

**Thermodynamic tradeoff relations
in quantum systems**

Yoshihiko Hasegawa

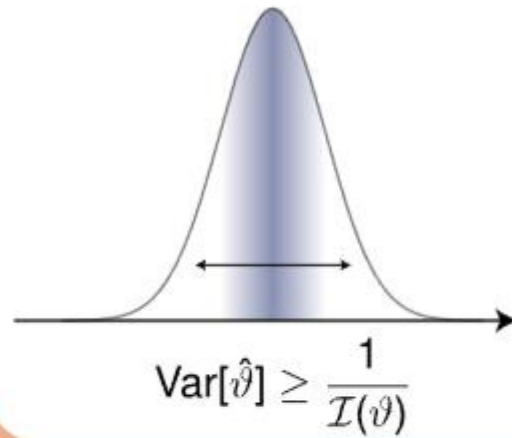
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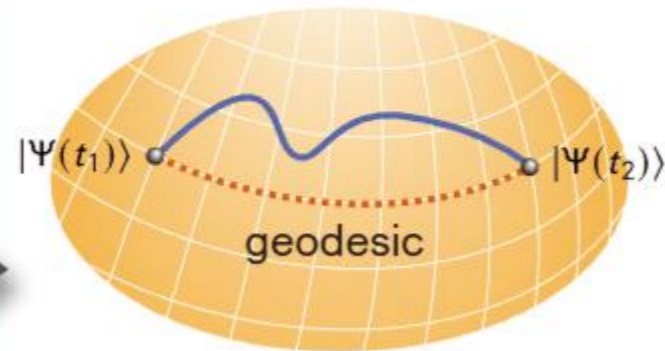
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First part

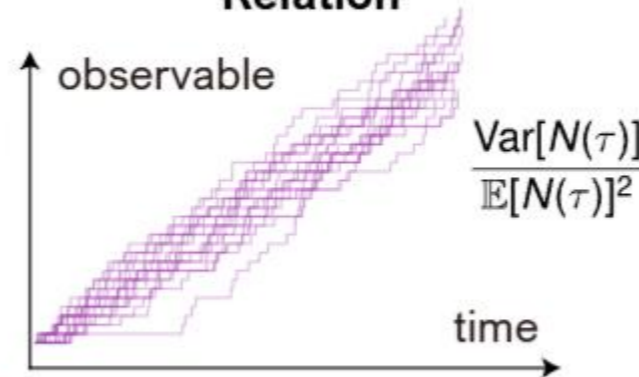
Information Inequality



Speed Limit

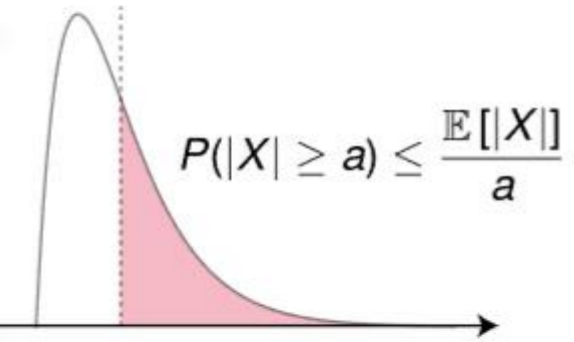


Thermodynamic Uncertainty Relation



Second part

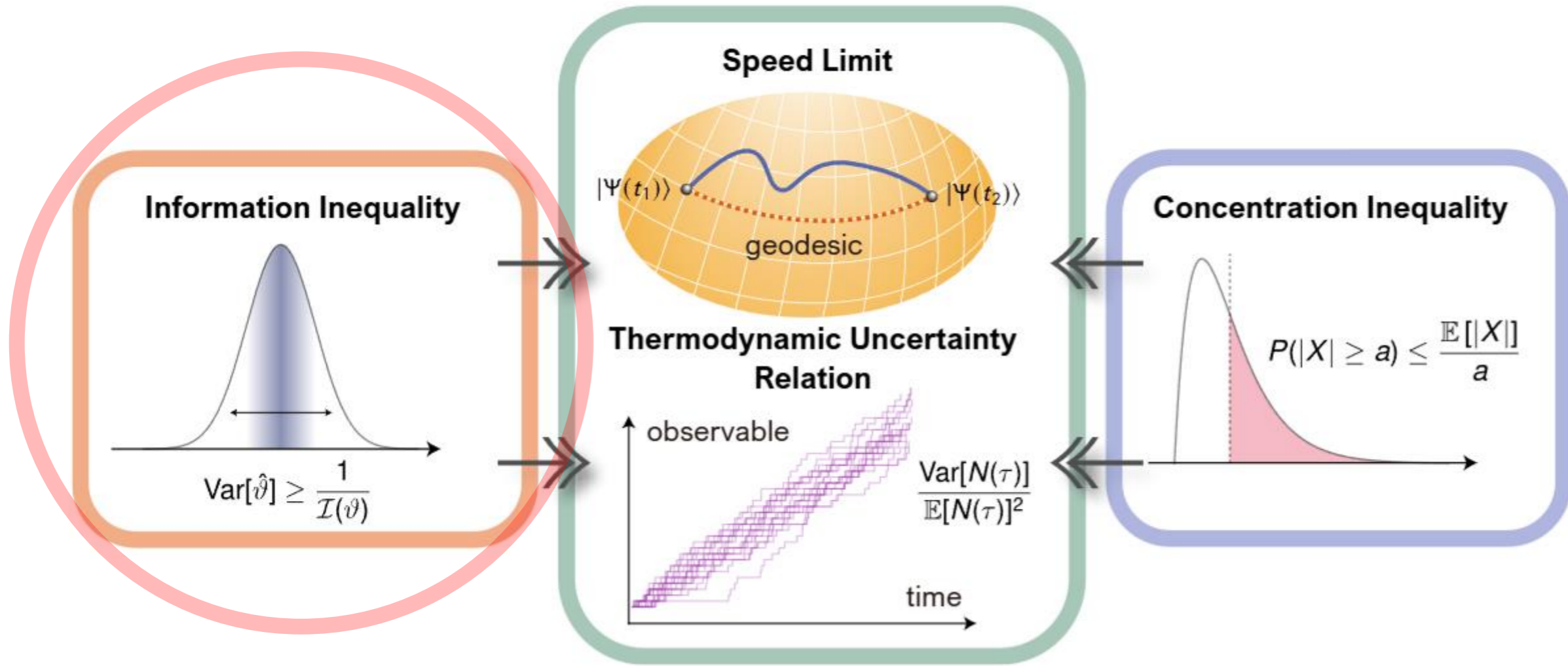
Concentration Inequality



Yoshihiko Hasegawa, Physical Review Letters, 2020

Tomohiro Nishiyama and Yoshihiko Hasegawa, Physical Review E, 2024

Yoshihiko Hasegawa and Tomohiro Nishiyama, [arXiv:2402.12197](https://arxiv.org/abs/2402.12197)



Quantum time-keeping device

Stopwatch



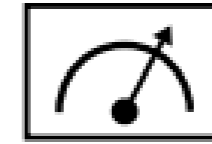
$|\psi(0)\rangle$

$|\psi(t)\rangle$

Start

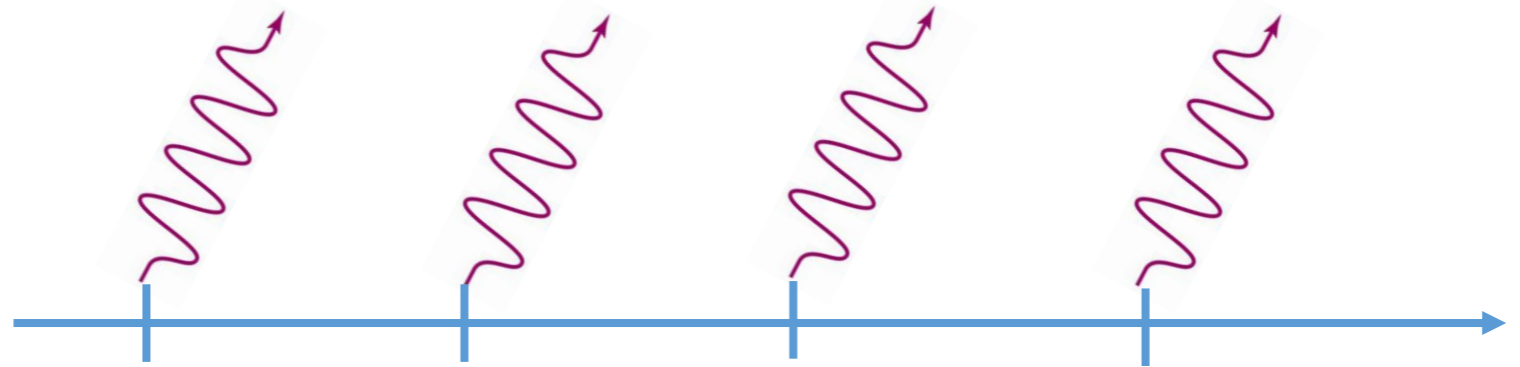
Measurement

Time duration



Projective measurement

Chime



Time

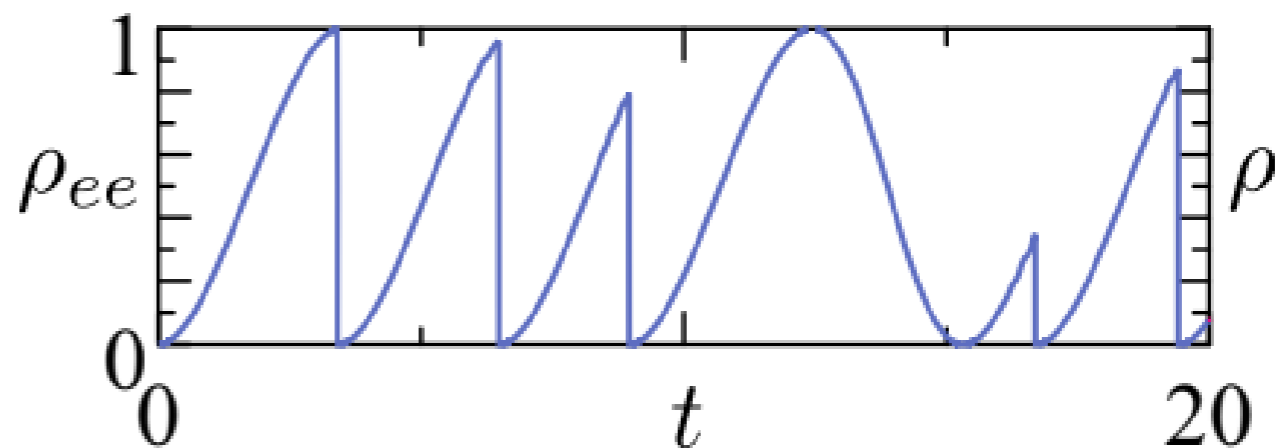
Continuous measurement

- Chime quantum clock inevitably interacts with environment
- Such dynamics can be described by the Gorini–Kossakowski–Sudarshan–Lindblad (GKSL) equation

$$\frac{d\rho}{dt} = -i[H, \rho] + \sum_m \left[L_m \rho L_m^\dagger - \frac{1}{2} \{ L_m^\dagger L_m \rho + \rho L_m^\dagger L_m \} \right]$$

where L_m is a jump operator and ρ is density operator

- For example, $L = \sqrt{\kappa}|g\rangle\langle e|$



Continuous measurement and matrix product state

$$\frac{d\rho}{dt} = \mathcal{L}\rho = -i[H, \rho] + \sum_m \left[L_m \rho L_m^\dagger - \frac{1}{2} \{ L_m^\dagger L_m \rho + \rho L_m^\dagger L_m \} \right]$$

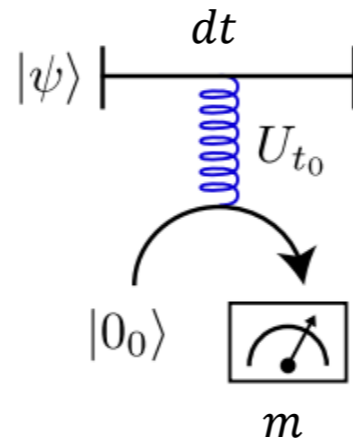
■ Continuous measurement is represented by the Kraus operator

■ For $[t, t + dt]$

$$\rho(t + dt) = M_0 \rho M_0^\dagger + \sum_{m=1}^{N_C} M_m \rho M_m^\dagger = \sum_{m=0}^{N_C} M_m \rho M_m^\dagger$$

$$M_0 = \mathbb{I}_S - i dt H_{\text{eff}} \quad \text{No jump}$$

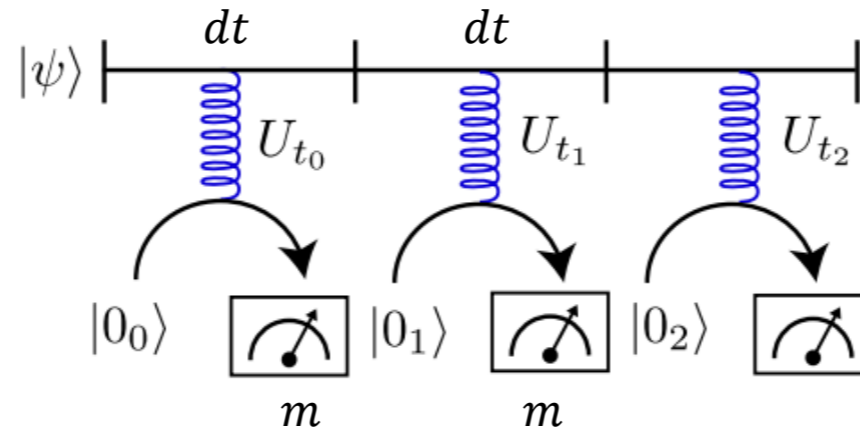
$$M_m = \sqrt{dt} L_m \quad \text{Jump}$$



Continuous measurement and matrix product state

- Applying the Kraus operators repeatedly within $[0, \tau]$

$$\rho(\tau) = \sum_{m_{N_\ell}} \cdots \sum_{m_0} M_{m_{N_\ell-1}} \cdots M_{m_0} \rho M_{m_0}^\dagger \cdots M_{N_\ell-1}^\dagger$$



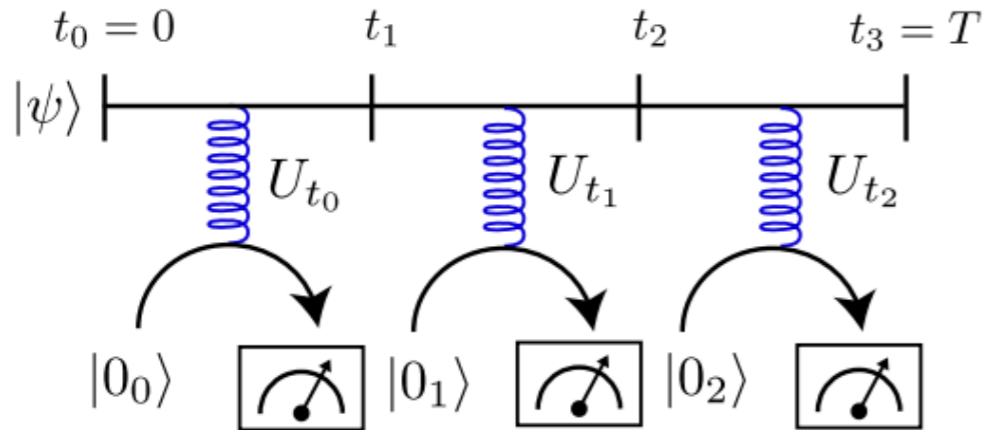
- Continuous measurement can be represented by a matrix product state (MPS)

$$|\Psi(\tau)\rangle = \sum_{m_0, \dots, m_{N_\ell-1}} M_{m_{N_\ell-1}} \cdots M_{m_0} |\psi_S(0)\rangle \otimes |m_{N_\ell-1}, \dots, m_0\rangle$$

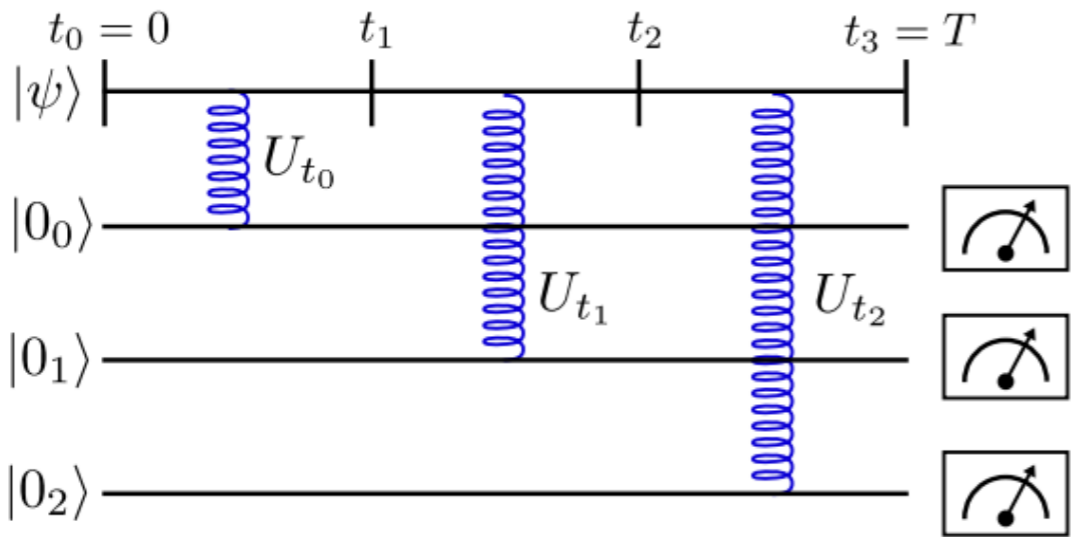
$$\text{Tr}_{\text{field}} [|\Psi(\tau)\rangle\langle\Psi(\tau)|] = \rho(\tau)$$

Continuous measurement and matrix product state

(a)



(b)



$|\Psi(\tau)\rangle$

$$|\Psi(\tau)\rangle = \sum_{m_0, \dots, m_{N_\ell-1}} M_{m_{N_\ell-1}} \cdots M_{m_0} |\psi_S(0)\rangle \otimes |m_{N_\ell-1}, \dots, m_0\rangle$$

- All the jump information is encoded in MPS
- Measurement of jump information can be performed by Hermitian operator at the final time

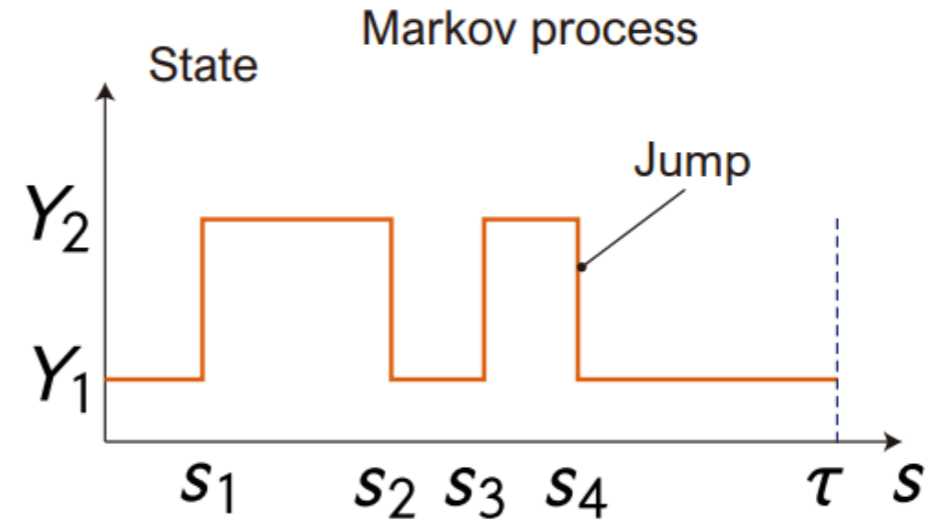
Continuous matrix product state (cMPS)

[Verstraete et al., Phys. Rev. Lett. 2010, Osborne et al., Phys. Rev. Lett., 2010]

- In the continuous limit, MPS becomes continuous MPS (cMPS)
- cMPS encodes classical/quantum stochastic processes into quantum field

$\phi_m^\dagger(s)$: field operator satisfying the commutation

$$\phi_{m_4}^\dagger(s_4) \phi_{m_3}^\dagger(s_3) \phi_{m_2}^\dagger(s_2) \phi_{m_1}^\dagger(s_1) |vac\rangle \longleftrightarrow$$



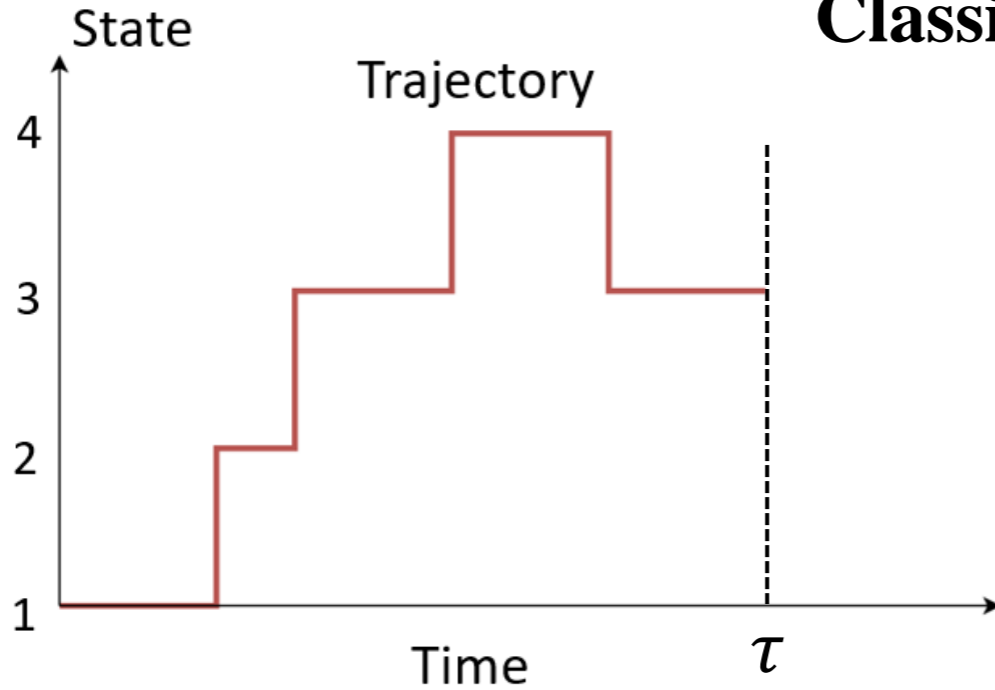
$$|\Psi(t)\rangle = \mathcal{U}(t) |\psi_S(0)\rangle \otimes |vac\rangle$$

System state Field state

$$\mathcal{U}(t) \equiv \mathbb{T} e^{-i \int_0^t ds [H \otimes \mathbb{I}_{\text{fld}} + \sum_m (iL_m \otimes \phi_m^\dagger(s) - iL_m^\dagger \otimes \phi_m(s))]}$$

Observable

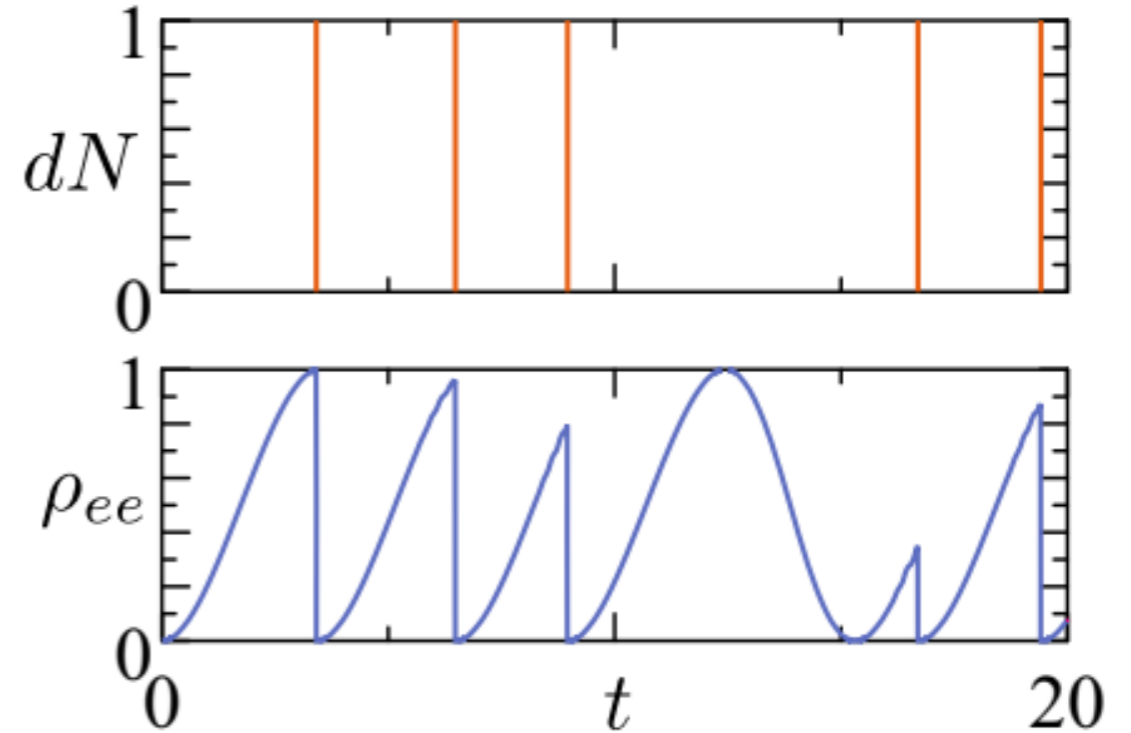
Classical



$$\phi = \sum_{m \neq n} C_{mn} N_{mn}$$

N_{ij} is the number of transitions from i th state to j th state

Quantum



$$N = \sum_m C_m N_m$$

N_m is the number of m th jump event

Observable


- The observable in continuous measurement is

$$N = \sum_m C_m N_m$$

- N_m can be calculated by the total number operator

$$\hat{N}_m = \int_0^\tau dt \phi_m^\dagger(t) \phi_m(t)$$

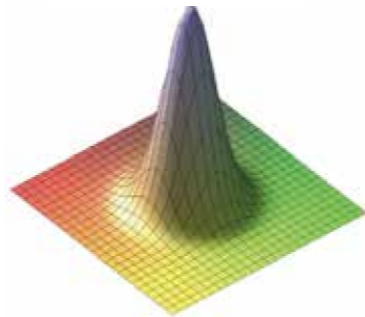
- Then, the expectation of N_m becomes

$$\langle \Psi(\tau) | I_S \otimes \hat{N}_m | \Psi(\tau) \rangle$$


Classical Cramér-Rao inequality

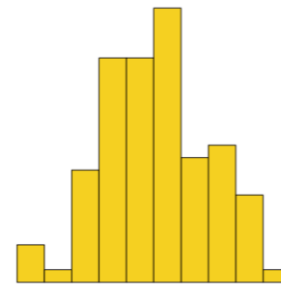
Classical estimation

Prob. dist.



$$P(x; \theta)$$

Sampling



$$\mathcal{D} = \{x_1, x_2, \dots, x_{N_D}\}$$

Estimation



$$\Theta(\mathcal{D})$$

■ Cramér-Rao inequality

$$\text{Var}[\hat{\theta}] \geq \frac{1}{\mathcal{F}(\theta)}$$

$$\mathcal{F}(\theta) = - \left\langle \frac{\partial}{\partial \theta^2} \ln P(x|\theta) \right\rangle$$

■ Generalized Cramér-Rao inequality

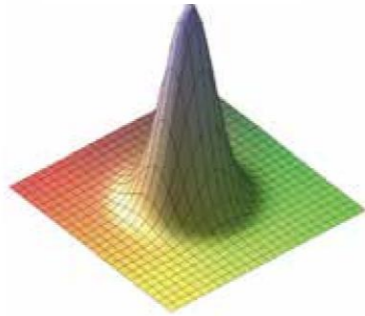
$$\frac{\text{Var}[\hat{\Theta}(\theta)]}{(\partial_{\theta} \langle \hat{\Theta} \rangle)^2} \geq \frac{1}{\mathcal{F}(\theta)}$$

Fisher information

Classical and quantum estimation

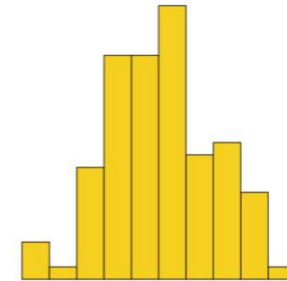
Classical estimation

Prob. dist.



$$P(x; \theta)$$

Sampling



$$\mathcal{D} = \{x_1, x_2, \dots, x_{N_D}\}$$

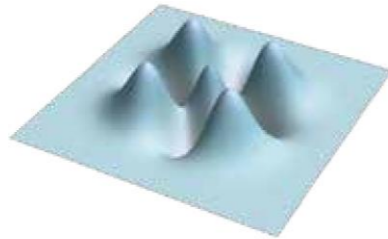
Estimation



$$\Theta(\mathcal{D})$$

Quantum estimation

Quantum state



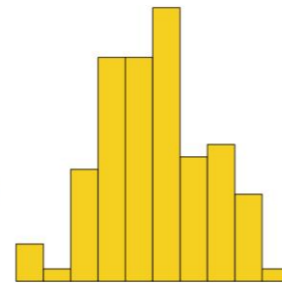
$$|\psi_\theta\rangle$$

Measurement



$$\text{POVM} \\ \Pi_x$$

Sampling



$$\mathcal{D} = \{x_1, x_2, \dots, x_{N_D}\}$$

Estimation



$$\Theta(\mathcal{D})$$

Quantum Fisher information

■ In quantum estimation, there is freedom on the measurement operator Π_x (POVM)

■ Quantum Cramér-Rao inequality is

$$\text{Var}[\hat{\theta}] \geq \frac{1}{\mathcal{F}_Q(\theta)}$$

where $\mathcal{F}_Q(\theta)$ is the quantum Fisher information (QFI)

■ For mixed state and non-unitary dynamics, QFI is difficult to calculate in general

■ For pure state $|\psi_\theta\rangle$, $\mathcal{F}_Q(\theta)$ is given by

$$\mathcal{F}_Q(\theta) = 4 \left[\langle \partial_\theta \psi_\theta | \partial_\theta \psi_\theta \rangle + (\langle \partial_\theta \psi_\theta | \psi_\theta \rangle)^2 \right]$$

Quantum TUR for continuous measurement

[Hasegawa, Phys. Rev. Lett., 2020]

■ Consider a hypothetical parameter inference in continuous measurement

■ Let $\theta \in \mathbb{R}$ be a parameter. Suppose

$$L_m(\theta) = \sqrt{1 + \theta} L_m, H(\theta) = (1 + \theta)H$$

■ From quantum Cramer-Rao inequality

$$\frac{\text{Var}[N]}{(\partial_\theta \langle N \rangle_\theta)^2} \geq \frac{1}{\mathcal{F}_Q(\theta)}$$

$$N = \sum_m C_m N_m$$

The number of jumps

■ We obtain

$$\frac{\text{Var}[N]}{\langle N \rangle^2} \geq \frac{h}{\mathcal{F}_Q(\theta)}$$

h depends on type of continuous measurement

Quantum TUR for continuous measurement

[Hasegawa, Phys. Rev. Lett., 2020]

■ Quantum Fisher information for continuous measurement can be calculated via two-sided GKSL equation [Gammelmark & Mølmer, Phys. Rev. Lett., 2014]

■ For the jump measurement ($\hbar = 1$)

$$\frac{\text{Var}[N]}{\langle N \rangle^2} \geq \frac{1}{\mathcal{A}(\tau) + \mathcal{B}_q(\tau)} \quad (\text{steady-state condition})$$

T : time duration

$$\frac{\text{Var}[N]}{\langle N \rangle^2} \geq \frac{1}{\mathcal{A}(\tau)}$$

Classical case

$$\mathcal{A}(\tau) = \tau \sum_m \text{Tr}[L_m \rho^{ss} L_m^\dagger] : \text{frequency of jump}$$

(corresponds to dynamical activity)

\mathcal{B}_q : coherent term contribution (difficult to calculate)

$\mathcal{A}(\tau) + \mathcal{B}_q(\tau)$: Quantum dynamical activity

Exact representation of quantum dynamical activity

[Nishiyama & Hasegawa, Phys. Rev. E, 2024]

- In [Hasegawa, Phys. Rev. Lett., 2020], only $\tau \rightarrow \infty$ representation was calculated
- In [Nishiyama & Hasegawa, Phys. Rev. E, 2024], we derived its exact representation for arbitrary τ

$$\mathcal{B}(\tau) = \underbrace{\mathcal{A}(\tau)}_{\text{Classical dynamical activity}} + \underbrace{8 \int_0^\tau ds_1 \int_0^{s_1} ds_2 \operatorname{Re} \left(\operatorname{Tr}_S \left[H_{\text{eff}}^\dagger \check{H}_S (s_1 - s_2) \rho_S (s_2) \right] \right) - 4 \left(\int_0^\tau ds \operatorname{Tr}_S [H_S \rho_S (s)] \right)^2}_{\text{Coherent dynamics contribution}}$$

Classical dynamical activity

$$\mathcal{A}(\tau) = \tau \sum_m \operatorname{Tr} [L_m \rho^{ss} L_m^\dagger]$$

Coherent dynamics contribution

Exact representation of quantum dynamical activity

[Nishiyama & Hasegawa, Phys. Rev. E, 2024]

- Upper bound can be derived

$$\mathcal{B}(\tau) \leq \overline{\mathcal{B}}(\tau)$$

$$\overline{\mathcal{B}}(\tau) \equiv \mathcal{A}(\tau) + 8 \int_0^\tau ds_1 \sigma_{H_S}(s_1) \int_0^{s_1} ds_2 \sigma_{H_{\text{eff}}}(s_2)$$

$$\sigma_{\mathcal{O}}(s) \equiv \sqrt{\langle (\mathcal{O} - \langle \mathcal{O} \rangle(s))^\dagger (\mathcal{O} - \langle \mathcal{O} \rangle(s)) \rangle}$$

Standard deviation

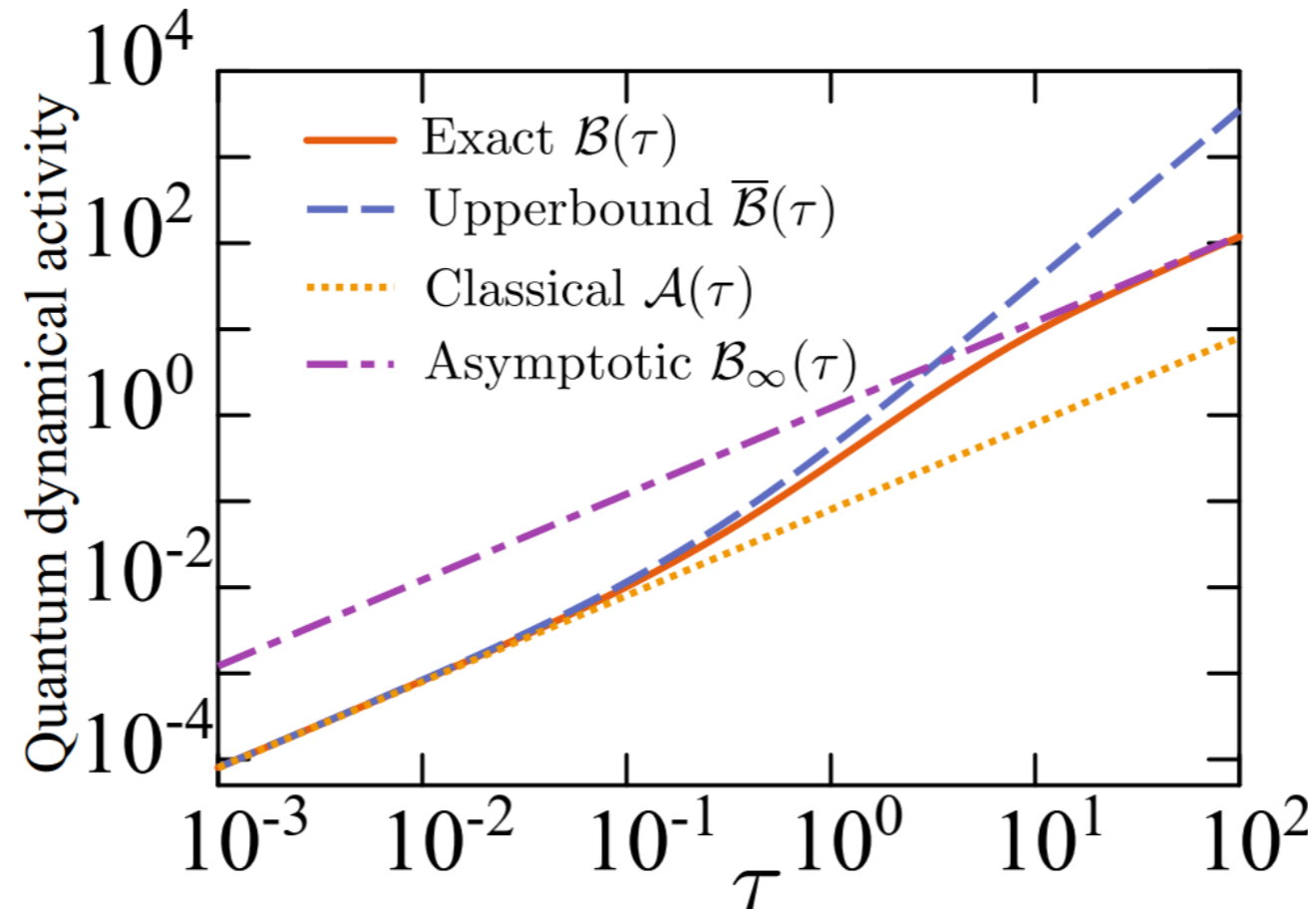
- The upper bound scales as $O(\tau^2)$

Exact representation of quantum dynamical activity

[Nishiyama & Hasegawa, Phys. Rev. E, 2024]

$$H = \Delta |e\rangle \langle e| + (\Omega/2) (|e\rangle \langle g| + |g\rangle \langle e|) \quad |e\rangle: \text{excited}, |g\rangle: \text{ground}$$

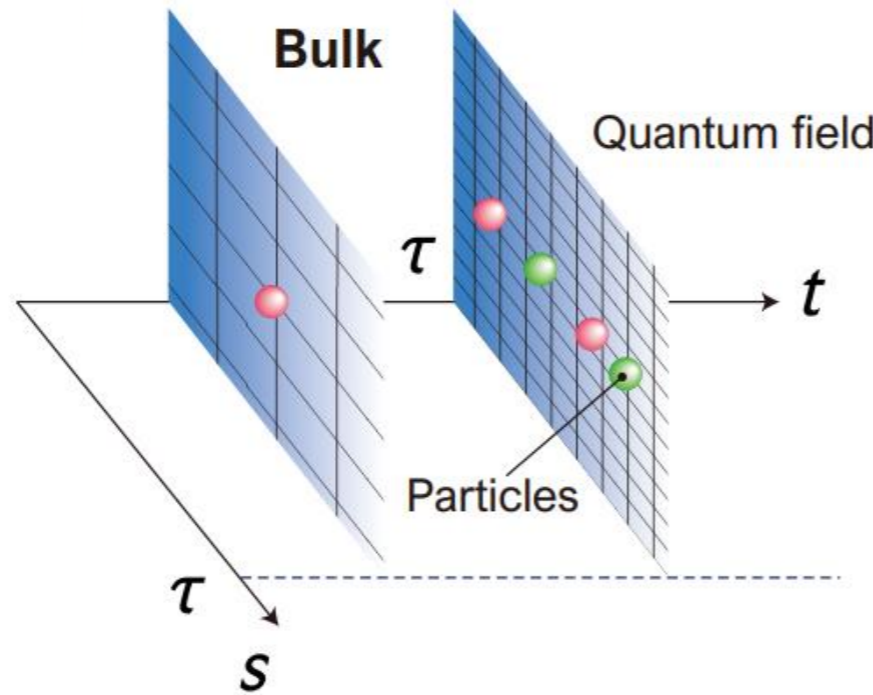
$$L = \sqrt{\kappa} |g\rangle \langle e|$$



Heisenberg-Robertson uncertainty relation and TUR

[Hasegawa, Nat. Comm., 2023]

- Consider the Heisenberg-Robertson uncertainty relation in the bulk space



$$[[X]][[Y]] \geq \frac{1}{2} |\langle \psi | [X, Y] | \psi \rangle|$$

- Considering specific \mathcal{X} and \mathcal{Y} , it is shown that the uncertainty relation reduces to quantum TUR

Heisenberg-Robertson uncertainty relation and TUR

[Hasegawa, Nat. Comm., 2023]

■ Recall that the scaled unitary for the cMPS is

$$\mathcal{U}(t) = \mathbb{T} \exp \left[-i \int_0^\tau ds \left(\frac{t}{\tau} H_{\text{sys}} \otimes \mathbb{I}_{\text{fld}} + \sum_m \left(i \sqrt{\frac{t}{\tau}} L_m \otimes \phi^\dagger(s) - i \sqrt{\frac{t}{\tau}} L_m^\dagger \otimes \phi(s) \right) \right) \right]$$

■ Corresponding Hamiltonian can be defined by

$$\mathcal{K}(t) \equiv -i \frac{d\mathcal{U}^\dagger(t)}{dt} \mathcal{U}(t) \quad \mathcal{U}(t) = \mathbb{T} e^{-i \int_0^t \mathcal{K}(t') dt'}$$

■ Let \mathcal{C} be a counting observable. Define its Heisenberg picture:

$$\mathcal{C}(t) = \mathcal{U}^\dagger(t) \mathcal{C} \mathcal{U}(t)$$

Heisenberg-Robertson uncertainty relation and TUR

[Hasegawa, Nat. Comm., 2023]

- Then the Heisenberg-Robertson UR provides a quantum TUR

$$[[\mathcal{K}(t)][[\mathcal{C}(t)]] \geq \frac{1}{2} |\langle \psi | [\mathcal{K}(t), \mathcal{C}(t)] | \psi \rangle|$$



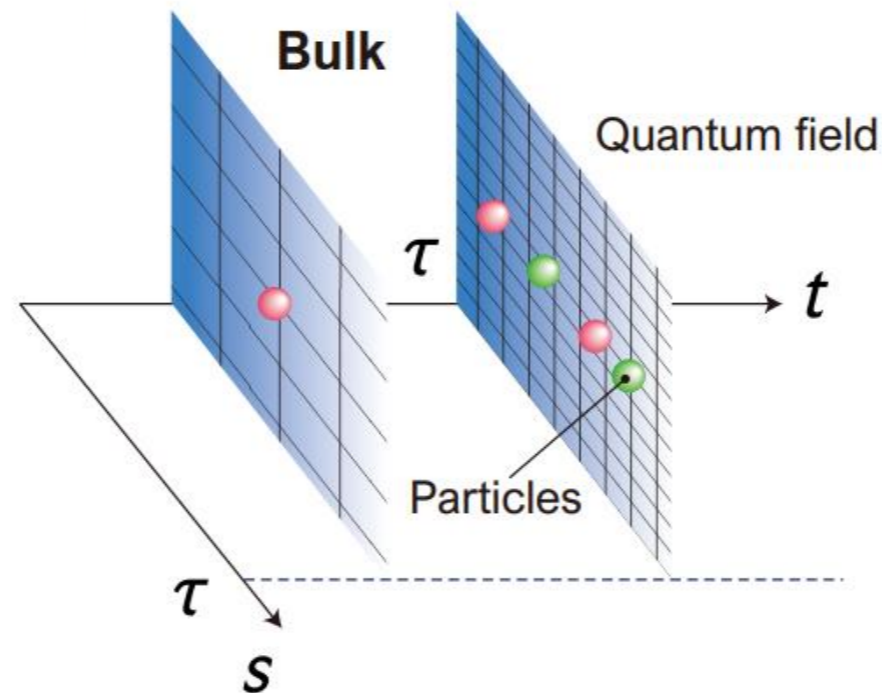
$$\frac{[[\mathcal{C}]]_{\tau}^2}{\tau^2 (\partial_{\tau} \langle \mathcal{C} \rangle_{\tau})^2} \geq \frac{1}{\mathcal{B}(\tau)}$$

- It can be seen that the Heisenberg-Robertson uncertainty relation plays an important role not only for QSL but also for TUR.

Application of another uncertainty relation

[Nishiyama & Hasegawa, arXiv:2402.09680]

- Using the scaled cMPS representation, we can identify the continuous measurement as a closed quantum dynamics (i.e., unitary evolution)
- Besides the Heisenberg-Robertson uncertainty relation, we can apply other uncertainty relations to obtain TURs and QSLs in GKSL dynamics



Maccone-Pati uncertainty relation

[Maccone & Pati, Phys. Rev. Lett. 114, 039902 (2015)]

■ Maccone and Pati derived an uncertainty relation that is tighter than Heisenberg-Robertson uncertainty relation

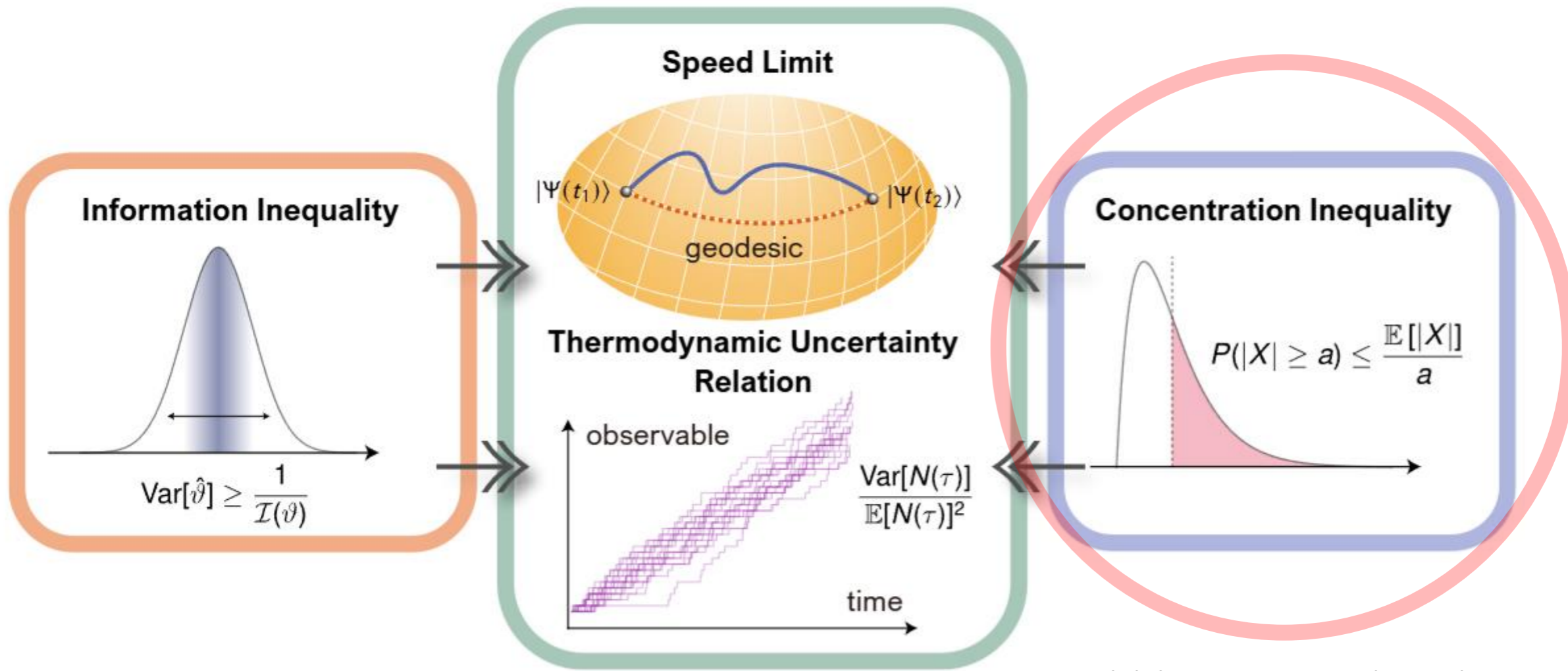
■ Let A, B be Hermitian operators and $|\bar{\psi}\rangle$ be a state orthogonal to $|\psi\rangle$

$$[[A]]^2 + [[B]]^2 \geq \pm i \langle \psi | [A, B] | \psi \rangle + |\langle \psi | (A \pm iB) | \bar{\psi} \rangle|^2$$

$$[[A]][[B]] \geq \pm \frac{\frac{i}{2} \langle \psi | [A, B] | \psi \rangle}{1 - \frac{1}{2} \left| \left\langle \psi \left| \frac{A}{[[A]]} \pm i \frac{B}{[[B]]} \right| \bar{\psi} \right\rangle \right|^2}$$

$[[A]]$: Standard deviation of A

■ Then we derived quantum TURs and QSLs for open quantum dynamics using the Maccone-Pati uncertainty relation



Yoshihiko Hasegawa and Tomohiro Nishiyama, [arXiv:2402.12197](https://arxiv.org/abs/2402.12197)

Concentration inequality

- Many TURs take advantage of information inequalities such as Cramer-Rao inequality

$$\text{Var}[\hat{\vartheta}] \geq \frac{1}{\mathcal{I}(\vartheta)}$$

- Concentration inequalities constitute another pivotal class of statistical tools.

$$P(|X| \geq a) \leq \frac{\mathbb{E}[|X|]}{a} \quad P(Z > \theta \mathbb{E}[X]) \geq (1 - \theta)^2 \frac{\mathbb{E}[X]^2}{\mathbb{E}[X^2]}$$

- We derived the *thermodynamic concentration inequalities* (TCI) that provide lower bounds for the probability distribution of observables.

Dynamics

- Again, we consider continuous measurement in GKSL equation

$$\frac{d\rho}{dt} = -i[H, \rho] + \sum_m \left[L_m \rho L_m^\dagger - \frac{1}{2} \{ L_m^\dagger L_m \rho + \rho L_m^\dagger L_m \} \right]$$

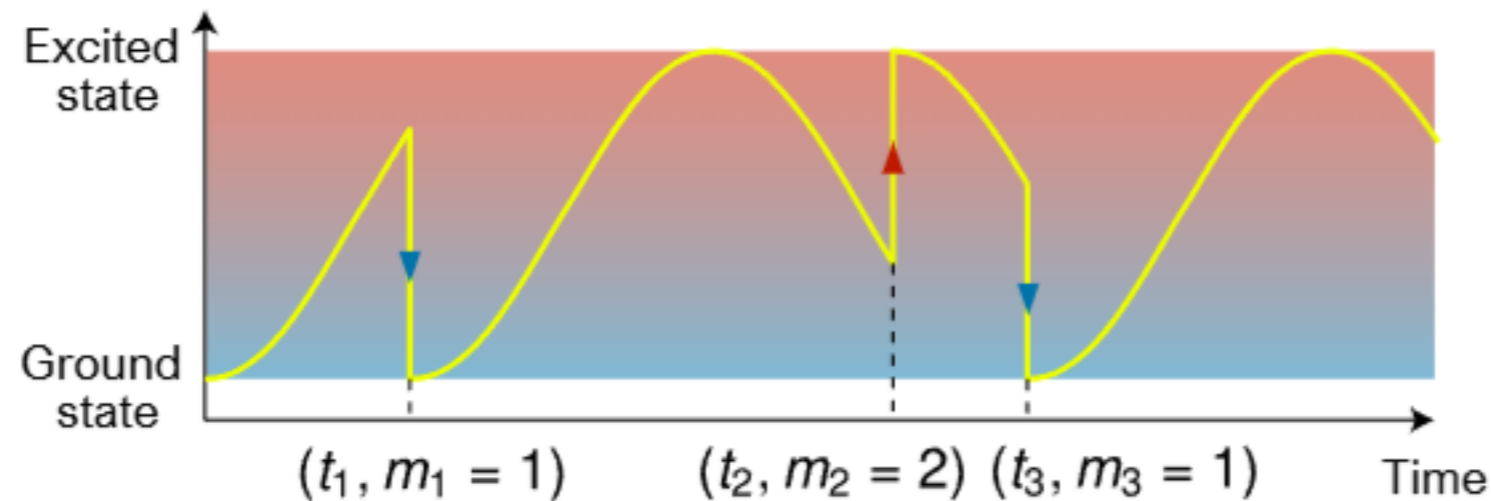
- GKSL equation can recover classical Markov process as a particular case

$$\frac{d}{dt} \mathbf{P}(t) = \mathbf{W} \mathbf{P}(t)$$

where $\mathbf{P}(t)$ is probability distribution and \mathbf{W} is transition rate.

Observable with no-jump condition

- So far, we have considered the counting observable that counts the number of jumps within time interval
- Here, we consider an observable that satisfies “no-jump condition”
- Let ζ be a trajectory of continuous measurement



Trajectory $\zeta_\tau = [(t_1, m_1 = 1), (t_2, m_2 = 2), (t_3, m_3 = 1)]$

Observable with no-jump condition

- Let $N(\zeta)$ be a function of a trajectory ζ
- $N(\zeta)$ can be arbitrary as long as the no-jump condition is met
- The “no-jump condition” is given by
$$N(\zeta_\emptyset) = 0$$

where ζ_\emptyset is a trajectory with no-jump

- Apparently, this condition is met by the counting observable that counts the number of jump events

Thermodynamic concentration inequality

[Hasegawa & Nishiyama, arXiv:2402.19293]

- For the observable with the no-jump condition, the following relation holds

$$\cos \left[\frac{1}{2} \int_0^\tau \frac{\sqrt{\mathcal{B}(t)}}{t} dt \right]^2 \leq P(N(\tau) = 0) \quad \text{Quantum case}$$

$$e^{-\mathcal{A}(\tau)} \leq P(N(\tau) = 0) \quad \text{Classical case}$$

$\mathcal{B}(\tau)$: Quantum dynamical activity
 $\mathcal{A}(\tau)$: Classical dynamical activity

Thermodynamic concentration inequality

[Hasegawa & Nishiyama, arXiv:2402.19293]

- Dynamical activities $\mathcal{A}(\tau)$ and $\mathcal{B}(\tau)$ quantify the activity of the system
 - Larger $\mathcal{A}(\tau)$ and $\mathcal{B}(\tau)$ = more jumps, more intense coherent dynamics
- As the dynamical activity increases, the probability $P(N(\tau) = 0)$ decreases.
- By using the thermodynamic concentration inequality, several trade-off relations can be derived

Sketch of derivation

- From MPS representation

$$\begin{aligned} |\Phi(\tau)\rangle &= \sum_{m_{K-1}, \dots, m_0} V_{m_{K-1}} \cdots V_{m_0} |\psi_S(0)\rangle \otimes |m_{K-1}, \dots, m_0\rangle \\ &= \sum_{\mathbf{m}} \mathcal{V}_{\mathbf{m}} |\psi_S(0)\rangle \otimes |\mathbf{m}\rangle \end{aligned}$$

$\mathbf{m} = \mathbf{0}$ is associated with
no-jump

- Then the probability of no-jump is

$$p(\tau) = \langle \psi_S(0) | \mathcal{V}_0^\dagger \mathcal{V}_0 | \psi_S(0) \rangle$$

$$\begin{aligned} |\langle \Psi(0) | \Psi(\tau) \rangle|^2 &= |\langle \psi_S(0) | \mathcal{V}_0 | \psi_S(0) \rangle|^2 \\ &\leq \left| \langle \psi_S(0) | \mathcal{V}_0^\dagger \mathcal{V}_0 | \psi_S(0) \rangle \right| \\ &= p(\tau). \end{aligned}$$

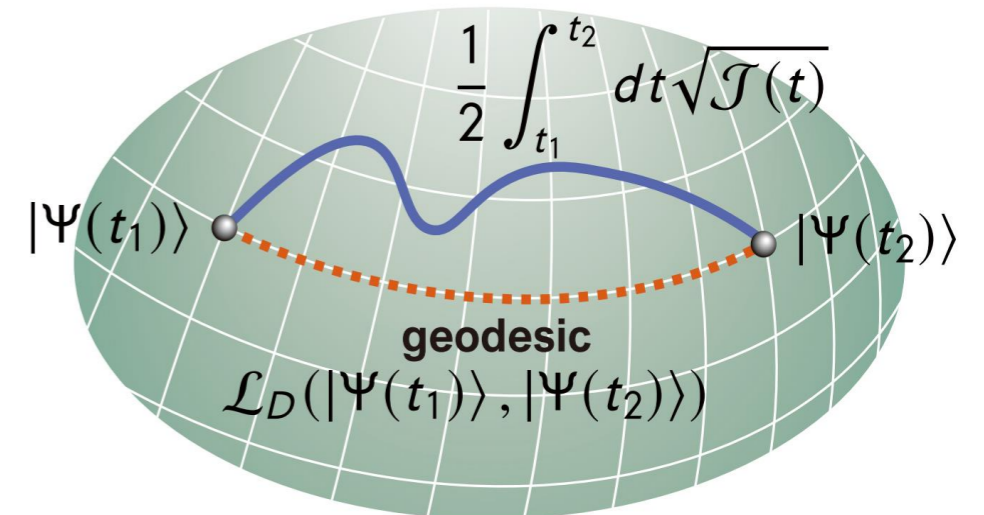
Sketch of derivation

- Next, we obtain a lower bound of the inner product
- From geometric QSL, the inner product and the quantum Fisher information is related via

$$\frac{1}{2} \int_0^\tau \frac{\sqrt{\mathcal{B}(t)}}{t} dt = \frac{1}{2} \int_0^\tau \sqrt{\mathcal{J}(t)} dt \geq \arccos |\langle \Psi(\tau) | \Psi(0) \rangle|$$

Quantum dynamical activity

Quantum Fisher information



Application: Petrov inequality case

- From the thermodynamic concentration inequality, several trade-off relations can be derived
- Consider the Petrov inequality [V. V. Petrov, J.Stat. Plann. Inference (2007)]

$$P(|X| > b) \geq \frac{(\mathbb{E}[|X|^r] - b^r)^{s/(s-r)}}{\mathbb{E}[|X|^s]^{r/(s-r)}}$$

where $s > r > 0$ and $b > 0$

- We combine the TCI with the Petrov inequality with $b = 0$

Application: Petrov inequality case

- Combining the Petrov inequality with TCI, the following relation holds

$$\frac{\mathbb{E}[|N(\tau)|^s]^{r/(s-r)}}{\mathbb{E}[|N(\tau)|^r]^{s/(s-r)}} \geq \sin \left[\frac{1}{2} \int_0^\tau \frac{\sqrt{\mathcal{B}(t)}}{t} dt \right]^{-2} \quad \text{Quantum case}$$

$$\frac{\mathbb{E}[|N(\tau)|^s]^{r/(s-r)}}{\mathbb{E}[|N(\tau)|^r]^{s/(s-r)}} \geq \frac{1}{1 - e^{-\mathcal{A}(\tau)}} \quad \text{Classical case}$$

where $N(\tau)$ is the observable satisfying the no-jump condition.

Application: Petrov inequality case

- For $r = 1$ and $s = 2$

$$\frac{\text{Var}[|N(\tau)|]}{\mathbb{E}[|N(\tau)|]^2} \geq \tan \left[\frac{1}{2} \int_0^\tau \frac{\sqrt{\mathcal{B}(t)}}{t} dt \right]^{-2}$$

- This bound is identical to that derived in [Hasegawa, *Nat. Comm.*, 2023]
- For classical case, the bound becomes

$$\frac{\text{Var}[|N(\tau)|]}{\mathbb{E}[|N(\tau)|]^2} \geq \frac{1}{e^{\mathcal{A}(\tau)} - 1}$$

Application: Markov inequality case

- The reverse Markov inequality states

$$P(X \leq a) \leq \frac{\mathbb{E}[X_{\max} - X]}{X_{\max} - a}$$

where X_{\max} is the maximum of X .

- Substituting the bound in the reverse Markov inequality, we have

$$\mathbb{E}[|N(\tau)|] \leq N_{\max} \sin \left[\frac{1}{2} \int_0^\tau \frac{\sqrt{\mathcal{B}(t)}}{t} dt \right]^2$$

$$\mathbb{E}[|N(\tau)|] \leq N_{\max} \left(1 - e^{-\mathcal{A}(\tau)} \right)$$

- This provides upper bound on the expectation

Integral probability metric

- Integral probability metric (IPM) is defined by

$$D_{\mathcal{F}}(\mathfrak{P}, \mathfrak{Q}) \equiv \max_{f \in \mathcal{F}} |\mathbb{E}_{\mathfrak{P}}[f(X)] - \mathbb{E}_{\mathfrak{Q}}[f(Y)]|$$

- IPM becomes total variation distance or Wasserstein-1 distance for particular set \mathcal{F}
- IPM is recently used in trade-off relations [Kwon et al. arXiv:2311.01098 (2023)]
- Combining the IPM with the thermodynamic concentration inequality, we have

$$D_{\mathcal{F}}(\mathbf{P}(\tau), \mathbf{P}(0)) \leq F_{\max} \left(1 - e^{-\mathcal{A}(\tau)} \right)$$

Conclusion

