

Dispersive approach to nonperturbative QCD

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Nov. 1st, 2024

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Conventional QCD approaches

- QCD observables involve nonpert dynamics. How to handle it?
- Factorization theorem: absorb nonpert dynamics into **universal PDFs**; **break down at high powers eventually**
- QCD sum rules: simple but hard to control uncertainties from assumption of quark-hadron duality, determination of stability window in Borel mass; **less predictive power**
- Lattice QCD, 1st principle but tedious numerics; **inapplicable to complicated processes ($D \rightarrow \pi \pi, \dots$)**
- Effective theories (chiral pert theory,...), models (chiral quark model,...)

Our proposal---dispersive approach

- Adopt dispersion relation like sum rules---based only on **analyticity of physical observables** (rigorousness)
- OPE in Euclidean region calculable to high orders and powers with **universal condensates** (no breakdown, systematical improvement of precision)
- **Handle dispersion relation as inverse problem**---solve integral equation directly to get unknown spectral functions with OPE inputs (mature mathematical tools available)
- Have shown uniqueness of solution
- Higher predictive power without strong assumptions

Formalism

Contour integration

- Two-current correlator

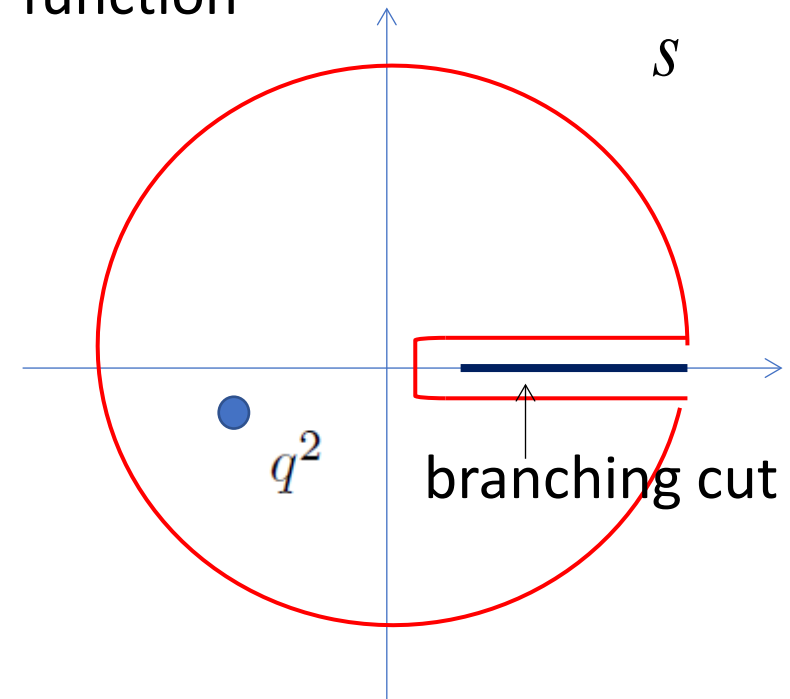
$$J_\mu = (\bar{u}\gamma_\mu u - \bar{d}\gamma_\mu d)/\sqrt{2}.$$

$$\Pi_{\mu\nu}(q^2) = i \int d^4x e^{iq \cdot x} \langle 0 | T [J_\mu(x) J_\nu(0)] | 0 \rangle$$

$$= (q_\mu q_\nu - g_{\mu\nu} q^2) \Pi(q^2) \leftarrow \text{vacuum polarization function}$$

- Identity from contour integration

$$\Pi(q^2) = \frac{1}{2\pi i} \oint ds \frac{\Pi(s)}{s - q^2}$$



Quark side

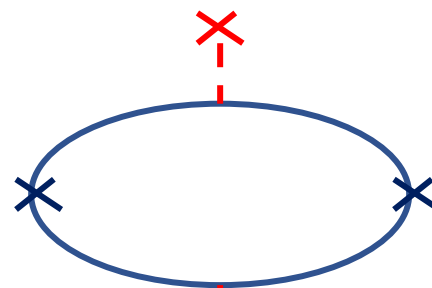
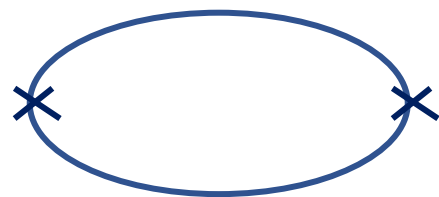
- Correlator at large q^2 (deep Euclidean region)
- **Operator product expansion (OPE) reliable**

parameter characterizing factorization breakdown

$$\Pi^{\text{OPE}}(q^2) = \frac{1}{4\pi^2} \left(1 + \frac{\alpha_s}{\pi}\right) \ln \frac{\mu^2}{-q^2} + \frac{1}{12\pi} \frac{\langle \alpha_s G^2 \rangle}{(q^2)^2} + 2 \frac{\langle m_q \bar{q}q \rangle}{(q^2)^2} + \frac{224\pi}{81} \frac{\kappa \alpha_s \langle \bar{q}q \rangle^2}{(q^2)^3}$$

higher order

higher powers



4-quark condensate factorized into product of 2-quark condensates

$$\frac{1}{4\pi^2} \left(1 + \frac{\alpha_s}{\pi}\right) \ln \frac{\mu^2}{-q^2} \equiv c \ln \frac{\mu^2}{-q^2}$$

nontrivial vacuum

Hadron side

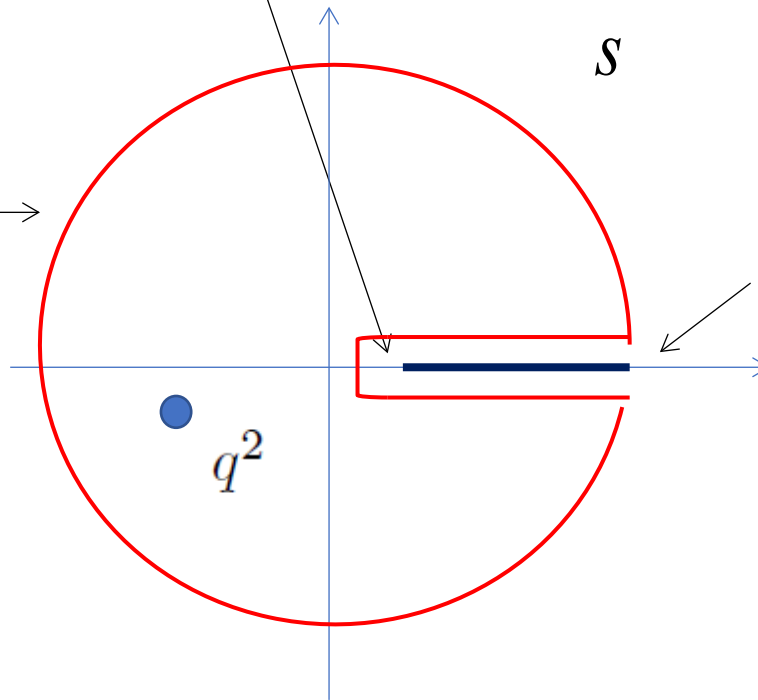
Dispersive integral

$$\frac{1}{2\pi i} \oint ds \frac{\Pi(s)}{s - q^2} = \frac{1}{\pi} \int_0^R ds \frac{\text{Im}\Pi(s)}{s - q^2} + \frac{1}{2\pi i} \int_C ds \frac{\Pi^{\text{pert}}(s)}{s - q^2}$$

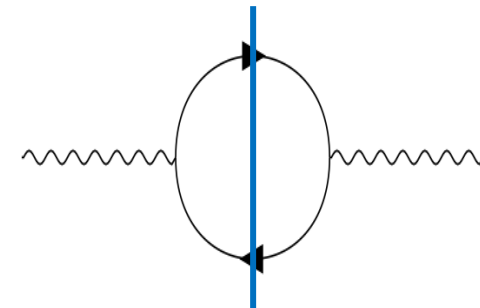
nonperturbative
spectral function

perturbative result

contribution
from large
circle C of
radius R will
be cancelled



branch cut caused by
real intermediate
states due to time-like
 $q^2 > 0$ (log term)



Dispersion relation

- Rewrite pert piece as contour integral

$$\Pi^{\text{OPE}}(q^2) = \frac{1}{2\pi i} \oint ds \frac{\Pi^{\text{pert}}(s)}{s - q^2} + \frac{1}{12\pi} \frac{\langle \alpha_s G^2 \rangle}{(q^2)^2} + 2 \frac{\langle m_q \bar{q}q \rangle}{(q^2)^2} + \frac{224\pi}{81} \frac{\kappa \alpha_s \langle \bar{q}q \rangle^2}{(q^2)^3}$$

due to analyticity of perturbation theory

- Equality of two sides gives dispersion relation
- Contributions from big circles cancel, and unknown spectral function from branch cuts remains

arbitrary radius

$$\frac{1}{\pi} \int_0^R ds \frac{\text{Im}\Pi(s)}{s - q^2} = \frac{1}{\pi} \int_0^R ds \frac{\text{Im}\Pi^{\text{pert}}(s)}{s - q^2} + \frac{1}{12\pi} \frac{\langle \alpha_s G^2 \rangle}{(q^2)^2} + 2 \frac{\langle m_q \bar{q}q \rangle}{(q^2)^2} + \frac{224\pi}{81} \frac{\kappa \alpha_s \langle \bar{q}q \rangle^2}{(q^2)^3}$$

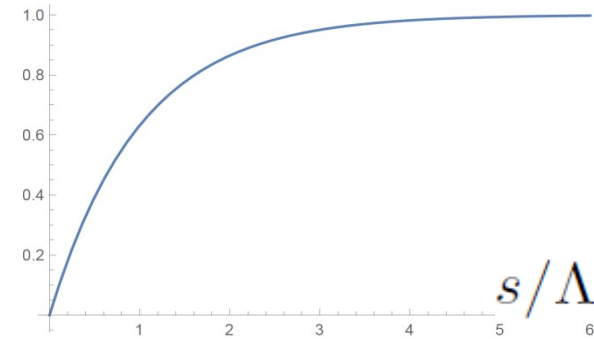
UV subtraction

- Subtracted spectral function

$$\Delta\rho(s, \Lambda) = \rho(s) - \frac{1}{\pi} \text{Im}\Pi^{\text{pert}}(s) [1 - \exp(-s/\Lambda)]$$

arbitrary R turned into arbitrary scale

- Maintain low-energy behavior $\rho(s) \sim s$ at $s \rightarrow 0$ Kwon et al 2008
- Bear resonance structure the same as $\rho(s)$
- Circle radius R can be pushed to infinity



$$\int_0^\infty ds \frac{\Delta\rho(s, \Lambda)}{s - q^2} = \int_0^\infty ds \frac{ce^{-s/\Lambda}}{s - q^2} + \frac{1}{12\pi} \frac{\langle\alpha_s G^2\rangle}{(q^2)^2} + 2 \frac{\langle m_q \bar{q}q \rangle}{(q^2)^2} + \frac{224\pi}{81} \frac{\kappa\alpha_s \langle\bar{q}q\rangle^2}{(q^2)^3}$$

- No duality assumed at any finite s Fredholm equation of the 1st kind

Weakness of sum rules

- Presume existence of ground state, parametrized as pole
- How to handle excited-state contribution?
- Rely on parametrization, **quark-hadron duality**

$$\text{Im}\Pi(q^2) = \pi f_V^2 \delta(q^2 - m_V^2) + \overset{\downarrow}{\text{Im}\Pi^{\text{pert}}(q^2)} \theta(q^2 - s_0)$$

observables: decay constant, mass continuum threshold

- Duality may fail equivalent to q , related via Borel transform
- Stability in unphysical **Borel mass**?
- Usually not; rely on **discretionary prescription**; tune s_0 to make 70% (30%) perturbative (nonperturbative) contribution

Phenomenological applications

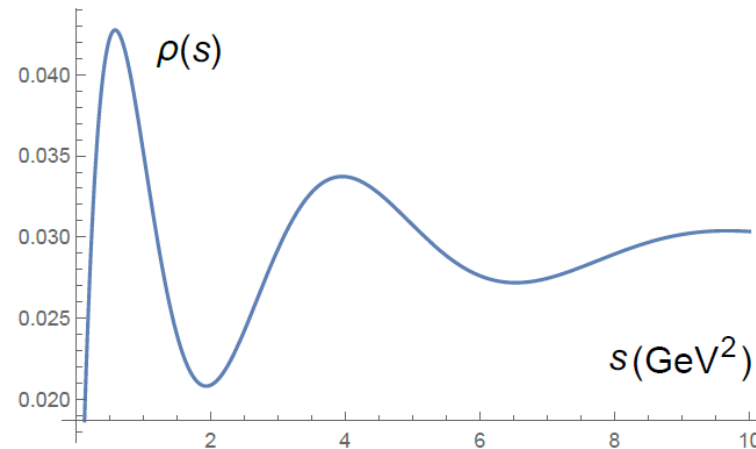
Set aside technical detail of solving the integral equation $\int_0^\infty dy \frac{\rho(y)}{x-y} = \omega(x)$

rho meson spectral function

- OPE input known in the literature

$$\langle m_q \bar{q}q \rangle = 0.007 \times (-0.246)^3 \text{ GeV}^4, \quad \langle \alpha_s G^2 \rangle = 0.08 \text{ GeV}^4$$
$$\alpha_s \langle \bar{q}q \rangle^2 = 1.49 \times 10^{-4} \text{ GeV}^6, \quad \alpha_s = 0.5, \quad \kappa = 2.5.$$

solution of
spectral function



local duality violation

↑
excited states

↑
rho meson peak emerges !

rho meson mass

- Vary Λ , find peak location
- Physical solution insensitive to Λ
- Tiny error, stable solution

$$m_\rho = (0.77 \pm 0.02) \text{ GeV}$$

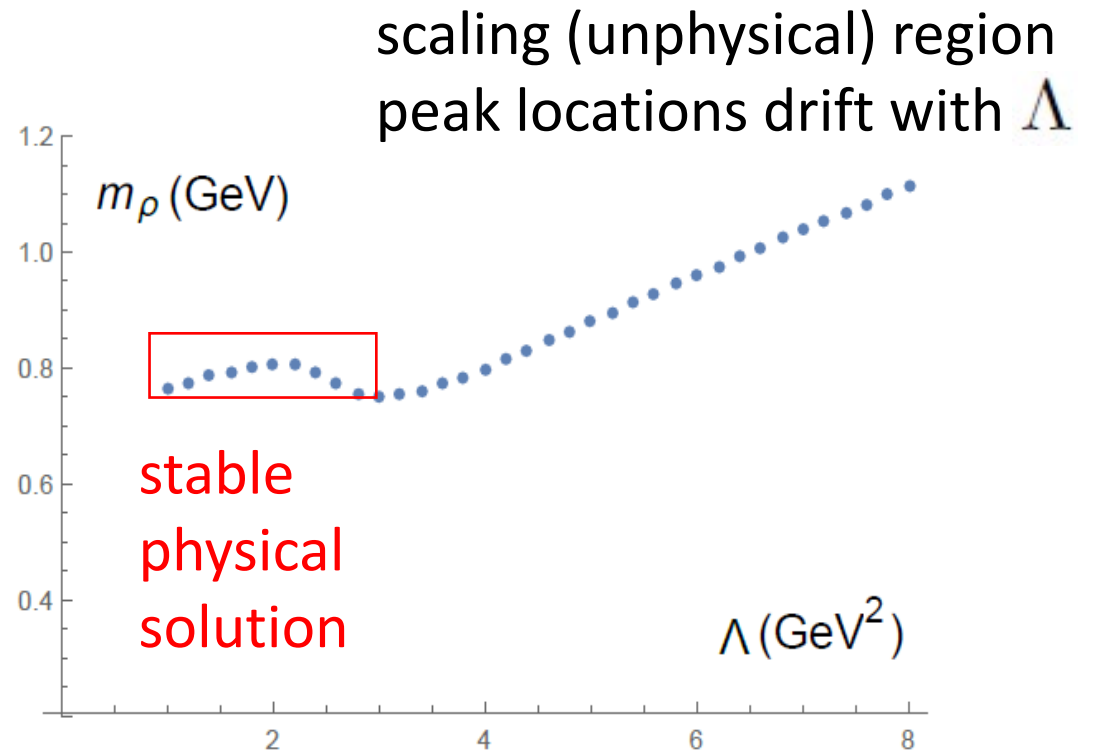
- Including condensate variation

$$m_\rho = (0.77 \pm 0.04) \text{ GeV}$$

- Variable changes $x = q^2/\Lambda$ $y = s/\Lambda$

$$\int_0^\infty dy \frac{\Delta\rho(y)}{x-y} = \int_0^\infty dy \frac{ce^{-y}}{x-y} - \frac{1}{12\pi} \frac{\langle\alpha_s G^2\rangle}{x^2\Lambda^2} - 2 \frac{\langle m_q \bar{q}q \rangle}{x^2\Lambda^2} - \frac{224\pi}{81} \frac{\kappa\alpha_s \langle \bar{q}q \rangle^2}{x^3\Lambda^3}$$

- Scaling behavior due to disappearance of power corrections at high Λ



Excited states

- To access excited state, ground-state contribution must be deducted from correlator, i.e., from spectral function to suppress interference
- Parametrize rho(770) contribution as delta-function $F_0\delta(s - m_\rho^2)$

$$F_0 = \int_0^\infty ds \Delta\rho_0(s, \Lambda) = 0.22 \text{ GeV}^2$$

- Subtract it from two sides of dispersion relation

unknown

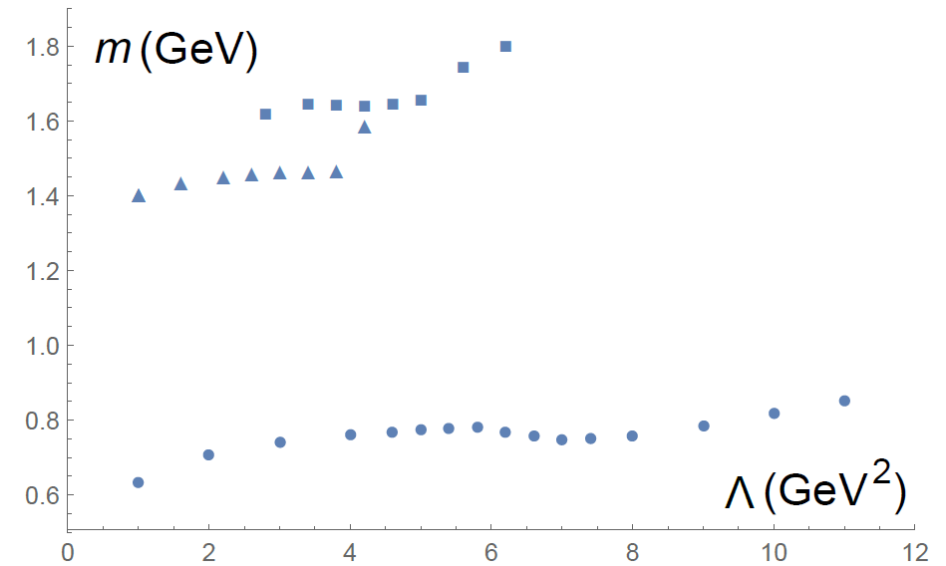
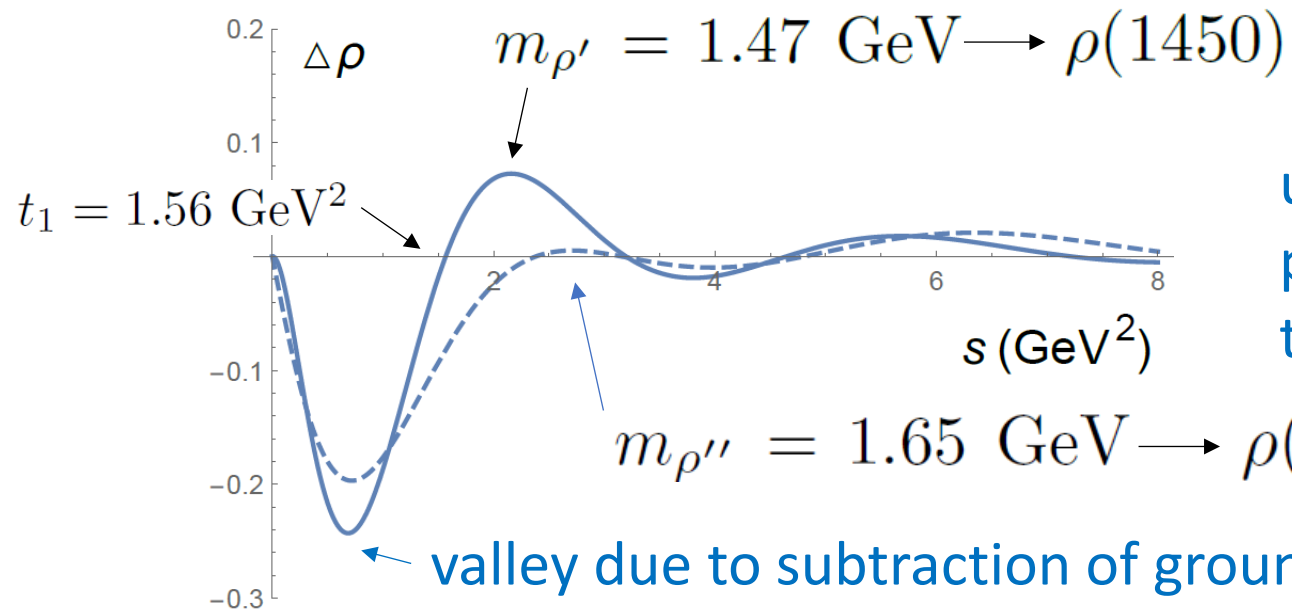
$$\int_0^\infty dy \frac{\Delta\rho(y)}{x-y} = \int_0^\infty dy \frac{ce^{-y} - f_0\delta(y-r_0)}{x-y} - \frac{1}{12\pi} \frac{\langle\alpha_s G^2\rangle}{x^2\Lambda^2} - 2 \frac{\langle m_q \bar{q}q \rangle}{x^2\Lambda^2} - \frac{224\pi}{81} \frac{\kappa\alpha_s \langle \bar{q}q \rangle^2}{x^3\Lambda^3}$$

$$x = q^2/\Lambda \quad y = s/\Lambda \quad f_0 = F_0/\Lambda \quad r_0 = m_\rho^2/\Lambda$$

rho resonances

- To get 2nd excited state, further subtract

$$F_1 \delta(s - m_{\rho'}^2) \quad F_1 = \int_{t_1}^{\infty} ds \Delta\rho_1(s) = 0.11 \text{ GeV}^2$$



uncertainties involved in lower states propagated to higher states, enlarged through sequential subtractions

$$m_{\rho''} = 1.65 \text{ GeV} \rightarrow \rho(1700) \quad 1720 \pm 20 \text{ MeV}$$

- Adopting BW form, instead of delta-function, $m_{\rho'}$ increases by 5%

Scalar glueballs

- After checking our formalism, apply it to scalar glueballs
subtracted spectral function, cannot resolve fine structure with finite-power inputs

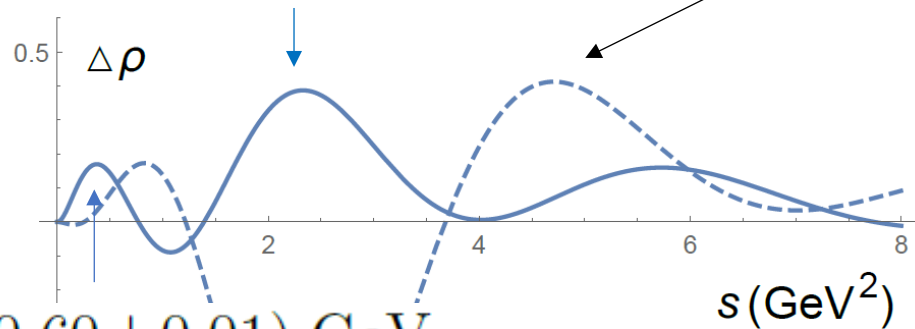
$$m_S = (1.53 \pm 0.02) \text{ GeV}$$

$$2187 \pm 14 \text{ MeV}$$

$$\rightarrow f_0(1370), f_0(1500) f_0(1710)$$

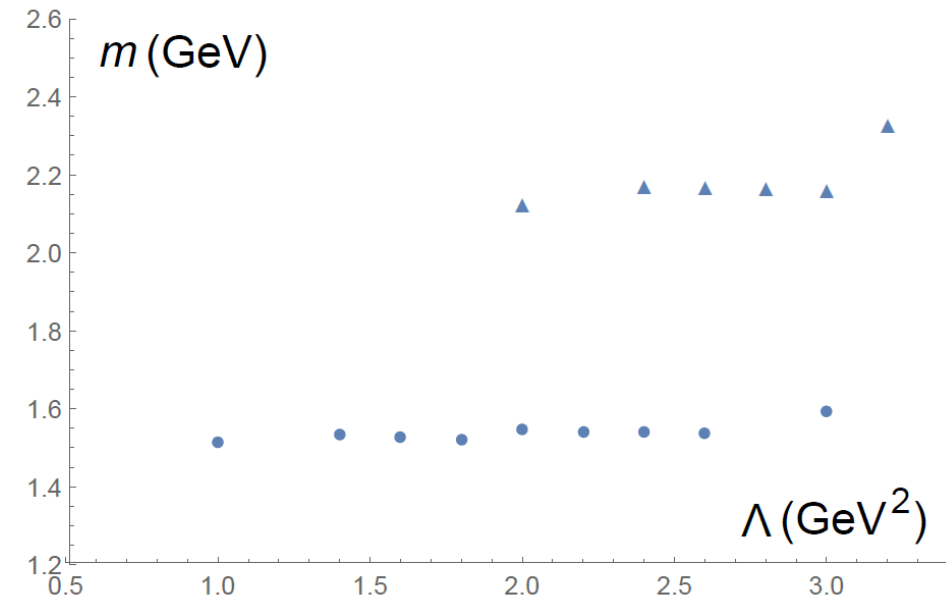
$$m_{S'} = 2.17 \pm 0.01 \text{ GeV} \rightarrow f_0(2200)$$

ground-state solution



$$m_{S_1} = (0.60 \pm 0.01) \text{ GeV}$$

$$\rightarrow f_0(500)$$

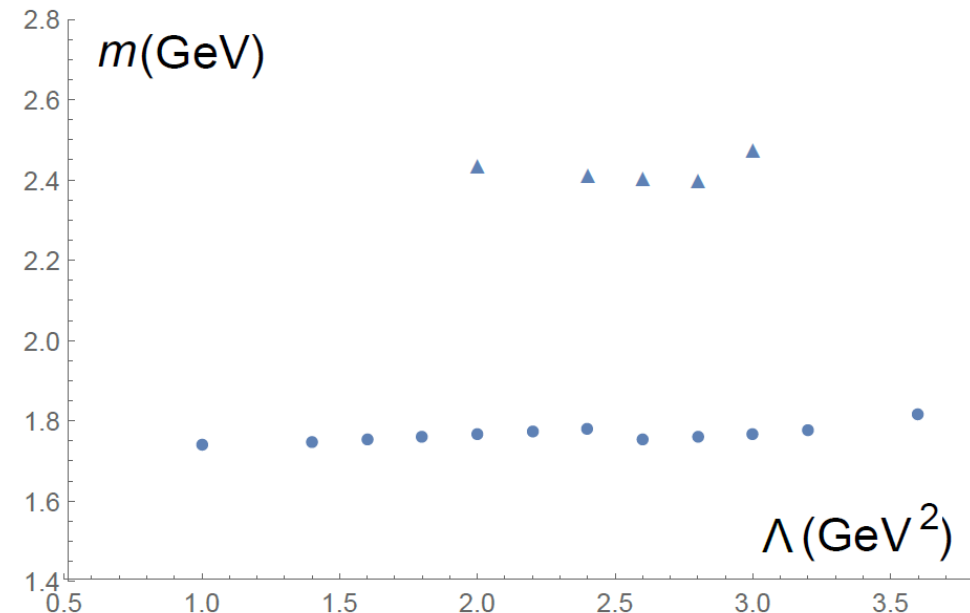
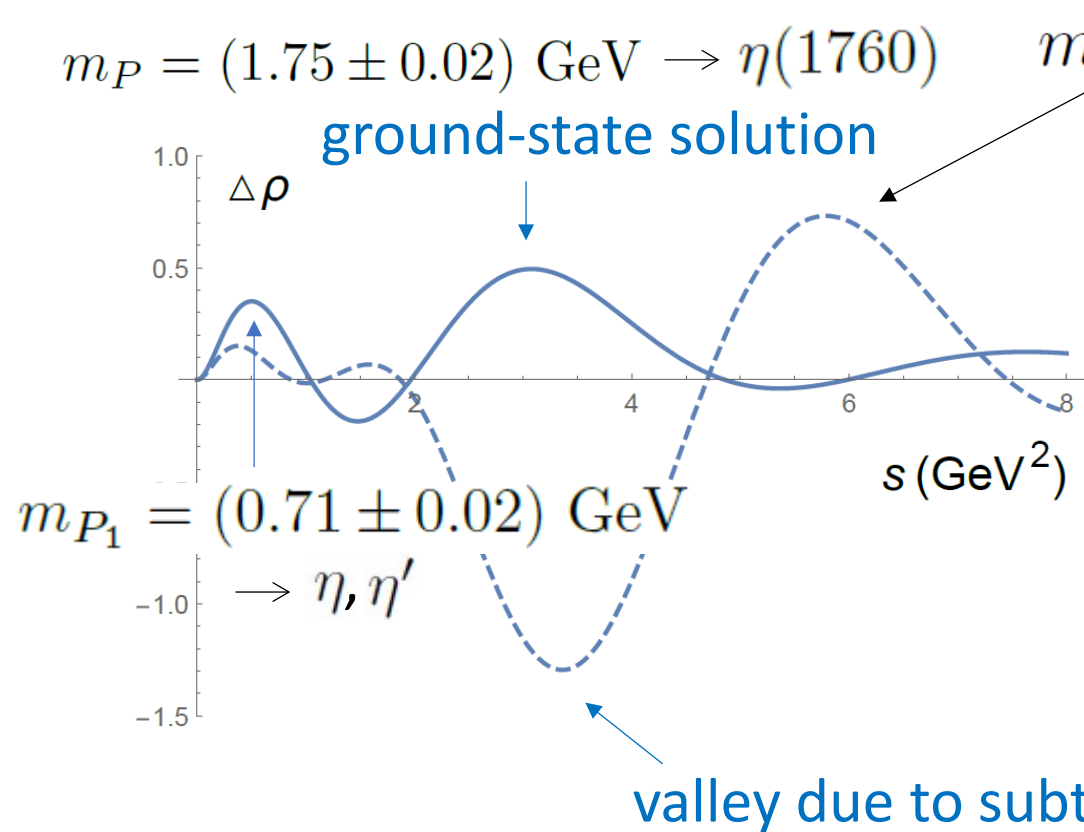


valley due to subtraction of ground state

Pseudoscalar glueballs

- For pseudoscalar glueballs

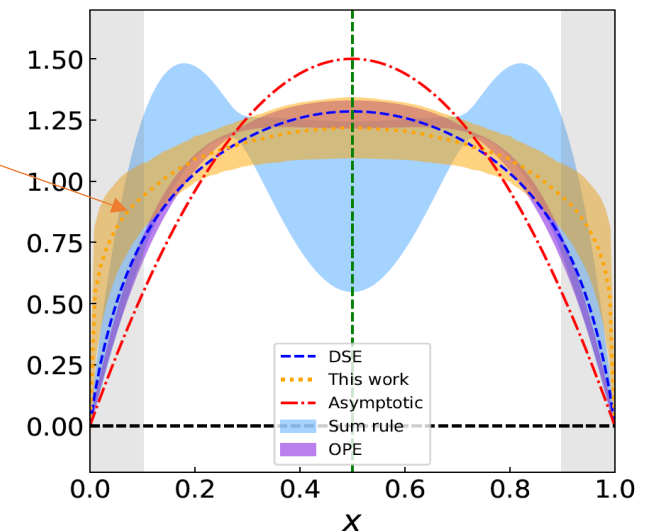
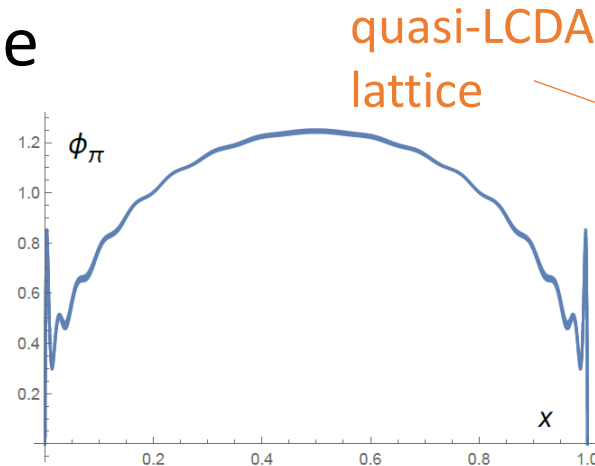
glueball-like X(2370)
 measured by BESIII
 should be 1st excited state
 $2395 \pm 11(\text{stat})_{-94}^{+26}(\text{syst}) \text{ MeV}$



Conclusion

- Dispersive approach, compared to conventional QSR, is free of arbitrary parameters, gives definite predictions with controllable uncertainties
- Applied to spectroscopies of rho and glueballs as test
- Predicted lightest scalar (pseudoscalar) glueball to be admixture of $f_0(1370)$, $f_0(1500)$ and $f_0(1710)$ ($\eta(1760)$)
- $f_0(2200)$ and $X(2370)$ are 1st excited glueball states
- Can also derive x dependence of light-cone distribution amplitude (LCDA)

pion LCDA



Back-up slides

Fredholm integral equation

- Goal is to solve **ill-posed** integral equation

$$\int_0^{\infty} dy \frac{\rho(y)}{x - y} = \omega(x)$$

unknown spectral density
to be solved

OPE input

1st kind of Fredholm integral equation

- How to solve it? Notoriously difficult
- Discretization does not work

ill-posedness

- Discretizing integral equation fails

$$\sum_j M_{ij} \rho_j = \omega_i$$

unknowns input

$$M_{ij} = \begin{cases} 1/(i-j), & i \neq j \\ 0, & i = j \end{cases}$$

- Rows M_{ij} and $M_{(i+1)j}$ become almost identical for fine meshes, $\det(M) \sim 0$
- Matrix M becomes singular; M^{-1} diverges quickly
- Solution diverges and sensitive to variation of inputs

Strategy

- Suppose $\rho(y)$ decreases quickly enough
- Expansion into powers of $1/x$ justified

$$\frac{1}{x-y} = \sum_{m=1}^N \frac{y^{m-1}}{x^m}$$

$$\omega(x) = \sum_{n=1}^N \frac{b_n}{x^n}$$

true for OPE

- Suppose $\omega(x)$ can be expanded
- Decompose

$$\rho(y) = \sum_{n=1}^N a_n y^\alpha e^{-y} L_{n-1}^{(\alpha)}(y)$$

generalized
Laguerre
polynomials

depend on $\rho(y)$ at $y \rightarrow 0$.

- Orthogonality

$$\int_0^\infty \underline{y^\alpha e^{-y}} L_m^{(\alpha)}(y) L_n^{(\alpha)}(y) dy = \frac{\Gamma(n + \alpha + 1)}{n!} \delta_{mn}$$

Solution

- Equating coefficients of $1/x^n$

$$Ma = b \quad M_{mn} = \int_0^\infty dy y^{m-1+\alpha} e^{-y} L_{n-1}^{(\alpha)}(y)$$

matrix \nearrow \uparrow unknown \uparrow input $b = (b_1, b_2, \dots, b_N)$
 $a = (a_1, a_2, \dots, a_N)$

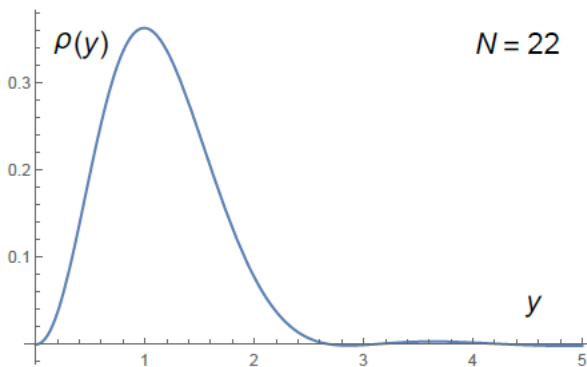
- Solution $a = M^{-1}b$
- True solution can be approached by increasing N, but M^{-1} diverges with N
- Additional polynomial gives $1/x^{N+1}$ correction due to orthogonality, beyond considered precision

Test examples

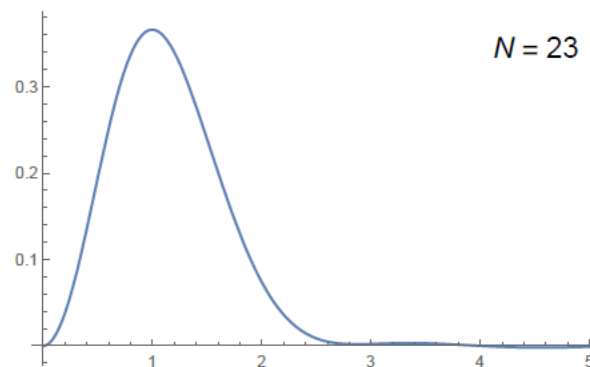
- Generate mock data from $\rho(y) = y^2 e^{-y^2}$

$$b_n = \int_0^\infty dy y^{n-1} y^2 e^{-y^2} \quad \leftarrow \quad \int_0^\infty dy \frac{\rho(y)}{x-y} = \omega(x)$$

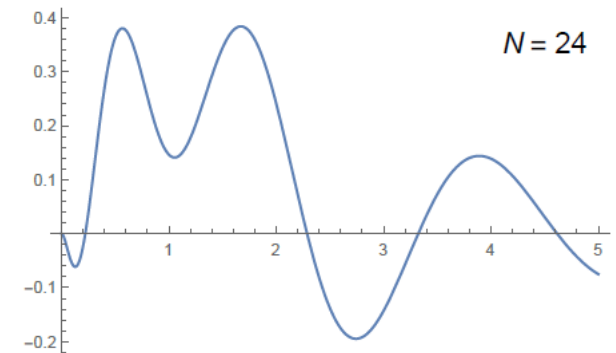
- Compute matrix M with $\alpha = 2$
- Solution stable for $N > 20$, becomes oscillatory as $N=24$ due to divergent M^{-1}



$$a_{22}/a_{21} \approx 1$$



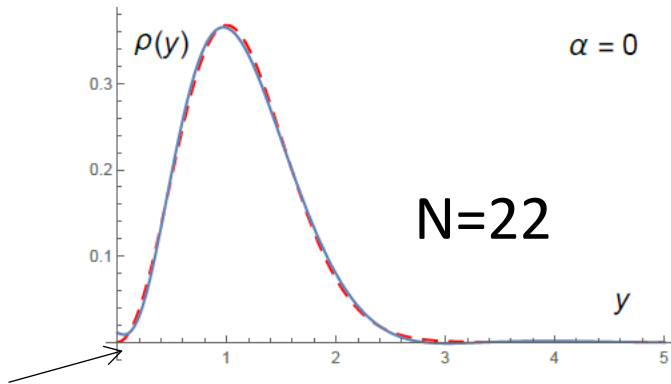
$$a_{23}/a_{22} \approx 2$$



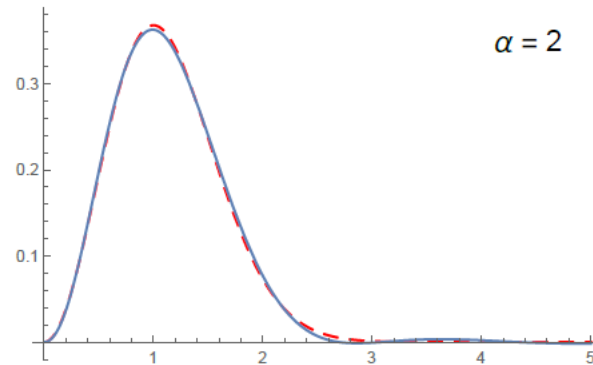
$$a_{24}/a_{23} \approx 58$$

Boundary conditions

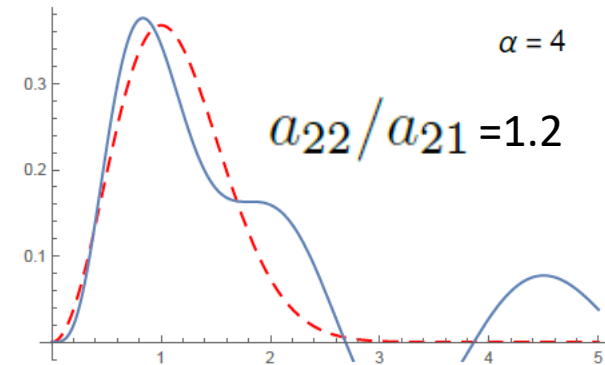
- Test choices of α (red: true solution)



deviation



almost perfect



completely different

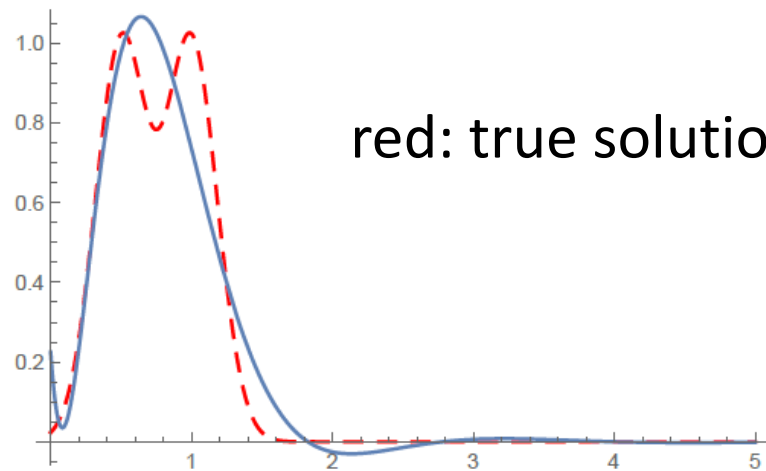
- Parameter α determined by boundary conditions of solution
- **Boundary conditions help getting correct solutions**

Resolution

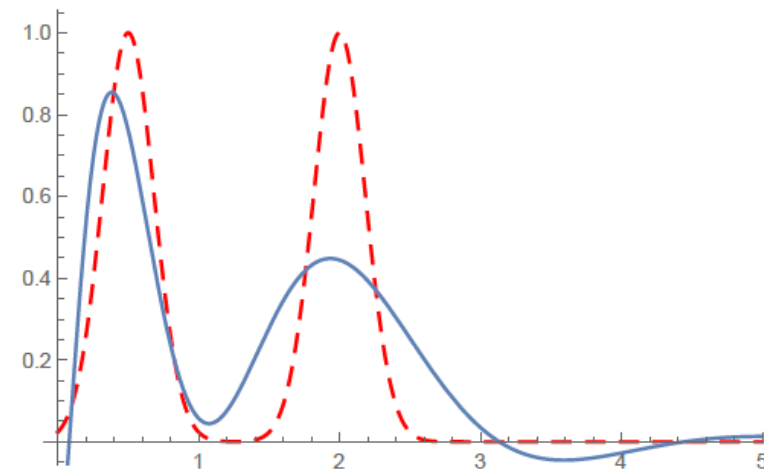
- e^{-y} implies resolution power $\Delta y \sim 1$
- Test double peak functions

$$\rho_1(y) = e^{-20(y-0.5)^2} + e^{-20(y-1.0)^2} \quad \Delta y \sim 0.5$$

$$\rho_2(y) = e^{-20(y-0.5)^2} + e^{-20(y-2.0)^2} \quad \Delta y \sim 1.5$$



red: true solution



- Fine structure cannot be resolved (ill-posed)