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# Asymptotic Edge of Chaos as Guiding Principle for Neural Network Training

Ling Feng

Complex Systems Group, Institute of High Performance Computing, A\*STAR Singapore

Department of Physics, National University of Singapore

Collaboration with

Lin Zhang (former PhD student)

Kan Chen

Choy Heng Lai

# Background 1: Tuning AI training algorithms

- example: stochastic gradient descent (SGD) w. momentum

$W_0$  : Weights initialization (where to start training)

$\eta$  : Learning rate (Step size in gradient)

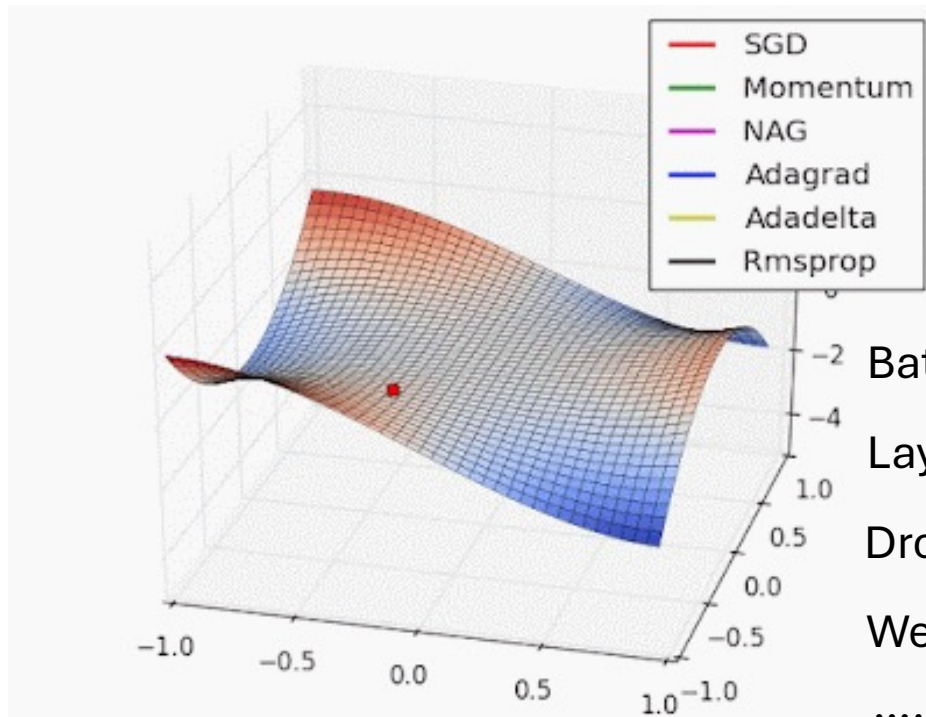
$B$  : Batch size ( # of samples in each gradient computation)

$1-\alpha$  : Momentum ( memory of previous gradients)

Starting temperature

Stirring speed

Amount of ingredient to put in each time



Batch Norm

Salt

Layer Norm

Pepper

Drop out

Spice

Weight decay

.....

.....



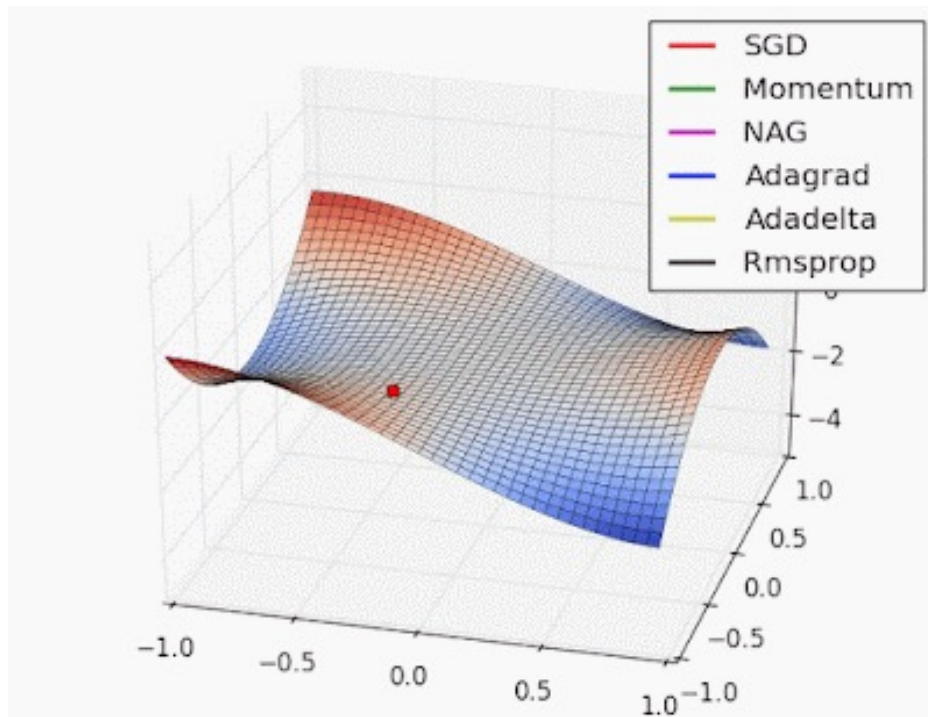
# Details of SGD with momentum

$W_0$ : Weights initialization (where to start training)

$\eta$ : Learning rate (Step size in gradient)

$B$ : Batch size (# of samples in each gradient computation)

$1-\alpha$ : Momentum (memory of previous gradients)



Adjustment to NN weights

$$\Delta w_t = v_t = \alpha v_{t-1} - \eta g_t$$

Gradient for backpropagation  
(Stochasticity depends on  $B$ )

Generally trial-and-error to  
determine these hyperparameters

# Background 2: Edge of chaos in NN

## - healthy biological neural networks

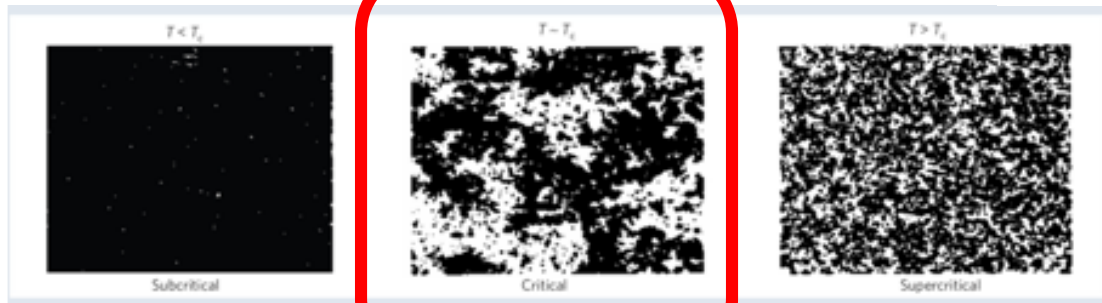
REVIEW ARTICLES | INSIGHT

PUBLISHED ONLINE: 1 OCTOBER 2010 | DOI: 10.1038/NPHYS1803

nature  
physics

### Emergent complex neural dynamics

Dante R. Chialvo<sup>1,2\*</sup>



CellPress

Feature Review

How critical is brain **criticality**?

Jordan O'Byrne<sup>1</sup> and Karim Jerbi <sup>1,2,3,\*</sup>

Trends in  
Neurosciences

PRL 94, 058101 (2005)

PHYSICAL REVIEW LETTERS

week ending  
11 FEBRUARY 2005

### **Critical** Branching Captures Activity in Living Neural Networks and Maximizes the Number of Metastable States

Clayton Haldeman and John M. Beggs<sup>\*</sup>

OPEN ACCESS Freely available online

PLoS COMPUTATIONAL BIOLOGY

### Broadband **Criticality** of Human Brain Network Synchronization

Manfred G. Kitzbichler<sup>1</sup>, Marie L. Smith<sup>2</sup>, Søren R. Christensen<sup>3</sup>, Ed Bullmore<sup>1,3,\*</sup>

JOURNAL  
OF THE ROYAL  
SOCIETY  
Interface

*J. R. Soc. Interface* (2011) 8, 472–479  
doi:10.1098/rsif.2010.0416  
Published online 22 September 2010

### **Self-similar** correlation function in brain resting-state functional magnetic resonance imaging

Paul Expert<sup>1,2</sup>, Renaud Lambiotte<sup>1</sup>, Dante R. Chialvo<sup>4</sup>,  
Kim Christensen<sup>1,2</sup>, Henrik Jeldtoft Jensen<sup>1,3,\*</sup>, David J. Sharp<sup>5</sup>  
and Federico Turkheimer<sup>5</sup>



# Background 2: Edge of chaos in NN

## - artificial neural networks computation

### Information processing in echo state networks at the edge of chaos

Joschka Boedecker · Oliver Obst · Joseph T. Lizier ·  
N. Michael Mayer · Minoru Asada



ELSEVIER

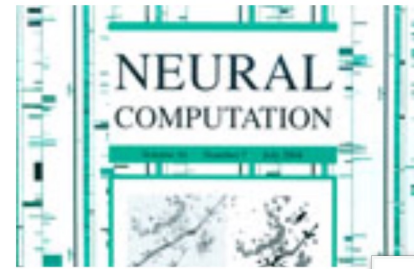
### Neural Networks

Volume 20, Issue 3, April 2007, Pages 323-334

2007 Special Issue

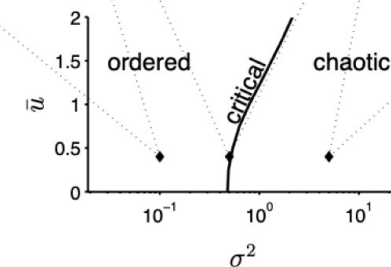
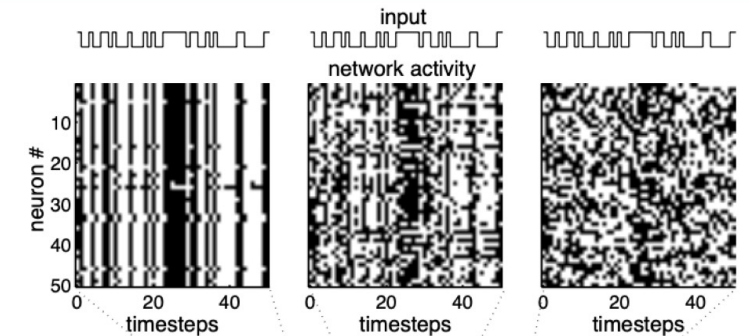
**Edge of chaos** and prediction of computational performance for neural circuit models

Robert Legenstein , Wolfgang Maass



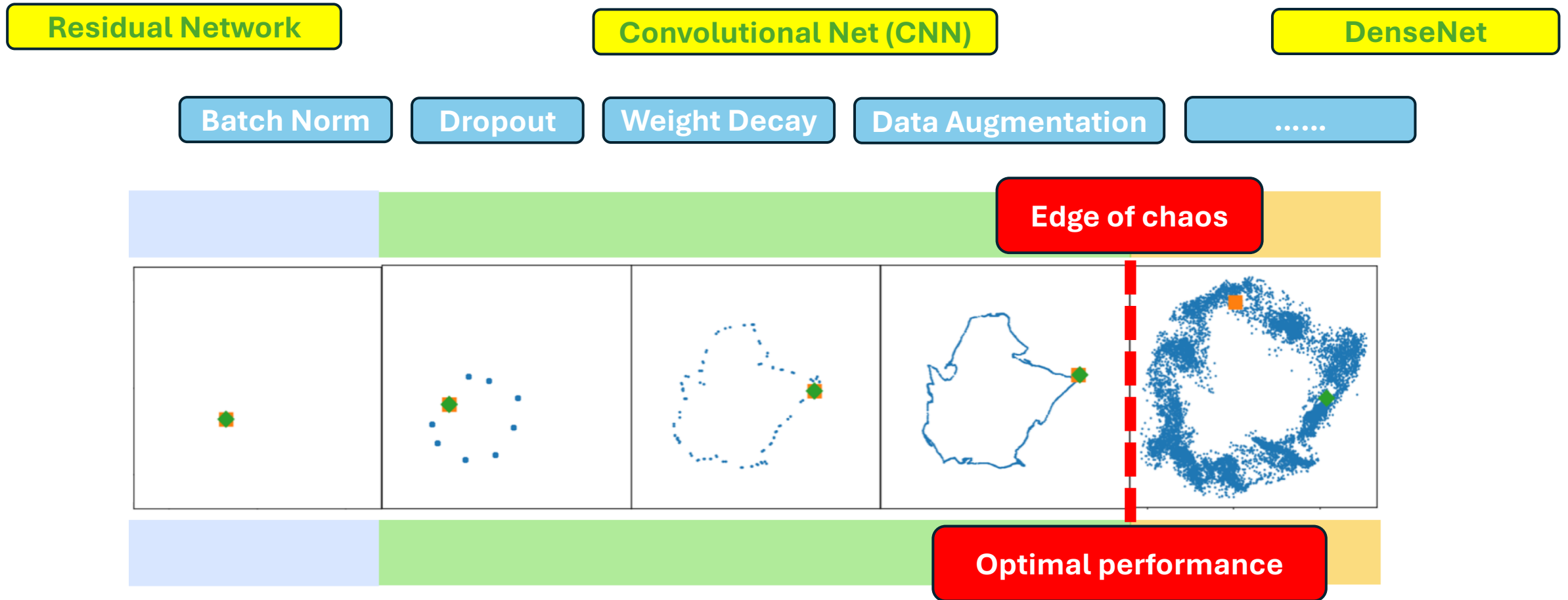
### Real-Time Computation at the **Edge of Chaos** in Recurrent Neural Networks

Nils Bertschinger and Thomas Natschläger

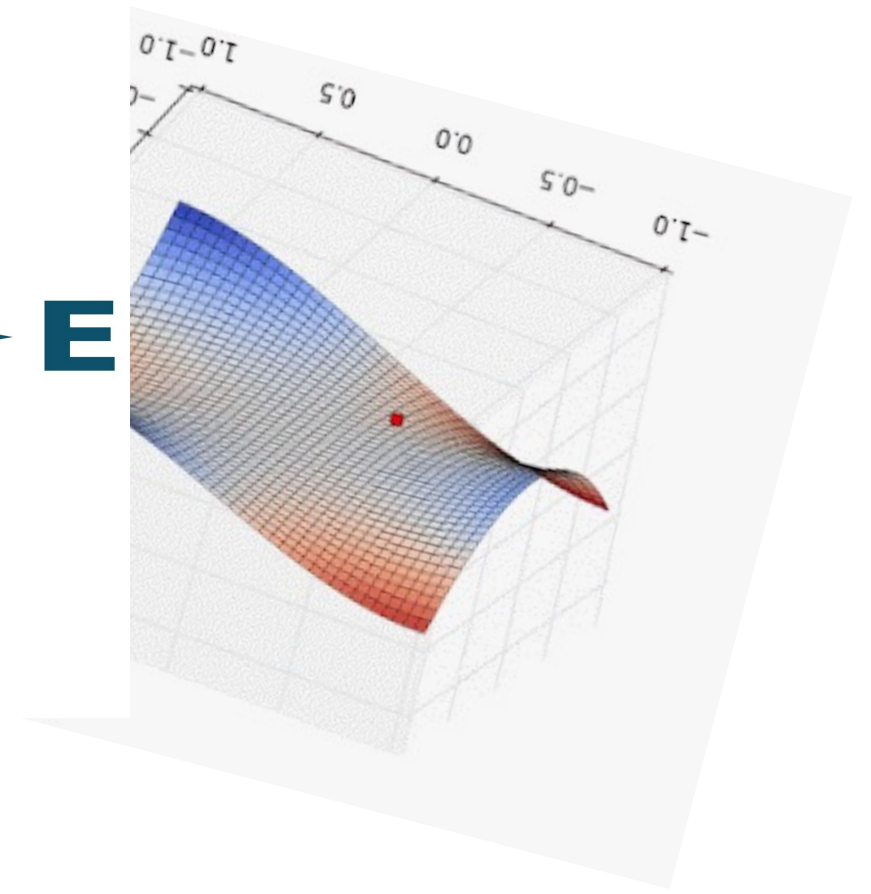
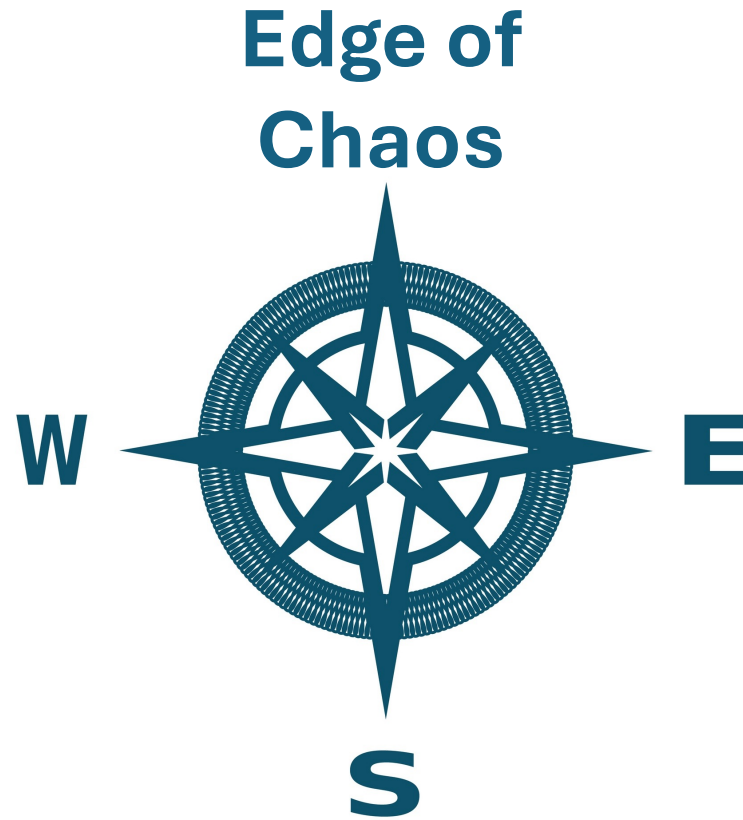


# Background 2: Edge of chaos in NN

- deep neural networks (feedforward) performance

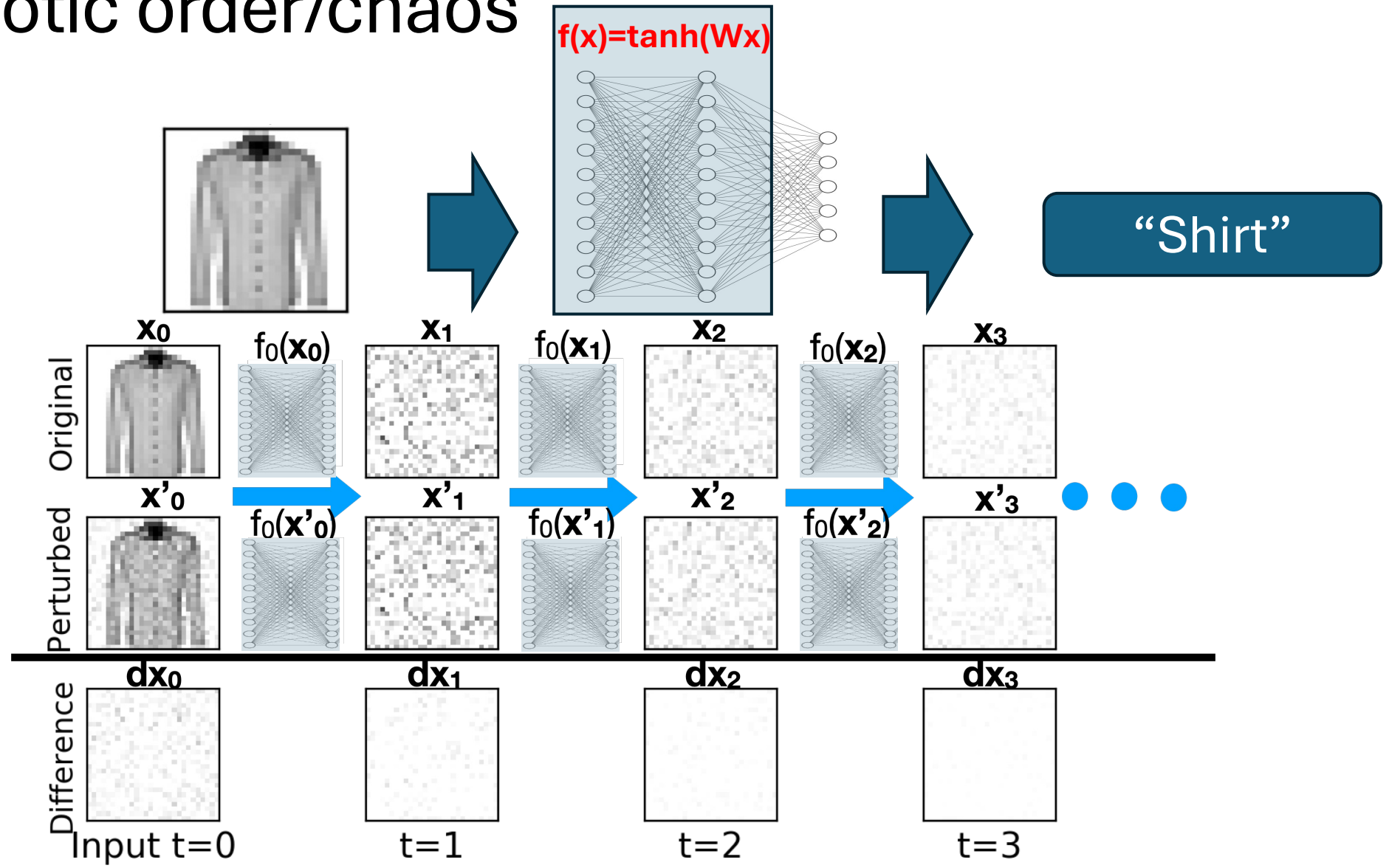


Goal: Train the NN to “*stay*” at edge of chaos



# Subject: Single hidden layer feedforward NN

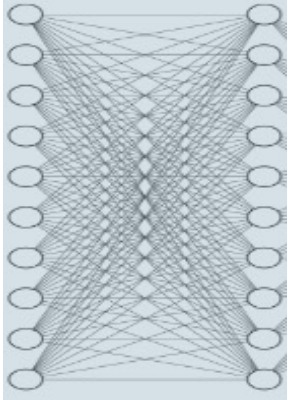
- Asymptotic order/chaos





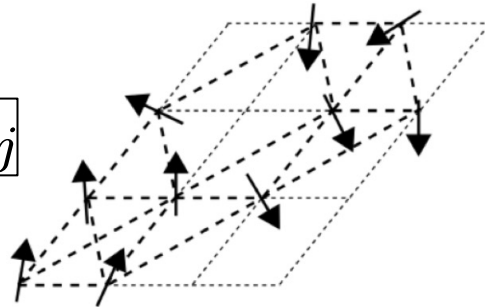
# Chaotic phase = SK Spin glass phase

FC with tanh activation



SK spin glass model

$$W_{ij} \rightarrow J_{ij}$$



VOLUME 61, NUMBER 3

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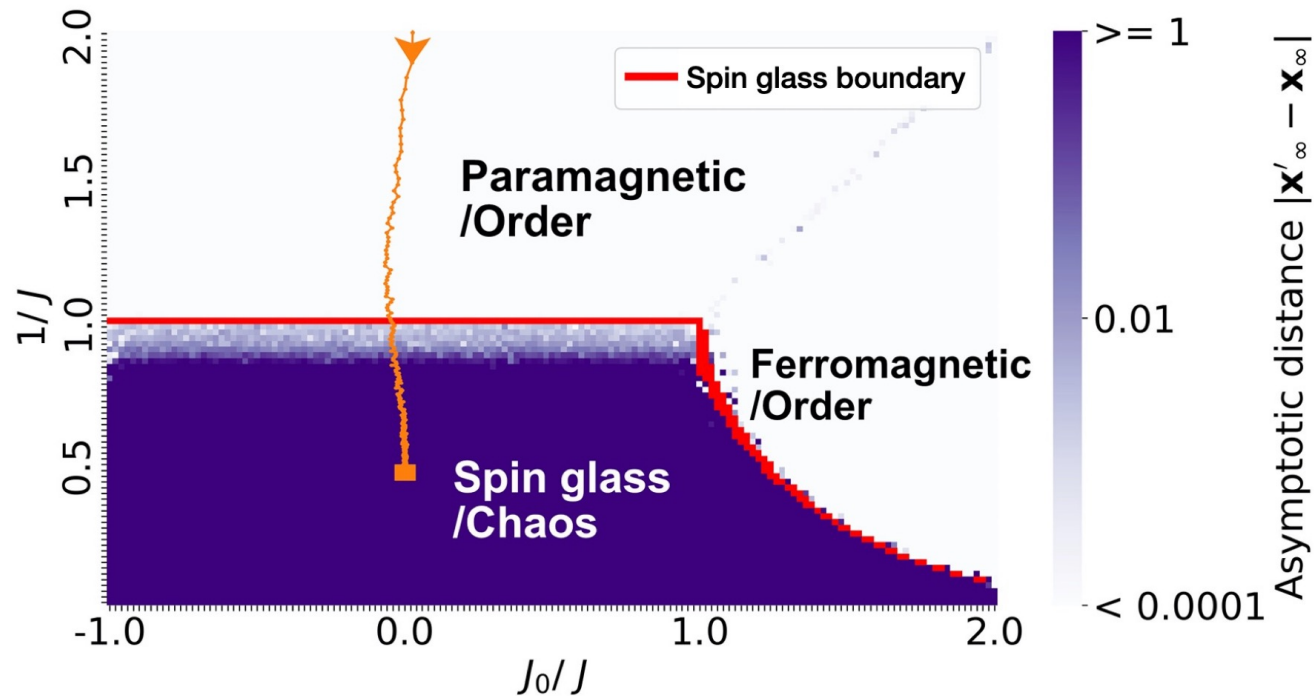
18 JULY 1988

## Chaos in Random Neural Networks

H. Sompolinsky<sup>(a)</sup> and A. Crisanti  
 AT&T Bell Laboratories, Murray Hill, New Jersey 07974, and  
 Racah Institute of Physics, The Hebrew University, 91904 Jerusalem, Israel<sup>(b)</sup>

and

H. J. Sommers<sup>(a)</sup>  
 Fachbereich Physik, Universität-Gesamthochschule Essen, D-4300 Essen, Federal Republic of Germany  
 (Received 30 March 1988)



# Scaling of hyperparameters

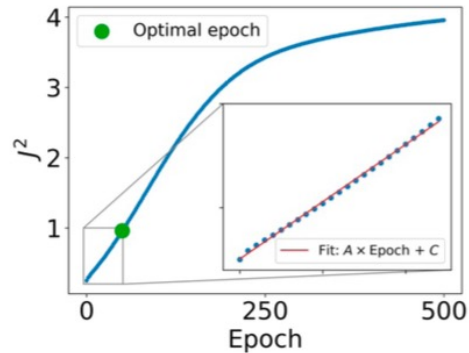
- in order phase

$\eta$ : Learning rate (Step size in gradient)

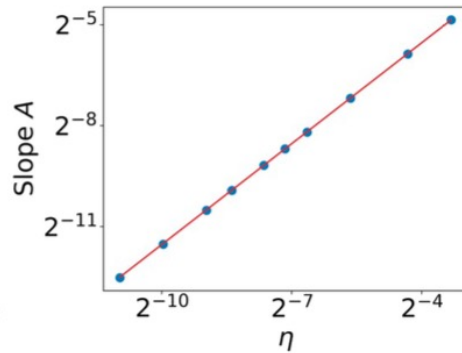
$B$ : Batch size (# of samples in each gradient computation)

$1-\alpha$ : Momentum (memory of previous gradients)

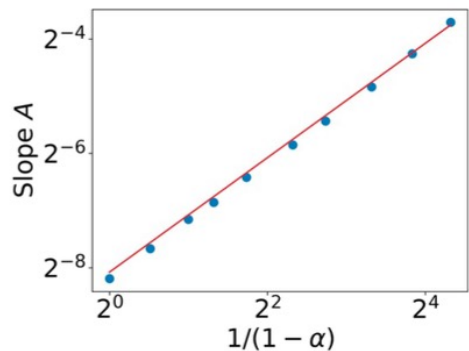
$J^2$ : normalized variance of weight matrix



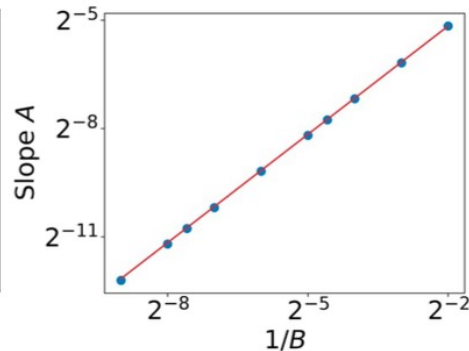
(a)



(b)



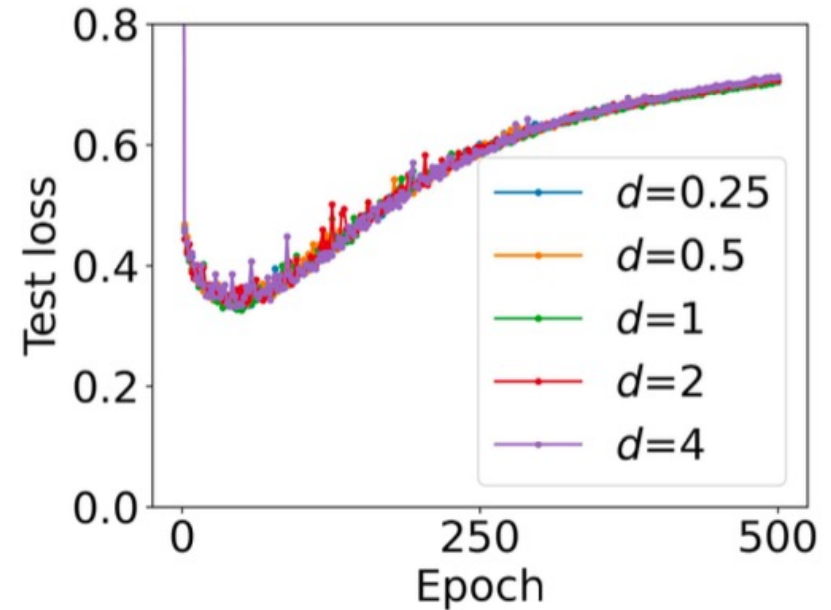
(c)



(d)



$$d = \frac{\eta}{(1-\alpha)B}$$



# Use linear scaling to control edge of chaos

## - Optimal L2 regularization (weight decay)

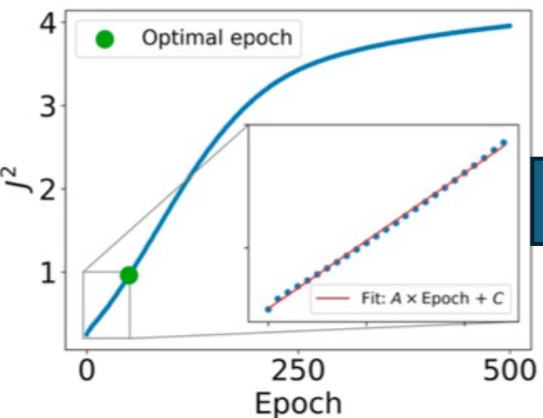
$$\Delta w_t = v_t = \alpha v_{t-1} - \eta g_t$$



Weight decay

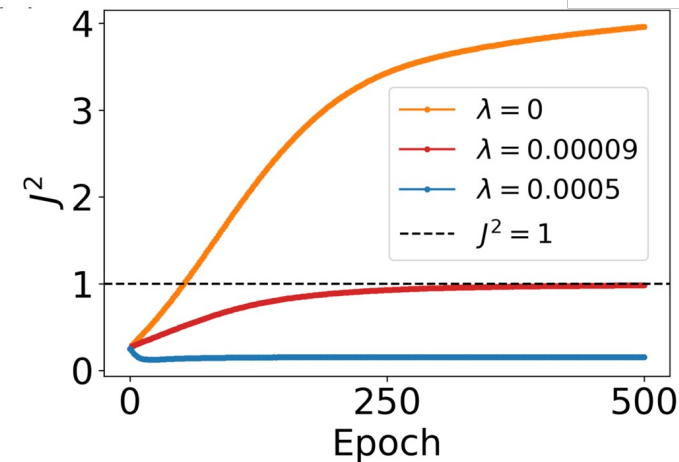
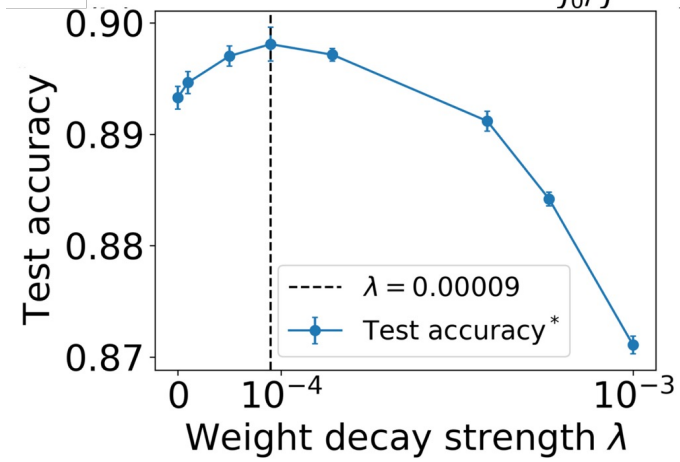
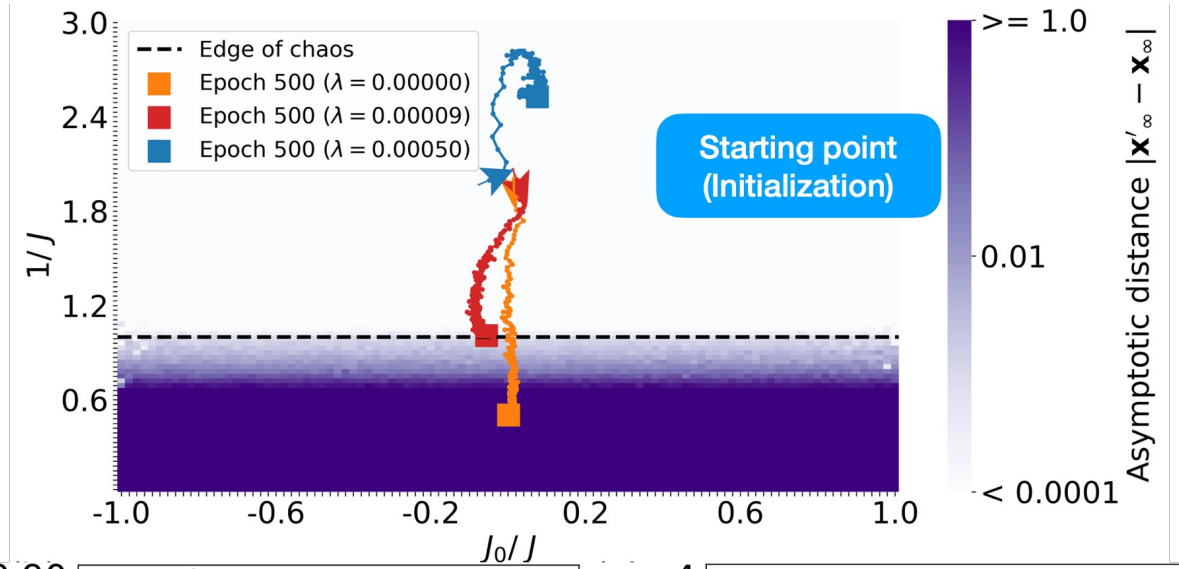
$$\Delta w_t = v_t = \alpha v_{t-1} - \eta(g_t + 2\lambda w_t)$$

$\lambda$ : hyperparameter to tune decay strength



$$\lambda \geq \frac{(1 - \alpha)B}{\eta} \cdot \frac{A}{4S}$$

$$\approx 0.00005$$



# Robust training at the edge of chaos - against 20% label noise (shuffled)

The NN automatically avoids fitting wrong labels and gives best test accuracies

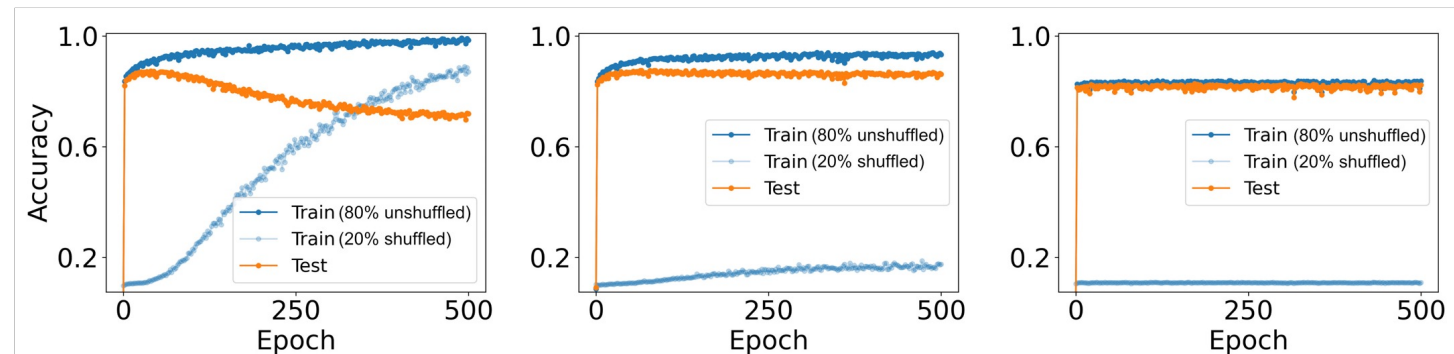
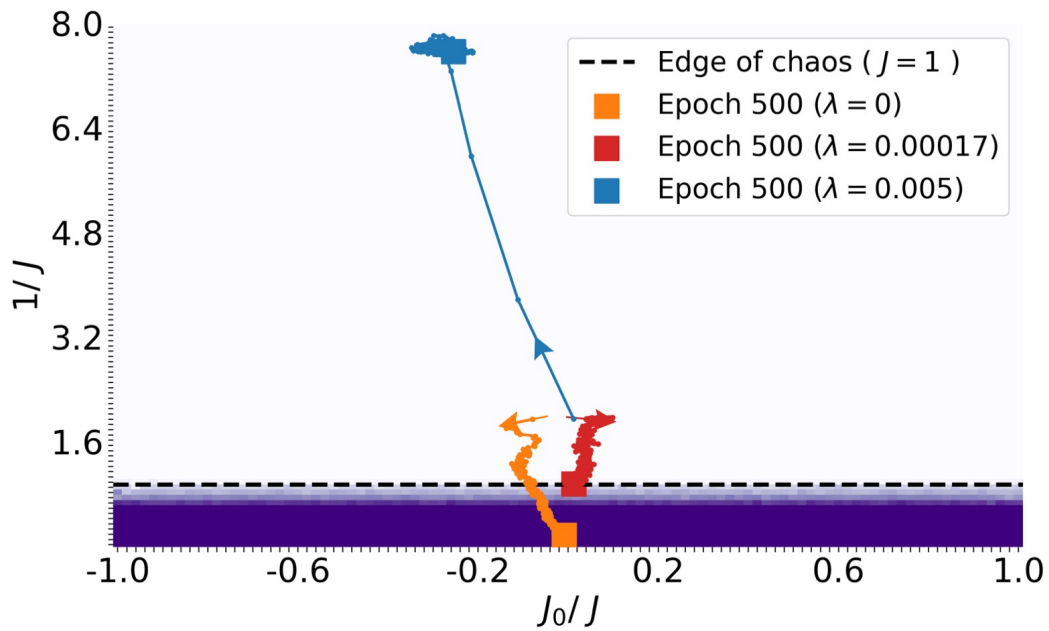


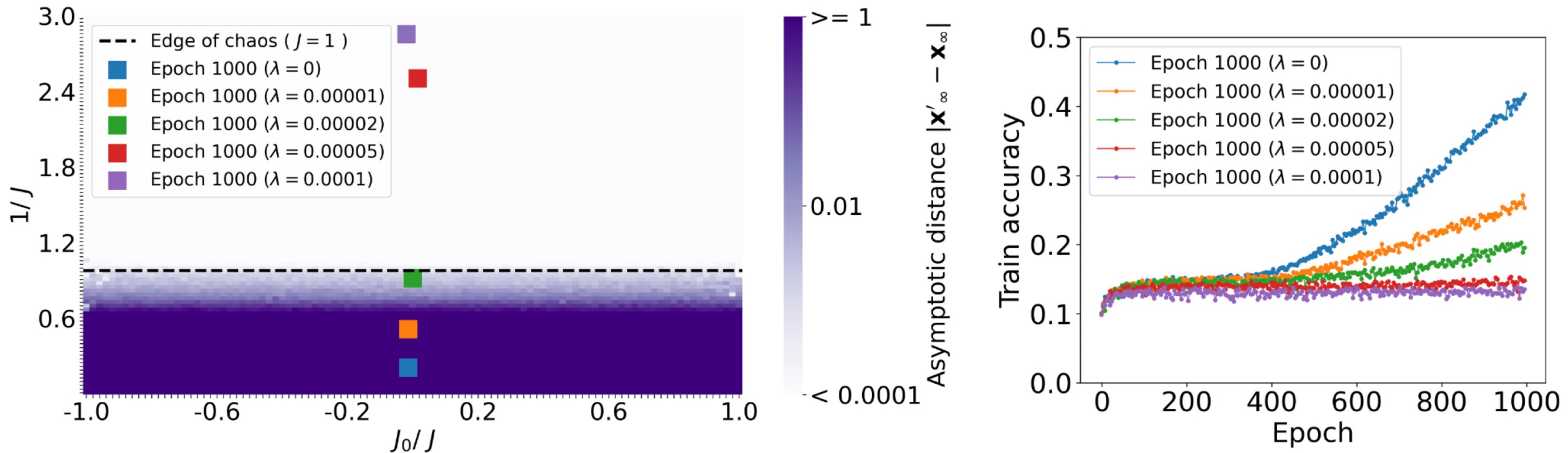
Table 1. Accuracy trained on Fashion-MNIST data with 20% noisy labels after 500 epochs.

Model phase	Accuracy		
	Train (unshuffled 80%)	Train (shuffled 20%)	Test
Order ( $\lambda = 0.005$ )	82.6%	10.8%	81.4%
<b>Edge of chaos (<math>\lambda = 0.00017</math>)</b>	92.7%	16.3%	<b>86.0%</b>
Chaos ( $\lambda = 0$ )	98.4%	87.1%	71.9%

# Robust training at the edge of chaos (extreme)

- against 100% label noise (shuffled)

The NN refuses to fit noisy training data in the order phase  
It fits more noisy training data as it gets more chaos





# Summary

- Even for feedforward (and shallow) networks, asymptotic edge of chaos during training leads to optimal model performances.
- It also leads to robustness against label noise.
- To achieve optimal performance and robustness:
  - Start in the order phase of the model
  - Make the model to stay at edge of chaos while exploring optimal weight configurations – it will not overfit or underfit!
- Challenges:
  - Complex NN architectures (like LLM) likely do not permit analytical solution for edge of chaos
  - ‘Engineering’ solutions are needed to control the model to stay at the edge of chaos.