





Thermodynamics of Mpemba effect : Revisit to Lu & Raz theory

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- Introduction
- Scenarios for the Mpemba effect
- Our model analysis
 - Analysis for a square-well potential
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What is the Mpemba effect?

- What is Mpemba effect?
 - Erasto B. Mpemba found that some hot suspensions (*ice cream mix*) can freeze faster than cold (1963).
 - With the help of D. G.
 Osborne he has published a scientific paper (1969).





Some theoretical studies

- Lasanta et al. PRL 119, 148001 (2017) found that a granular gas can have both ME and the inverse ME by controlling kurtosis.
- Lu & Raz, PNAS 114, 5083 (2017) indicated that the slow relaxation can take place by trapping at local minima.





Experimental confirmation

- Kumar & Bechhoefer, Nature 584, 64 (2020).
- They have analyzed trapped colloids in a double well potential.
- They observed the distance between the distribution and equilibrium one.



Thermodynamics of Mpemba effect

Time (ms)



Experimental QMPE

- The first experimental reports on QMPE exists this year (PRL **133**, 010403 (2024), ibid 010402 (2024)).
- This is observed in a trapped atomic gas for quantum simulator.





Papers on Mpemba effect in arXiv (one month ago)



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Two scenarios

- Scenario 1: to use the different initial conditions
 - Santos, Ares, Chatterjee, Goold, Yamashika, Turkeshi
 - The symmetry in the initial condition is different.
 - The slow mode is not always important (=> next talk)
 - This is easier scenario to obtain the Mpemba.
- Scenario 2: to use potential landscape starting from equilibrium conditions.
 - Lu, Bechhoefer, Vuceljia
 - I adopt this scenario in this talk.

Illustration of Mpemba effect in the first scenario

• We can write the energy equation (for an uniform system):

$$c_V \dot{T} = \left(-\frac{\dot{\gamma}}{n} P_{xy}\right) + 2c_V \zeta (T_{env} - T),$$

- If the system is at equilibrium, the viscous heating is absent ($P_{\chi\nu}=0$).
- If the system is in non-equilibrium, the heating term must exist.
- Then, the system at equilibrium must have faster cooling than that at non-equilibrium.





Essence of Mpemba effect



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Model for the second scenario

• We use the Fokker-Planck equation:

 $\partial_t p = \mathbb{W}(\beta)p, \qquad \mathbb{W}(\beta) := \partial_x (V'(x) + T\partial_x)$

 Because FP eq. does not have any degeneracy, we can write

$$p(x,\beta;t) = \sum_{n} e^{-\lambda_{n}t} r_{n}(x,\beta) a_{n}$$
$$a_{n} := \int dx' \ell_{n}(x',\beta) p_{i}(x',\beta_{i})$$

 l_n and r_n are the left and right eigenvectors.

 $p(x,t) \approx p_{\rm eq}(x,\beta) + e^{-\lambda_2 t} r_2(x) a_2(\beta_i,\beta),$

$$a_2(\beta_i, \beta) := \int dx' \ell_2(x', \beta) p_i(x')$$
$$p_i \coloneqq e^{-\beta_i V} / Z(\beta_i)$$

Observable dynamics & condition of Mpemba effect

An observable A obeys:

$$\langle A \rangle(t) = \langle A \rangle_{eq} + \sum_{n} e^{-\lambda_n t} a_n(\beta_i, \beta) \int dx r_n(x) A(x)$$

• To observe the Mpemba condition a_n with small n should have a maximum or a minimum. slower relax. slowest relax. faster relax fastest relax rate β_i β_i Mpemba Thermodynamics of Mpemba effect



Our model analysis (1)

- We analyze two models.
- First one: asymmetric square potential
 - See Walker & Vucelja, J. Stat.
 Mech. (2021) 11315.
 - See also Biswas et al. JCP 159, 044210 (2023) for a piece-wise linear potential.





Our model analysis (2)

- We also analyze a continuous bistable potential.
- For explicit calculation we adopt a triple harmonic potential





Square well potential (symmetric case)

• We can solve the problem exactly.

Three regions can be connected by 6 conditions.

$$a_{2}(\beta_{i},\beta) = \frac{[1 + e^{\beta V_{m}} + 2e^{-\beta V_{M}}]^{1/2}(e^{\beta V_{M}} - e^{\beta (V_{m}+V_{M})} - e^{\beta_{i}V_{M}} + e^{\beta_{i}(V_{m}+V_{M})} + e^{(\beta+\beta_{i})V_{M}})(-e^{\beta V_{m}} + e^{\beta_{i}V_{m}})}{\frac{\pi \nu}{2}(2 + e^{\beta_{i}V_{M}} + e^{\beta_{i}(V_{m}+V_{M})})\left[(1 + e^{\beta V_{M}})(1 + e^{\beta V_{m}} + 2e^{\beta(V_{m}+V_{M})})\right]^{1/2}}$$

$$a_{3}(\beta_{i},\beta) = \frac{2\sqrt{2}}{\pi}e^{-\frac{1}{2}(\beta-2\beta_{i})(V_{m}+V_{M})}\sqrt{\frac{2 + e^{\beta V_{M}} + e^{\beta(V_{m}+V_{M})}}{1 + e^{\beta_{i}V_{M}} + e^{\beta_{i}(V_{m}+V_{M})}}}\frac{1 + e^{(\beta-\beta_{i})V_{m}} - 2e^{(\beta-\beta_{i})(V_{m}+V_{M})}}{2 + e^{\beta_{i}V_{M}} + e^{\beta_{i}(V_{m}+V_{M})}}}$$

$$a_{2}(\beta_{i},\beta)$$

$$a_{3}(\beta_{i},\beta)$$



Square well potential ($\alpha \neq 1$)

- We can obtain the exact results even for asymmetric case ($\alpha \neq 1$).
- In this case $a_2(\beta_i)$ can have a minimum.



(blue: $\alpha = 0.5$; yellow: $\alpha = 0.6$; green: $\alpha = 0.7$; red: $\alpha = 0.8$)



Continuous potential

• We can use the mapping onto Schrödinger equation with $g(x,t) := e^{-\beta V(x)/2} p(x,t)$

$$T\partial_t g(x,t) = [T^2 \partial_x^2 - U(x)]g(x,t) = T \mathbb{L}g(x,t)$$

Effective potential $U(x) := \frac{1}{4}V'(x)^2 - \frac{1}{2}TV''(x)$

$$P(x,t|x_0) = \exp\left[\frac{V(x_0) - V(x)}{2T}\right] \sum_{n \ge 0} \varphi_n(x_0) \varphi_n(x) e^{-\lambda_n t},$$
$$\mathbb{L}\varphi_n(x) = -\lambda_n \varphi(x)$$

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Potential landcape

 Effective potential has more complicated structure than V(x) as



Then we may use WKB analysis.
This is not pleasant.



Triple harmonic potential

• If we adopt a triple-harmonic potential, the effective potential is still harmonic.

$$V(x) = \begin{cases} V_{\rm I}(x) := \frac{k_{\rm I}}{2}(x+1)^2, & \text{if } x < x_-\\ V_{\rm II}(x) := V_M - \frac{k_{\rm II}}{2}x^2, & \text{if } x_- \le x \le x_+, \\ V_{\rm III}(x) := -V_m + \frac{k_{\rm III}}{2}(x-\alpha)^2, & \text{if } x > x_+, \end{cases}$$

$$V(x) = \begin{cases} U_{\rm I}(x) := \frac{k_{\rm I}^2}{4}(x+1)^2 - T\frac{k_{\rm I}}{2}, & \text{if } x < x_-\\ U_{\rm II}(x) := \frac{k_{\rm II}^2}{4}x^2 + \frac{T}{2}k_{\rm II}, & \text{if } x_- \le x \le x_+, \\ U_{\rm III}(x) := \frac{k_{\rm III}^2}{4}(x-\alpha)^2 - \frac{T}{2}k_{\rm III}, & \text{if } x > x_+, \end{cases}$$

T



Solution of the triple harmonic model

• Eigenvalues and approximate eigenfunctions

$$\lambda_n^{\rm I} = k_{\rm I}(n-1), \quad \varphi_n^{\rm I}(x) = \left(\frac{k_{\rm I}}{2\pi T}\right)^{1/4} \frac{1}{\sqrt{2^{n-1}(n-1)!}} H_{n-1}(\xi_{\rm I}) e^{-\xi_{\rm I}^2/2}$$
$$\lambda_n^{\rm II} = k_{\rm II}n, \quad \varphi_n^{\rm II}(x) = \left(\frac{k_{\rm II}}{2\pi T}\right)^{1/4} \frac{1}{\sqrt{2^{n-1}(n-1)!}} H_{n-1}(\xi_{\rm II}) e^{-\xi_{\rm II}^2/2},$$
$$\lambda_n^{\rm III} = k_{\rm III}(n-1), \quad \varphi_n^{\rm III}(x) = \left(\frac{k_{\rm I}}{2\pi T}\right)^{1/4} \frac{1}{\sqrt{2^{n-1}(n-1)!}} H_{n-1}(\xi_{\rm III}) e^{-\xi_{\rm III}^2/2}$$

n=1,2,...; n=2 is the slowest eigenmode.

$$\xi_{\rm I} := \sqrt{k_{\rm I}/T}(x+1), \ \xi_{\rm II} := \sqrt{k_{\rm II}/T}x, \ \text{and} \ \xi_{\rm III} := \sqrt{k_{\rm III}/T}(x-\alpha)$$

 $H_m(x)$ is the Hermite polynomial

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The explicit a_2

• We can obtain the explicit a_2 and $\partial a_2/\partial \beta_i$

$$\overline{a_{2}}(\beta_{i}) = \frac{e^{-\frac{\beta_{i}k_{\mathrm{I}}k_{\mathrm{II}}^{2}}{2(k_{\mathrm{I}}+k_{\mathrm{II}})^{2}} \left[k_{\mathrm{I}}(k_{\mathrm{II}}+k_{\mathrm{III}})\exp\left[\frac{1}{2}\beta_{i}k_{\mathrm{II}}\left(\frac{\alpha^{2}k_{\mathrm{III}}^{2}}{(k_{\mathrm{II}}+k_{\mathrm{III}})^{2}}-\frac{k_{\mathrm{I}}^{2}}{(k_{\mathrm{I}}+k_{\mathrm{II}}^{2})}\right)\right] - k_{\mathrm{III}}(k_{\mathrm{I}}+k_{\mathrm{II}})}}{\beta_{i}k_{\mathrm{I}}k_{\mathrm{II}}k_{\mathrm{III}}}$$
where $\overline{a_{2}}(\beta_{i}) := a_{2}/A_{\mathrm{I}}\left(\frac{k_{\mathrm{I}}}{T}\right)^{3/4}$

$$\frac{\partial \overline{a}_{2}(\beta_{i})}{\partial \beta_{i}} = \frac{e^{-\frac{\beta_{i}k_{\mathrm{I}}k_{\mathrm{II}}^{2}}{2(k_{\mathrm{I}}+k_{\mathrm{II}})^{2}}A_{1}} - \exp\left[\frac{1}{2}\beta_{i}k_{\mathrm{II}}\left(\frac{\alpha^{2}k_{\mathrm{III}}^{2}}{(k_{\mathrm{II}}+k_{\mathrm{III}})^{2}}-\frac{k_{\mathrm{I}}^{2}}{(k_{\mathrm{I}}+k_{\mathrm{II}}^{2})}\right)\right]A_{2} + A_{3}}{2\beta_{i}^{2}k_{\mathrm{I}}k_{\mathrm{II}}k_{\mathrm{III}}(k_{\mathrm{I}}+k_{\mathrm{II}})(k_{\mathrm{II}}+k_{\mathrm{III}})}$$

We can determine A_i with i = 1,2,3.



Results (1)

• We find there is the minimum for a_2 if $k_1 > 1$.



Result (2)



- Figure is the hypersurface of $\partial a_2 / \partial \beta_i =$ 0 in the parameter space ($\beta_i, k_{\rm I}, \alpha$).
- This surface indicates the existence of the Mpemba effect.



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Summary

- We solved two bistable potential models using Fokker-Planck equation with relatively precise and simple analytic calculation.
- The asymmetricity of the potential is important.



Future directions

- We need to clarify the general condition for MPE starting from two equilibrium initial conditions.
 Ohga et al. obtained some interesting results.
- How can we extend this analysis to quantum systems?
 - It is obvious that this is related to a problem of tunneling effect.
 - If we consider a non-Hermitian Hamiltonian, we can argue a relaxation process as the MPE.



Direct application to QMPE

• Listen to the next talk!



Thank you for your attention.

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Distance from equilibrium in dissipative Dicke model

Entangle asymmetry in XXZ spin chain

[Carollo, Lasanta and Lesanovsky, PRL 127, 060401 (2021)]

[Ares, Murciano and Calabrese, Thermodynamics of MpemNatture Communications 14, 2036 (2023)]