



Gravitational form factors and Mechanical Properties of the Nucleon

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-- Experiments, Effective theories, and Lattice --

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Introduction: What do we know about nucleon?

Proton (1920 named by Rutherford)

Mass: 938.27 MeV

Spin: 1/2

Charge: 1

Magnetic moment: $2.79 \mu_N$

Neutron (found in 1932 by Chadwick)

Mass: 939.57 MeV

Spin: 1/2

Charge: 0

Magnetic moment: $-1.91 \mu_N$

We still do not know the origin of the nucleon mass and spin.



EIC: Physics of gluons

- Understanding the gravitational form factors
- Mechanical properties of the nucleon

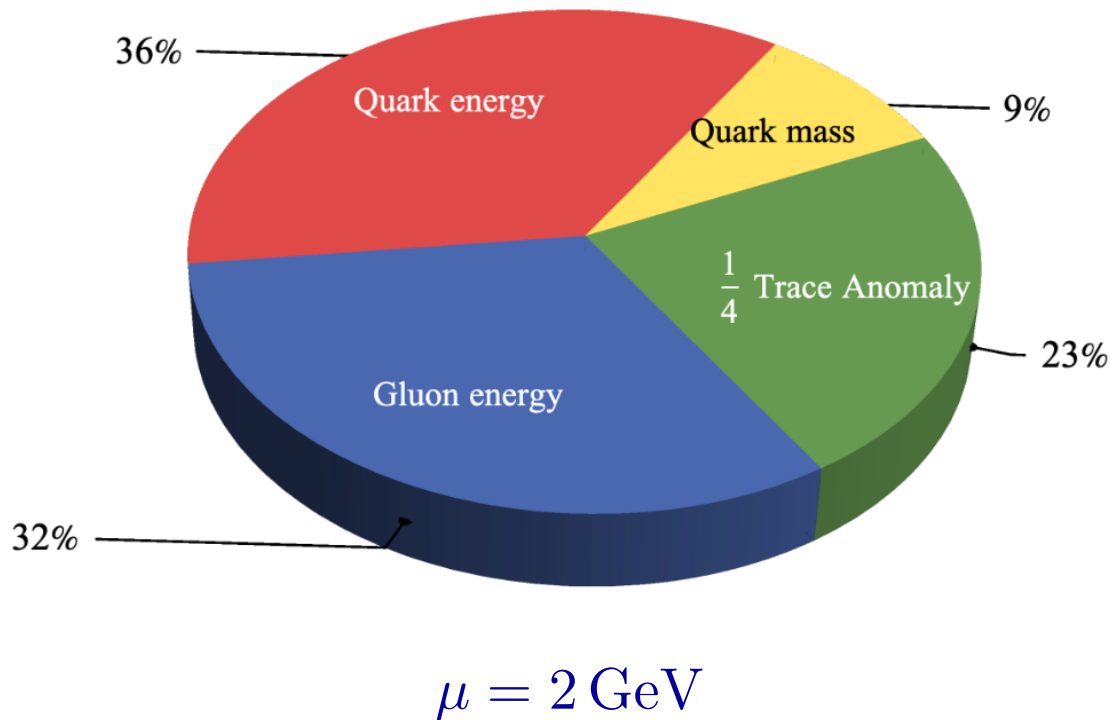
Introduction: Nucleon Mass

Ji's mass decomposition: $M_N = M_G^N + M_Q^N + M_A^N + M_m^N$

X. Ji, Front. Phys. (Beijing) 16, 64601 (2021)

Example

Lattice (χ QCD collaboration)



Yang et al. PRL 121, 212001 (2018)

C. Lorce, JHEP 11, 121 (2021)

W. Liu, Shuryak, Zahed, 2404.03057

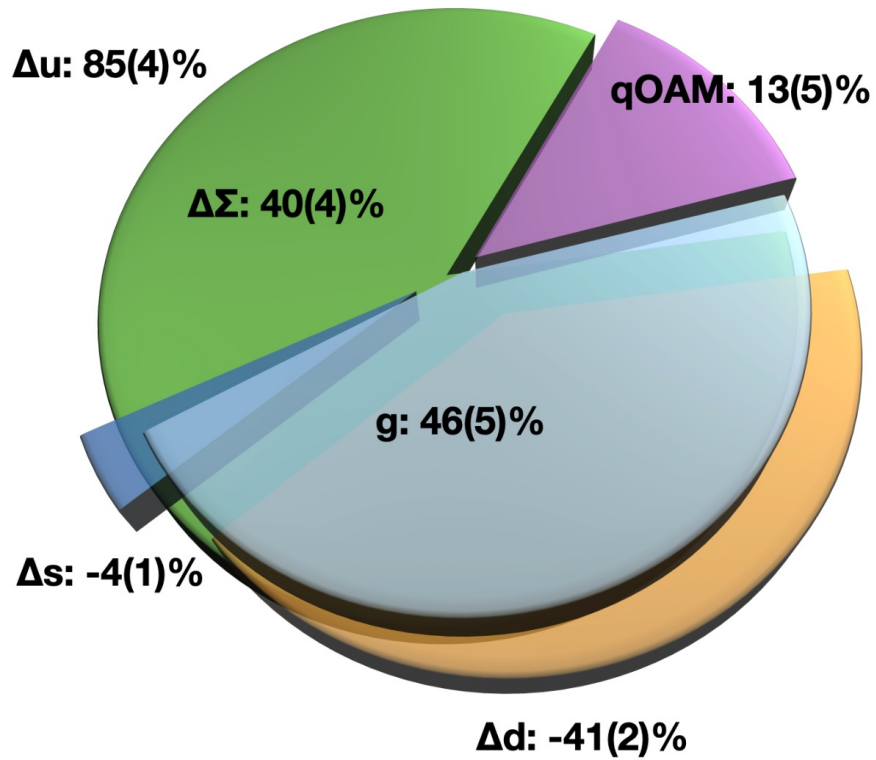
K.F. Liu, 2302.11600

Introduction: Nucleon Spin

Ji's spin sum rule: $J = J_q + J_G = \frac{1}{2}\Delta\Sigma + L_q + J_G$

X. Ji, Phys. Rev. Lett. 78 610, (1997)

Example



All information on mass & spin from GFFs

The gravitational form factors of the nucleon reveal all information related to the nucleon mass & spin.

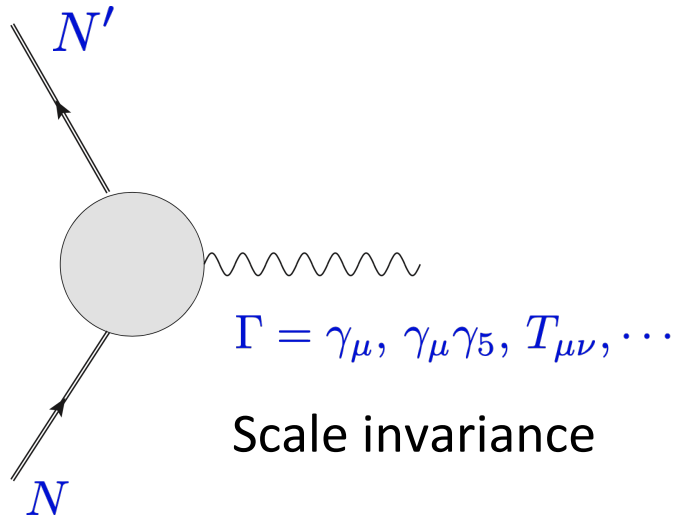


EIC: Physics of gluons

- Understanding the gravitational form factors
- **Mechanical properties of the nucleon**

Introduction: Hadron Structure in QCD

Noether current as a probe

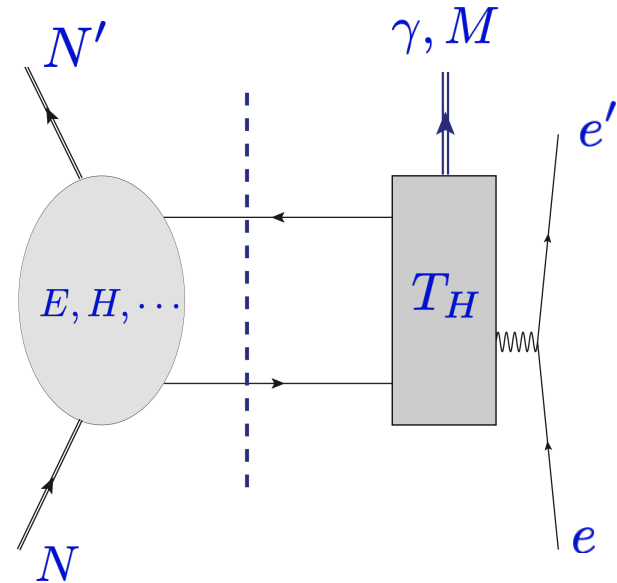


- EM form factors
- Electroweak form factors
- **Gravitational form factors**

governed by symmetries

Exception: Tensor form factors

QCD operators



Soft part (npQCD)

Hard part (pQCD)

$$\bar{\psi} \gamma^\alpha \nabla^{\beta_1} \dots \nabla^{\beta_n} \psi$$

$$G^{\alpha_1 \beta} \dots F^{\beta \alpha_n}$$

$$G^2, G\tilde{G}, \dots$$

- Scale-dependent
- Gluons enter!
- Hadronic matrix elements

Effective QCD operators

QCD



Low-energy Effective
quark-gluon dynamics
(Essential physics: SBXB)
 $\mu \sim 1 \text{ GeV}$

QCD operators



Effective QCD operators

$V^\mu, A^\mu, T^{\mu\nu}$



$V_{\text{eff}}^\mu, A_{\text{eff}}^\mu, T_{\text{eff}}^{\mu\nu}$

$\bar{\psi} \gamma^\alpha \nabla^{\beta_1} \dots \nabla^{\beta_n} \psi$

$G^{\alpha_1 \beta} \dots F^{\beta \alpha_n}$



$G^2, G\tilde{G}, \dots$

?

Twist-2

Twist-2 effective operators
are determined by dynamics.
(from the instanton vacuum)

Example: Flavor-decomposition of EMT operators

- Energy-momentum tensor operators:

$$T^{\mu\nu} = \sum_q T_q^{\mu\nu} + T_g^{\mu\nu}, \quad \partial_\mu T^{\mu\nu} = 0$$

M.V. Polyakov and P. Schweitzer, *IJMP. A* 33 (2018)

$$T_q^{\mu\nu} = \frac{i}{4} \bar{\psi}_q \left(\gamma^{\{\mu} \overleftrightarrow{D}^{\nu\}} \right) \psi_q, \quad T_g^{\mu\nu} = -F^{\mu\rho,a} F_{\rho}^{\nu,a} + \frac{1}{4} g^{\mu\nu} F^{\lambda\rho,a} F_{\lambda\rho}^a,$$

QCD operators

Effective QCD operators

$$T_q^{\mu\nu} = \frac{i}{4} \bar{\psi}_q \left(\gamma^{\{\mu} \overleftrightarrow{D}^{\nu\}} \right) \psi_q \longrightarrow T_{x=0}^{\mu\nu}(x) = \frac{i}{4} \bar{\psi}(x) \gamma^{\{\mu} \overleftrightarrow{D}^{\nu\}} \lambda_0 \psi(x)$$

$$T_q^{\mu\nu}(x) + T_g^{\mu\nu}(x) \text{ [QCD]} \xrightarrow{\text{eff}} T_{x=0}^{\mu\nu}(x) \text{ (Gluons integrated out)}$$

However...

Flavor decomposition of GFFs requires both **twist-2 & twist-4 EMT operators**.
 But we don't know yet how to derive the **flavor-nonsinglet EMT currents**
 without ambiguity.

**Gravitational form factors
of
the proton**

Energy-Momentum Tensor operators

Hilbert-Einstein Action

$$S = -\frac{1}{16\pi G} \int d^4x \sqrt{-g} R + \int d^4x \sqrt{-g} L_M \quad g^{\mu\nu} = \eta^{\mu\nu} + \delta g^{\mu\nu}(\mathbf{r}) \quad \lambda_{\text{grav}} \gg M_N^{-1}$$

Changing the metric in the long-wave approximation,

we find the energy-momentum tensor (EMT) that characterizes the response of the nucleon to the static variation of the space-time metric:

$$T^{\mu\nu} = \frac{2}{\sqrt{-g}} \frac{\delta S_M}{\delta g_{\mu\nu}} \quad \partial_\mu T^{\mu\nu} = 0$$

Bellifante-Rosen type QCD EMT Current

$$\underline{T_q^{\mu\nu} = \frac{i}{4} \bar{\psi}_q \left(\gamma^{\{\mu} \overleftrightarrow{D}^{\nu\}} \right) \psi_q,} \quad \underline{T_g^{\mu\nu} = -F^{\mu\rho,a} F_{\rho}^{\nu,a} + \frac{1}{4} g^{\mu\nu} F^{\lambda\rho,a} F_{\lambda\rho}^a}$$

Quark part

$$\overleftrightarrow{D}^\mu = \overrightarrow{\partial}^\mu - 2igA^\mu$$

$$\overleftarrow{\partial}^\mu = \overrightarrow{\partial}^\mu - \overleftarrow{\partial}^\mu$$

$$a^{\{\mu} b^{\nu\}} = a^\mu b^\nu + a^\nu b^\mu$$

Gluon part

Gravitational (EMT) form factors

○ Gravitational form factors of the nucleon in QCD

Kobzarev et al. 1962; Pagels, 1966

D(Druck)-term Weiss & Polyakov, 1999

$$\langle p' | T^{\mu\nu}(0) | p \rangle = \bar{u}(p') \left[A^a(t) \frac{P^\mu P^\nu}{M_N} + J^a(t) \frac{iP^{\{\mu\sigma\nu\}\rho} \Delta_\rho}{2M_N} + \underbrace{D^a(t)}_{\delta g^{ij}} \frac{\Delta^\mu \Delta^\nu - g^{\mu\nu} \Delta^2}{4M_N} + \underbrace{M_N \bar{c}^a(t) g^{\mu\nu}}_{\text{Non-conservation of EMT pieces (cosmological constant)}} \right] u(p)$$

δg^{00}

\uparrow

$\sum_a A^a(0) = 1$ **Mass**

δg^{0i}

\uparrow

Spin

$\sum_a J^a(0) = \frac{1}{2}$

δg^{ij}

\uparrow

Deformation of space
= **mechanical** properties of the nucleon

O. V. Teryaev, Front. Phys. 11 (2016)
K.-F. Liu, PRD 104 (2021)

Pressure & Shear-force distributions (pressure anisotropy)

○ Twist-4 operators MV Polyakov, HD Son, JHEP (2018)

$$\langle p' s' | \frac{1}{2} i g \bar{\psi} G^{\beta\alpha} \gamma_\alpha \psi | p, s \rangle = M_N \bar{c}^Q \Delta^\beta \bar{u}(p', s') u(p, s)$$

$$\langle p' s' | \frac{1}{2} \text{tr}(G^{\beta\alpha} [iD^\sigma, G_{\sigma\alpha}]) | p, s \rangle = M_N \bar{c}^g \Delta^\beta \bar{u}(p', s') u(p, s)$$

$$\partial_\mu T^{\mu\nu} = 0 \rightarrow \sum_{q,g} \bar{c}^{q,g} = 0$$

Twist-projected EMT currents

H-Y. Won, HChK, J.-Y. Kim, JHEP 05 (2024)

$$T_a^{\mu\nu} = \bar{T}_a^{\mu\nu} + \hat{T}_a^{\mu\nu}$$

Twist-4 EMT current: $\hat{T}_a^{\mu\nu} = \frac{1}{4} g^{\mu\nu} T_{a,\alpha}^\alpha$

↓

Twist-2 EMT current: $\bar{T}_a^{\mu\nu} = T_a^{\mu\nu} - \frac{1}{4} g^{\mu\nu} T_{a,\alpha}^\alpha$

○ Twist-2 baryon matrix elements

$$\langle B(p', J'_3) | \bar{T}_{\mu\nu}^a(0) | B(p, J_3) \rangle = \bar{u}(p', J'_3) \left[A_B^a(t) \frac{P_\mu P_\nu}{M_B} + J_B^a(t) \frac{iP_{\{\mu\sigma\nu\}\rho} \Delta^\rho}{2M_B} + D_B^a(t) \frac{\Delta_\mu \Delta_\nu - t g^{\mu\nu}}{4M_B} \right. \\ \left. - g_{\mu\nu} \left\{ \frac{t}{8M_B} J_B^a(t) - \frac{3t}{16M_B} D_B^a(t) + \frac{M_B}{4} \left(1 - \frac{t}{4M_B^2} \right) A_B^a(t) \right\} \right] u(p, J_3)$$

○ Twist-4 baryon matrix elements

$$\langle B(p', J'_3) | \hat{T}_{\mu\nu}^a(0) | B(p, J_3) \rangle = \bar{u}(p', J'_3) \left[g_{\mu\nu} \left\{ M_B \bar{c}_B^a(t) + \frac{t}{8M_B} J_B^a(t) \right. \right. \\ \left. \left. - \frac{3t}{16M_B} D_B^a(t) + \frac{M_B}{4} \left(1 - \frac{t}{4M_B^2} \right) A_B^a(t) \right\} \right] u(p, J_3)$$

cbar form factor comes from the **twist-4** operator!

MV Polyakov, HD Son, JHEP (2018)

Y Hatta, A Rajan, K Tanaka, JHEP (2018)

Flavor decomposition of GFFs

- To decompose the GFFs, we need to compute the generalized EMT form factors for the flavor triplet & octet.

$$F_B^{\chi=0} = F_B^u + F_B^d + F_B^s,$$

$$F_B^{\chi=3} = F_B^u - F_B^d,$$

$$F_B^{\chi=8} = \frac{1}{\sqrt{3}} (F_B^u + F_B^d - 2F_B^s)$$

$$\sum_{a=q,g} F_B^a(t) = F_B(t), \quad \bar{c}_B(t) = 0$$

From the current conservation

- Naive effective EMT-like flavor nonsinglet currents

$$\hat{T}_{\mu\nu,\chi}^{\text{eff}}(x) = \frac{i}{4} \bar{\psi}(x) \left(\gamma_\mu \overrightarrow{\partial}_\nu + \gamma_\nu \overrightarrow{\partial}_\mu - \gamma_\mu \overleftarrow{\partial}_\nu - \gamma_\nu \overleftarrow{\partial}_\mu \right) \lambda_\chi \psi(x)$$

Note that they are not conserved.

Extracting **flavor-decomposed** cbar form factors are the most challenging one!



The role of gluons

H. Y. Won, HChK, and J.-Y. Kim, PRD **108** (2023)

H-Y. Won, HChK, J.-Y. Kim, JHEP 05 (2024)

3-D Distributions

3D distributions

- 2D EMT distributions are unambiguously defined in the 2D IMF or LF (Abel transform)
(JY Panteleeva, MV Polyakov, PLB (2020), A Freese, GA Miller, PRD (2021), JY Kim, HChK, PRD (2021)).
- However, in the large N_c limit, it is natural and sufficient to focus on the 3D distributions.
- The large N_c approximation yields the equivalence between the light-front helicity state and the canonical spin state at rest (C. Lorce et al. PRD 106 (2022)).
- This allows one to perform *matching* between the 3D components of the EMT and the 2D LF ones (J.Y Kim et al. PLB 884 (2023)).

In the Breit frame

$$\mathcal{O}_{\mu\nu}^{a,B}(\mathbf{r}, J'_3, J_3) = \int \frac{d^3\Delta}{(2\pi)^3 2P^0} e^{-i\Delta\cdot\mathbf{r}} \langle B(p', J'_3) | \mathcal{O}_{\mu\nu}^a(0) | B(p, J_3) \rangle$$

$$\mathcal{O} = \{T, \bar{T}, \hat{T}\}$$

H. Y. Won, HChK, and J.-Y. Kim, PRD **108** (2023)

H-Y. Won, HChK, J.-Y. Kim, JHEP 05 (2024)

Mass distribution

Temporal component of the EMT

$$f = \{\varepsilon, \bar{\varepsilon}, \hat{\varepsilon}\}$$

$$f_B^a(r) \delta_{J'_3 J_3} := \mathcal{O}_{00}^{a,B}(\mathbf{r}, J'_3, J_3) = M_B \int \frac{d^3 \Delta}{(2\pi)^3} e^{-i\Delta \cdot r} F_B^a(t) \delta_{J'_3 J_3},$$

$$\begin{aligned} \mathcal{E}_B^a(t) &= \bar{\mathcal{E}}_B^a(t) + \hat{\mathcal{E}}_B^a(t), \\ \varepsilon_B^a(r) &= \bar{\varepsilon}_B^a(r) + \hat{\varepsilon}_B^a(r) \end{aligned}$$

$$\begin{aligned} \mathcal{E}_B^a(t) &= \left[A_B^a(t) + \bar{c}_B^a(t) - \frac{t}{4M_B^2} (A_B^a(t) - 2J_B^a(t) + D_B^a(t)) \right], \\ \bar{\mathcal{E}}_B^a(t) &= \frac{3}{4} \left[A_B^a(t) - \frac{t}{4M_B^2} \left(A_B^a(t) - 2J_B^a(t) + \frac{1}{3} D_B^a(t) \right) \right], \\ \hat{\mathcal{E}}_B^a(t) &= \frac{1}{4} \left[A_B^a(t) + 4\bar{c}_B^a(t) - \frac{t}{4M_B^2} (A_B^a(t) - 2J_B^a(t) + 3D_B^a(t)) \right] \end{aligned}$$

Mass monopole form factors

In the forward limit

$$\mathcal{E}_B^a(0) = A_B^a(0), \quad \bar{\mathcal{E}}_B^a(0) = \frac{3}{4} A_B^a(0), \quad \hat{\mathcal{E}}_B^a(0) = \frac{1}{4} A_B^a(0) + \bar{c}_B^a(0) \rightarrow \text{Twist-4 contributions}$$

Mass distribution

Baryon masses

$$\int d^3r \varepsilon_B^a(r) = M_B \mathcal{E}_B(0) = M_B [A_B^a(0) + \bar{c}_B^a(0)],$$

$$\int d^3r \bar{\varepsilon}_B^a(r) = M_B \bar{\mathcal{E}}_B(0) = M_B \frac{3}{4} [A_B^a(0)],$$

$$\int d^3r \hat{\varepsilon}_B^a(r) = M_B \hat{\mathcal{E}}_B(0) = M_B \frac{1}{4} [A_B^a(0) + 4\bar{c}_B^a(0)]$$

$$\Rightarrow \int d^3r f_B(r) = \int d^3r \sum_{a=q,g} f_B^a(r) = M_B \left\{ 1, \frac{3}{4}, \frac{1}{4} \right\}$$

$$\sum_{q,g} \bar{c}_a(r) = 0$$

Twsit-4

Mass radii

$$\sum_{a=q,g} \langle r_{\text{mass}}^2 \rangle_B^a = \frac{\sum_{a=q,g} \int d^3r r^2 \varepsilon_B^a(r)}{\sum_{a=q,g} \int d^3r \varepsilon_B^a(r)} = 6 \frac{d}{dt} \left[A_B(t) - \frac{t}{4M_B^2} D_B(t) \right]_{t=0}$$

Angular momentum distribution

H-Y. Won, HChK, J.-Y. Kim, JHEP 05 (2024)

- 0i component of the EMT

$$\begin{aligned}
 J_i^{a,B}(\mathbf{r}, J'_3, J_3) &:= \epsilon_{ijk} r_j T_{0k}^{a,B}(\mathbf{r}, J'_3, J_3) \\
 &= 2 \left(\hat{S}_j \right)_{J'_3 J_3} \int \frac{d^3 \Delta}{(2\pi)^3} e^{-i\Delta \cdot \mathbf{r}} \left[\left(J_B^a(t) + \frac{2}{3} t \frac{dJ_B^a(t)}{dt} \right) \delta_{ij} \right. \\
 &\quad \left. + \left(\Delta_i \Delta_j - \frac{1}{3} \Delta^2 \delta_{ij} \right) \frac{dJ_B^a(t)}{dt} \right]
 \end{aligned}$$

- The angular momentum of a baryon

$$\rho_{J,B}^a(r) := \int \frac{d^3 \Delta}{(2\pi)^3} e^{-i\Delta \cdot \mathbf{r}} \left[\left(J_B^a(t) + \frac{2}{3} t \frac{dJ_B^a(t)}{dt} \right) \right] \quad \rho_{J,B}(r) = \sum_{a=q,g} \rho_{J,B}^a(r)$$

$$\int d^3 r \sum_{a=q,g} J_i^{a,B}(\mathbf{r}, J'_3, J_3) = 2 \left(\hat{S}_i \right)_{J'_3 J_3} J_B(0) = \left(\hat{S}_i \right)_{J'_3 J_3},$$

- The decomposition of the angular momentum into the OAM and the quark spin requires the **twist-3** component of the EMT (the antisymmetric part of the EMT current): **Spin-Orbit correlation**

Mechanical Properties

- ij component of the EMT

$$F = \{\mathcal{P}, \bar{\mathcal{P}}, \hat{\mathcal{P}}\}$$

$$\mathcal{O}_{ij}^{a,B}(\mathbf{r}, J'_3, J_3) = \boxed{f_B^a(r)} \delta^{ij} \delta_{J'_3 J_3} + \boxed{s_B^a(r)} \left(\frac{r^i r^j}{r^2} - \frac{1}{3} \delta^{ij} \right) \delta_{J'_3 J_3}$$

Pressure densities

Shear-force densities

$$f_B^a(r) = M_B \int \frac{d^3 \Delta}{(2\pi)^3} e^{-i\Delta \cdot \mathbf{r}} F_B^a(t)$$

$$s_B^a(r) = -\frac{1}{4M_B} r \frac{d}{dr} \frac{1}{r} \frac{d}{dr} \int \frac{d^3 \Delta}{(2\pi)^3} e^{-i\Delta \cdot \mathbf{r}} D_B^a(t)$$

- Pressure form factors

$$\mathcal{P}_B^a(t) = \left[-\bar{c}_B^a(t) + \frac{t}{6M_B^2} D_B^a(t) \right],$$

$$\bar{\mathcal{P}}_B^a(t) = \frac{1}{4} \left[A_B^a(t) - \frac{t}{4M_B^2} \left(A_B^a(t) - 2J_B^a(t) + \frac{1}{3} D_B^a(t) \right) \right],$$

$$\hat{\mathcal{P}}_B^a(t) = -\frac{1}{4} \left[A_B^a(t) + 4\bar{c}_B^a(t) - \frac{t}{4M_B^2} \left(A_B^a(t) - 2J_B^a(t) + 3D_B^a(t) \right) \right]$$

$$\begin{aligned} \mathcal{P}_B^a(t) &= \bar{\mathcal{P}}_B^a(t) + \hat{\mathcal{P}}_B^a(t), \\ p_B^a(r) &= \bar{p}_B^a(r) + \hat{p}_B^a(r) \end{aligned}$$

In the forward limit, $\mathcal{P}_B^a(0) = -\bar{c}_B^a(0)$, $\bar{\mathcal{P}}_B^a = \frac{1}{4} A_B^a(0)$, $\hat{\mathcal{P}}_B^a = -\frac{1}{4} A_B^a(0) - \bar{c}_B^a(0)$

- The unambiguous definition of the flavor-decomposed pressure distribution is not possible **without the twist-4 form factors considered.**

Stability conditions (Equilibrium eqs)

- Conservation of the EMT

$$\sum_{a=q,g} \partial^i T_{ij}^{a,B} = \sum_{a=q,g} \frac{r_j}{r} \left[\frac{2}{3} \frac{\partial s_B^a(r)}{\partial r} + \frac{2s_B^a(r)}{r} + \frac{\partial p_B^a(r)}{\partial r} \right] = \sum_{q=u,d,s} f_{B,j}^q + f_{B,j}^g = 0$$

- Internal force fields M. V. Polyakov & P. Schweitzer, JIMPA33 (2018)

$$f_{B,j}^a = -M_B \frac{\partial}{\partial r^j} \int \frac{d^3 \Delta}{(2\pi)^3} e^{-i\Delta \cdot \mathbf{r}} \bar{c}_B^a(t)$$

- Equilibrium Equation

$$\frac{\partial}{\partial r} \left(\frac{2}{3} s_B(r) + p_B(r) \right) + \frac{2s_B(r)}{r} = 0$$

Stability conditions (Equilibrium eqs)

- Von Laue condition

$$\int_0^\infty dr r^2 p_B(r) = 0 \Rightarrow \text{Pressure density must have at least one nodal point.}$$

- Local stability condition [IA Perevalova, MV Polyako, P Schweitzer, PRD 94 \(2016\).](#)

$$\frac{2}{3}s_B(r) + p_B(r) > 0$$

See also Lorce et al. EPJC 79 (2019) for other stability conditions.

- Mechanical radius

$$\langle r_{\text{mech}}^2 \rangle_B = \frac{\int d^3r r^2 \left(\frac{2}{3}s_B(r) + p_B(r) \right)}{\int d^3r \left(\frac{2}{3}s_B(r) + p_B(r) \right)} = \frac{6D_B(0)}{\int_{-\infty}^0 D_B(t) dt}$$

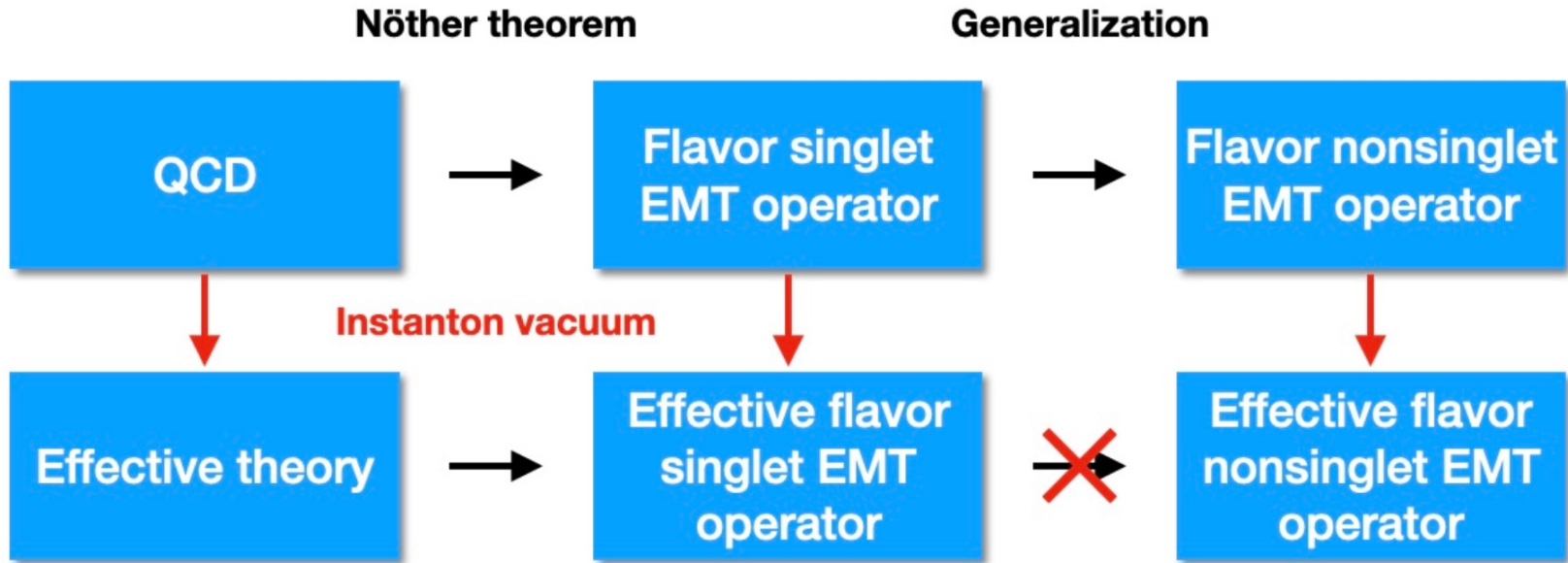
H-Y. Won, HChK, J.-Y. Kim, JHEP 05 (2024)

H. Y. Won, HChK, and J.-Y. Kim, PRD **108** (2023)

Effective EMT operators

Effective EMT Operator

H-Y. Won, HChK, J.-Y. Kim, JHEP 05 (2024)



$$T_q^{\mu\nu}(x) + T_g^{\mu\nu}(x) [\text{QCD}] \xrightarrow{\text{eff}} T_{\chi=0}^{\mu\nu}(x) [\chi\text{QSM}]$$

Effective operators: $T_\chi^{\mu\nu}(x) = \frac{i}{4} \bar{\psi}(x) \gamma^{\{\mu} \overleftrightarrow{\partial}^{\nu\}} \lambda_\chi \psi(x) ?$

Flavor nonsinglet operators require careful derivation!

$$T_3^{\mu\nu}(x) \quad T_8^{\mu\nu}(x) \quad T_{1\pm 2i}^{\mu\nu}(x) \quad T_{4\pm 5i}^{\mu\nu}(x) \quad T_{6\pm 7i}^{\mu\nu}(x)$$

Effective EMT Operator

Sum rules in QCD

$$\sum_{a=q,g} A_N^a(0) = A_N(0) = 1, \quad [\text{QCD}]$$

$$\sum_{a=q,g} J_N^a(0) = J_N(0) = 1/2, \quad [\text{QCD}]$$

$$\sum_{a=q,g} \frac{\langle N | T_{a,\mu}^\mu | N \rangle}{2M_N} = M_N A_N(0),$$

$$\text{with } T_{q,\mu}^\mu = O(m_q), \quad [\text{QCD}]$$

$$\sum_{a=q,g} \int d^3r p_N^a(r) = 0, \quad \sum_{a=q,g} \bar{c}_N^a(t) = 0, \quad [\text{QCD}]$$

Sum rules in the effective theory

$$\sum_{q=u,d,\dots} A_N^q(0) = A_N(0) = 1 \quad [\text{Eff. Theory}]$$

$$\sum_{q=u,d,\dots} J_N^q(0) = J_N(0) = 1/2, \quad [\text{Eff. Theory}]$$

$$\frac{\langle N | T_{\chi=0,\mu}^\mu | N \rangle}{2M_N} = M_N \sum_{q=u,d,\dots} A_N^q(0),$$

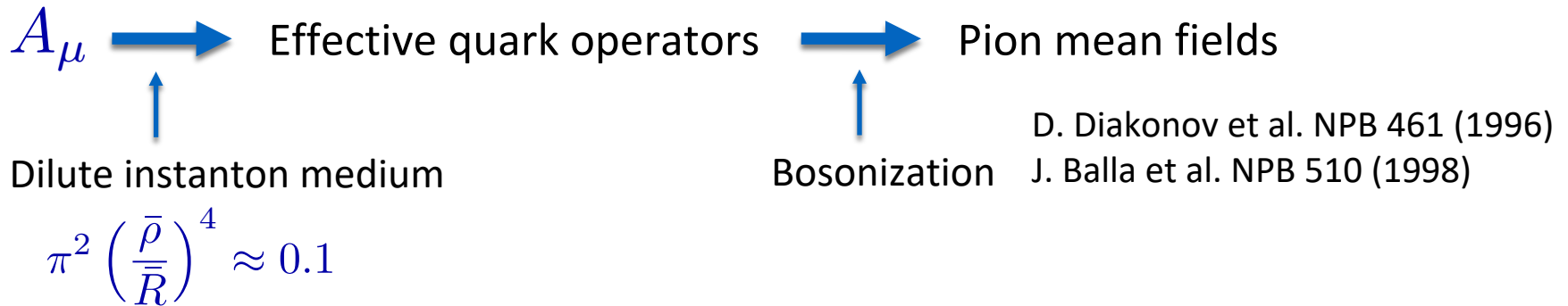
$$\text{with } T_{\chi=0,\mu}^\mu = T_{\chi=0}^{00} \quad [\text{Eff. Theory}]$$

$$\sum_{a=q,g} \int d^3r p_N^a(r) = 0 \xrightarrow{\text{eff}} \sum_q \int d^3r p_N^q(r) = 0$$

$$\sum_{a=q,g} \bar{c}_N^a(t) = 0 \xrightarrow{\text{eff}} \sum_q \bar{c}_N^q(t) = 0$$

Glucos are integrated out.

EMT Operator from the instanton vacuum



All low-energy theorems are satisfied (chiral anomaly, trace anomaly, etc).

- **The chiral-even twist-2 local operator** is generated by expanding the non-local vector current, which measures the vector GPDs, with respect to the space-time distance:

$$O_q^{\mu\nu_1 \dots \nu_n} := \bar{\psi}(x) \gamma^{\{\mu} \overleftrightarrow{D}^{\nu_1} \overleftrightarrow{D}^{\nu_2} \dots \overleftrightarrow{D}^{\nu_n\}} \lambda_\chi \psi(x) - \text{traces},$$

$$O_g^{\mu\nu_1 \dots \nu_n} := -F^{\{\mu\rho, a} \overleftrightarrow{D}^{\nu_1} \dots \overleftrightarrow{D}^{\nu_{n-1}} F_{\rho}^{\nu_n\}, a} - \text{traces}$$

Twist-2 gluon operators are suppressed with respect to the packing fraction.

$$\bar{T}_\chi^{\mu\nu}(x) = \frac{i}{4} \bar{\psi}(x) \gamma^{\{\mu} \overleftrightarrow{\partial}^{\nu\}} \lambda_\chi \psi(x) - \text{traces}, \quad \bar{T}_g^{\mu\nu}(x) = 0$$

EMT Operator from the instanton vacuum

- Twist-3 gluon operators

The contributions from these operators have been found to be crucial for satisfying the QCD equation of motion.

→ Essential role in the decomposition of the nucleon spin

Spin-orbit correlations are also related to them.

C. Lorce, PLB 735
J.Y. Kim et al. PRD 110

- Twist-4 gluon operators should be also replaced by flavor-dependent quark operators.

→ Gluons should be considered when the flavor decomposition is considered, in particular, for the $\bar{c}b$ form factors.

- We will restrict ourselves to the twist-2 case for the GFFs.

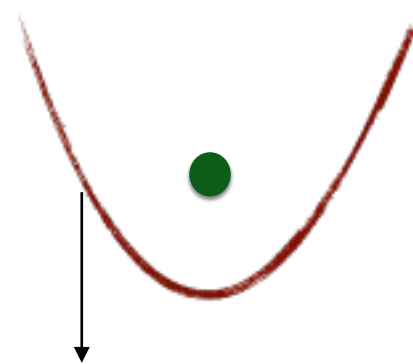
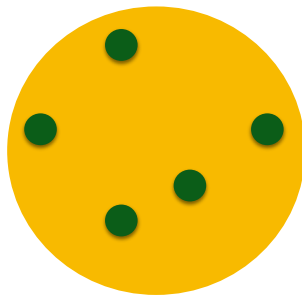
**Effective chiral theory
of
the Nucleon**

Mean fields

Given action $S[\phi]$,

$$\left. \frac{\delta S}{\delta \phi} \right|_{\phi=\phi_0} = 0 \quad : \text{Solution of this saddle-point equation } \phi_0$$

This classical solution is regarded as a mean field.



Mean-field potential that is produced by all other particles.

- Nuclear shell models
- Ginzburg-Landau theory for superconductivity
- Quark potential models for baryons

Pion mean-field approach (Chiral Quark-Soliton model)

- * Baryons as a state of N_c quarks bound by mesonic mean fields.

E. Witten (1979)

Effective chiral action from the instanton vacuum:

$$S_{\text{eff}}[\pi^a] = -N_c \text{Tr} \log (i\not{\partial} + iMU\gamma^5 + i\hat{m})$$

D. Diakonov & V. Petrov (1986)

- * Key point: **Hedgehog** Ansatz

D. Diakonov, V. Petrov, P. Pobylitsa (1988)

$$\pi^a(\mathbf{r}) = \begin{cases} n^a P(r), & n^a = x^a/r, & a = 1, 2, 3 \\ 0 & & a = 4, 5, 6, 7 \end{cases} \quad P(r): \text{profile function}$$

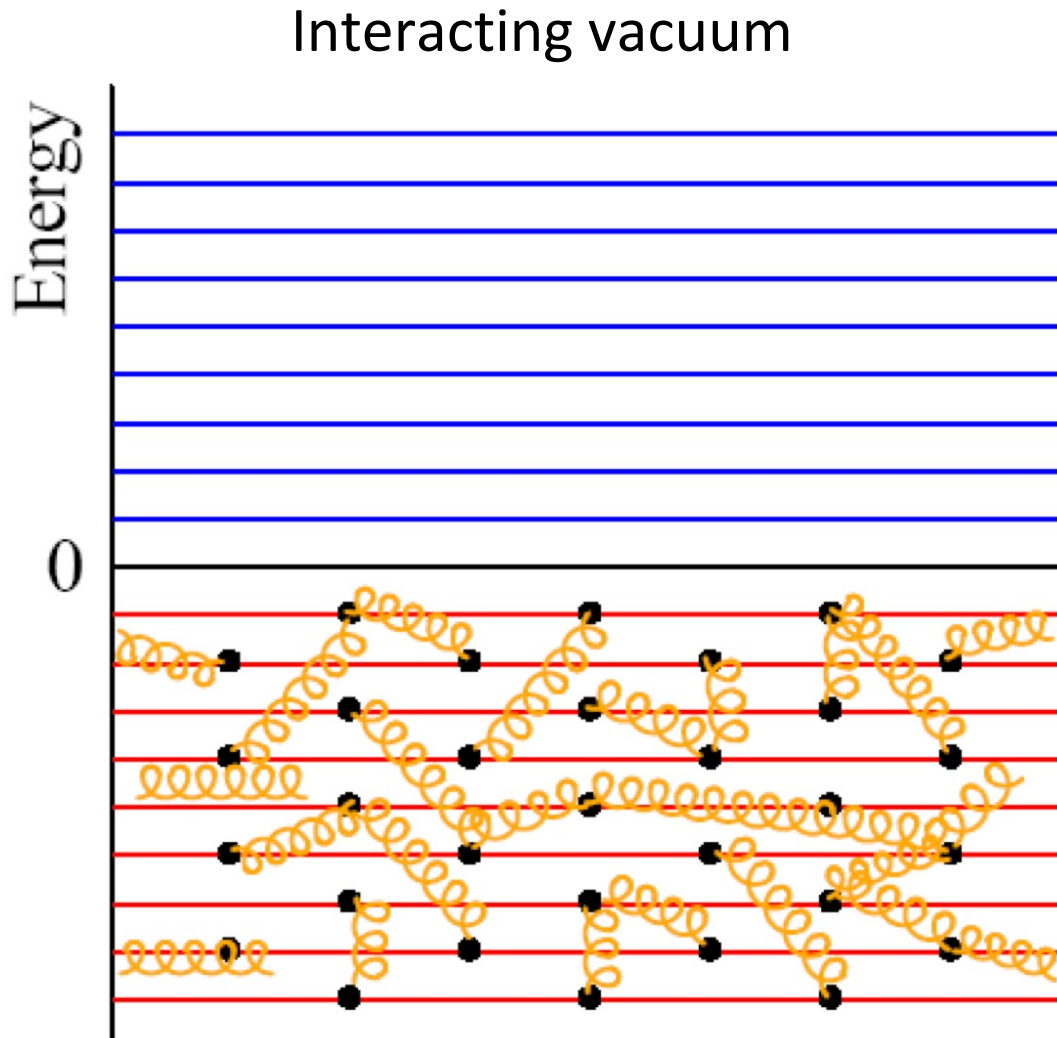
It breaks spontaneously $SU(3)_{\text{flavor}} \otimes O(3)_{\text{space}} \rightarrow SU(2)_{\text{isospin+space}}$

Witten's trivial embedding

$$U_o = \begin{pmatrix} e^{i\mathbf{n}\cdot\boldsymbol{\tau}P(r)} & 0 \\ 0 & 1 \end{pmatrix}$$

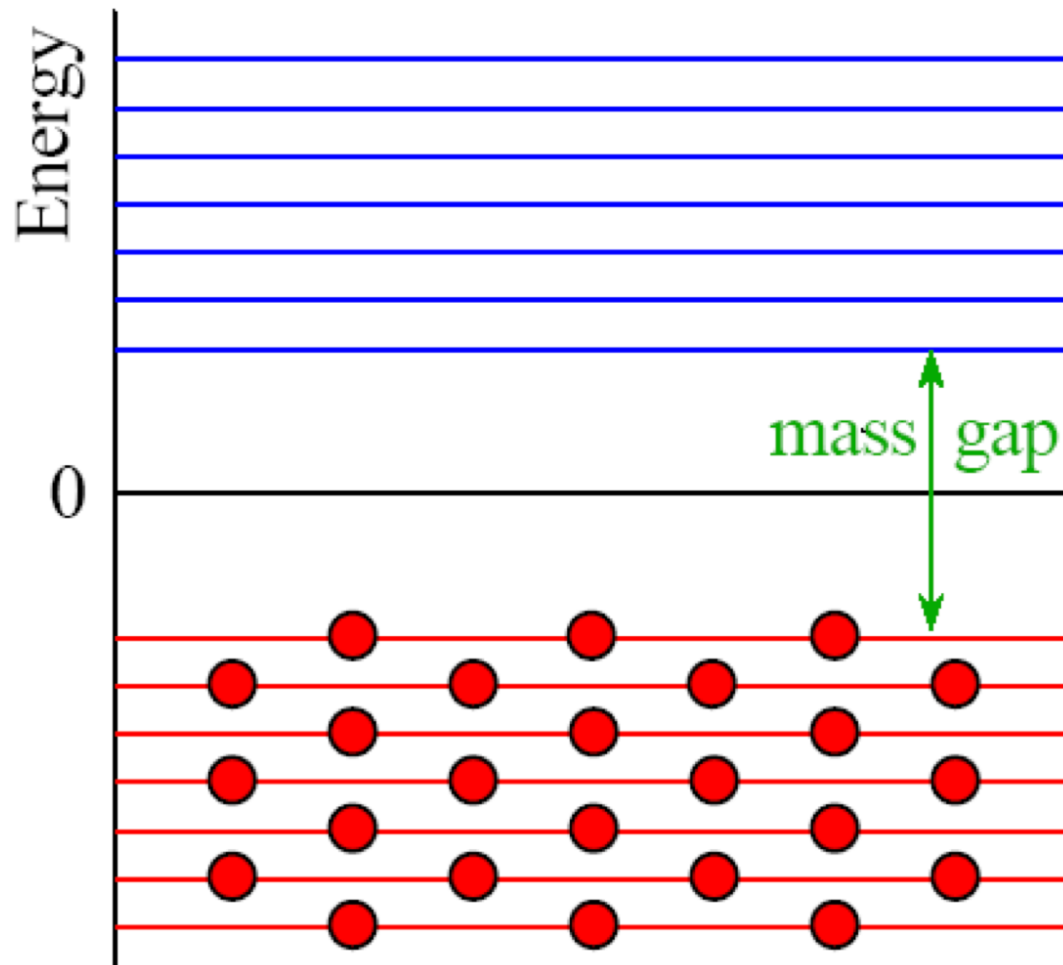
Ch. Christov, HChK, K. Goeke et al. PNP (1996)
D. Diakonov hep-ph/9802298

Schematic view on the XQSM



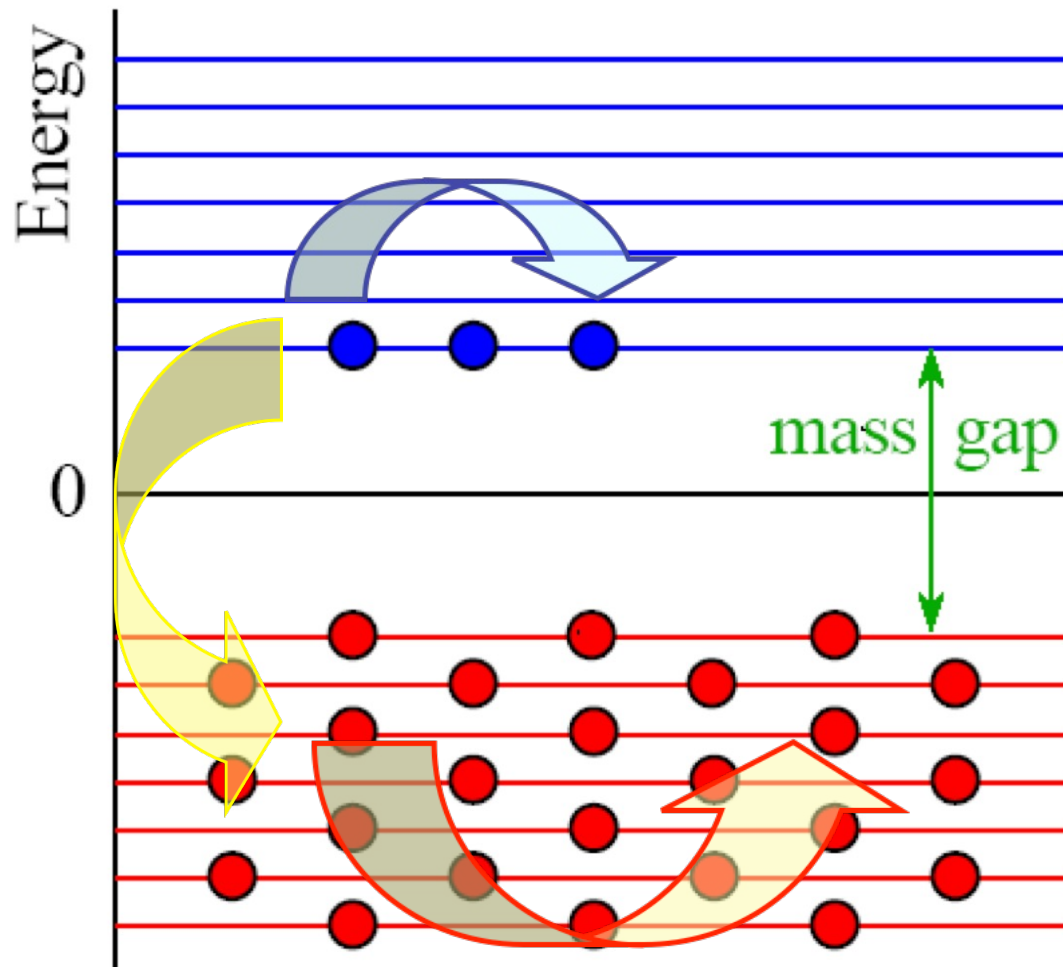
Schematic view on the XQSM

Spontaneous breakdown of chiral symmetry



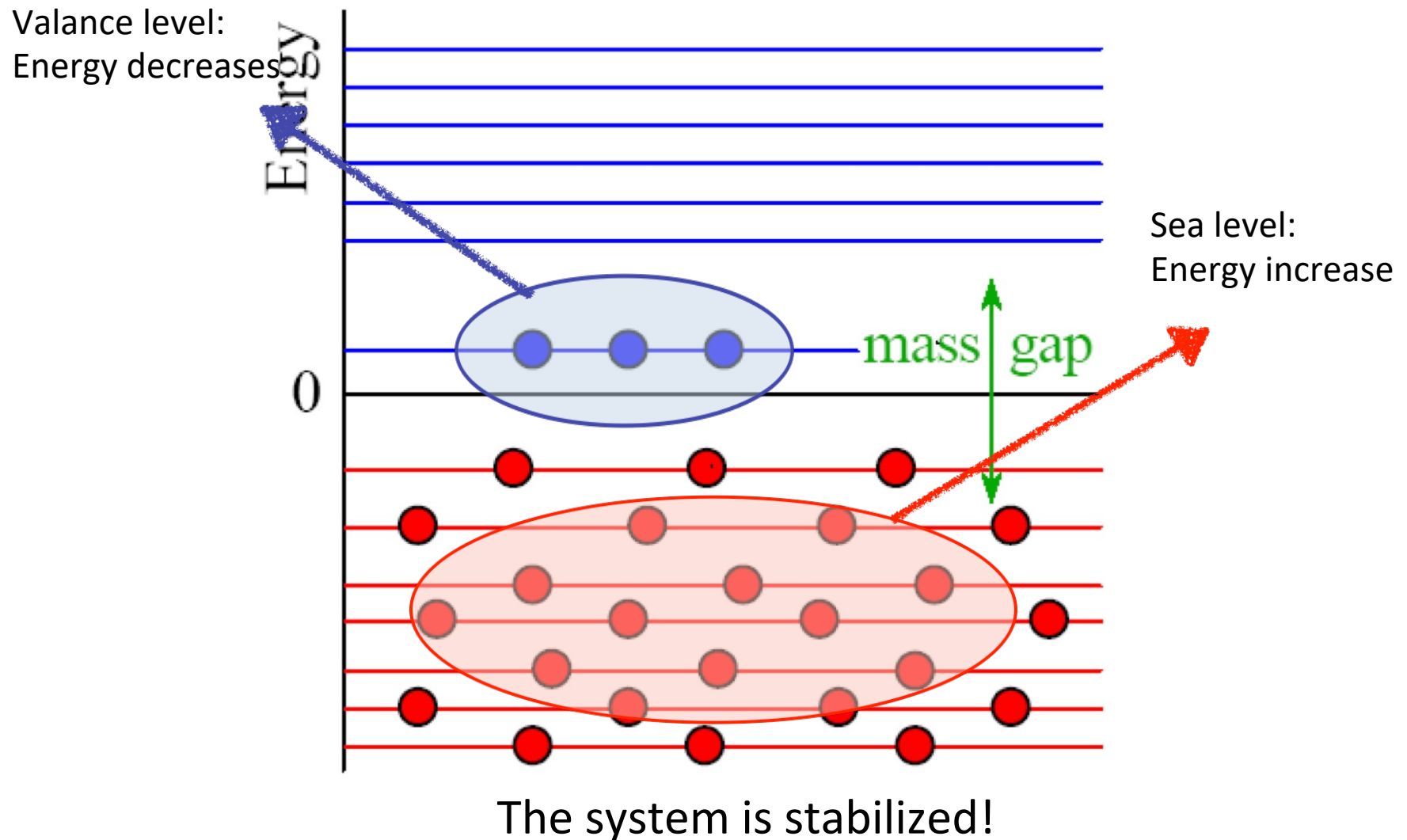
Schematic view on the XQSM

Interaction between quarks and pion background fields



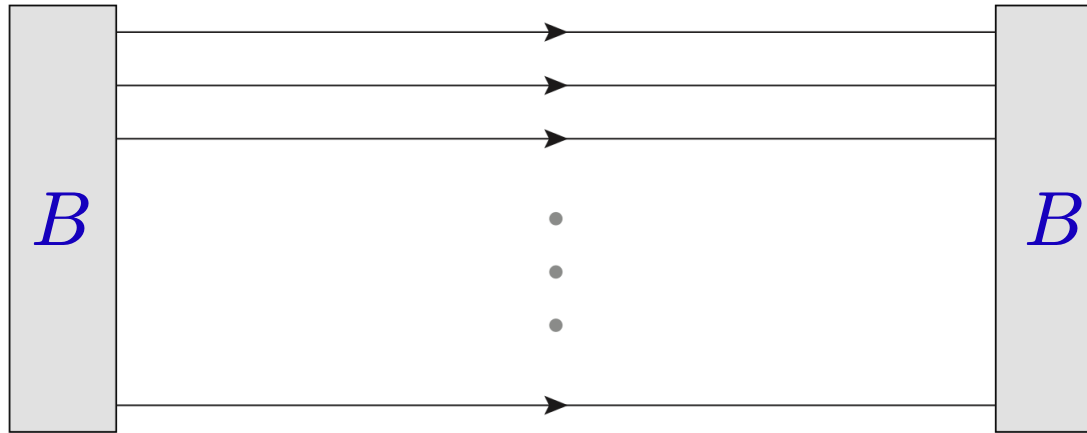
Schematic view on the XQSM

N_c quarks are bounded by the pion mean fields self-consistently.



Baryon correlation function

Baryon as N_c valence quarks bound by pion mean fields



$$\langle J_B J_B^\dagger \rangle_0 \sim e^{-N_c E_{\text{val}} T}$$

$$\Pi_N(\vec{x}, t) = \Gamma_N^{\{f\}} \Gamma_N^{\{g\}*} \frac{1}{Z} \int dU \prod_{i=1}^{N_c} \left\langle 0, T/2 \left| \frac{1}{D(U)} \right| 0, -T/2 \right\rangle_{f,g} e^{-S_{\text{eff}}}$$

Presence of N_c quarks will polarize the vacuum or create mean fields.

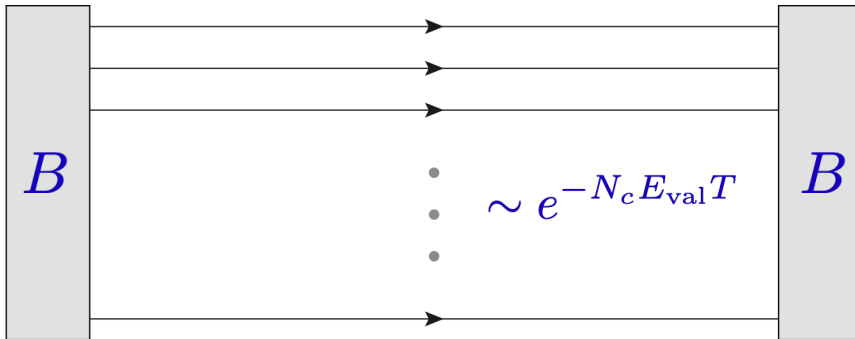
N_c valence quarks



Vacuum polarization or meson mean fields

Baryon correlation function

Baryon as N_c valence quarks bound by pion mean fields



$$\Pi_N \underset{T \rightarrow \infty}{\sim} \int D\pi^a e^{-[N_c E_{\text{val}} + E_{\text{sea}}]T}$$



$$M_{\text{cl}} = \min[N_c E_{\text{val}} + E_{\text{sea}}]$$



Classical Nucleon mass is described by the N_c valence-quark energy and sea-quark energy.

Ch. Christov, HChK, K. Goeke et al. PPNP (1996)

$$\frac{\delta M_{\text{cl}}}{\delta P(r)} = 0 \quad \longrightarrow \quad M_{\text{cl}} \xrightarrow{\quad} P(r)$$

P(r): Soliton profile function
or Soliton field

Zero-mode(collective) quantization

- Rotational & Translational zero modes

$$\int \mathcal{D}U \mathcal{F}[U(\mathbf{x})] \rightarrow \int d^3 \mathbf{X} \int \mathcal{D}A \mathcal{F} [T A U_{\text{cl}}(R\mathbf{x}) A^\dagger T^\dagger]$$

- Collective Hamiltonian & Wavefunctions in flavor SU(3) symmetry

$$H_{\text{coll}} = M_{\text{sol}} + \frac{1}{2I_1} \sum_{i=1}^3 \hat{J}_i^2 + \frac{1}{2I_2} \sum_{p=4}^7 \hat{J}_p^2$$

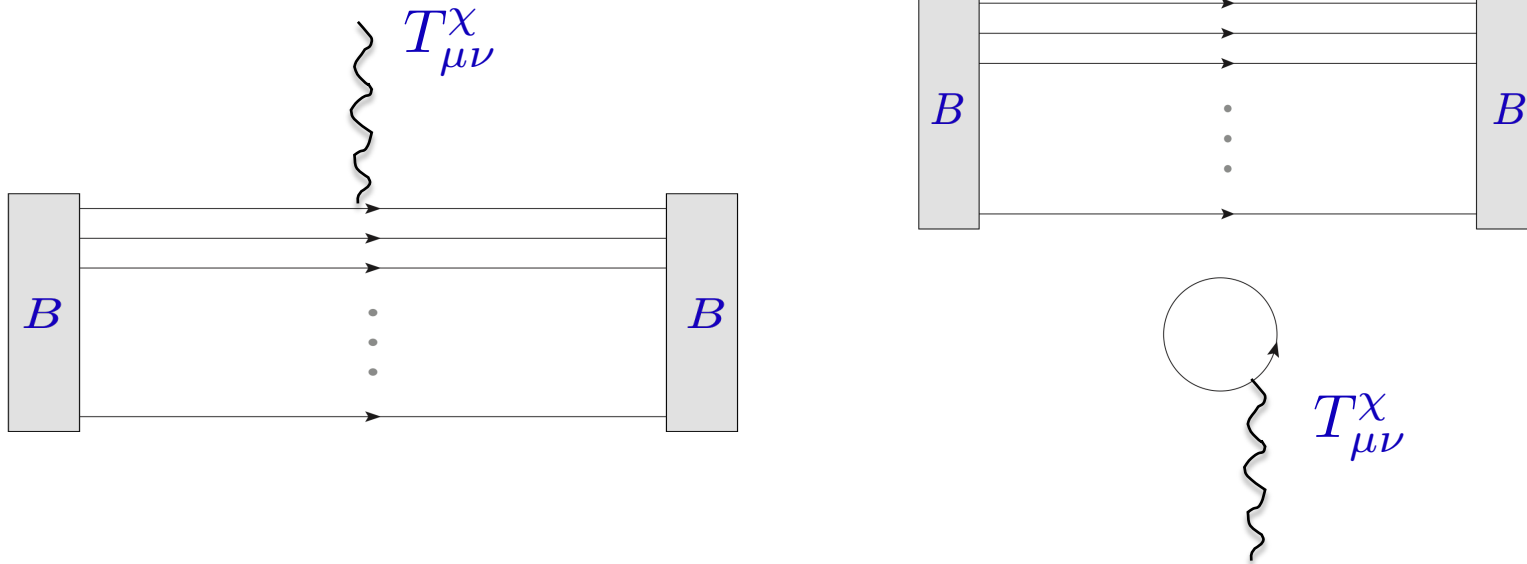
$$\Psi_{(YTT_3)(Y_R J J_3)}^{(\mu)}(A) = \sqrt{\dim(\mu)} (-1)^{J_3 - Y_R/2} D_{(YTT_3)(Y_R J - J_3)}^{(\mu)*}(A)$$

Ch. Christov, HChK, K. Goeke et al. PPNP (1996)

D. Diakonov hep-ph/9802298

GFFs from the XQSM

- Rotational & Translational zero modes



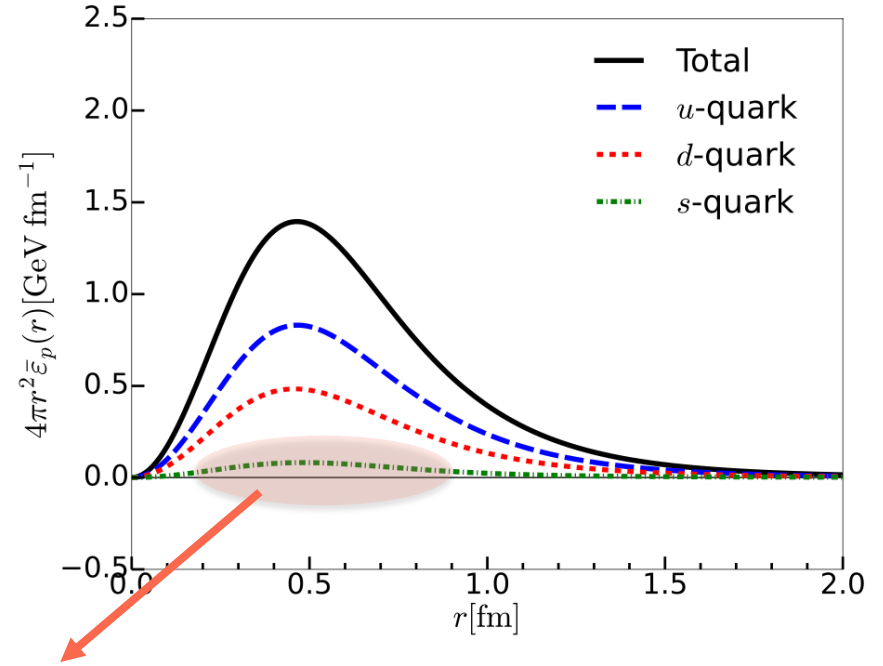
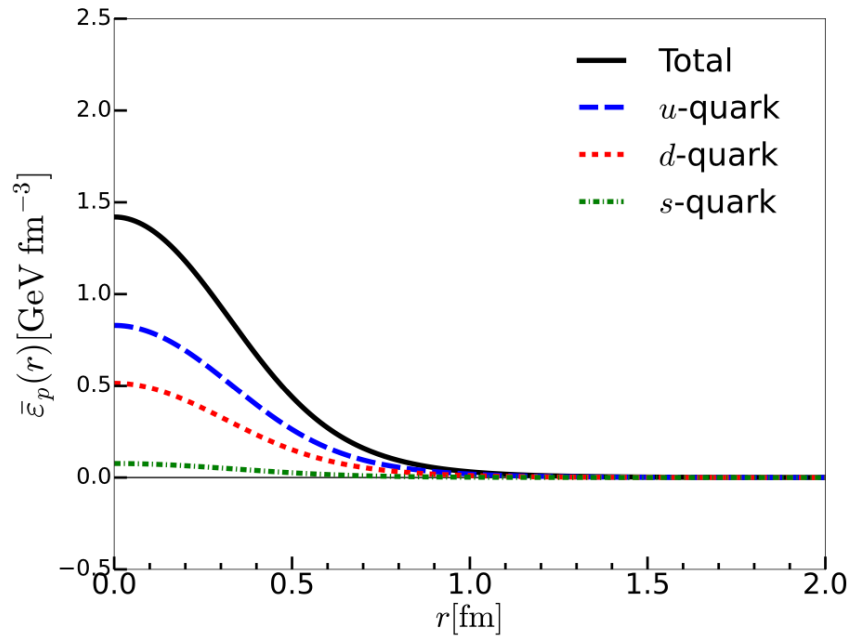
$$\langle B(p', J'_3) | \hat{T}_{\mu\nu, \chi}^{\text{eff}}(0) | B(p, J_3) \rangle = \lim_{T \rightarrow \infty} \frac{1}{Z_{\text{eff}}} \mathcal{N}^*(p') \mathcal{N}(p) e^{ip_4 \frac{T}{2} - ip'_4 \frac{T}{2}} \int d^3 \mathbf{x} d^3 \mathbf{y} e^{(-i\mathbf{p}' \cdot \mathbf{y} + i\mathbf{p} \cdot \mathbf{x})}$$

$$\times \int \mathcal{D}\psi \mathcal{D}\psi^\dagger \mathcal{D}U J_B(\mathbf{y}, T/2) \hat{T}_{\mu\nu, \chi}^{\text{eff}}(0) J_B^\dagger(\mathbf{x}, -T/2) \exp[-S_{\text{eff}}]$$

For detailed results, see the Refs. H. Y. Won, HChK, J.-Y. Kim JHEP (2024) & PRD 108 (2023)

Results & Discussion

Mass distributions



Contribution from the s quark is negligible.

$$\frac{3}{4} A_p^X(0) = \frac{1}{M_{\text{sol}}} \int d^3 r \bar{\varepsilon}_p^X(r)$$

$$A_p^0(0) = 1, \quad A_p^3(0) = 0.25, \quad A_p^8(0) = 0.47, \quad [\text{SU}(3)]$$

$$A_p^0(0) = 1, \quad A_p^3(0) = 0.24 \quad [\text{SU}(2)]$$

Mass distributions

- The gluon contributions to the leading-twist operators are parametrically suppressed with respect to the instanton packing fraction.

$$A_B^g = 0, \quad J_B^g = 0$$

J. Balla et al. NPB 510 (1998)
M. Polyakov & H. Son, JHEP 09 (2018)

$$\bar{\varepsilon}_p^{u,d,s}(r) > 0$$

$$\bar{\varepsilon}_p^u(0) = 0.83 \text{ GeV/fm}^3, \quad \bar{\varepsilon}_p^d(0) = 0.51 \text{ GeV/fm}^3,$$
$$\bar{\varepsilon}_p^s(0) = 0.08 \text{ GeV/fm}^3$$

$$\langle r^2 \rangle_{\text{mass}}^p = 0.54 \text{ fm}^2 \quad [\text{SU}(3)]$$

In the neutron,
u for d and d for u.

$$\bar{\varepsilon}_p^u(r) = \bar{\varepsilon}_n^d(r)$$
$$\bar{\varepsilon}_p^s(r) = \bar{\varepsilon}_n^s(r)$$

$$\langle r^2 \rangle_{\text{mass}}^p < \langle r^2 \rangle_{\text{charge}}^p \quad \langle r^2 \rangle_{\text{charge}}^p \approx 0.75 \text{ fm}^2$$

Mass distributions

$$A_p^u(0) = 0.59, \quad A_p^d(0) = 0.35, \quad A_p^s(0) = 0.06, \quad [\text{SU}(3)]$$

$$A_p^u(0) = 0.62, \quad A_p^d(0) = 0.38, \quad [\text{SU}(2)]$$

- These numbers can be understood as the second Mellin moments of the PDFs. We list the predictions of the proton momentum fraction carried by the u-, d-, and s-quarks:

$$[\langle x \rangle_u : \langle x \rangle_d : \langle x \rangle_s] = [59\% : 35\% : 6\%]$$

Angular momentum distribution

$$J_p^0(0) = \int d^3r \rho_{J,p}^0(r) = \frac{1}{2}$$

$$J_p^0 = 0.50, \quad J_p^3 = 0.58, \quad J_p^8 = 0.22, \quad [\text{SU}(3)].$$

$$J_p^0 = 0.50, \quad J_p^3 = 0.55, \quad [\text{SU}(2)]$$

Strange quark contribution is negligible.

$$J_p^u = 0.52, \quad J_p^d = -0.06, \quad J_p^s = 0.04, \quad [\text{SU}(3)].$$

$$J_p^u = 0.53, \quad J_p^d = -0.03, \quad [\text{SU}(2)]$$

$$J = \frac{1}{2} \sum_q \Delta q + \sum_q L^q + J_g \quad : \text{ Ji's relation} \quad \text{X. Ji, PRL 78 (1997)}$$

$J_g \approx 0$ Suppressed by the instanton packing fraction.

$$J = \frac{1}{2} \sum_q \Delta q + \sum_q L^q \quad \longrightarrow \quad \frac{1}{2} = \frac{1}{2} \sum_q \Delta q + \sum_q L^q = 0.23 + 0.27$$

Problem of the naive decomposition

- Decomposition of the isotriplet J

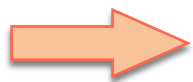
$$J_p^{u-d} = L_p^{u-d} + S_p^{u-d} + \boxed{\delta J_p^{u-d}} \quad \text{M. Wakamatsu \& H. Nakakoji, PRD 71 (2005)}$$

Violation of Ji's sum rule (X.D. Ji, PRL 78 (1997))

- Origin of δJ_p^{u-d} : role of gluons
- The second moment of the chiral-odd **twist-3** quark distribution

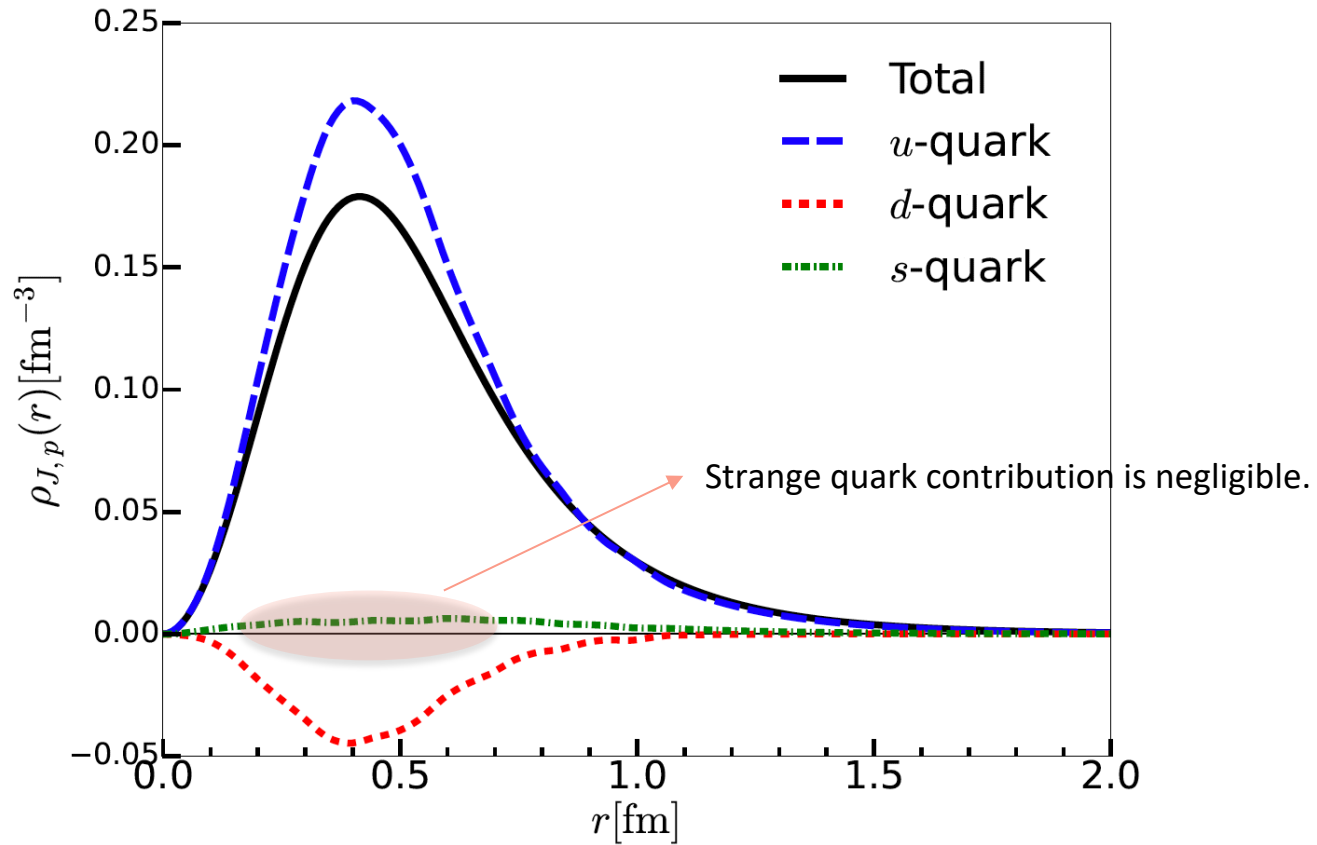
$$\int_{-1}^1 dx x e^{u+d}(x) = \frac{m}{M_N} N_c + \boxed{\frac{M}{M_N} \beta} \quad \begin{array}{l} \text{P. Schweitzer, PRD 67 (2005)} \\ \text{Ohnishi \& M. Wakamatsu, PRD 69 (2004)} \end{array}$$

This makes the second moment deviate from QCD.

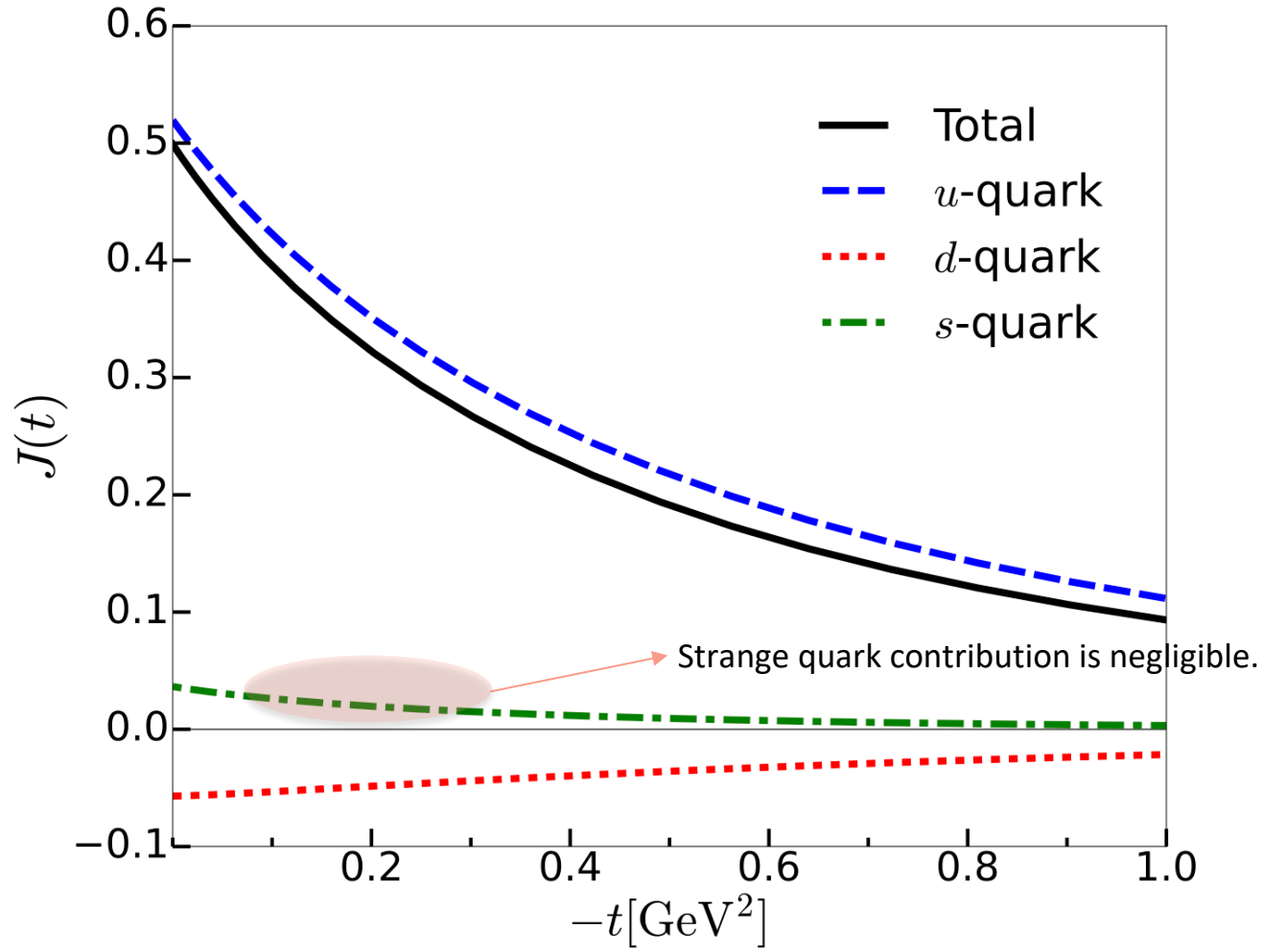


- Indication: If the covariant derivatives had been used, these discrepancies would have been resolved.
- **Spin-orbit correlations** are also very important to consider.

Angular momentum distribution



Flavor-decomposed J form factors



Mechanical properties: Twist-2 case

Stability condition in SU(3)

$$\int d^3r p_p^{u+d+s}(r) = 0$$

However, there is no proper way of constructing the effective flavor-triplet and -octet EMT currents by a global symmetry.

- Our strategy

~~$$T_x^{\mu\nu}(x) = \frac{i}{4} \bar{\psi}(x) \gamma^{\{\mu} \overleftrightarrow{\partial}^{\nu\}} \lambda_\chi \psi(x)$$
 : It contains both twist-2 & twist-4 operators.~~

We first consider the twist-2 EMT operator

$$\bar{T}_x^{\mu\nu}(x) = \frac{i}{4} \bar{\psi}(x) \gamma^{\{\mu} \overleftrightarrow{\partial}^{\nu\}} \lambda_\chi \psi(x) - \text{traces}, \quad \bar{T}_g^{\mu\nu}(x) = 0$$

Mechanical properties: Twist-2 case

Stability condition in SU(3)

$$\int d^3r p_p^{u+d+s}(r) = 0$$

Twist-2 case

$$\int d^3r \bar{p}_p^{u+d+s}(r) = \frac{1}{4}M_N \neq 0! \quad \Rightarrow$$

Twist-4 contribution

$$\int d^3r \hat{p}_p^{u+d+s}(r) = -\frac{1}{4}M_N$$

$$\bar{p}_B^\chi(r) = \frac{1}{3}\bar{\varepsilon}_B^\chi(r)$$

Both quark and gluon contribution should be considered.

Twist-4 effective operator

Decomposition of the quark and gluon contributions in $\bar{c} \text{bar} f f$.

➡ Regularization and renormalization scheme dependence

➡ Discrepancy between Hatta et al. and Polyakov & Son

Hatta et al. JHEP 12, 008 (2018)

$$\bar{c}_q(0, \mu) = \frac{1}{4} \left[-A_q(0, \mu) + \frac{\alpha_s}{4\pi} \left\{ \frac{\langle F^2 \rangle_R}{3M_N} \right\} \right],$$
$$\bar{c}_g(0, \mu) = \frac{1}{4} \left[-A_g(0, \mu) + \frac{\alpha_s}{4\pi} \left\{ -\frac{11N_c}{6} \frac{\langle F^2 \rangle_R}{M_N} \right\} \right]$$

Ratio of the quark and gluon contribution

$$[\text{quark} : \text{gluon}] = \left[1 : -\frac{11N_c}{2} \right]$$

$$\bar{c}_Q \simeq -0.124 [\mu = 2 \text{ GeV}]$$

$$\bar{c}_Q \simeq -0.146 [\mu = \infty] \text{ pQCD}$$

Dimensional regularization

Polyakov & Son JHEP 09, 156 (2018)

$$\langle p' s' | \frac{1}{2} i g \bar{\psi} G^{\beta\alpha} \gamma_\alpha \psi | p, s \rangle = M_N \bar{c}^Q \Delta^\beta \bar{u}(p', s') u(p, s)$$

$$\langle p' s' | \frac{1}{2} \text{tr}(G^{\beta\alpha} [iD^\sigma, F_{\sigma\alpha}]) | p, s \rangle = M_N \bar{c}^g \Delta^\beta \bar{u}(p', s') u(p, s)$$

$$\bar{c}_{\text{quark}} \sim \frac{1}{6} (M\bar{\rho})^2 \log \frac{1}{M\bar{\rho}}$$

$$\bar{c}_Q \simeq 1.4 \times 10^{-2} [\mu = .6 \text{ GeV}]$$

➡

$$\bar{c}_Q \simeq 0$$
$$\bar{c}_g \simeq 0$$

Twist-4 effective operator

Quark part of the twist-4 operators

Isovector part: $T_{\mu\nu,Q}^{(4),\chi=3} \sim (M\bar{\rho})^2 \sim 0$

Derivation of the isoscalar part (EMT) is under way

Gluon part of the twist-4 operators

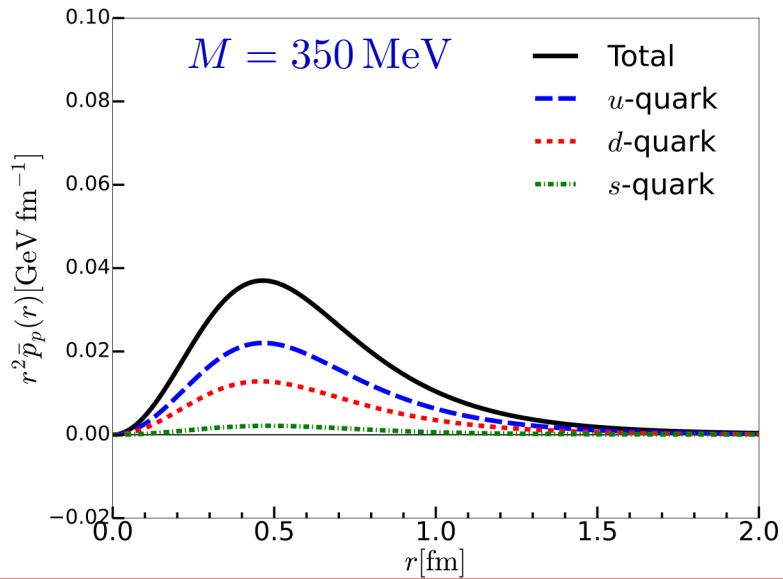
$T_{\mu\nu,g}^{(4)} \sim (M\bar{\rho})^2 \sim 0$ Polyakov & Son JHEP 09, 156 (2018)

JY Kim, Ch. Weiss, in progress

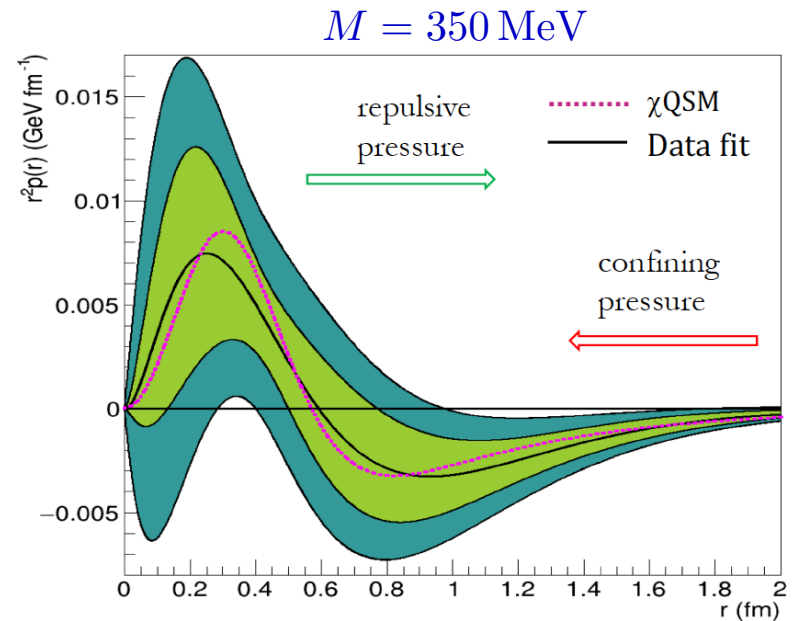
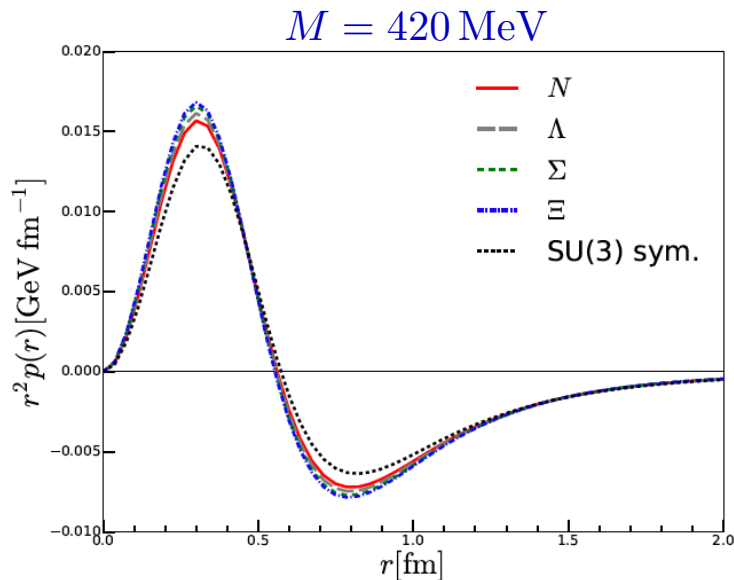
HY Won, JY Kim, HChK, in progress

Mechanical properties: Twist-2 case

H.-Y. Won, HChK, J.-Y. Kim, JHEP 05 (2024)



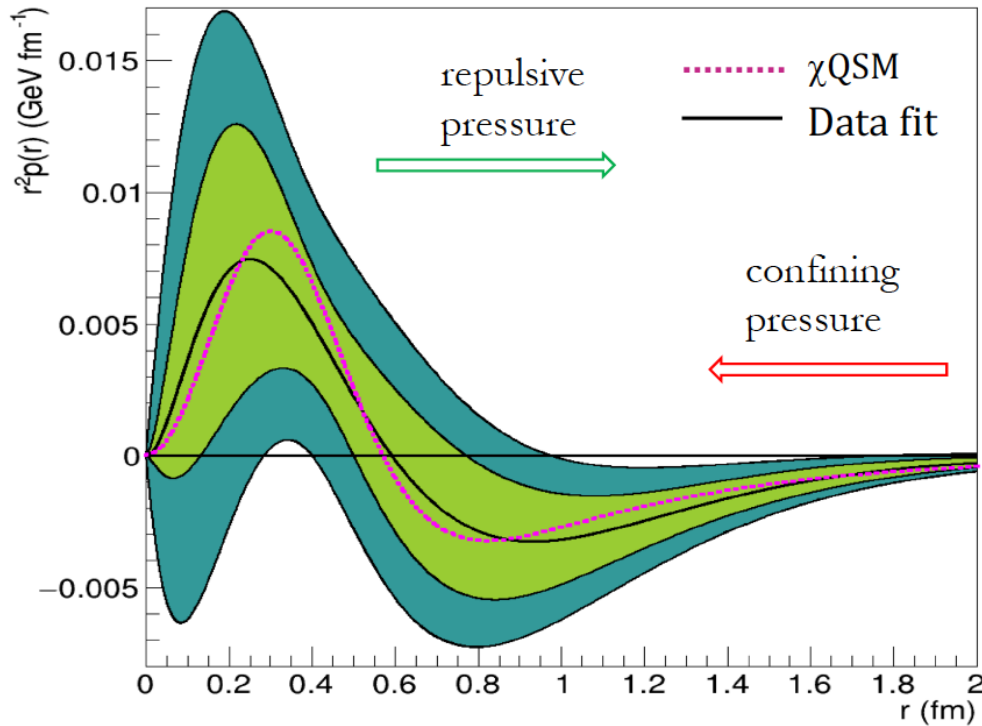
Twist-2 part of the pressure density.
No nodal point.



V.B., L. Elouadrhiri, F.X. Girod, Nature 557 (2018) 7705, 396

H.W. Won, J.-Y. Kim, HChK, PRD 108 (2023)

Color blindness in SU(3)



V.B., L. Elouadrhiri, F.X. Girod, Nature 557 (2018) 7705, 396

Burkert et al. assumed the flavor blindness.

$$D^{u-d}(0) \approx 0$$

$$D^{u-d}(0) = 0.29 \quad \text{in SU(2)}$$

$$D^{u-d}(0) = 0.062 \quad \text{in SU(3)}$$



The flavor blindness is only valid in SU(3)!

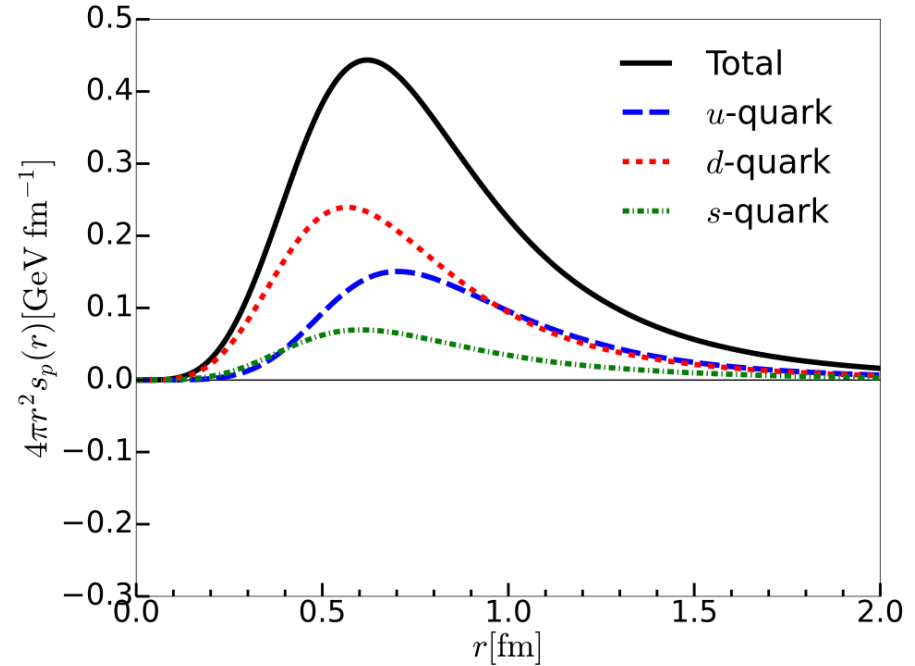
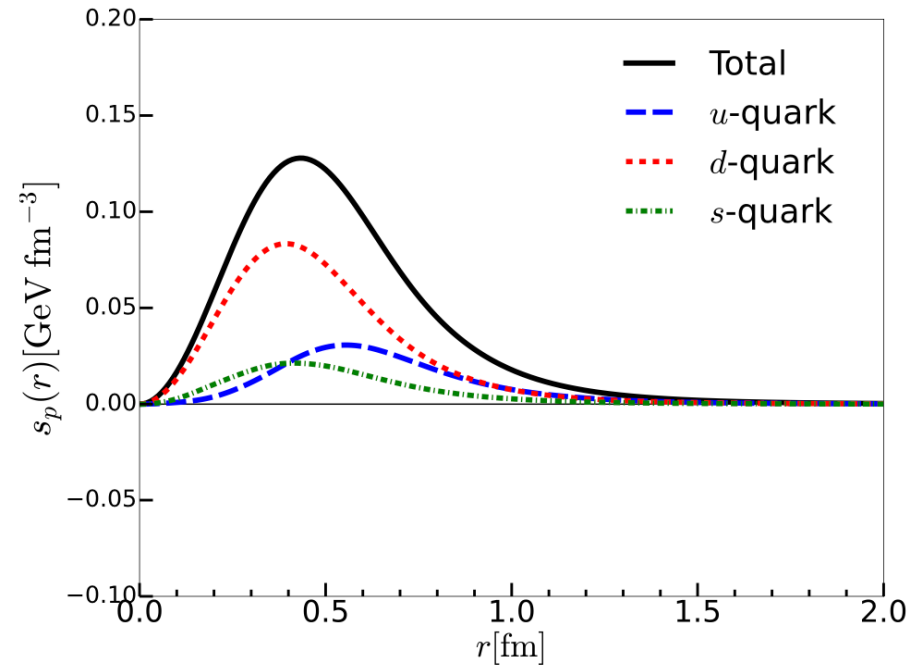


The strange quarks should essentially be considered in the proton!

Lattice QCD arrives at a similar conclusion.
(D. Hackett et al. 2310.08484)

Shear force densities

ij off-diagonal component of the EMT: No twist-4 contribution

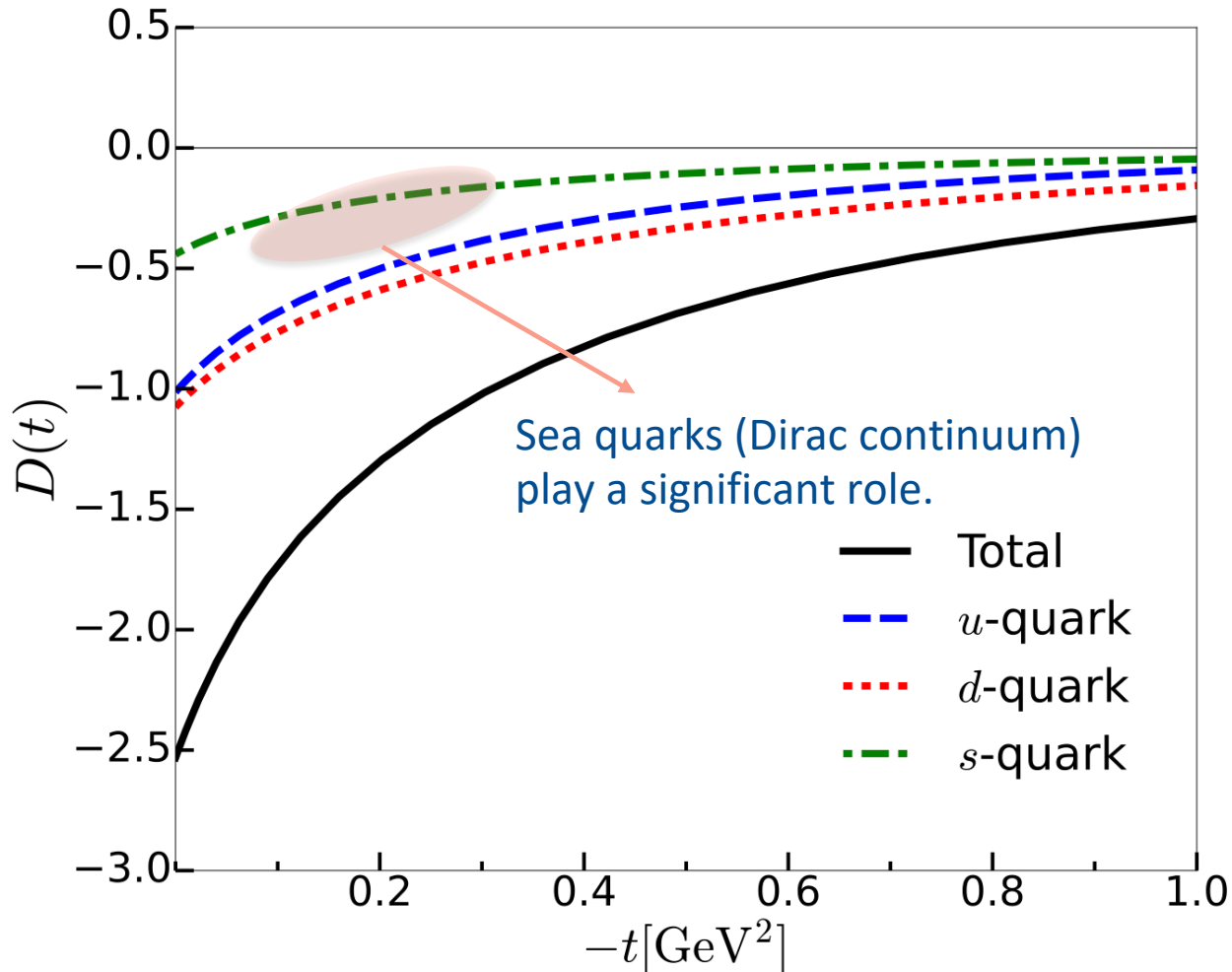


$$\frac{2}{3}s_p(r) + p_p(r) > 0 \quad \text{Local equilibrium condition}$$

Flavor-decomposed D-term form factors

$$D_B^\chi(t) \delta_{J'_3 J_3} = 4M_{\text{sol}} \int d^3r \frac{j_2(r\sqrt{-t})}{t} s_B^\chi(r)$$

D-term can be evaluated at the twist-2 level.



Similar situation in the EM transitions of the delta isobar

Y Hatta, M Strikman, PLB (2021)

$$-1.3 < D_s < 0.4$$

The strange-quark contributions are essential for **flavor blindness!**

Estimation of mechanical Radius

$$\langle r^2 \rangle_{\text{mech}} = \frac{\int d^3r r^2 \left[\frac{2}{3}s(r) + p(r) \right]}{\int d^3r \left[\frac{2}{3}s(r) + p(r) \right]} = \boxed{\frac{6D}{\int_{-\infty}^0 dt D(t)}}$$

$$\sqrt{\langle r^2 \rangle_{\text{mech}}} = 0.69 \text{ fm}$$

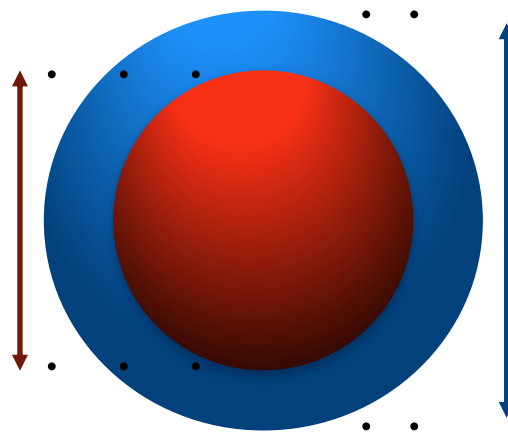
$$\sqrt{\langle r^2 \rangle_{\text{mech}}} = (0.63 \pm 0.06 \pm 0.13) \text{ fm}$$

V. Burkert et al. (2022)

$$\sqrt{\langle r^2 \rangle_{\text{mech}}} = 0.73 \text{ fm} \text{ in SU(3)}$$

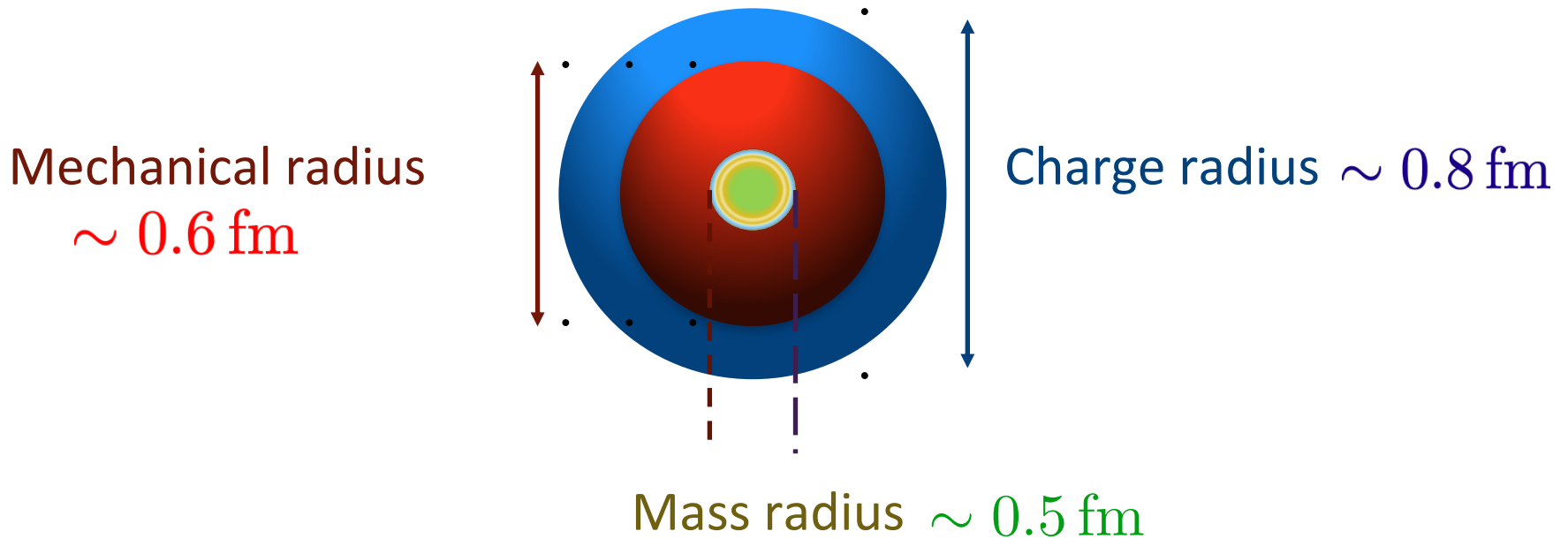
$$\sqrt{\langle r^2 \rangle_{\text{mech}}} < \sqrt{\langle r^2 \rangle_{\text{ch}}}$$

Mechanical radius
 $\sim 0.6 \text{ fm}$



Charge radius $\sim 0.8 \text{ fm}$

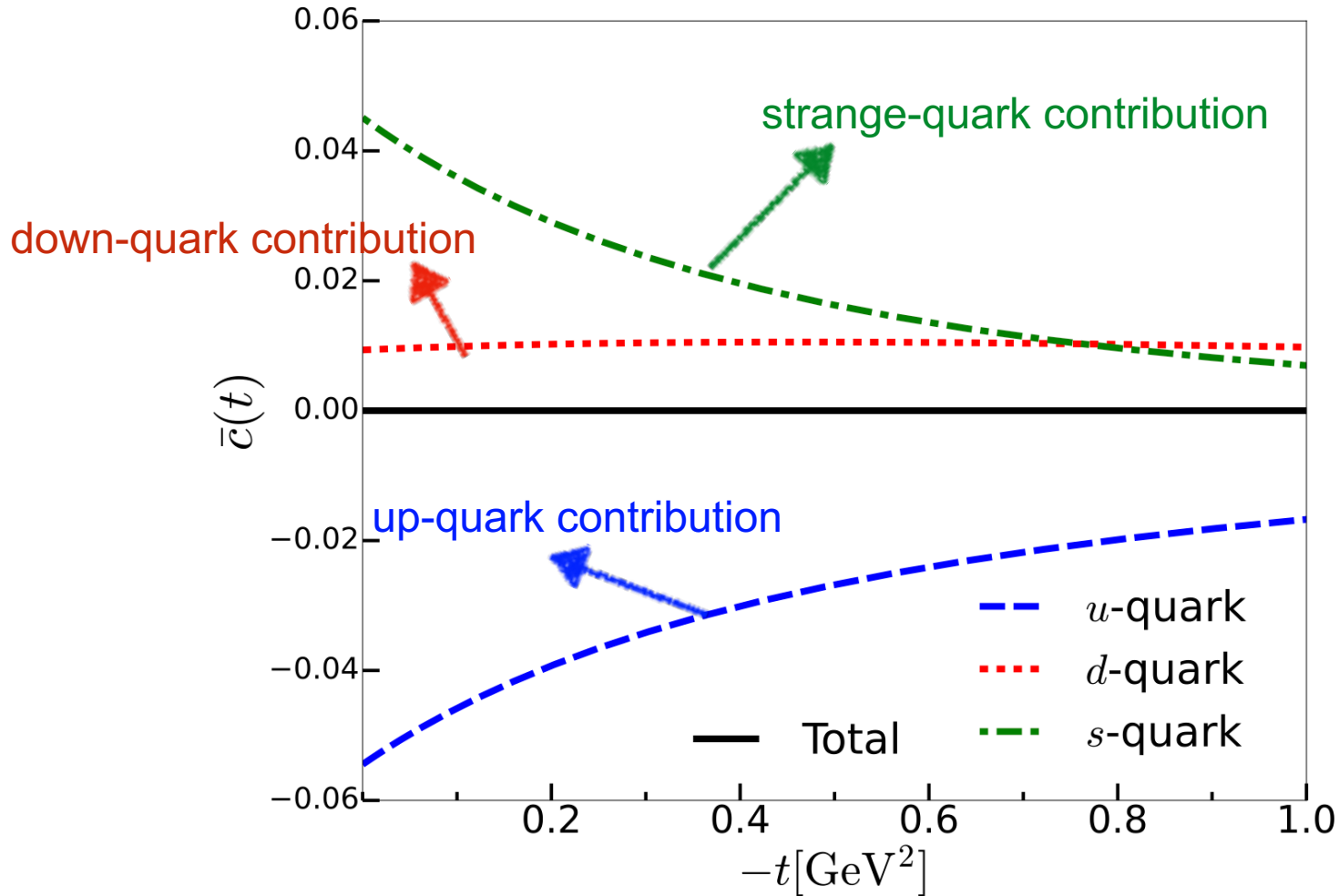
Estimation of mechanical radius



$$\sqrt{\langle r^2 \rangle_{\text{mass}}} < \sqrt{\langle r^2 \rangle_{\text{mech}}} < \sqrt{\langle r^2 \rangle_{\text{ch}}}$$

Flavor-decomposed \bar{c} form factors

Following Polyakov & Son, i.e., $\bar{c}_g \simeq 0$

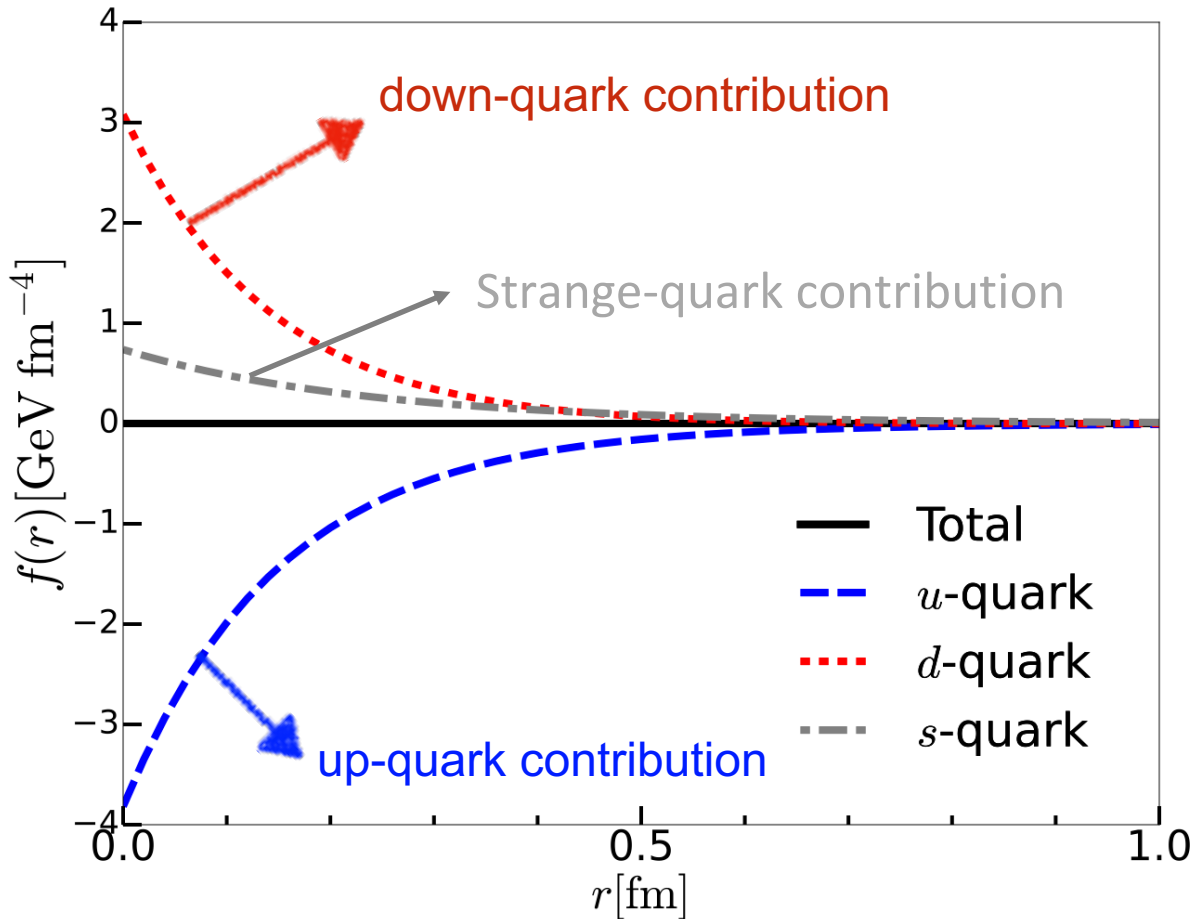


The down & strange-quark contributions exactly cancel out the up-quark contribution!

Flavor-decomposed cbar form factors

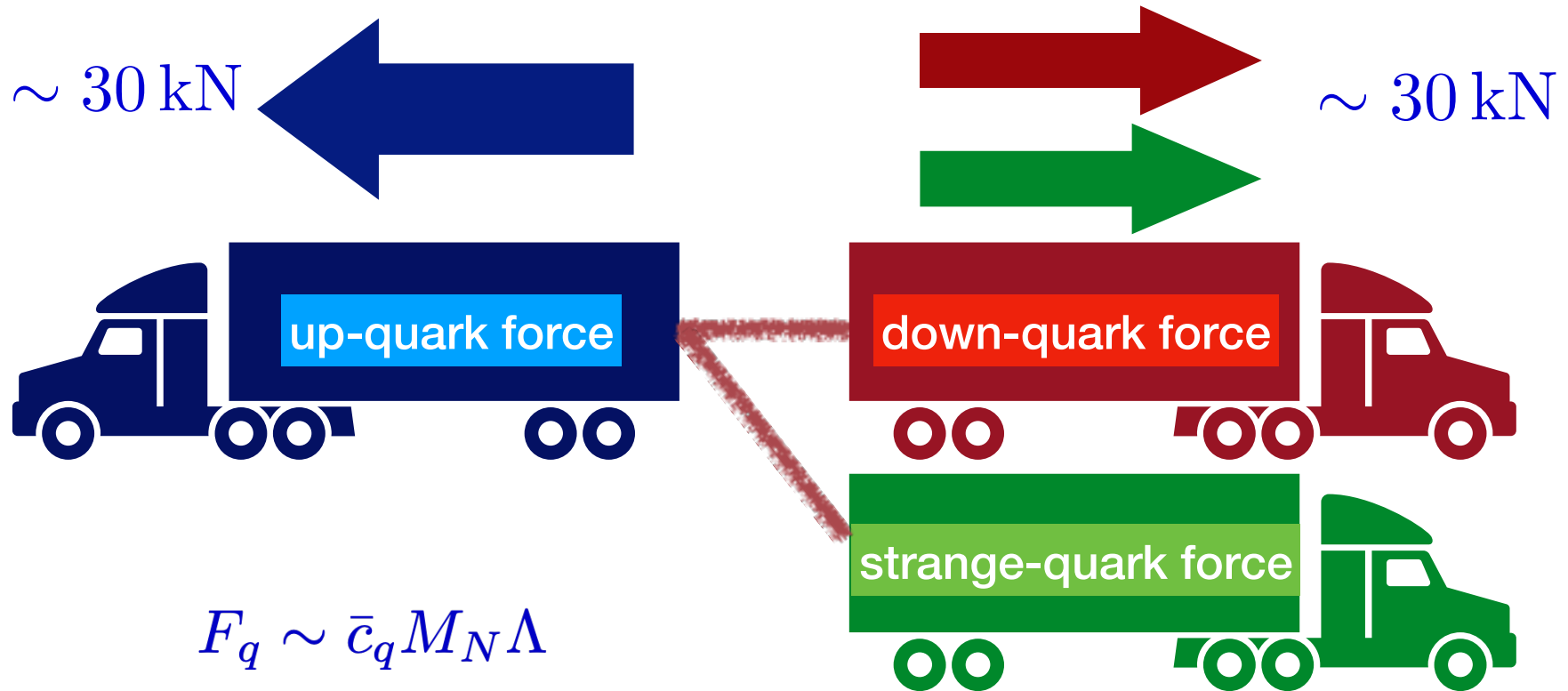
- Force field densities inside the nucleon: $f_j^q = -M_N \frac{\partial}{\partial r^j} \int \frac{d^3 \Delta}{(2\pi)^3} e^{-i\Delta \cdot r} \bar{c}^q(t)$

$$\bar{c}_g \simeq 0$$



Cancelation of the force fields from cbar

The up-quark contribution is balanced with the down & strange-quark contributions.



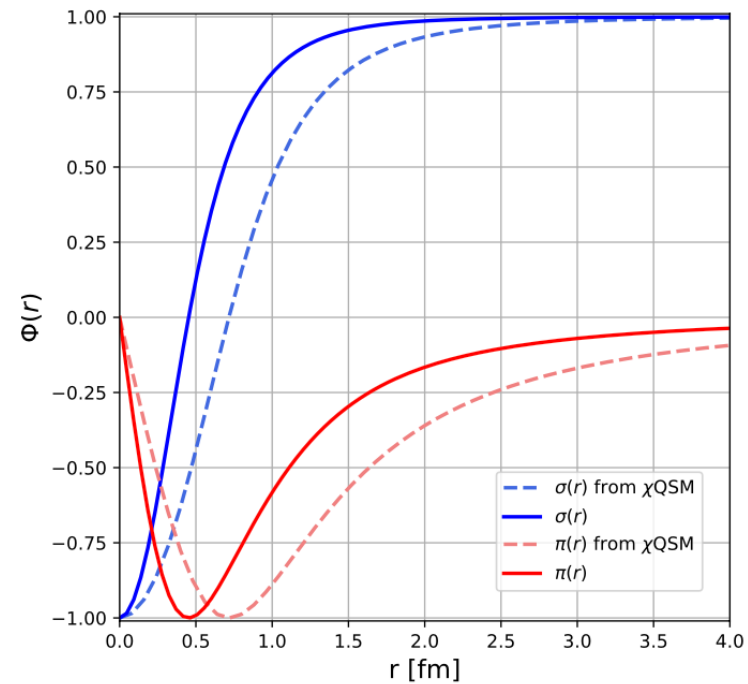
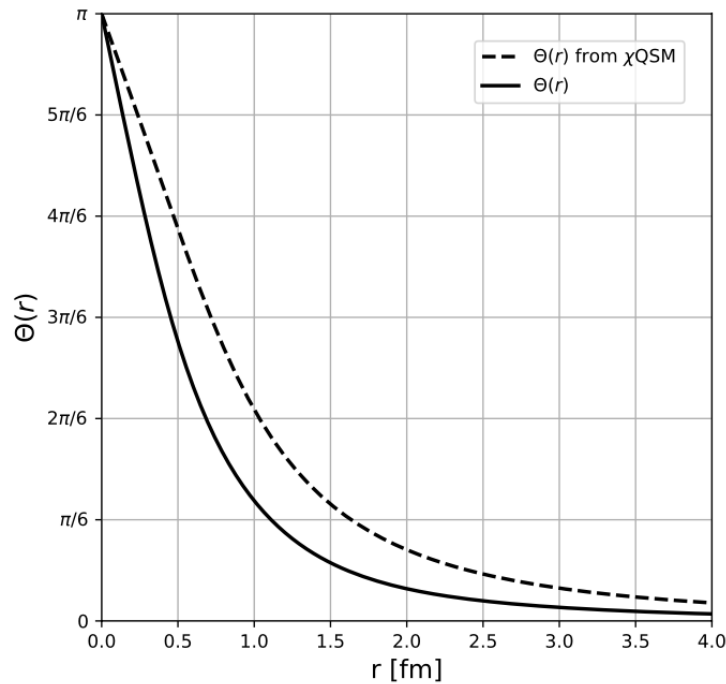
Advertisement

Nucleon from the QCD instanton vacuum

Motivation: aiming at dealing with gluonic matrix elements

Starting from the effective low-energy QCD partition function

$$Z_{\text{eff}}[\pi^a] = \int D\pi^a \text{Det} \left[i\not{\partial} + iM_0 \overleftarrow{F}(i\not{\partial}) U^{\gamma_5} [\pi^a(x)] \overrightarrow{F}(i\not{\partial}) \right]$$



Nucleon from the QCD instanton vacuum

I_{sea} [fm]	I_{val} [fm]	I [fm]	$M_{N-\Delta}$ [MeV]
0.3334	1.0519	1.3853	213.67

$$(M_{N-\Delta})_{\text{Exp}} \simeq 300 \text{ MeV}$$

I_{val} [fm]	I_{sea} [fm]	I_T [fm]	$M_{\mathbf{6}-\bar{\mathbf{3}}}^Q$ [MeV]
0.7927	0.1970	0.9897	199.38

$$(M_{\mathbf{6}-\bar{\mathbf{3}}})_{\text{Exp}}^c \simeq 167 \text{ MeV}$$

$$(M_{\mathbf{6}-\bar{\mathbf{3}}})_{\text{Exp}}^b \simeq 194 \text{ MeV}$$

Summary

- Flavor decomposition of GFFs requires the flavor nonsinglet EMT operators that cannot be constructed without any ambiguity so far.
- Both the twist-2 and twist-4 EMT operators should be considered.
- Twist-4 operator also contribute to the GFFs, in particular \bar{c} one.
- Gluons come into essential play in describing the GFFs even with the effective theory.
- The flavor decomposition of the nucleon mass and pressure requires information on \bar{c} form factors.
- \bar{c} form factors may interplay between the quarks and gluons.
- As far as the total gravitational form factors are concerned, the results from the effective theory are still OK.

**Though this be madness,
yet there is method in it.**

Hamlet Act 2, Scene 2

by Shakespeare

**Many thanks to J-Y. Kim, H-Y. Won, and Ch. Weiss
for wonderful collaborations!**

Thank you very much for the attention!