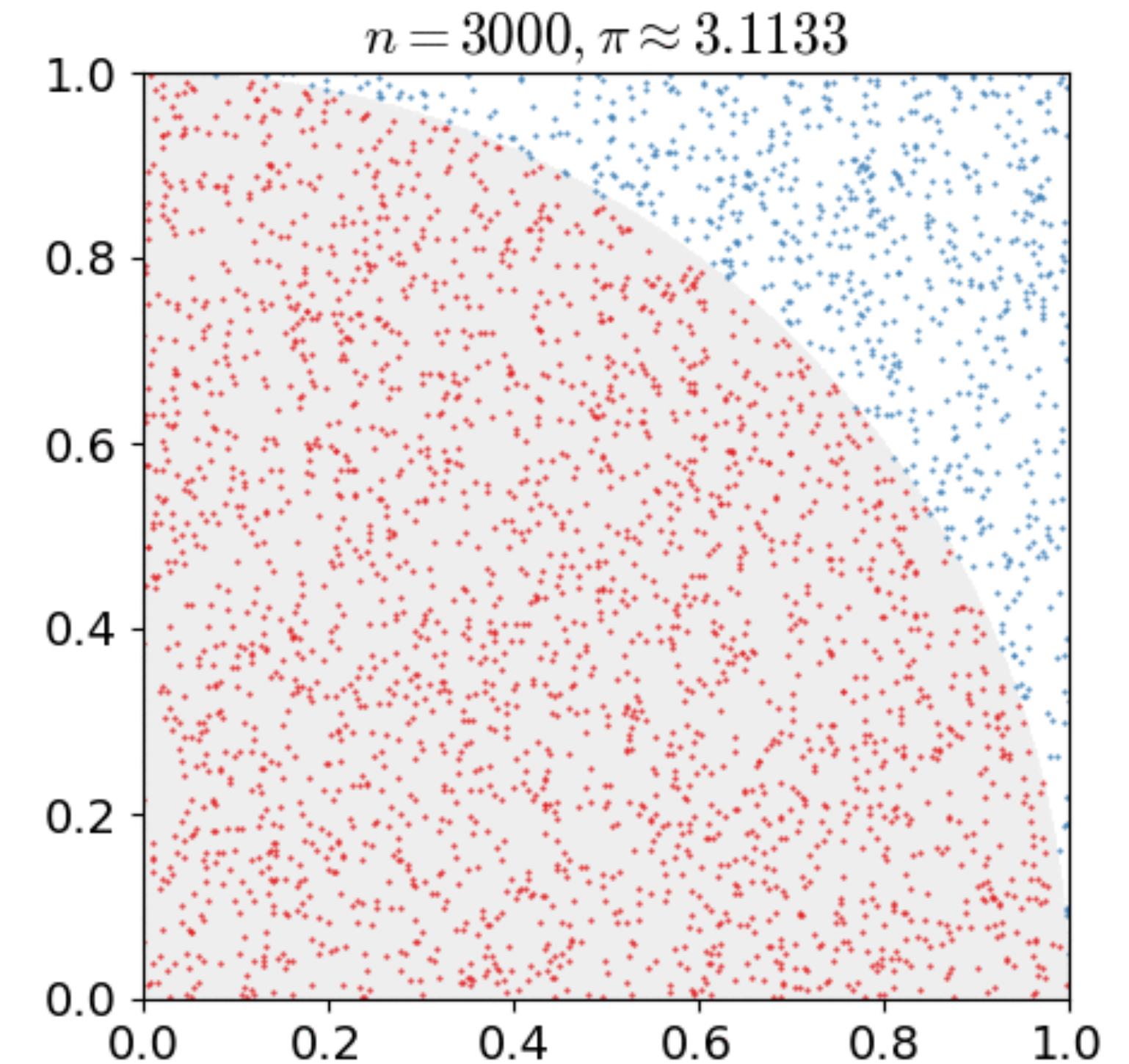
# Monte Carlo method of integration

- Estimate the integral

$$\int f(x)dx$$

- (1) Generate random number ( $X_i$ )
- (2) Using  $X_i$ , calculate  $f(X_i)$
- (3) take an average  $\frac{1}{N} \sum_i f(X_i)$
- (4) the expectation value is given by

$$\langle f \rangle = \frac{1}{N} \sum_i f(X_i) + \mathcal{O}\left(\frac{1}{\sqrt{N}}\right), \text{ so take } N \rightarrow \infty!$$



# Advantages of the Monte Carlo method

- **Faster algorithm even for the d.o.f. increases**

multiple integral

$$I = \int_0^1 dx_1 \int_0^1 dx_2 \cdots \int_0^1 dx_n f(x_1, \cdots, x_n)$$

Numerical integration (区分求積法)  
suffer from "The curse of dimensionality"  
(exp. increasing of complexity)

Using a set (N) of uniform random numbers w/ [0:1] for integral variables  $x_1, \cdots, x_n$

( $X_{i,j}, i = 1, \cdots, n, j = 1, \cdots, N$ ), we can estimate of I as

$$I = \lim_{N \rightarrow \infty} \frac{1}{N} \sum_j f(X_{1,j}, \cdots, X_{n,j})$$

Error from the true value is independent of dimensionality ( $n$ ). It scales as  $\mathcal{O}(1/\sqrt{N})$

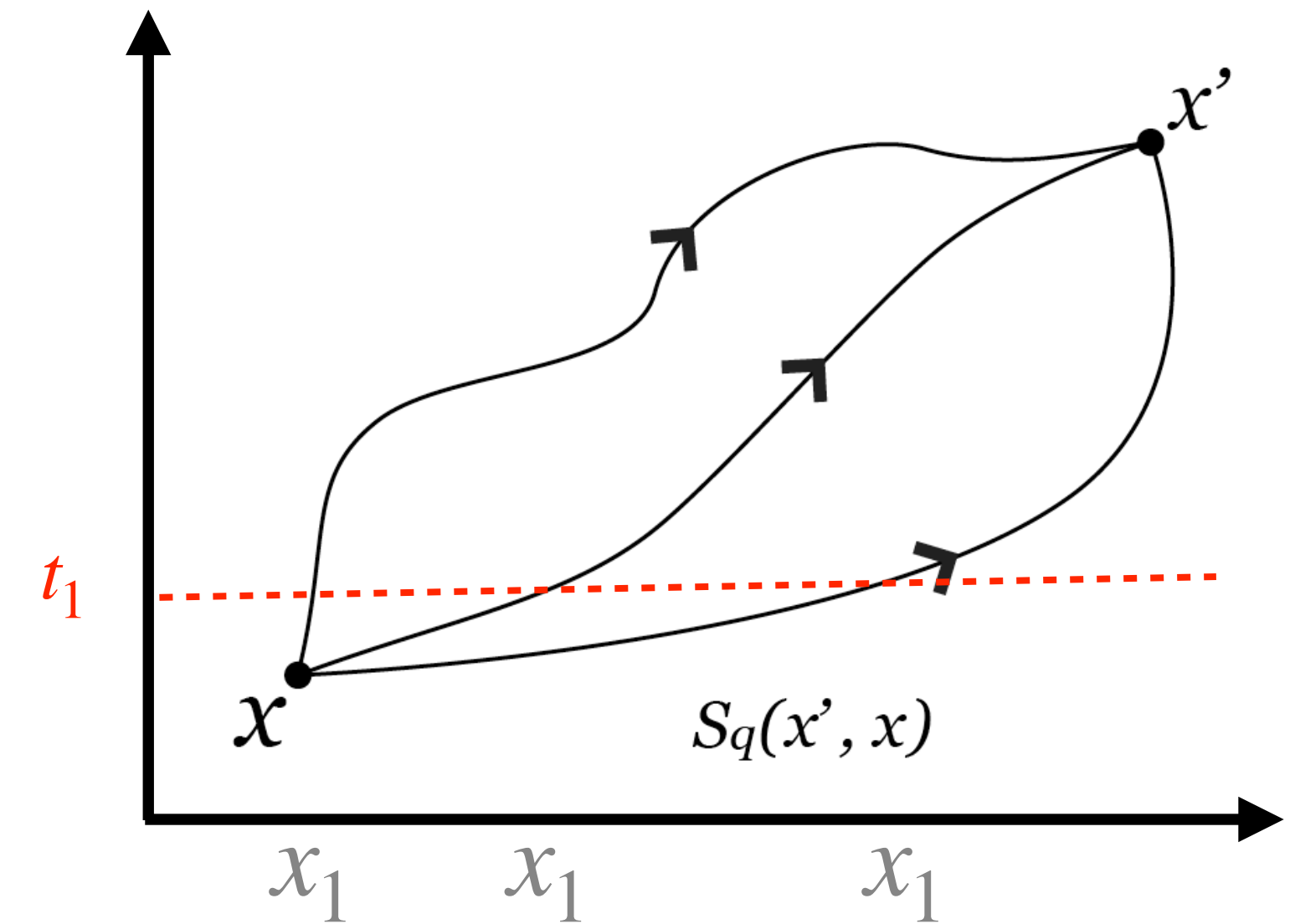
- If you can generate uniform random numbers at fast, simply calculate the average value of the function f using the random numbers!

# 4-2. Importance sampling method

# Configuration generation

- Now, we know that the Monte Carlo method must be useful.

But using uniform number is not effective for physical system. We improve it.



- Our target:  $\langle \mathcal{O} \rangle = \frac{1}{Z} \int D\phi \mathcal{O} e^{-S[\phi]}$  for QCD observables and QCD action
- Simple ex.) Path integral of propagation  $x$  to  $x'$  in quantum mechanics

$$\langle x'(t) | x(0) \rangle = \int dx_1 dx_2 \cdots dx_N \langle x' | e^{-iH\Delta t} | x_N \rangle \cdots \langle x_2 | e^{-iH\Delta t} | x_1 \rangle \langle x_1 | e^{-iH\Delta t} | x \rangle$$

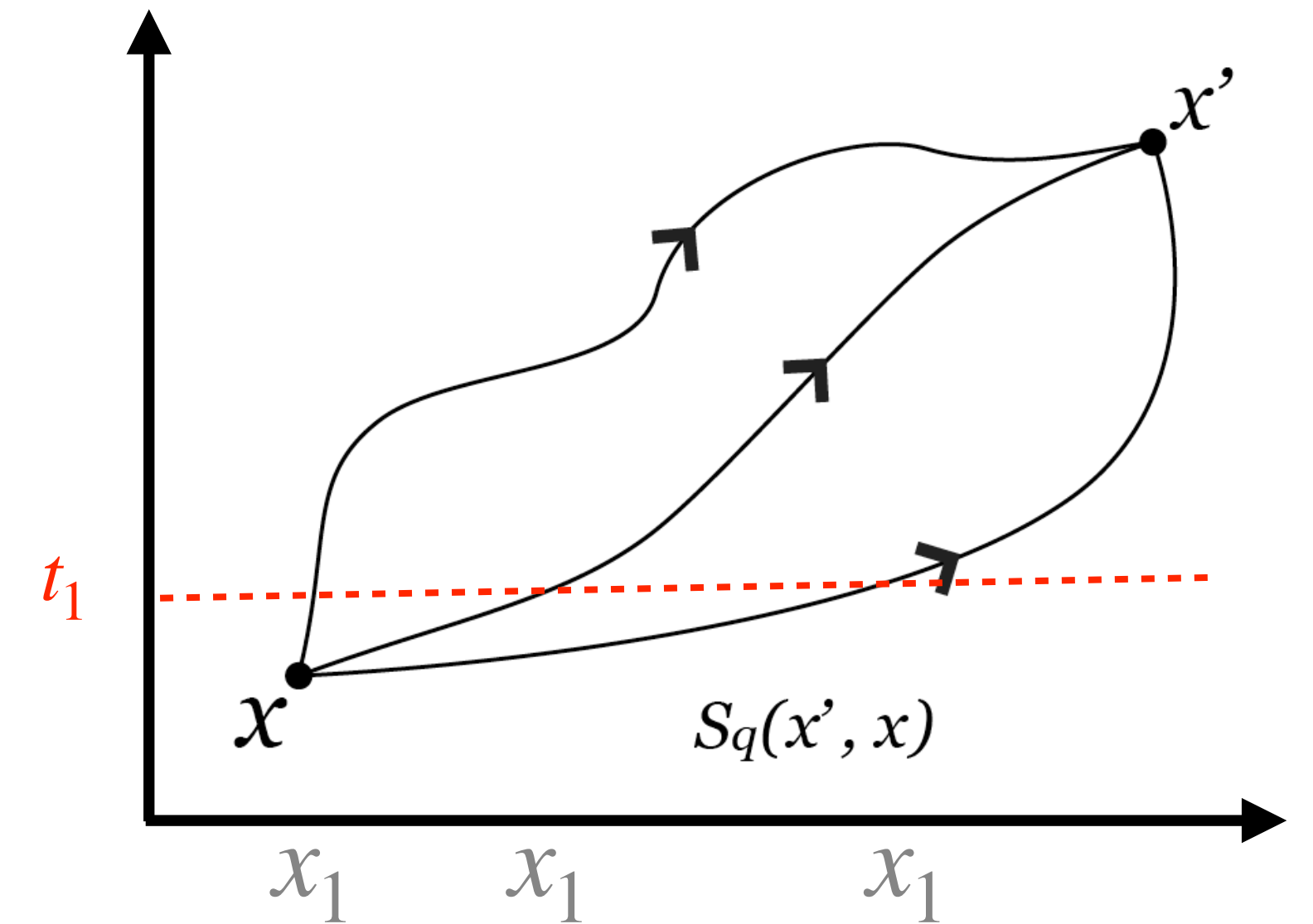
# Monte Carlo methods in quantum theory

- To obtain

$$\langle x'(t) | x(0) \rangle = \int dx_1 dx_2 \cdots dx_N \langle x' | e^{-iH\Delta t} | x_N \rangle \cdots \langle x_1 | e^{-iH\Delta t} | x \rangle$$

we take a sum of all path contributions

- **Easier paths** and **less-frequented paths** depending on the potential
- Monte Carlo method using uniform random numbers is not effective, then change to **importance sampling method** (effectively collect easier paths )





# Importance sampling method

- Hamiltonian (density) to Lagrangian density

$$H(x, p) \rightarrow L(x, \dot{x})$$

Euclideanization ( $it = \tau$  : imaginary-time)

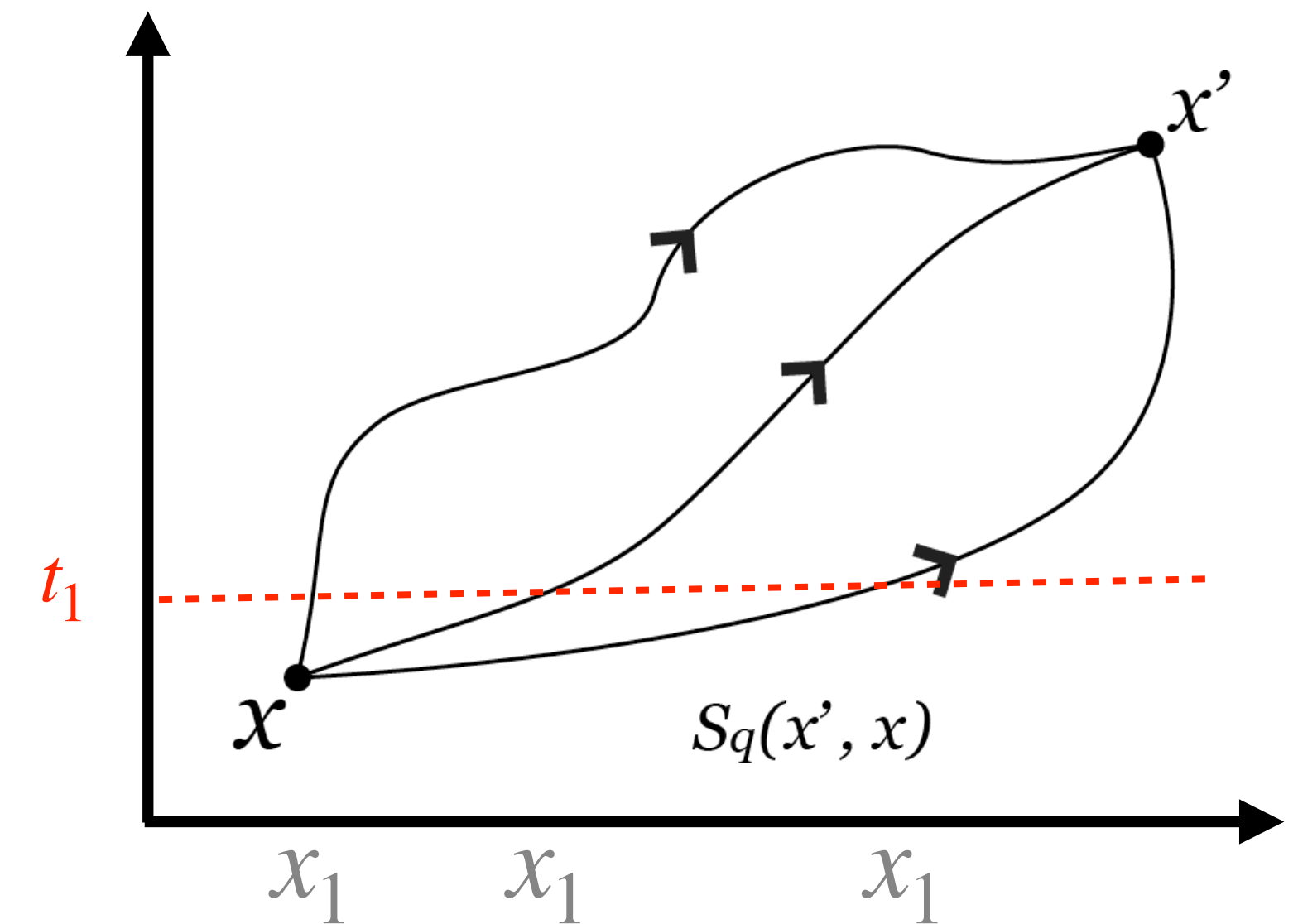
$$S = \int dt d\vec{x} L(x, \dot{x}) \rightarrow S_E = \int d\tau d\vec{x} L(x, \dot{x}),$$

The time evolution is written by  $e^{iH\Delta t} \rightarrow e^{iL\Delta t}$  and then  $e^{iS} \rightarrow e^{-S_E}$

- In ex. for quantum mechanics,

$$\langle x'(t) | x(0) \rangle = \int dx_1 dx_2 \cdots dx_N \langle x' | e^{-iH\Delta t} | x_N \rangle \cdots \langle x_2 | e^{-iH\Delta t} | x_1 \rangle \langle x_1 | e^{-iH\Delta t} | x \rangle \Rightarrow \langle x'(t) | x(0) \rangle = \int dx_1 \cdots dx_N x'(t) x(0) e^{-S_q(x', x)}$$

$S_q(x', x)$  is the action for the path q



# Importance sampling method

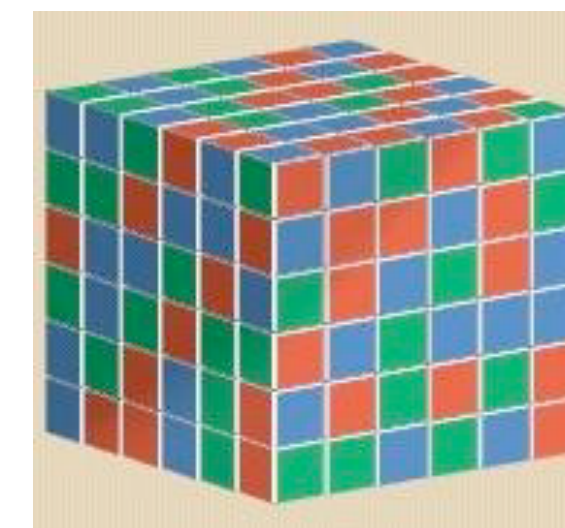
- Generate integral variable  $x_n$  w/ the Boltzmann weight  $e^{-S_q(x',x)}$  from random numbers instead of uniform random numbers.

For small  $S_q(x',x)$ ,  $e^{-S_q(x',x)}$  takes large value.

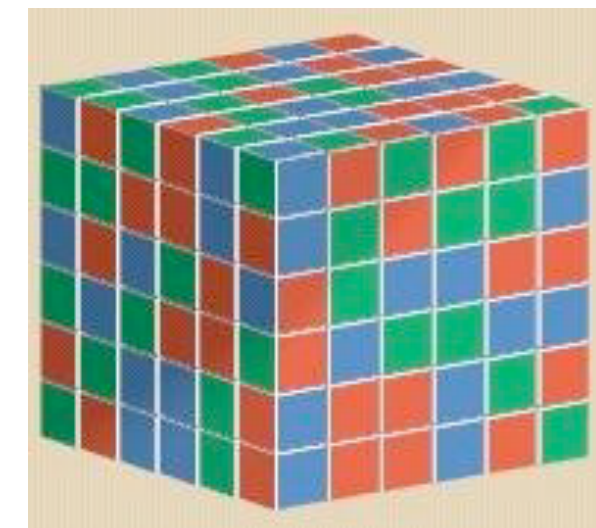
=> Gives a significant contribution to the integral

=> Such configuration  $x$  are frequently generated

- For QCD,  $S_q(x',x) \rightarrow S_E[\phi]$  : Euclidean QCD action and generate gluon configuration  $\phi$  from random numbers



...



# 4-3. Algorithms

# How to generate configuration numerically?

- Pseudo-Heat bath method... obtain SU(2) matrix from random numbers  
SU(3) matrix is constructed by combination of SU(2) matrices  
Most efficient for Yang-Mills theory  
(consider quarks are heavy and decoupled, so-called quenched QCD)
- Hybrid Monte Carlo (HMC) algorithm  
for QCD w/ even-number quarks (Nf=2 QCD)
  - Molecular dynamics methods and the Metropolis Test  
Solve (discrete) time evolution step for gluons and quarks, but energy is not conserved in finite increments. Metropolis to the correct probability distribution.
- Rational Hybrid Monte Carlo for odd-number of quark system (Nf=2+1 QCD)
  - Dealing with quark action  $(\det DD^\dagger)^{N_f/2}$  using a rational approximation.

# Open code for QCD simulation

- MILC code (C++): USQCD
- Bridge++ (C++) : Japanese people (leader: H.Matsufuru in KEK)  
General purpose code  
(HMC for several fermion, measurements, SU(2) gauge theory)
- Lattice tool kit (fortran) : A. Nakamura  
For improved Wilson fermion, MPI, simple
- LatticeQCD.jl (Julia) : A. Tomiya
- Highly tuned fortran actually used in supercomputers such as Fugaku