

Thermodynamics of Mpemba effect : Revisit to Lu & Raz theory

Hisao Hayakawa (YITP, Kyoto Univ.) With Frédéric van Wijland (Paris Cite) & Raphaël Chétritte (Univ. Corte d'Azur, Nice),

In Frontiers in Non-equilibrium Physics 2024, **Talk on June 24th**

Contents

- Introduction
- Scenarios for the Mpemba effect
- Our model analysis
	- Analysis for a square-well potential
	- Analysis for a triple-harmonic potential
- Summary

What is the Mpemba effect?

- What is Mpemba effect?
	- Erasto B. Mpemba found that some hot suspensions (*ice cream mix*) can freeze faster than cold (1963).
	- With the help of D. G. Osborne he has published a scientific paper (1969).

Some theoretical studies

- Lasanta et al. PRL **119**, 148001 (2017) found that a granular gas can have both ME and the inverse ME by controlling *kurtosis*.
- Lu & Raz, PNAS **114**, 5083 (2017) indicated that the slow relaxation can take place by trapping at local minima.

Experimental confirmation

- Kumar & Bechhoefer, Nature **584**, 64 (2020).
- They have analyzed trapped colloids in a double well potential.
- They observed the distance between the distribution and equilibrium one.

July 24th, 2024 Thermodynamics of Mpemba effect

Time (ms)

Experimental QMPE

- The first experimental reports on QMPE exists this year (PRL **133**, 010403 (2024), ibid 010402 (2024)).
- This is observed in a trapped atomic gas for quantum simulator.

Papers on Mpemba effect in arXiv (one month ago)

30

Contents

- Introduction
- Scenarios for the Mpemba effect
- Our model analysis

– Analysis for a square-well potential

– Analysis for a triple-harmonic potential

• Summary

Two scenarios

- Scenario 1: to use the different initial conditions
	- Santos, Ares, Chatterjee, Goold, Yamashika, Turkeshi
	- The symmetry in the initial condition is different.
	- $-$ The slow mode is not always important (=> next talk)
	- This is easier scenario to obtain the Mpemba.
- Scenario 2: to use potential landscape starting from equilibrium conditions.
	- Lu, Bechhoefer, Vuceljia
	- I adopt this scenario in this talk.

Illustration of Mpemba effect in the first scenario

• We can write the energy equation (for an uniform system): ሶ : shear rate

$$
c_V \dot{T} = \left(-\frac{\dot{\gamma}}{n} P_{xy}\right) + 2c_V \zeta (T_{\text{env}} - T),
$$

- If the system is at equilibrium, the viscous heating is absent $(P_{xy}=0)$.
- If the system is in non-equilibrium, the heating term must exist.
- Then, the system at equilibrium must have faster cooling than that at non-equilibrium.

Essence of Mpemba effect

Hayakawa & Santos, PRE**103**, 032901 (2021)

Contents

- Introduction
- Scenarios for the Mpemba effect
- Our model analysis
	- Analysis for a square-well potential
	- Analysis for a triple-harmonic potential

• Summary

Model for the second scenario

• We use the Fokker-Planck equation:

 $\partial_t p = \mathbb{W}(\beta)p, \qquad \mathbb{W}(\beta) := \partial_x(V'(x) + T\partial_x)$

• Because FP eq. does not have any degeneracy, we can write

$$
p(x, \beta; t) = \sum_{n} e^{-\lambda_n t} r_n(x, \beta) a_n
$$

$$
a_n := \int dx' \ell_n(x', \beta) p_i(x', \beta_i)
$$

l_n and r_n are the left and right eigenvectors.

 $p(x,t) \approx p_{eq}(x,\beta) + e^{-\lambda_2 t} r_2(x) a_2(\beta_i,\beta),$

$$
a_2(\beta_i, \beta) := \int dx' \ell_2(x', \beta) p_i(x')
$$

$$
p_i := e^{-\beta_i V} / Z(\beta_i)
$$

Observable dynamics & condition of Mpemba effect

• An observable A obeys:

$$
\langle A \rangle(t) = \langle A \rangle_{\text{eq}} + \sum_{n} e^{-\lambda_n t} a_n(\beta_i, \beta) \int \mathrm{d}x r_n(x) A(x)
$$

• To observe the Mpemba condition a_n with small n should have a maximum or a minimum. July 24th, 2024 Thermodynamics of Mpemba effect β_i β_i fastest relax. rate slower relax. faster relax. \vert \ slowest relax. Mpemba

Our model analysis (1)

- We analyze two models.
- First one: asymmetric square potential
	- See Walker & Vucelja, J. Stat. Mech. (2021) 11315.
	- See also Biswas et al. JCP **159**, 044210 (2023) for a piece-wise linear potential.

Our model analysis (2)

- We also analyze a continuous bistable potential.
- For explicit calculation we adopt a triple harmonic potential

Square well potential (symmetric case)

• We can solve the problem exactly.

– Three regions can be connected by 6 conditions.

$$
a_{2}(\beta_{i},\beta) = \frac{[1+e^{\beta V_{m}}+2e^{-\beta V_{M}}]^{1/2}(e^{\beta V_{M}}-e^{\beta(V_{m}+V_{M})}-e^{\beta_{i}V_{M}}+e^{\beta_{i}(V_{m}+V_{M})}+e^{(\beta+\beta_{i})V_{M}})(-e^{\beta V_{m}}+e^{\beta_{i}V_{m}})}{\frac{\pi\nu}{2}(2+e^{\beta_{i}V_{M}}+e^{\beta_{i}(V_{m}+V_{M})})\left[(1+e^{\beta V_{M}})(1+e^{\beta(V_{m}+V_{M})})(1+e^{\beta V_{m}}+2e^{\beta(V_{m}+V_{M})})\right]^{1/2}}{1+e^{\beta(V_{m}+V_{M})}}}
$$
\n
$$
a_{3}(\beta_{i},\beta) = \frac{2\sqrt{2}}{\pi}e^{-\frac{1}{2}(\beta-2\beta_{i})(V_{m}+V_{M})}\sqrt{\frac{2+e^{\beta V_{M}}+e^{\beta(V_{m}+V_{M})}}{1+e^{\beta_{i}V_{M}}+e^{\beta_{i}(V_{m}+V_{M})}}}\frac{1+e^{(\beta-\beta_{i})V_{m}}-2e^{(\beta-\beta_{i})(V_{m}+V_{M})}}{2+e^{\beta_{i}V_{M}+e^{\beta_{i}(V_{m}+V_{M})}}}
$$
\n
$$
a_{3}(\beta_{i},\beta) \text{ and}
$$
\n
$$
a_{3}(\beta_{i},\beta) \text{
$$

Square well potential ($\alpha \neq 1$)

- We can obtain the exact results even for asymmetric case ($\alpha \neq 1$).
- In this case $a_2(\beta_i)$ can have a minimum.

(blue: $\alpha = 0.5$; yellow: $\alpha = 0.6$; green: $\alpha = 0.7$; red: $\alpha = 0.8$)

Continuous potential

• We can use the mapping onto Schrödinger equation with $g(x,t) := e^{-\beta V(x)/2} p(x,t)$

$$
T\partial_t g(x,t) = [T^2 \partial_x^2 - U(x)]g(x,t) = T\mathbb{L}g(x,t)
$$

 $U(x) := \frac{1}{4}V'(x)^2 - \frac{1}{2}TV''(x)$ Effective potential

$$
P(x,t|x_0) = \exp\left[\frac{V(x_0) - V(x)}{2T}\right] \sum_{n\geq 0} \varphi_n(x_0) \varphi_n(x) e^{-\lambda_n t},
$$

$$
\mathbb{L}\varphi_n(x) = -\lambda_n \varphi(x)
$$

Potential landcape

• Effective potential has more complicated structure than V(x) as

• Then we may use WKB analysis. – This is not pleasant.

Triple harmonic potential

• If we adopt a triple-harmonic potential, the effective potential is still harmonic.

$$
V(x) = \begin{cases} V_{\rm I}(x) := \frac{k_{\rm I}}{2}(x+1)^2, & \text{if } x < x_- \\ V_{\rm II}(x) := V_M - \frac{k_{\rm II}}{2}x^2, & \text{if } x_- \le x \le x_+, \\ V_{\rm III}(x) := -V_m + \frac{k_{\rm III}}{2}(x-\alpha)^2, & \text{if } x > x_+, \end{cases}
$$
\n
$$
V(x) = \begin{cases} U_{\rm I}(x) := \frac{k_{\rm I}^2}{4}(x+1)^2 - T\frac{k_{\rm I}}{2}, & \text{if } x < x_- \\ U_{\rm II}(x) := \frac{k_{\rm II}^2}{4}x^2 + \frac{T}{2}k_{\rm II}, & \text{if } x_- \le x \le x_+, \\ U_{\rm III}(x) := \frac{k_{\rm III}^2}{4}(x-\alpha)^2 - \frac{T}{2}k_{\rm III}, & \text{if } x > x_+, \end{cases}
$$

 \overline{I}

Solution of the triple harmonic model

• Eigenvalues and approximate eigenfunctions

$$
\lambda_n^{\text{I}} = k_{\text{I}}(n-1), \quad \varphi_n^{\text{I}}(x) = \left(\frac{k_{\text{I}}}{2\pi T}\right)^{1/4} \frac{1}{\sqrt{2^{n-1}(n-1)!}} H_{n-1}(\xi_{\text{I}}) e^{-\xi_{\text{I}}^2/2}
$$
\n
$$
\lambda_n^{\text{II}} = k_{\text{II}} n, \quad \varphi_n^{\text{II}}(x) = \left(\frac{k_{\text{II}}}{2\pi T}\right)^{1/4} \frac{1}{\sqrt{2^{n-1}(n-1)!}} H_{n-1}(\xi_{\text{II}}) e^{-\xi_{\text{II}}^2/2},
$$
\n
$$
\lambda_n^{\text{III}} = k_{\text{III}}(n-1), \quad \varphi_n^{\text{III}}(x) = \left(\frac{k_{\text{I}}}{2\pi T}\right)^{1/4} \frac{1}{\sqrt{2^{n-1}(n-1)!}} H_{n-1}(\xi_{\text{III}}) e^{-\xi_{\text{III}}^2/2}
$$

n=1,2,…; n=2 is the slowest eigenmode.

$$
\xi_{\text{I}} := \sqrt{k_{\text{I}}/T}(x+1), \xi_{\text{II}} := \sqrt{k_{\text{II}}/T}x, \text{ and } \xi_{\text{III}} := \sqrt{k_{\text{III}}/T}(x-\alpha)
$$

 $H_m(x)$ is the Hermite polynomial

The explicit a_2

• We can obtain the explicit a_2 and $\partial a_2/\partial \beta_i$

$$
\overline{a_{2}}(\beta_{i}) = \frac{e^{-\frac{\beta_{i}k_{1}k_{\text{H}}^{2}}{2(k_{\text{I}}+k_{\text{II}})^{2}}}\left[k_{\text{I}}(k_{\text{II}}+k_{\text{III}})\exp\left[\frac{1}{2}\beta_{i}k_{\text{II}}\left(\frac{\alpha^{2}k_{\text{III}}^{2}}{(k_{\text{II}}+k_{\text{III}})^{2}}-\frac{k_{\text{I}}^{2}}{(k_{\text{I}}+k_{\text{II}}^{2}}\right)\right]-k_{\text{III}}(k_{\text{I}}+k_{\text{II}})\right]}{\beta_{i}k_{\text{I}}k_{\text{II}}k_{\text{III}}}
$$
\nwhere $\overline{a_{2}}(\beta_{i}) := a_{2}/A_{\text{I}}\left(\frac{k_{\text{I}}}{T}\right)^{3/4}$
\n
$$
\frac{\partial\overline{a_{2}}(\beta_{i})}{\partial\beta_{i}} = \frac{e^{-\frac{\beta_{i}k_{\text{I}}k_{\text{II}}^{2}}{2(k_{\text{I}}+k_{\text{II}})^{2}}A_{1} - \exp\left[\frac{1}{2}\beta_{i}k_{\text{II}}\left(\frac{\alpha^{2}k_{\text{III}}^{2}}{(k_{\text{II}}+k_{\text{III}})^{2}}-\frac{k_{\text{I}}^{2}}{(k_{\text{I}}+k_{\text{II}}^{2}}\right)\right]A_{2} + A_{3}}}{2\beta_{i}^{2}k_{\text{I}}k_{\text{II}}k_{\text{III}}(k_{\text{I}}+k_{\text{II}})(k_{\text{II}}+k_{\text{III}})}
$$

We can determine A_i with $i = 1,2,3$.

Results (1)

• We find there is the minimum for a_2 if $k_1 > 1$.

Result (2)

- Figure is the hypersurface of $\partial a_2/\partial \beta_i =$ 0 in the parameter space $(\beta_i, k_{\rm I}, \alpha).$
- This surface indicates the existence of the Mpemba effect.

Contents

- Introduction
- Scenarios for the Mpemba effect
- Our model analysis
	- Analysis for a square-well potential
	- Analysis for a triple-harmonic potential
- Summary

Summary

- We solved two bistable potential models using Fokker-Planck equation with relatively precise and simple analytic calculation.
- The asymmetricity of the potential is important.

Future directions

• We need to clarify the general condition for MPE starting from two equilibrium initial conditions.

– Ohga et al. obtained some interesting results.

- How can we extend this analysis to quantum systems?
	- It is obvious that this is related to a problem of tunneling effect.
	- If we consider a non-Hermitian Hamiltonian, we can argue a relaxation process as the MPE.

Direct application to QMPE

• Listen to the next talk!

Thank you for your attention.

Distance from equilibrium in dissipative Dicke model

Entangle asymmetry in XXZ spin chain

[Carollo, Lasanta and Lesanovsky,

[Ares, Murciano and Calabrese, PRL 127, 060401 (2021) Thermodynamics of Mpem**Nattere Communications 14, 2036** (2023)