Discrete bulk spectrum in JT theory?

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#### Issues

#### Canonical quantization of gravity theories:

- Lorentzian approach vs Euclidean path integral.
- Diffeo (gauge) symmetries.
- Discrete bulk spectrum is essential for the finiteness of entropy. [In collaboration with CJ Kim & SH Yi]

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#### JT Gravity dual to [Saad-Shenker-Stanford] Matrix Model

The AdS2 dilaton gravity is consisting of

$$I = I_{top} + \frac{1}{16\pi G} \int_{M} d^{2}x \sqrt{g} \phi(R+2) + \frac{1}{8\pi G} \int_{\partial M} du \sqrt{-\gamma_{uu}} \phi(K-1)$$

where

$$I_{top} = -\frac{S_0}{2\pi} \left[ \frac{1}{2} \int_{M_E} \sqrt{g} R + \int_{\partial M_E} \sqrt{\gamma} K \right] \quad (\to e^{(2-2g-n)S_0})$$

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The equations of motion read

 $\begin{aligned} R+2 &= 0 \ (\to AdS_2 \text{ with arbitrary genus } g \ ) \\ \nabla_a \nabla_b \phi - g_{ab} \nabla^2 \phi + g_{ab} \phi = 0 \end{aligned}$ 

• The leading-order (g = 0) geometry is given by the gobal AdS<sub>2</sub>

$$ds^2 = \frac{\ell^2}{\cos^2 \mu} \left( -d\tau^2 + d\mu^2 \right)$$

where the coordinate  $\mu$  is ranged from  $-\pi/2$  to  $+\pi/2.$  (Two sided!)



The vacuum (BH) solution for the dilaton field is given by

$$\phi = \bar{\phi} \, L \, \frac{\cos \tau}{\cos \mu} = \bar{\phi} \, r$$

Make the coordinate transformation

$$rac{r}{L} = rac{\cos au}{\cos \mu}, \quad ext{tanh} \, rac{Lt}{\ell^2} = rac{\sin au}{\sin \mu}$$



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#### Two-sided black holes

One is led to the corresponding AdS Rindler metric and the dilaton field:

$$ds^{2} = -\frac{r^{2} - L^{2}}{\ell^{2}} dt^{2} + \frac{\ell^{2}}{r^{2} - L^{2}} dr^{2}$$
  
$$\phi = -\frac{\phi}{r} r$$

The time t (= u) runs over  $(-\infty, \infty)$ .



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► The singularity is determined by  $\Phi^2 = \phi_0 + \phi = 0$  where  $\Phi^2$  plays the role of radius squared of the transverse space from the viewpoint of the dimensional reduction from the higher dimensions as in

$$ds_4^2 = ds_{AdS_2}^2 + r_0^2 \Phi^2 (d\theta^2 + \sin^2\theta d\varphi^2)$$

The geometry is intrinsically two-sided!

The Euclidean disk metric becomes

$$ds^2 = \frac{\ell^2}{\cos^2 \mu} \left( d\tau_E^2 + d\mu^2 \right)$$

where  $-\infty < \tau_E < \infty$ . This Euclidean disk may have two-sided interpretation.



From the Rindler, the Euclidean disk metric becomes

 $ds^2 = d\rho^2 + \sinh^2 \rho \, dt_E^2$ 

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where  $r = \cosh \rho$  and  $t_E \sim t_E + 2\pi$ .

# Schwarzian description of the bulk - Bd particle picture

- Any bulk fluctuation can be reflected through the boundary fluctuation: This is nothing but the mode of reparameterization. These fluctuations are described by the Schwarzian dynamics.
- 1. First we take the dilaton as the size of transverse space and introduce a cut-off trajectory in term of the dilaton

$$\phi|_{\partial M} = \bar{\phi} \, \frac{1}{\epsilon} \, (= \bar{\phi} \, r)$$

 2. The induced metric at the cut off trajectory will be fixed by



$$ds^2|_{\partial M} = -\frac{1}{\epsilon^2}du^2 \sim -\left(\frac{\tau'(u)\,du}{\cos\mu_{\partial M}(u)}\right)^2 + \cdots$$

u is the physical (gauge-fixed) boundary time running from (-∞, +∞). The remaining degrees are given by τ<sub>r</sub>(u) and τ<sub>l</sub>(u). Using the rules, evaluate the on shell gravity action. This leads to

$$S = -C \int du \left[ \left\{ \tan \frac{\tau_{l}(u)}{2}, u \right\} + \left\{ \tan \frac{\tau_{r}(u)}{2}, u \right\} \right]$$
$$\{f(u), u\} = -\frac{1}{2} \frac{f''^{2}}{f'^{2}} + \left(\frac{f''}{f'}\right)'$$

where  ${\cal C}$  is the coupling with  ${\cal C}=ar \phi\,(=1/2).$ 

For our black hole spacetime, the Schwarzian equation of motion is solved by

$$\sin \tau(u) = \tanh \frac{2\pi}{\beta} u$$
,  $\tau'(u) = \frac{2\pi}{\beta} \frac{1}{\cosh \frac{2\pi u}{\beta}}$ 

where we set  $\tau_l = \tau_r = \tau(u)$ .

Therefore  $\tau$  coordinate along the cut-off is ranged from  $-\pi/2$  to  $+\pi/2$ .



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#### Quantization [Penington-Witten 23]

Let us try to quantize the L-R system which involves higher derivative terms

$$S = \int du \, L_r + \int du \, L_l \,, \qquad L_{r/l} = \frac{\mathcal{C}}{2} \left[ \left( \frac{\tau_{r/l}'}{\tau_{r/l}'} \right)^2 - \tau_{r/l}'^2 \right]$$

Introducing the Lagrange multiplier term, one may rewrite the above Lagrangian as

$$L_{r/l} = \frac{\mathcal{C}}{2} \chi_{r/l}^{\prime 2} - \frac{1}{2\mathcal{C}} e^{2\chi_{r/l}} + p_{\tau_{r/l}} \left( \tau_{r/l}^{\prime} - \frac{1}{\mathcal{C}} e^{\chi_{r/l}} \right)$$
  

$$\Rightarrow \text{ Then by introducing the } p_{\chi_{r/l}}$$
  
conjugated to the variable  $\chi_{r/l}$ , one  
finds left right Hamiltonians

$$L_{r/l} = p_{\tau_{r/l}} \tau'_{r/l} + p_{\chi_{r/l}} \chi'_{r/l} - H_{r/l}$$
$$H_{r/l} = \frac{1}{2\mathcal{C}} \left[ p_{\chi_{r/l}}^2 + 2p_{\tau_{r/l}} e^{\chi_{r/l}} + e^{2\chi_{r/l}} \right]$$

$$\blacktriangleright \text{ Note } e^{\chi_{r/l}} = \mathcal{C}\tau'_{r/l} = \phi_{\partial M} \cos \mu_{\partial M}(u) \sim O(\frac{1}{\epsilon} \cdot \epsilon).$$

# SL2 gauge symmetries

- The linear dependence in p<sub>τ</sub> tells us that the Hamiltonian is unbounded from below. However (large diffeo) gauge symmetries will make the system well defined.
- SL2 (~ SO(2,1)) gauge symmetries: For this we use the embedding space coordinates of ads2: −Y<sub>1</sub><sup>2</sup> + Y<sub>2</sub><sup>2</sup> + Y<sub>3</sub><sup>3</sup> = 1 with

$$Y_1 = \tan \mu, \quad Y_2 = \frac{\cos \tau}{\cos \mu}, \quad Y_3 = \frac{\sin \tau}{\cos \mu}$$

 $\Rightarrow$  SL2 rotation is an isometric transformation with Killing vectors [Lin-Maldacena-Zhao 19]

 $\begin{aligned} \xi_1 &= -\partial_\tau \quad (\tau \text{-translation}) \\ \xi_2 &= -\cos\tau\sin\mu\,\partial_\tau - \sin\tau\cos\mu\,\partial_\mu \quad (boost) \\ \xi_3 &= -\sin\tau\sin\mu\,\partial_\tau + \cos\tau\cos\mu\,\partial_\mu \quad (scaling) \end{aligned}$ 



The SL2 gauge symmetries are generated by

$$\widetilde{J}_i = J_i^{bulk} (bulk) + J_i^r (bd-R) + J_i^l (bd-L)$$

- Classically, one has the corresponding constraints, J<sub>i</sub> = 0, and imposing these leads to consistent solutions of the left-right boundary dynamics.
- Quantum mechanically, imposing the constraints properly on the wave function

$$\widetilde{J}_i \Psi = 0$$

leads to the full quantization of our gravity theory.

# Gauge fixing and constraints [Penington-Witten 23]

Our starting point is the unconstrained Hilbert space

$$\mathcal{H}_0 = L_2(\tau_r, \tau_l, \chi_r, \chi_l)$$

where the  $L_2$  function is specified as a complex function of the four variables.

We may use the quantization scheme based on the coinvariant equivalent classes defined by

$$\Psi \cong g \Psi$$

▶ We then introduce inner product by the integral

$$\langle ilde{\Psi} | \Psi 
angle = \int d oldsymbol{g} \left( ilde{\Psi}, \, oldsymbol{g} \Psi 
ight)$$

This also ensures the constraints

$$\int d\boldsymbol{g}( ilde{\Psi}, \, ilde{J}_i \, \, \boldsymbol{g} \Psi) \cong 0$$

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One may set τ<sub>r</sub> = τ<sub>l</sub> = 0 and χ<sub>r</sub>-χ<sub>l</sub> = 2q<sub>rel</sub>=0 with q=χ<sub>r</sub>+χ<sub>l</sub>. Thus we choose a gauge-fixed wavefunction as

$$\Psi = \delta( au_r)\delta( au_l)\delta( extbf{q}_{ extsf{rel}})\psi( extbf{q})$$

The inner product is reduced to

$$\langle ilde{\Psi} | \Psi 
angle = \int dq \, ilde{\psi}^*(q) \psi(q)$$

Our physical Hilbert space is reduced to L<sub>2</sub>(q). On this Hilbert space the left right Hamiltonian becomes

$$2\mathcal{C}H = 2\mathcal{C}H_{r/l} = p^2 + e^q$$

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▶  $p = -i\partial_q$ .  $[H_r, H_l] = 0$ . No factorization! [Harlow-Jafferis 18]

#### Liouville quantum-mechanics

- ▶ This is a Liouville quantum-mechanical system that involves an exponential potential  $V(q) = e^q$ .
- Note that the renormalized geodesic length between two boundary points τ<sub>l</sub> (= 0) and τ<sub>r</sub> (= 0) is given by

$$\ell_{ren} \equiv \ell_{bare} (\geq 0) - \underline{\ln 2\phi}_{r} - \underline{\ln 2\phi}_{l} = \ln \left( \frac{\cos^2 \frac{\tau_r - \tau_l}{2}}{\mathcal{C}^2 \tau_l' \tau_r'} \right) = -q$$





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#### Eigenvalue problem

- The left side is unbounded and the spectrum becomes of course continuous. Hence the density of state is ill defined.
- The corresponding eigenvalue problem

$$H\psi_s(q)=rac{s^2}{2\mathcal{C}}\psi_s(q) \quad (s\in[0,\infty))$$

can be solved by

$$\psi_s(q) = N_s \, K_{2is}(2e^{q/2}), \qquad N_s = rac{2}{\pi} (s \sinh 2\pi s)^{rac{1}{2}}$$

This satisfies the scattering normalization

$$\int_{-\infty}^{\infty} dq \,\psi_s^*(q)\psi_{s'}(q) = \delta(s-s')$$

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• In the scattering regime of  $q \rightarrow -\infty$ , the wavefunction behaves as

$$\psi_s 
ightarrow rac{\Gamma(-2is)}{\sqrt{2\pi} \left| \Gamma(-2is) 
ight|} \left( e^{isq} + R(s) e^{-isq} 
ight)$$

where the reflection amplitude may be identified as  $R(s) = \frac{\Gamma(2is)}{\Gamma(-2is)}$ .

▶ In the forbidden region of  $q \rightarrow \infty$  (a small separation limit), the wavefunction decays doubly-exponentially as

$$\psi_s(q) 
ightarrow N_s \sqrt{rac{\pi}{4e^{q/2}}} \, e^{-2e^{q/2}}$$



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# Disk partition function $Z_{0,1}$

- The relevant density of states is basically one-sided quantity whereas our physical Hilbert space is inherently two-sided.
- In this respect, currently there is no well-defined procedure computing the disk partition function based on the two-sided description. One can follow the proposal by [Lin-Maldacena-Rozenberg-Shan, 22]

$$Z(eta) \propto \lim_{q_c 
ightarrow \infty} \langle q_c | \, e^{-rac{eta}{2} H_{ ext{tot}}} (= 2H) | q_c 
angle$$

In this two-sided picture, one starts the evolution from an initial geodesic connecting two slightly separated boundary points somewhere on the bottom side. Namely Ψ<sub>I</sub> = δ(q − q<sub>c</sub>).



Basically the evolution is based on the propagator with an appropriate initial state, which defines the path integral computation in the two-sided picture. With this regularization, one finds

$$Z_{disk}(eta) \propto \lim_{q_c 
ightarrow \infty} W(q_c) rac{1}{2\pi^2} \int_0^\infty ds \, s \sinh 2\pi s \, e^{-eta s^2}$$

where

$$W(q) = 4\pi e^{-4e^{q/2}-q/2}$$

The disk partition function may be identified as

$$Z_{disk}(\beta) = \operatorname{tr} e^{-\beta H} = \frac{e^{S_0}}{2\pi^2} \int_0^\infty ds \, s \sinh 2\pi s \, e^{-\beta s^2}$$
$$= \int_0^\infty ds \rho_{JT}(s) \, e^{-\beta E(s)} = \frac{e^{S_0}}{4\sqrt{\pi}\beta^{\frac{3}{2}}} e^{\frac{\pi^2}{\beta}} \quad [\text{Stanford Witten 17}]$$

where  $\rho_{JT}(E) = \frac{e^{S_0}}{4\pi^2} \sinh 2\pi \sqrt{E}$  by an inverse Laplace transform.



Disk correlation function (g = 0, n = 1) + double-trumpet (g = 0, n = 2)

Euclidean disk correlation function can be computed using the two-sided picture. The result agrees with those of the Euclidean path integral [Penington,Witten].



For the double trumpet geometries, purely two-sided description is not known. The result from Euclidean gravitational path integral is known. Two trumpets with size b and integrations. → Z<sub>2,0</sub>

## SSS duality-a review

The matrix model partition function Z (for an N × N Hermitian matrix H) is given by

$$\mathcal{Z} = \int dH \, \, e^{-N {
m tr} \, U(H)}$$

In the matrix model, it is convenient to introduce the so-called resolvent

$$R(E) = \operatorname{tr} \frac{1}{E - H}$$

which is related to the density of states as

$$R(E + i\epsilon) - R(E - i\epsilon) = -2\pi i\rho(E)$$

Here, the density of states is defined by

$$\rho(E) \equiv \operatorname{tr} \delta(E - H) = \sum_{j=1}^{N} \delta(E - \lambda_j), \quad Z = \operatorname{tr} e^{-\beta H}$$

where  $\lambda_i$  are the eigenvalues of the matrix H.

#### Double-scaling limit and genus expansions

Taking into account the Vandermonde factor in the Hermitian matrix model, the large N saddle point equation is given by

$$U'(E) = rac{2}{N} \int d\lambda \; rac{
ho(\lambda)}{(E-\lambda)}$$

As a specific JT density of states, SSS suggested the following expression:

$$\rho(E, a) = \frac{e^{S_0}}{4\pi^2} \sinh 2\pi \sqrt{E(1 - E/2a)}, \qquad N = \int_0^{2a} dE \rho(E, a)$$

which determines U(E, a) from the saddle point equation.

The double-scaling limit is defined by N → ∞ and a → ∞, while keeping e<sup>S<sub>0</sub></sup> finite (e<sup>-S<sub>0</sub></sup> is the level spacing!). This leads to

$$\lim_{a\to\infty}\rho(E,a)=\rho_{JT}(E)$$

## Topological genus expansion

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The connected part of n resolvents (the n connected boundaries in the geometric side) is encoded in the topological expansion

$$\langle R(E_1)\cdots R(E_n)\rangle_{conn}\simeq \sum_{g=0}^{\infty}e^{S_0(2-2g-n)}R_{g,n}(E_1,\cdots,E_n)$$

- This may be rewritten in terms of the correlators of the partition functions (Z(β<sub>1</sub>),..., Z(β<sub>n</sub>))<sub>conn</sub>.
- The genus zero resolvant

$$R_{0,1}(E) = y = -\pi i \hat{\rho}_{JT}(s = \sqrt{E})$$

gives us the so-called spectral curve of the matrix model. This plays the role of initial data for the topological recursion relation of the resolvent correlators.  $\rightarrow$  Full perturvation theory!

# JT-SSS correspondence



- These correlators are known to satisfy specific topological recursion relations and then related to Weil-Petersson volume of the moduli space of a genus g surface with n geodesic boundaries of length b<sub>1</sub>,..., b<sub>n</sub>.
- According to the SSS duality, all such correlators can be determined completely by two initial inputs: disk partition function Z<sub>disk</sub>(β) and trumpet partition function Z<sub>trumpet</sub>(β, b).
- ▶ Both of these quantities are computed from Schwarzian boundary wiggles in Euclidean pure JT gravity. → A precise agreement!

# Left confining potential



- The needs for the left confining potential may be argued in the following ways.
- First of all, the spectrum is continuous, which is in contradiction with the finite density of states with the finite level spacing.
- ► Note the complexity operator may be identified with l<sub>ren</sub> = -q where l<sub>ren</sub> is the geodesic length. With the Liouville Hamiltonian, we have

$$rac{d^2}{dt^2}\langle q
angle_{tfd}=-2\langle e^q
angle_{tfd}$$

where we use the TFD state as an initial states.

## Confining Potential in Lorentzian picture



► As l<sub>ren</sub> = -q becomes large, the force in the right side becomes negligible and

$$\ell_{\it ren} = -\langle q 
angle_{\it tfd} \sim C_1 \, t$$

with  $C_1$  to be an O(1) positive coefficient. Even including the perturbative and nonperturbative contributions the above behaviors continue until  $t \ll e^{S_0}$ .

It was further shown that [Iliesiu, Mezei and Sarosi 21]

$$\ell_{ren} = -\langle q 
angle_{tfd} 
ightarrow e^{S_0} C_2$$

as  $t \gg e^{S_0}$  where  $C_2$  is another O(1) positive coefficient, which has a nonperturbative nature and universal for any QM with a discrete spectrum.

# Left confining potential W



With the confining potential the spectrum naturally becomes discrete. We assume

$$V(q) = e^q + W(q)$$

and determine the form of W explicitly.

- ▶ The left confining potential W(q) becomes O(1) only when  $\ell_{ren} = -q$  becomes of  $O(e^{S_0})$ .
- As e<sup>S<sub>0</sub></sup> goes to infinity, the effect of the confining potential disappears completely leading to the continuous spectrum.

# Confining potential W



Let us obtain the shape of the left-confining potential W which reproduce the desired JT density of states

$$\rho_{JT}(E) = \mathrm{e}^{S_0}\hat{\rho}(E), \quad \hat{\rho}(E) = \frac{1}{4\pi^2} \mathrm{sinh}\, 2\pi\sqrt{E}$$

The density of states in the semiclassical limit with the left right confining potential is given by

$$\frac{1}{\pi}\frac{d}{dE}\int_{q_-}^{q_+}dq\sqrt{E-V(q)}=e^{S_0}\hat{\rho}(E)$$

where the left and right turning points  $q_{\mp}$  are defined by the relation  $E = V(q_{\pm})$ .

# Left Confining Potential



Now let us consider the potential of the form

$$V(q) = e^q + W(X(q))$$

with

$$X(q)=e^{-S_0}ig[\log(1+e^{-q-a})+v(q)ig]$$

without loss of any generality.  $a, v(q) \sim O(1)$ .

• One then finds  $q_+ = O(1)$  and  $q_- = -O(e^{S_0})$ .

Therefore we get

$$\frac{1}{\pi}\frac{d}{dE}\int_0^{X_0}dX\sqrt{E-W(X)}=\hat{\rho}(E)$$

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where  $W(X_0) = E$ . Abel's first integral!

JT solution to string equation [Okuyama and Sakai 19] [Johnson and Rosso 20] [Johnson 22]

This is solved by

$$2\pi X = \sqrt{W(X)} I_1(2\pi \sqrt{W(X)})$$

which is the JT solution to the "string equation".

▶ For small X, one finds

$$W(X) = 2X + O(X^2)$$

For the large X,



## Formulation of the string equation [Johnson 22]

With the technique of orthogonal polynomials, one can get a new formulation of MM perturbation theory with a fictitious quantum system

$$\langle Z(\beta) \rangle = \int_{-\infty}^{\infty} dE \langle \rho(E) \rangle e^{-\beta E} = \int_{-\infty}^{\mu} dy \, \langle y | e^{-\beta H} | y \rangle$$

where  $\mathcal{H} = -\hbar^2 \partial_y^2 + u(y)$  with  $\hbar = e^{-S_0}$ .

▶ Now in the semiclassical limit with  $\hbar \rightarrow 0$ , one finds

$$\rho(E) = \frac{1}{2\pi\hbar} \int_{-\infty}^{\mu} dy \frac{1}{\sqrt{E - u_0(y)}}$$

• With  $\rho(E) = \rho_{JT}(E)$  and  $\mu = 0$ , one finds

$$y + rac{\sqrt{u_0}}{2\pi} I_1(2\pi\sqrt{u_0}) = 0$$

which agrees with our equation with the replacement  $-y \rightarrow X$  and  $u_0(y) \rightarrow W(X)$ .

# The QM is unphysical!



- This quantum mechanics problem is rather unconventional and unphysical.
- Note one needs the relation

$$\int_{-\infty}^{\mu} dy |y\rangle \langle y| = \int_{-\infty}^{\infty} dE |E\rangle \langle E|$$

the eigenvalue problem for  $\mathcal{H}\psi(y, E) = E\psi(y, E)$  in the conventional framework of quantum mechanics must be supplemented by a self-adjoint boundary condition at  $y = \mu$ , which is, in fact, NOT the case here.

# This QM is unphysical!



- If a boundary condition or some wall potential were imposed, the spectrum would become discrete, as the configuration space would then be confined to the region y ∈ (-∞, μ).
- However, it is clear that E is continuous because there is no right confining potential.

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## Krylov-Complexity Dynamics [Balasubramanian,caputa, Magan,Wu 22]

• Krylov spread complexity:  $C - C_0 = \langle I_{ren} \rangle = -\langle q \rangle$ 

We can take the thermofield double state

$$|\psi(t)\rangle_{tfd} = \frac{1}{\sqrt{Z}}\sum_{n}e^{-(rac{eta}{2}+it)E_n}|n,n\rangle$$

as an initial state.

We have

$$\frac{d}{dt} \langle q \rangle_{tfd} = -i \langle [q, H] \rangle_{tfd} = 2 \langle p \rangle_{tfd}$$
$$\frac{d}{dt} \langle p \rangle_{tfd} = -i \langle [p, H] \rangle_{tfd} = -\langle V' \rangle_{tfd}$$

• One has a cancellation in the late time  $(t \gg e^{S_0})$ 

$$2\langle p \rangle_{tfd} = -i \langle [q, H] \rangle_{tfd}$$
  
=  $\frac{i}{Z} \sum_{m,n} e^{-\frac{\beta}{2}(E_m + E_n)} e^{it(E_m - E_n)} (E_m - E_n) \langle m, m | q | n, n \rangle \simeq 0$ 

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- Left panel: For N × N Gaussian ensemble, we depict the time evolution of the complexity. One can see the patterns of ramp-peak-slope-plateau.
- We show that C = ℓ<sub>ren</sub> = −⟨q⟩ follows the basically the same pattern with the confining potential adding the random potential part. (See the right panel!)

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# Higher genus contributions + higher dimensions?

The theory will be defined with the ensemble average

$$\langle \lambda_n \rangle = \int_{(v,a)} da \, \mathcal{D}v \, \mathcal{P}(v,a) \, \lambda_n(v,a) \, (n=1,2,\cdots)$$

with the weight  $\mathcal{P}(v, a)$ . These weight should be determined by the original gravity theory or the matrix theory.

- ▶ For the higher genus contributions, further work is necessary.
- This should be generalized to higher dimensions since the concept of complexity (~ wormhole volume) is universal!

# Thanks a lot for your attention!

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