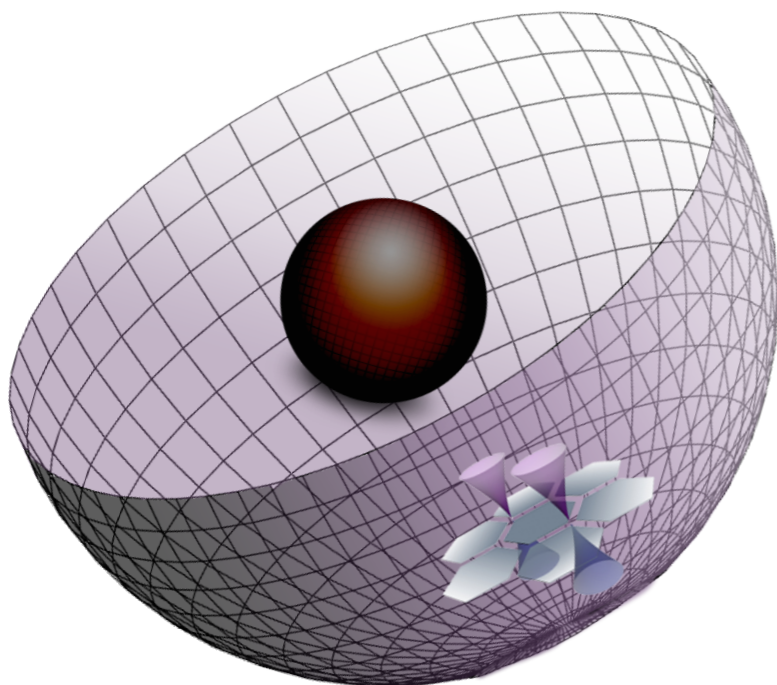


Holographic Mean field theory & How to use it for Condensed matter?

Sang-Jin Sin (Hanyang U.)
2025.02@Yukawa_Kyoto



I. Introduction

II. Holographic mean field theory

III. Examples

- 1. Kondo condensation and Kondo lattice.
- 2. Mott gap classes.
- 3. Topology in interacting system
- 4. Encoding lattice (UV) in the holography.

[Mean field theory and holographic Kondo lattice, 2407.01978](#)

[Mean field theory for strongly coupled systems: Holographic approach *JHEP* 06 \(2024\) 100](#)

I. Introduction: what happen if interaction is not weak?

- 1. Particle nature is lost.
- 2. Entire system is strongly entangled.

$$H_{tot} = H(x_1) + H(x_2)$$

$$\Rightarrow \psi_{tot} = \psi_i(x_1)\psi_j(x_2) \Rightarrow \text{No entanglement}$$

- Introduce the interaction:

$$H_{tot} = H(x_1) + H(x_2) + H_{int}(x_1, x_2)$$

$$\Rightarrow \psi_{tot} = \sum_{ij} c_{ij} \psi_i(x_1)\psi_j(x_2) \Rightarrow \text{entanglement}$$

What if interaction is not weak?

- Weak coupling:

in $\psi_{tot} = \sum_{ij} c_{ij} \psi_i(x_1) \psi_j(x_2)$, one term dominance remained.

- For strong coupling,

c_{ij} in $\psi_{tot} = \sum_{ij} c_{ij} \psi_i(x_1) \psi_j(x_2)$ are more evenly distributed

=> No. of the important terms increases.

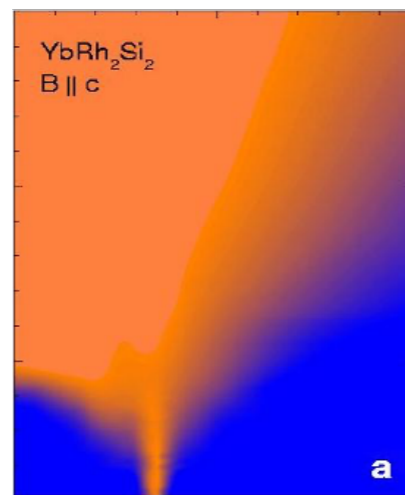
=> every scale is equally important=> QCP

=> Entire system becomes one object.

Recipe?

The whole system \sim one object \Rightarrow Emergence

If the object is like a black hole \Rightarrow Simplicity restored!



QCP



Apply AdS/CFT to CMT

The only known **computational scheme** for the Emergence!

Any Quantitative evidence?

Well known η/s + Transport anomaly in Graphene

PRL 118, 036601 (2017)

PHYSICAL REVIEW LETTERS

week ending
20 JANUARY 2017



Holography of the Dirac Fluid in Graphene with Two Currents

Yunseok Seo,¹ Geunho Song,¹ Philip Kim,^{2,3} Subir Sachdev,^{2,4} and Sang-Jin Sin¹

¹*Department of Physics, Hanyang University, Seoul 133-791, Korea*

²*Department of Physics, Harvard University, Cambridge, Massachusetts 02138, USA*

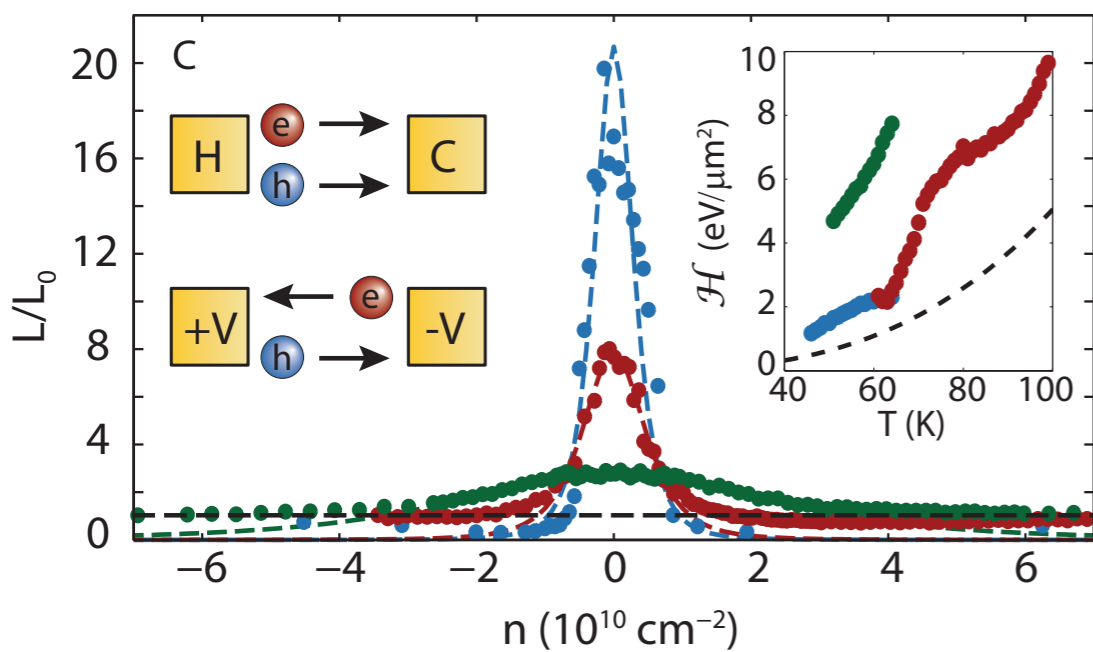


Phys.Rev.Lett. 118 (2017) no.3, 036601

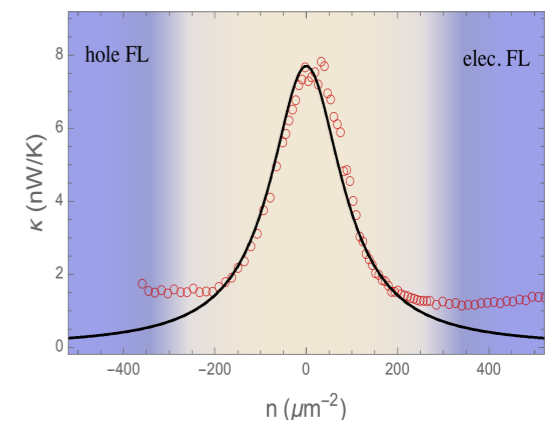
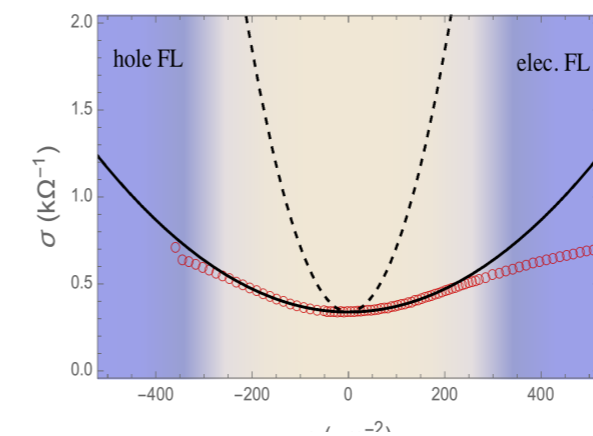
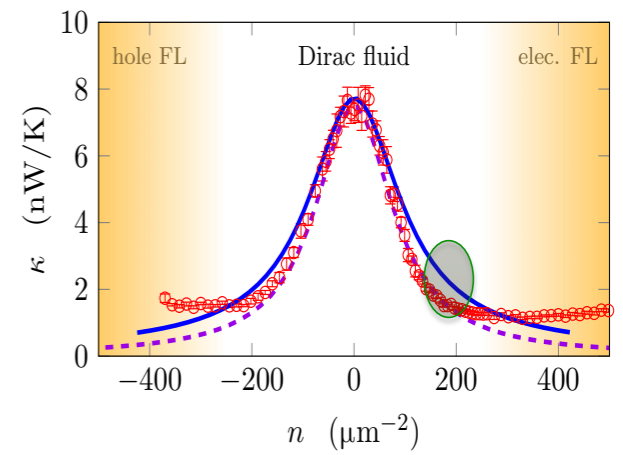
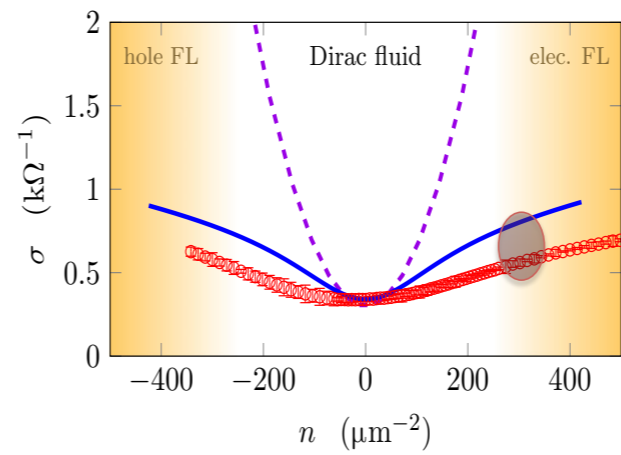
Editors' Suggestion

Transport anomaly in Graphene

Summary: Holography provides a modeling tool for hydrodynamics.



4 March 2016

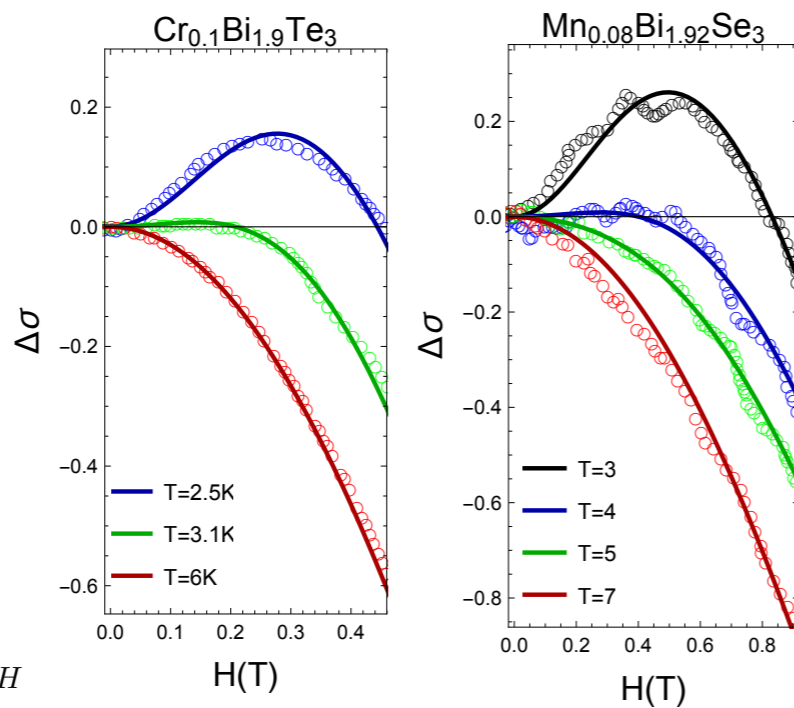
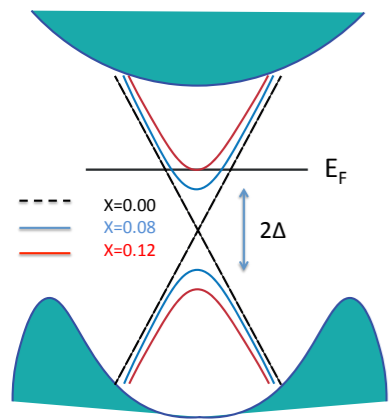


Why it works for graphene?
 Small Fermi surface (little screening) +
 masslessness (space filling brane)

ii) Dirac material & Surface of Topological Insulators

[1703.07361, prB, rapid comm 서윤석,송근호,SJS]

Theory fits
not only for Cr doped Bi_2Te_3 but also Mn doped Bi_2Se_3

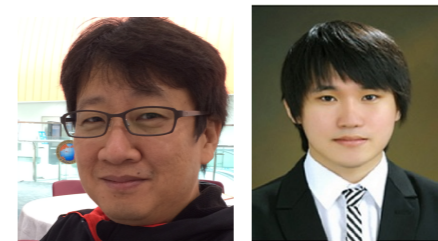


2019.03.27@KHU

Strong Correlation Effects on Surfaces of Topological Insulators via Holography

Yunseok Seo, Geunho Song and Sang-Jin Sin
Department of Physics, Hanyang University, Seoul 04763, Korea.

Published in Phys.Rev. B96 (2017) no.4, 041104 (rapid communications)



$\sigma_{ij}(B, T, n_{imp})$
+SJS

Small Fermi Surfaces and Strong Correlation Effects in Dirac Materials with Holography

Y. Seo, G. Song, C. Park + SJS

Published in JHEP 1710 (2017) 204

$\kappa_{ij}(B, T, n_{imp})$

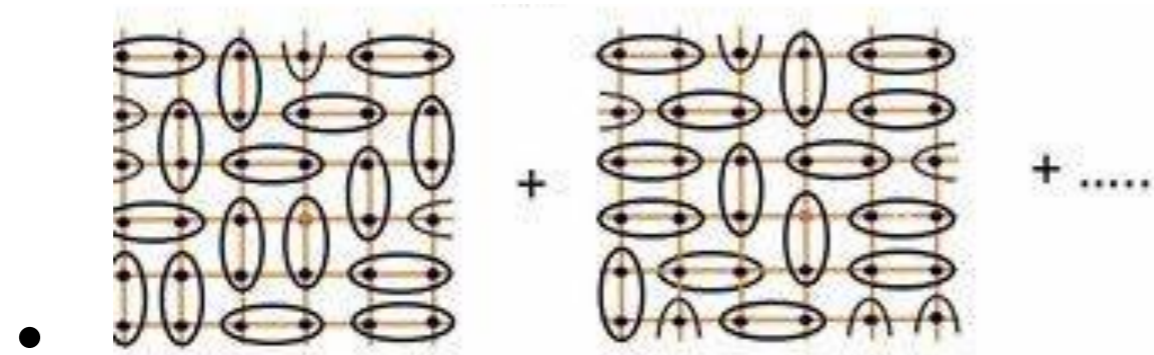
$$\sigma_{xx} = \frac{(\mathcal{F} + \mathcal{G}^2)(\mathcal{F} - H^2)}{\mathcal{F}^2 + H^2\mathcal{G}^2}$$

$$\mathcal{F} = r_0^2(\alpha^2 + \lambda^2) + (1 + \theta^2)H^2 - q\theta H$$

$$\mathcal{G} = q - \theta H.$$

Why it works in Condensed Matter?

- Relativistic massless theory for graphene.
- Where is large N in U(1) gauge theory?
- Origin of SU(3) = degeneracy if 3 color state.
- SIS: degenerate ground state:
ex:RVB.



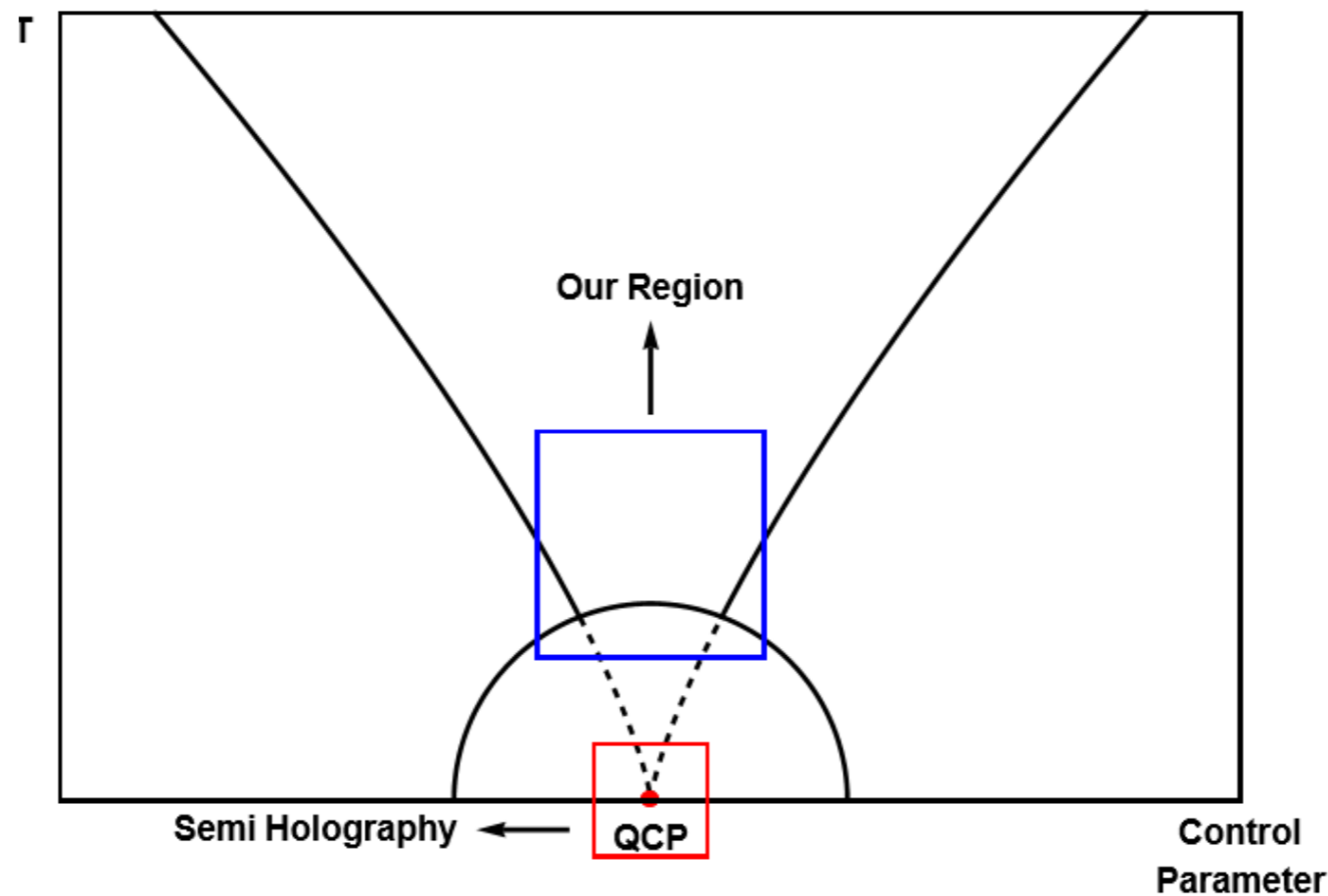
Why it works (ii)

1. Ground state **degeneracy**
=> Fluctuation/Frustration/Entanglement
2. => Long distance entanglement at boundary
bdy theory should not be local!
3. No control over the theory?
Bulk locality?

Prescription: Model the system by Bulk Local Theories!

Real condensed matter physics by AdS/CFT?

Beyond Graphene? **Off the QCP.** Need a scale.
Beyond transport? **ARPES data.** => Need fermion.



Scale from Symmetry breaking

Theory of dynamical symmetry breaking
= Mean field theory:

Study of **condensation** and
fermion **gaps** and their roles out of 4-fermion int.

Universal structure & problem of MFT

1. The mean field theory has **universal structure** :

$$(\bar{c}_k \Gamma^i c_{-k}) \cdot (\bar{c}_k \Gamma^i c_{-k}) \rightarrow \bar{\Delta}^i \cdot (\bar{c}_k \Gamma^i c_{-k}) + hc - \bar{\Delta}^i \Delta^i,$$

2. Depending on the **type of instability of FS and the gap**, we have **BCS, CDW, SDW, Kondo lattice,...** chap11-20.

3. **Universal Problem:**

New ground state is caused by the instability of the old vacuum. Need relevant operator. It happens for large enough coupling at low energy of relevant op., where the calculation is not valid in general.

4. **Need a formalism to overcome the usual MFT,**

To discuss all above phenomena in the same fashion.

II. Holographic Mean field theory

Mean field theory for strongly coupled systems: Holographic approach

- [Supalert Sukrakarn\(Hanyang U.\)](#), [Taewon Yuk\(Hanyang U.\)](#), [Sang-Jin Sin\(Hanyang U.\)](#) (Nov 3, 2023)
 - Published in: *JHEP* 06 (2024) 100 • e-Print: [2311.01897](#) [hep-th]

The emergence of strange metal and topological liquid near quantum critical point in a solvable model

- [Eunseok Oh\(Hanyang U.\)](#), [Taewon Yuk\(Hanyang U.\)](#), [Sang-Jin Sin\(Hanyang U.\)](#) (Mar 15, 2021)
 - Published in: *JHEP* 11 (2021) 207 • e-Print: [2103.08166](#) [hep-th]

Ginzberg-Landau-Wilson theory for Flat band, Fermi-arc and surface states of strongly correlated systems

- [Eunseok Oh\(Hanyang U.\)](#), [Yunseok Seo\(GIST, Gwangju\)](#), [Taewon Yuk\(Hanyang U.\)](#), [Sang-Jin Sin\(Hanyang U.\)](#) (Aug 6, 2020)
 - Published in: *JHEP* 01 (2021) 053 • e-Print: [2007.12188](#) [hep-th]



< T.Yuk
S. Sukrakarn >



14

Effect of Order in holographic theory

I. Theory of Condensation = $(\Phi_M, A_\mu, g_{\mu\nu})$

II. Theory of gap = fermion in the bulk.

Order : $\langle \bar{\chi} \Gamma^A \chi \rangle \neq 0,$

Dictionary:

1. First consider : Spin n tensor Φ_A dual to $\bar{\chi} \Gamma^A \chi,$

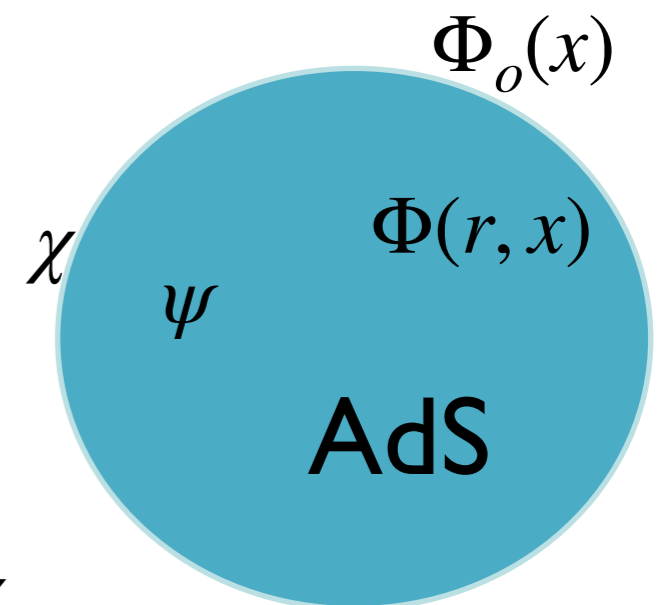
& find the configuration of $\Phi_A +$ gravity.

2. Then, consider ψ dual to χ^* (the gauge singlet version of χ),

and add $\Phi_A \cdot \bar{\psi} \Gamma^A \psi$ to \mathcal{L}_0 . Index $A = (\mu_1 \mu_2 \dots \mu_n)$

$$\mathcal{L} = \bar{\psi} (\gamma^\mu i \partial_\mu - m) \psi + \Phi_A \cdot \bar{\psi} \Gamma^A \psi. \text{ (But } \Phi_A, \psi)$$

→ Study $\psi(z, x)$ in the fixed $(g_{\mu\nu}, \Phi)$ to get spectrum of χ .



Holographic Mean field theory

Bulk locality \Rightarrow almost unique in leading order.

$$S_{total} = S_{\psi} + S_{bdy} + S_{g, \Phi} + S_{int},$$

$$S_{\psi} = i \int d^d x \sum_{j=1}^2 \sqrt{-g} \bar{\psi}^{(j)} \left(\not{D} - m^{(j)} \right) \psi^{(j)},$$

$$S_{bdy} = \frac{i}{2} \int_{bdy} d^{d-1} x \sqrt{-h} \left(\bar{\psi}^{(1)} \psi^{(1)} \pm \bar{\psi}^{(2)} \psi^{(2)} \right),$$

$$S_{g, \Phi} = \int d^d x \sqrt{-g} \left(R - 2\Lambda + |D_M \Phi_I|^2 - m_{\Phi}^2 |\Phi|^2 \right),$$

$$S_{int} = \int d^d x \sqrt{-g} \left(\bar{\psi}^{(1)} \Phi \cdot \Gamma \psi^{(2)} + h.c \right) \quad I = (\mu_1 \mu_2 \cdots \mu_n)$$

where Φ_I is order parameter field, $\bar{\psi}^{(1)} \Phi \cdot \Gamma \psi^{(2)}$ is constructed by considering all possible Lorentz symmetry.



For known Φ , this is a Mean field Theory for fermion.

Results I: Gap or no-gap

Among 16 possible Γ^A ,

$\mathbf{1}$, Γ^5 , Γ^{rt} produce *s-wave gap*

Γ^i , $i = x, y$ produce *p-wave gap* with/without flat band

Anything else: non-gap

$$\text{Tr } \mathbb{G}_{M_0}^{(SS)} = 4\omega \frac{\sqrt{\mathbf{k}^2 - \omega^2 + M_0^2}}{\mathbf{k}^2 - \omega^2 - i\epsilon}.$$

$$\text{Tr } \mathbb{G}_{M_0}^{(SA)} = \frac{4\omega}{\sqrt{\mathbf{k}^2 - \omega^2 + M_0^2}},$$

Spectral features: gap or not

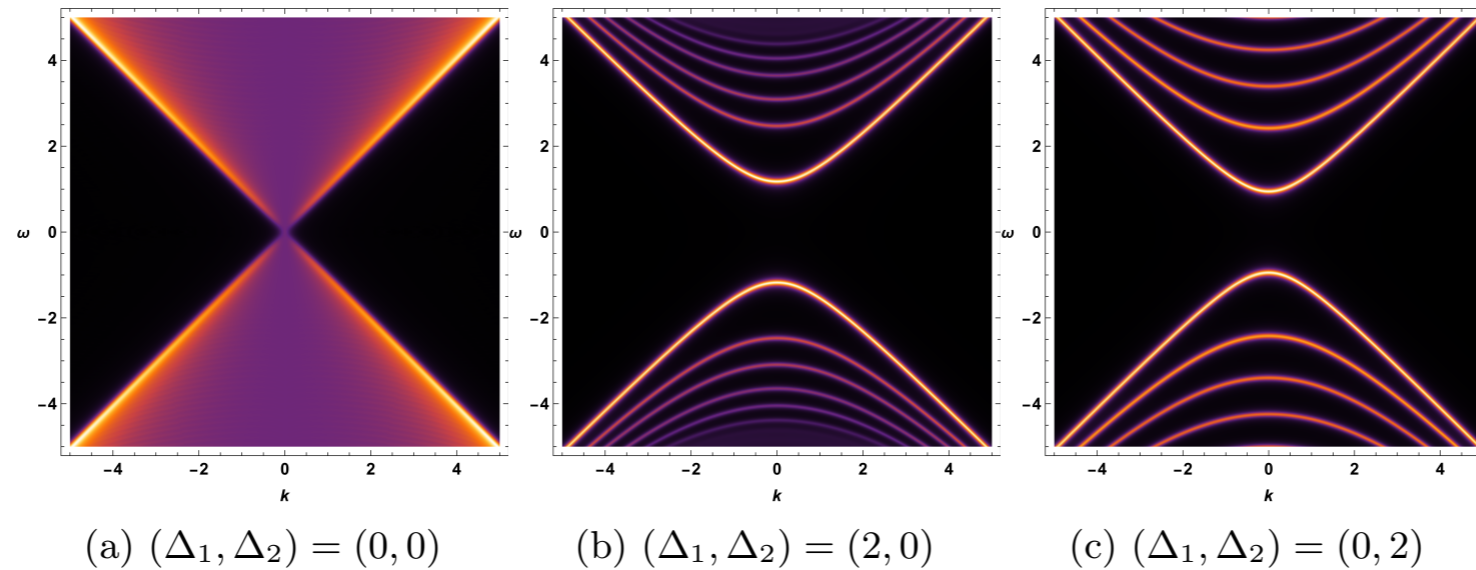


Figure 1: The gap structure (GS) with different Φ configuration. GS are similar for different power of z in the leading term of Φ . We used $T = 0.01$, $\mu = 0$.

Notice also: localized band

$$\frac{k - \omega}{\sqrt{k^2 - \omega^2}} \quad \frac{1}{x + i\epsilon} = P \frac{1}{x} - i\pi\delta(x)$$

Results 2. Classifying the quantum fluid by singularity of G

1. Branch cut type: most of them. $\Phi_A \rightarrow B_{\mu\nu\dots}$

- $B_u, B_t, B_{ti}, B_{ui} \quad B_{xy} \quad (AdS_5)$

- $B_u, B_t, B_{ti}, B_{ui}, B_{5t}, B_{5u} \quad (AdS_4)$

$$\mathbb{G}(k)_{B_{xy}^{(-1)}}^{(SA)} = \frac{1}{2\mathcal{K}_{xy+}\mathcal{K}_{xy-}} \begin{pmatrix} g_{11} & g_{12} \\ g_{21} & g_{22} \end{pmatrix},$$

$$\mathcal{K}_{xy\pm} = \sqrt{(b_{xy} \pm |\mathbf{k}_\perp|)^2 + k_z^2 - \omega^2},$$

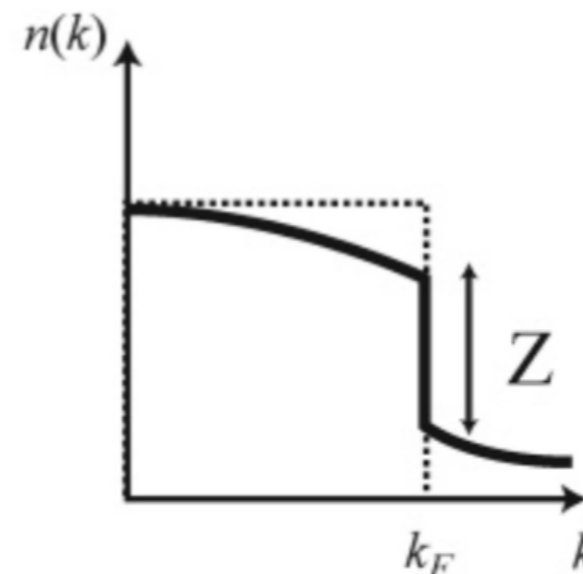
—> New type of Non-Fermi liquid

* Observation: CFT singularity structure remains even after scaling sym. Is broken.

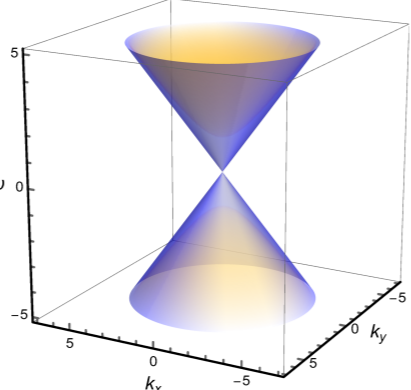
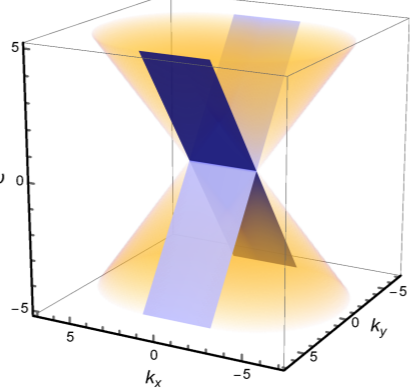
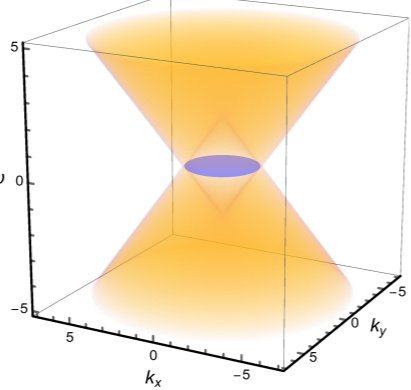
* Traditional way:

3 ways to NFL : i) $\Sigma \sim \omega^a$ with $a < 1$; ii) $Z \rightarrow 0$, iii) flat band

$$G(k, \omega) = \frac{Z}{\omega - \epsilon_k - \Sigma} + \text{less singular}$$



2. Pole type G_R in AdS₄

$\Phi_{(SS)}, \Phi_{5(SA)}$		$\text{Tr}G_R(k_\mu) = \frac{4\omega\sqrt{\vec{k}^2 - \omega^2 + M^2}}{\vec{k}^2 - \omega^2}$
$B_{x(SA)}, B_{x5(SS)}$		$\text{Tr}G_R(k_\mu) = \frac{2\omega}{b(k_y^2 - \omega^2)} [(b + k_x)\epsilon_- + (b - k_x)\epsilon_+]$ $; \quad \epsilon_\pm = \sqrt{(b \pm k_x)^2 + k_y^2 - \omega^2}$
$B_{xy(SS)}, B_{tu(SA)}$		$\text{Tr}G_R(k_\mu) = -\frac{2}{b\omega} [(b + \vec{k})\epsilon_- + (b - \vec{k})\epsilon_+]$ $; \quad \epsilon_\pm = \sqrt{(b \pm \vec{k})^2 - \omega^2}$

3. Holographic Flat band (AdS₅): multi layered graphene

$$TrG_{\Phi} \simeq \frac{4\omega|M|}{\vec{k}^2 - \omega^2}, \quad \text{Point: Usual Cone}$$

$$TrG_{B_i} \simeq \frac{4\omega(b^2 - k_i^2)}{\vec{k}_{\perp}^2 - \omega^2} \Theta(b^2 - k_i^2), \quad \text{Flat Line}$$

$$TrG_{B_{jk}} \simeq \frac{4\omega(b^2 - k_{\perp}^2)}{\vec{k}_i^2 - \omega^2} \Theta(b^2 - \vec{k}_{\perp}^2), \quad \text{Flat Disk}$$

$$TrG_{B_{tu}} \simeq -\frac{2(b^2 - \vec{k}^2)}{\omega} \Theta(b^2 - \vec{k}^2) \quad \text{Flat Ball}$$

θ -fnt from branch cut

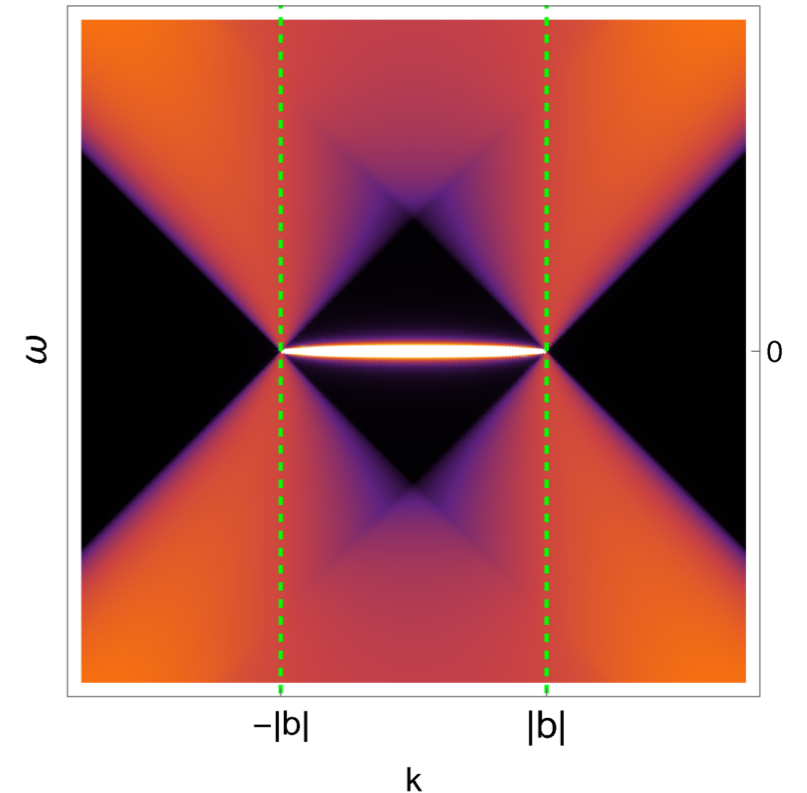


Figure: Holographic Flat band

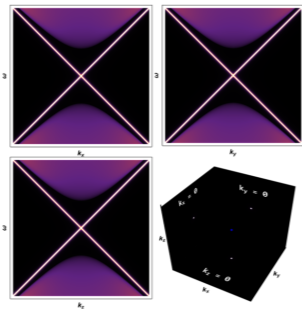
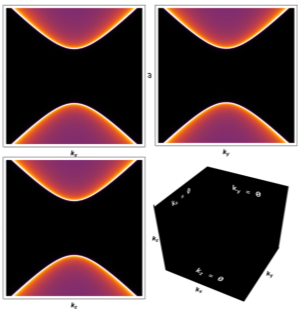
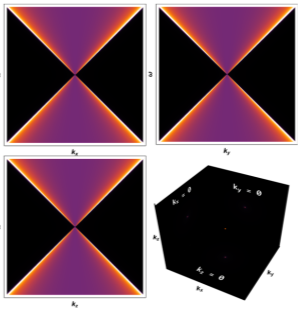
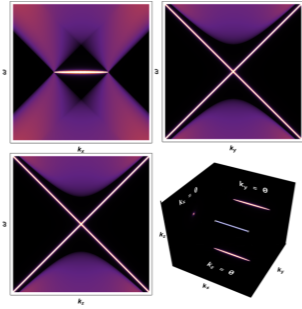
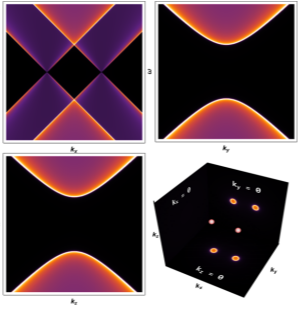
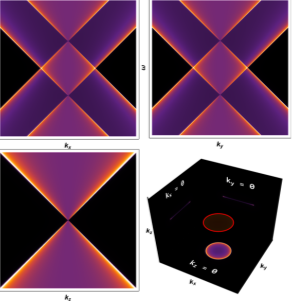
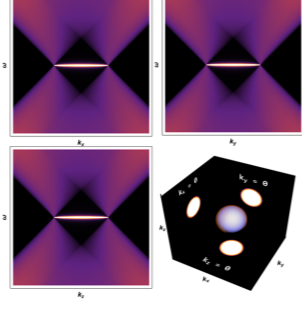
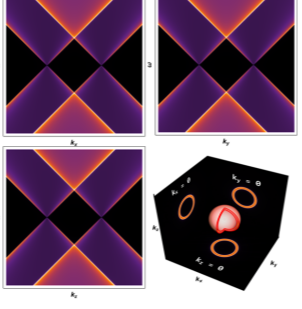
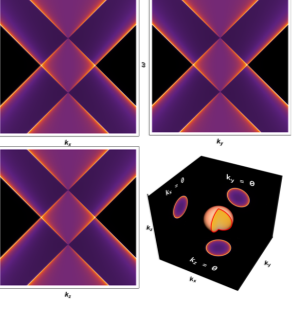
b=symmetry breaking scale

! Flat band of 0, 1, 2, 3 dim, only from Pole type Gr.

Inv Green Functions

Interactions	Trace of analytic Green's functions (AdS ₄)	Features/Classification
M_0/M_{05}	$\text{Tr } \mathbb{G}_{M_0}^{(SA)} \equiv \text{Tr } \mathbb{G}_{M_{50}}^{(SS)} = \frac{4\omega}{\sqrt{\mathbf{k}^2 - \omega^2 + M_0^2}}$	Gapful/s-wave gap
	$\text{Tr } \mathbb{G}_{M_0}^{(SS)} \equiv \text{Tr } \mathbb{G}_{M_{50}}^{(SA)} = 4\omega \frac{\sqrt{\mathbf{k}^2 - \omega^2 + M_0^2}}{\mathbf{k}^2 - \omega^2 - i\epsilon}$	Topological liquid
B_x/B_{5x}	$\text{Tr } G_{B_x^{(0)}}^{(SS)} \equiv \text{Tr } G_{B_{5x}^{(0)}}^{(SA)} = \frac{2\omega}{\sqrt{(b - k_x)^2 + k_y^2 - \omega^2}} + \frac{2\omega}{\sqrt{(b + k_x)^2 + k_y^2 - \omega^2}}$	Shifting cones/p-wave gap
	$\text{Tr } \mathbb{G}_{B_x^{(0)}}^{(SA)} \equiv \text{Tr } \mathbb{G}_{B_{5x}^{(0)}}^{(SS)} = \frac{2\omega}{b} \left[\frac{(b + k_x)\sqrt{(b - k_x)^2 + k_y^2 - \omega^2} + (b - k_x)\sqrt{(b + k_x)^2 + k_y^2 - \omega^2}}{k_y^2 - \omega^2 - i\epsilon} \right]$	1D flat band
B_{xy}/B_{tu} (anti-symmetric)	$\text{Tr } G_{B_{xy}^{(-1)}}^{(SA)} \equiv \text{Tr } G_{B_{tu}^{(-1)}}^{(SS)} = \frac{2\omega}{\sqrt{(b - \mathbf{k})^2 - \omega^2}} + \frac{2\omega}{\sqrt{(b + \mathbf{k})^2 - \omega^2}}$	Nodal ring
	$\text{Tr } \mathbb{G}_{B_{xy}^{(-1)}}^{(SS)} \equiv \text{Tr } \mathbb{G}_{B_{tu}^{(-1)}}^{(SA)} = -\frac{2}{b} \left[\frac{(b + \mathbf{k})\sqrt{(b - \mathbf{k})^2 - \omega^2} + (b - \mathbf{k})\sqrt{(b + \mathbf{k})^2 - \omega^2}}{\omega + i\epsilon} \right]$	2D flat band
B_u	$\text{Tr } \mathbb{G}_{B_u^{(0)}}^{(SS)} \equiv \text{Tr } \mathbb{G}_{B_u^{(0)}}^{(SA)} = \frac{4\omega}{\sqrt{\mathbf{k}^2 - \omega^2}}$	QCP
B_{ux}/B_{5u}	$\text{Tr } \mathbb{G}_{B_{ux}^{(-1)}}^{(SS)} \equiv \text{Tr } \mathbb{G}_{B_{5u}^{(-1)}}^{(SA)} = 4\omega \frac{b^2 + \mathbf{k}^2 - \omega^2 + f_+ f_-}{f_+ f_- (f_+ + f_-)} ; f_{\pm} = \sqrt{k_x^2 - (b \pm \sqrt{\omega^2 - k_y^2})^2}$	Filled nodal line
	$\text{Tr } \mathbb{G}_{B_{ux}^{(-1)}}^{(SA)} \equiv \text{Tr } \mathbb{G}_{B_{5u}^{(-1)}}^{(SS)} = 4\omega \frac{(f_+ + f_-)\sqrt{\omega^2 - k_y^2} - b(f_+ - f_-)}{\sqrt{\omega^2 - k_y^2}(b^2 + \mathbf{k}^2 - \omega^2 + f_+ f_-)} ; f_{\pm} = \sqrt{k_x^2 - (b \pm \sqrt{\omega^2 - k_y^2})^2}$	Non-singular segment
B_t/B_{5t}	$\text{Tr } \mathbb{G}_{B_t^{(0)}}^{(SS)} \equiv \text{Tr } \mathbb{G}_{B_{5t}^{(0)}}^{(SA)} = 2 \left(\frac{b + \omega}{\sqrt{\mathbf{k}^2 - (b + \omega)^2}} - \frac{b - \omega}{\sqrt{\mathbf{k}^2 - (b - \omega)^2}} \right)$	Filled nodal ring
	$\text{Tr } \mathbb{G}_{B_t^{(0)}}^{(SA)} \equiv \text{Tr } \mathbb{G}_{B_{5t}^{(0)}}^{(SS)} = \frac{2}{b} \left[\sqrt{\mathbf{k}^2 - (b - \omega)^2} - \sqrt{\mathbf{k}^2 - (b + \omega)^2} \right]$	Non-singular disk

Spectral features

Order p . & Dims	Flat bands	Semi-metals	Order p . & Dims	Nonsingular	ω -shiftings
$\bar{0}$ $d_{\text{eff}}=0$	SS, (figure 2) 	SA, (figure 2) 	B_u $d_{\text{eff}}=0$	SS,SA 	SS,SA 
B_x $d_{\text{eff}}=1$	SA, (figure 5) 	SS, (figure 5) 	B_{ux} $d_{\text{eff}}=1$	SA, (figure 6) 	SS, (figure 6) 
B_{xy} $d_{\text{eff}}=2$	SS, (figure 7) 	SA, (figure 7) 	B_{tz} $d_{\text{eff}}=2$	SS, (figure 8) 	SA, (figure 8) 
B_{tu} $d_{\text{eff}}=3$	SA, (figure 4) 	SS, (figure 4) 	B_t $d_{\text{eff}}=3$	SA, (figure 3) 	SS, (figure 3) 

Summary of Holo mean field theory: order type/ spectral features/ singularity type

- Scalar, pseudo scalar : s-wave gap
- Spatial vector gives **p-wave gap**.
- Temporal vector : **nodal ring** (2d AdS4), nodal shell(3d AdS5)
- **Flat band** : 1,2,3 dim by B_x, B_{xy}, B_{tr} ,
- Symmetric 2-tensor: D-wave

Implications

Lattice = $10^3 eV$, Transport = IR data. 0.1 eV

So, **electrons can not see the lattice!**

They can see only repeated structure or protected by the symmetry: Condensation is creation of new order although it is expressed as violation of symmetry by the OLD ground state!

Lattice \Leftrightarrow Symmetry breaking order.

Interaction \Rightarrow Entanglement

Duality:

Qm or Cl depends on the excitation you are looking for

II. Applications

Mean field theory and holographic Kondo lattice

- [Young-Kwon Han\(Hanyang U.\)](#), [Debabrata Ghorai\(Hanyang U.\)](#), [Taewon Yuk\(Hanyang U.\)](#), [Sang-Jin Sin\(Hanyang U.\)](#) (Jul 2, 2024)
 - e-Print: [2407.01978](#) [hep-th]

Observation of Kondo condensation in a degenerately doped silicon metal

- [Hyunsik Im](#), [Dong Uk Lee](#), [Yongcheol Jo](#), [Jongmin Kim](#), [Yonuk Chong](#) et al. (Jan 21, 2023)
 - Published in: *Nature Phys.* 19 (2023) 5, 676-681 • e-Print: [2301.09047](#) [cond-mat.str-el]

ABC-stacked multilayer graphene in holography

- [Jeong-Won Seo\(Hanyang U.\)](#), [Taewon Yuk\(Hanyang U.\)](#), [Young-Kwon Han\(Hanyang U.\)](#), [Sang-Jin Sin\(Hanyang U.\)](#) (Aug 31, 2022)
 - Published in: *JHEP* 11 (2022) 017 • e-Print: [2208.14642](#) [hep-th]

Classes of holographic Mott gaps

- [Debabrata Ghorai\(Hanyang U.\)](#), [Taewon Yuk\(Hanyang U.\)](#), [Young-Kwon Han\(Hanyang U.\)](#), [Sang-Jin Sin\(Hanyang U.\)](#) (Apr 16, 2024)
 - Published in: *JHEP* 10 (2024) 062 • e-Print: [2404.10412](#) [hep-th]

Encoding the lattice in the holography

- [Taewon Yuk\(Hanyang U.\)](#), [Sang-Jin Sin\(Hanyang U.\)](#) (Jan 15, 2024)
 - Published in: *Phys.Rev.D* 110 (2024) 10, 106017 • e-Print: [2401.07498](#) [hep-th]



< T.Yuk
.Seo >

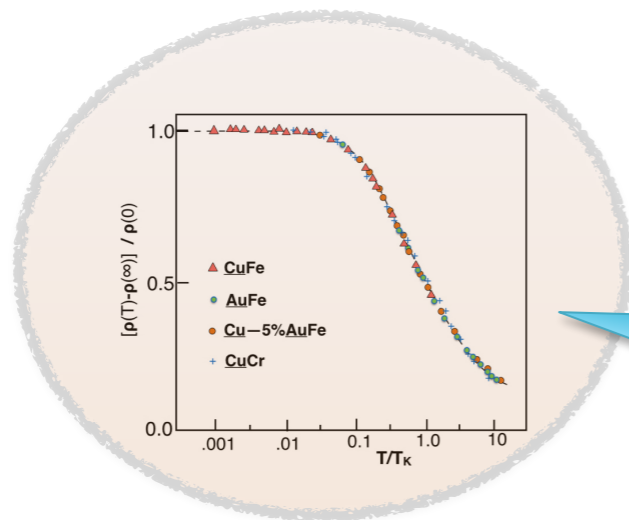
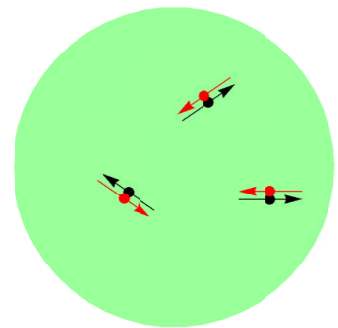
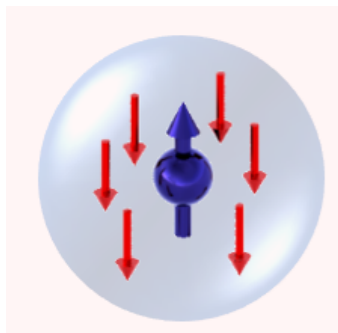


<YK Han
D. Ghorai >

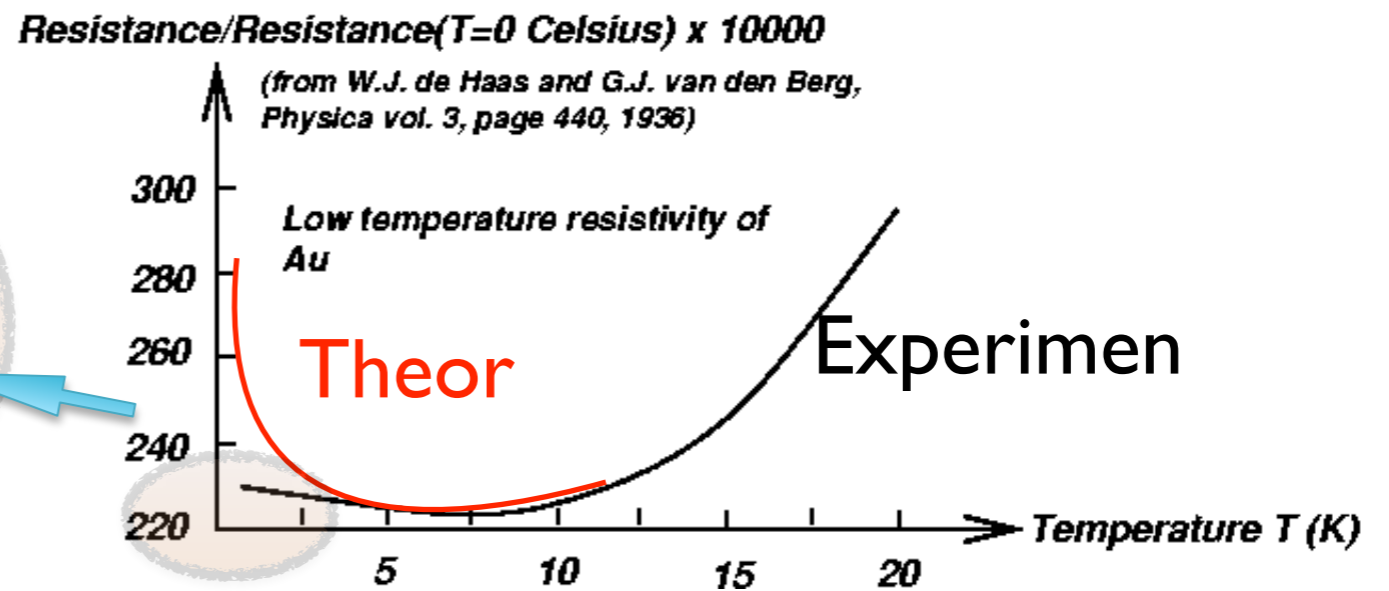


application I : multi-Kondo

- Single Kondo: spin impurity in conductor.



K. Wilson: RG



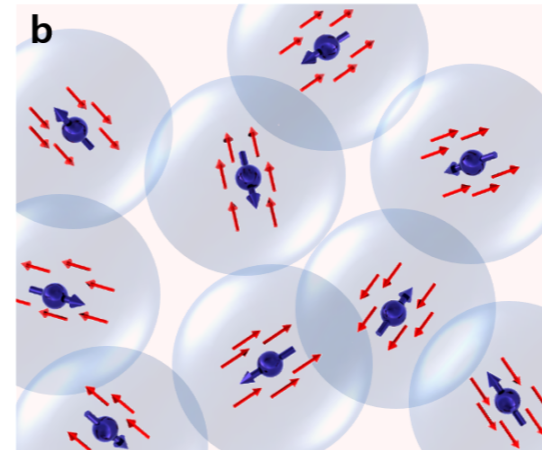
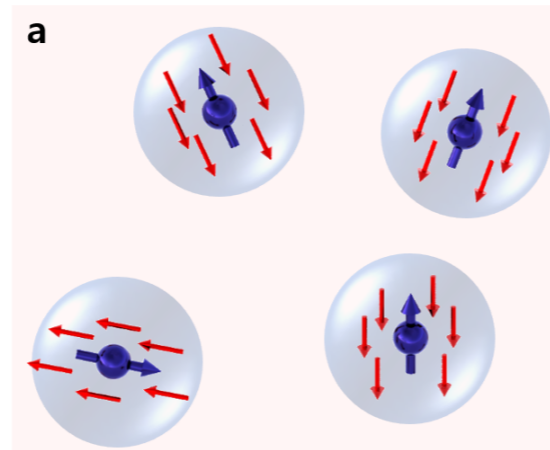
Kondo: $\rho(T) = \rho_0 + aT^2 + bT^5 + c_m \ln \frac{\mu}{T},$

Holographic single Kondo effect : Issue : $\log T$

J. Erdmenger, R. Myer, A. Obanion, A. Karch,.....: impurity=AdS defect in AdS

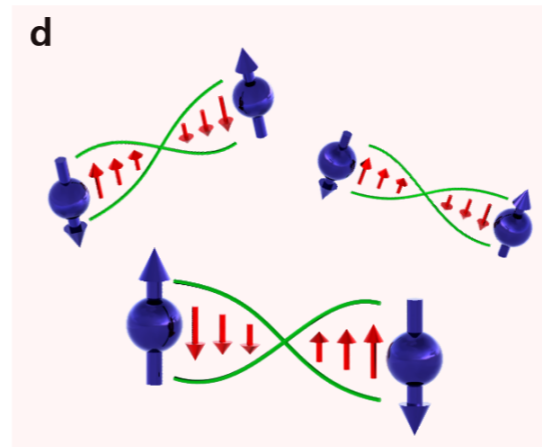
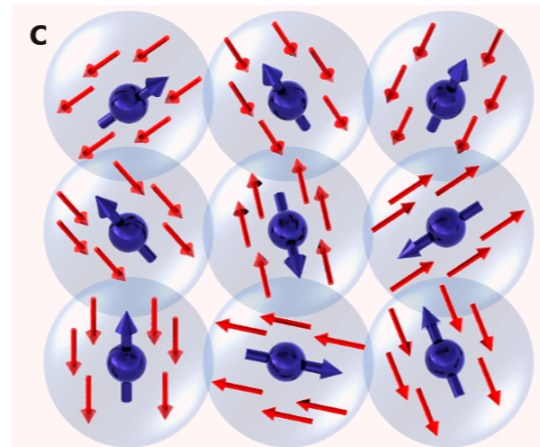
Multi Kondo : Random vs regular impurities

single Kondo

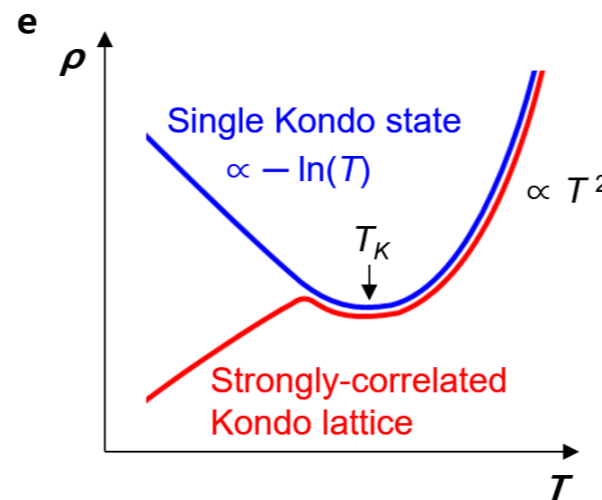


Random imp.
: gap

Kondo Lattice
heavy fermion,
Kondo insulator



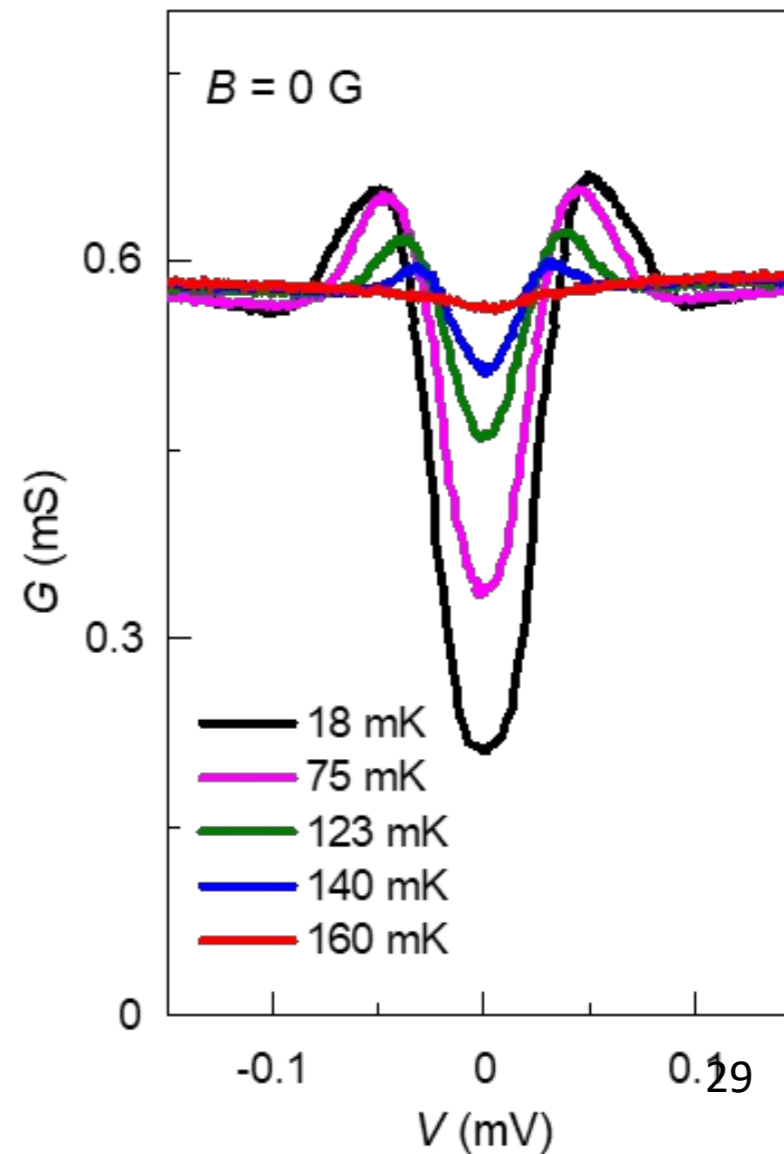
RKKY
weak coupling



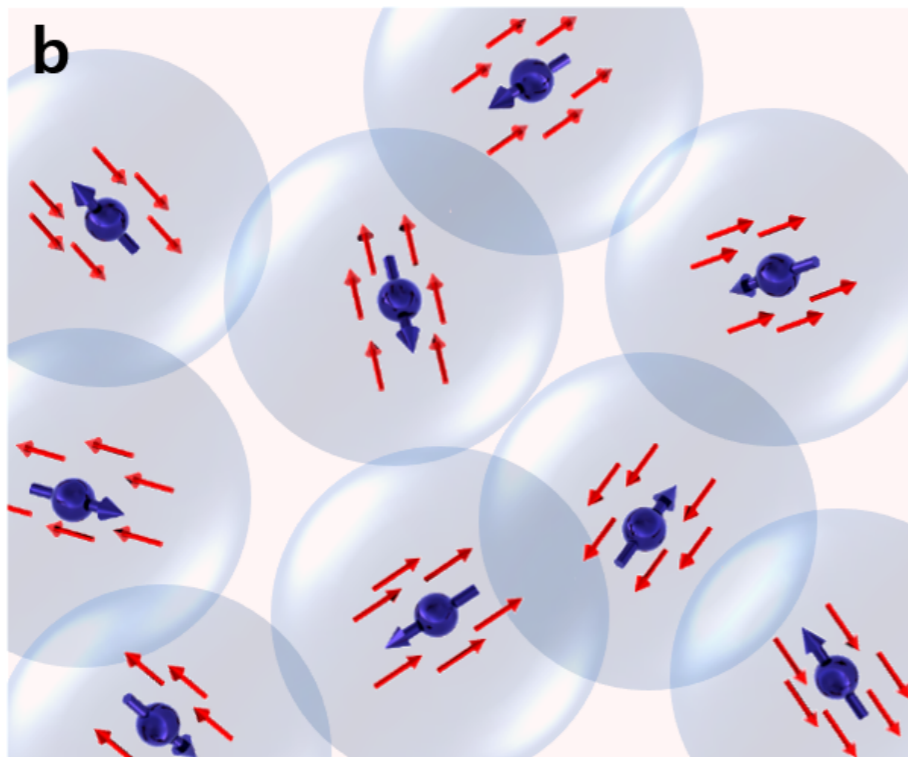
Random Kondo

10 years ago,
H. Im et.al found a tiny gap
in extremely high P-doped
Si
A Dirty sample

S-wave gap



Kondo-Condensation



Kondo cloud

$$f_{\alpha}^{\dagger}c_{\beta} = 0 \oplus 1 = \Phi + A_{\mu}$$

Phenomena says

S-wave gap

=> spin 0

Discard vector.

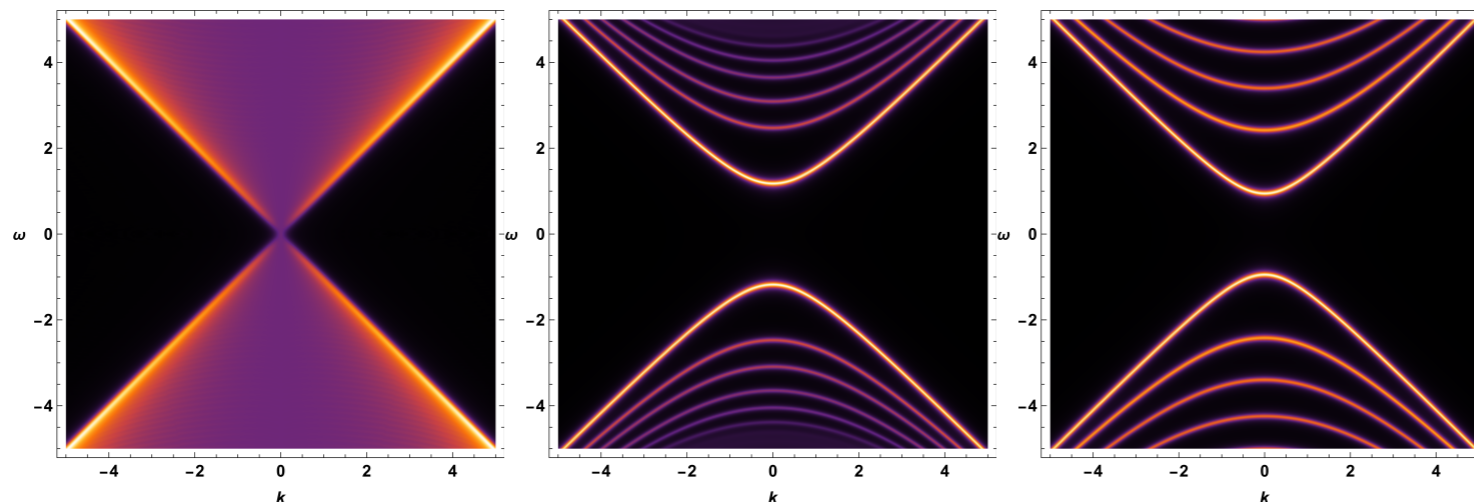
For Kondo condensation: scalar-fermion

$$\tilde{S} = S_{\Phi} + S_{\psi} + S_{bdy},$$

$$S_{\Phi} = \int d^{d+1}x \sqrt{-g} (D_{\mu} \Phi_I^2 - m_{\Phi}^2 \Phi^2), \quad S_{bdy} = i \int_{\partial M} d^d x \sqrt{-h} \bar{\psi} \psi,$$

$$S_{\psi} = \int d^{d+1}x \sqrt{-g} i \bar{\psi} (\Gamma^{\mu} \mathcal{D}_{\mu} - (m + g\Phi)) \psi,$$

$$D_{\mu} = \partial_{\mu} \quad \Rightarrow \quad V(\Phi) \text{ is needed}$$



(a) $(\Delta_1, \Delta_2) = (0, 0)$

(b) $(\Delta_1, \Delta_2) = (2, 0)$

(c) $(\Delta_1, \Delta_2) = (0, 2)$

$$\Phi = M_0 z + M_1 z^2$$

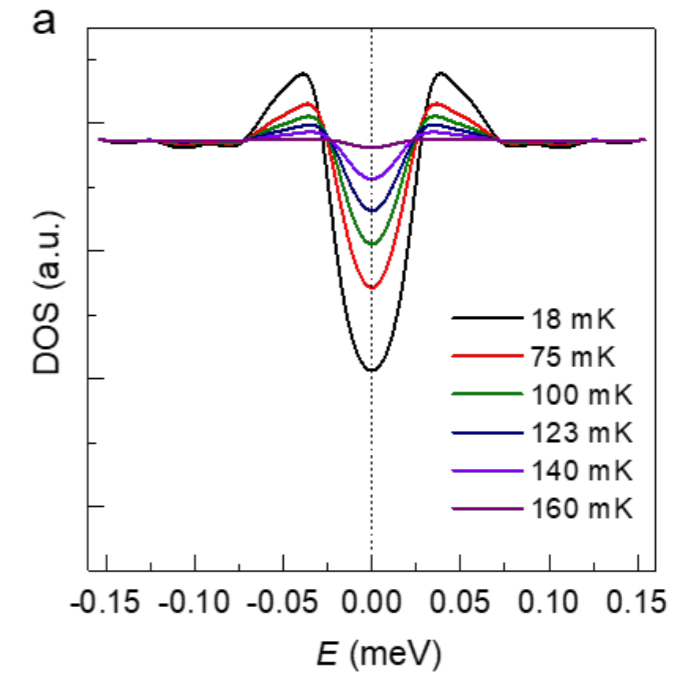
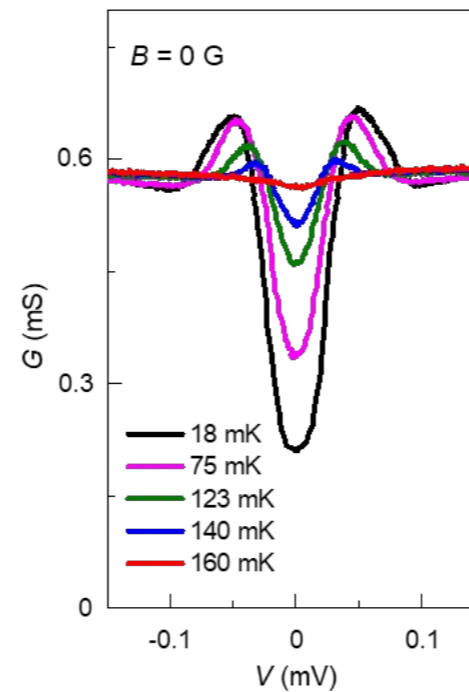
$$m_{\Phi}^2 = -2$$

$$M_0 M_1 = 0$$

Figure 1: The gap structure (GS) with different Φ configuration. GS are similar for different power of z in the leading term of Φ . We used $T = 0.01$, $\mu = 0$.

Spectral functions and DOS

Exp vs Theory



nature physics



Article

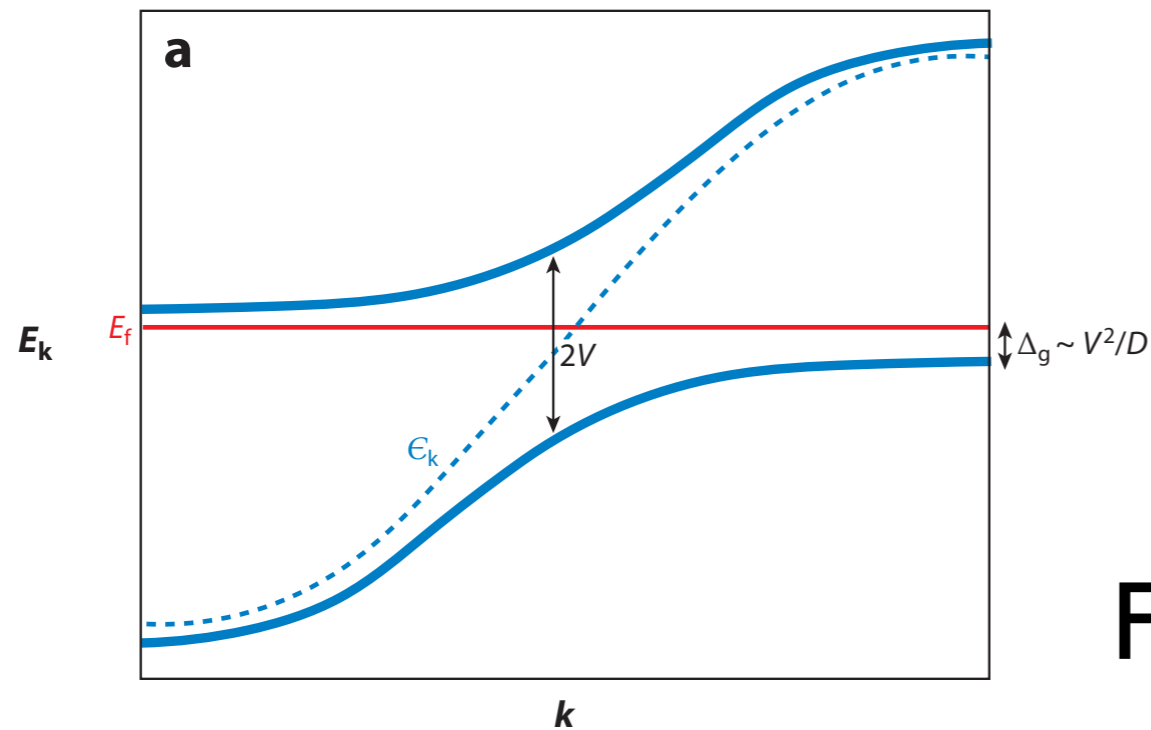
<https://doi.org/10.1038/s41567-022-01930-3>

Observation of Kondo condensation in a degenerately doped silicon metal

32

Hyunsik Im^{1,2}, Dong Uk Lee³, Yongcheol Jo¹, Jongmin Kim¹,
Yonuk Chong⁴, Woon Song⁵, Hyungsang Kim¹, Eun Kyu Kim³,
Taewon Yuk³, Sang-Jin Sin³, Soonjae Moon³, Jonathan R. Prance⁶,
Yuri A. Pashkin⁶ & Jaw-Shen Tsai^{2,7}

Kondo lattice



Hybridization of Flat band + dispersive band

Essence of the Kondo Lattice physics:

1. Heavy fermion, FL
2. Luttinger volume up.

On a larger length scale, a very slow coherent motion.

Both are explained from the

MFT for the Kondo lattice

$$\mathcal{L} = \psi^\dagger \left(i \frac{\partial}{\partial t} + \frac{\nabla^2}{2m} + \mu \right) \psi + \chi^\dagger \left(i \frac{\partial}{\partial t} - \lambda \right) \chi + \frac{g_l}{2} (\psi^\dagger \psi)^2 - g_s (\psi^\dagger \psi) (\chi^\dagger \chi) - g_v (\psi^\dagger \vec{\sigma} \psi) \cdot (\chi^\dagger \vec{\sigma} \chi). \quad \leftarrow \text{Kondo int.}$$

Using the Fierz identity,

$$\mathcal{L} = \psi^\dagger \left(i \frac{\partial}{\partial t} + \frac{\nabla^2}{2m} + \mu \right) \psi + \chi^\dagger \left(i \frac{\partial}{\partial t} - \lambda \right) \chi + \frac{g_l}{2} (\psi^\dagger \psi)^2 + g'_s (\psi^\dagger \chi) (\chi^\dagger \psi) + g'_v (\psi^\dagger \vec{\sigma} \chi) \cdot (\chi^\dagger \vec{\sigma} \psi), \quad g'_s := \frac{g_s + 3g_v}{2}, \quad g'_v := \frac{g_s - g_v}{2}.$$

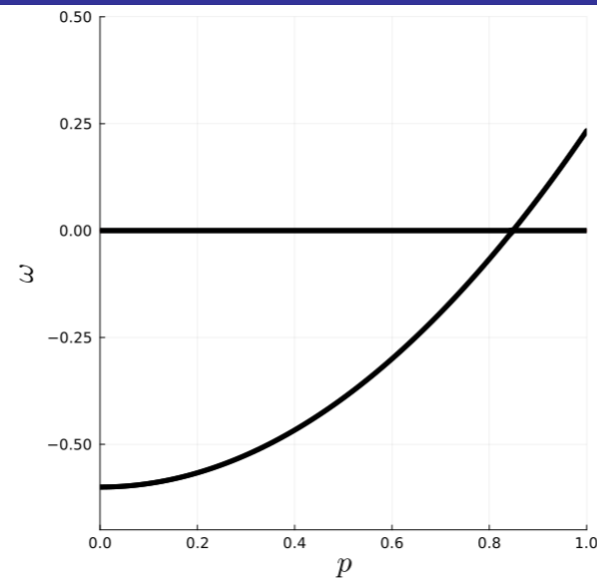
$$\mathcal{L}_{\text{MF}} = \Psi^\dagger D \Psi - U,$$

$$\Psi^\dagger := \begin{pmatrix} \psi^\dagger & \chi^\dagger \end{pmatrix}, \quad \Psi := \begin{pmatrix} \psi \\ \chi \end{pmatrix}, \quad \langle \psi^\dagger \psi \rangle \equiv -\frac{M}{g_l}, \quad \langle \psi^\dagger \chi \rangle \equiv \frac{\Delta_s}{g'_s}, \quad \langle \psi^\dagger \vec{\sigma} \chi \rangle \equiv \frac{\vec{\Delta}_v}{g'_v},$$

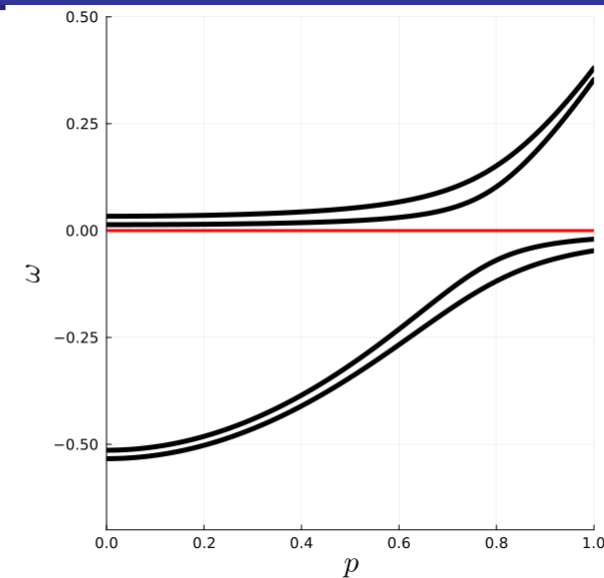
$$D := \begin{pmatrix} i \frac{\partial}{\partial t} + \frac{\nabla^2}{2m} + \mu - M & \Delta_s^* + \vec{\sigma} \cdot \vec{\Delta}_v^* \\ \Delta_s + \vec{\sigma} \cdot \vec{\Delta}_v & i \frac{\partial}{\partial t} - \lambda \end{pmatrix},$$

$$U := \frac{M^2}{2g_l} + \frac{|\Delta_s|^2}{g'_s} + \frac{|\vec{\Delta}_v|^2}{g'_v}.$$

MFT for the Kondo lattice (continued)



(a) $\omega(p)$ without condensation.



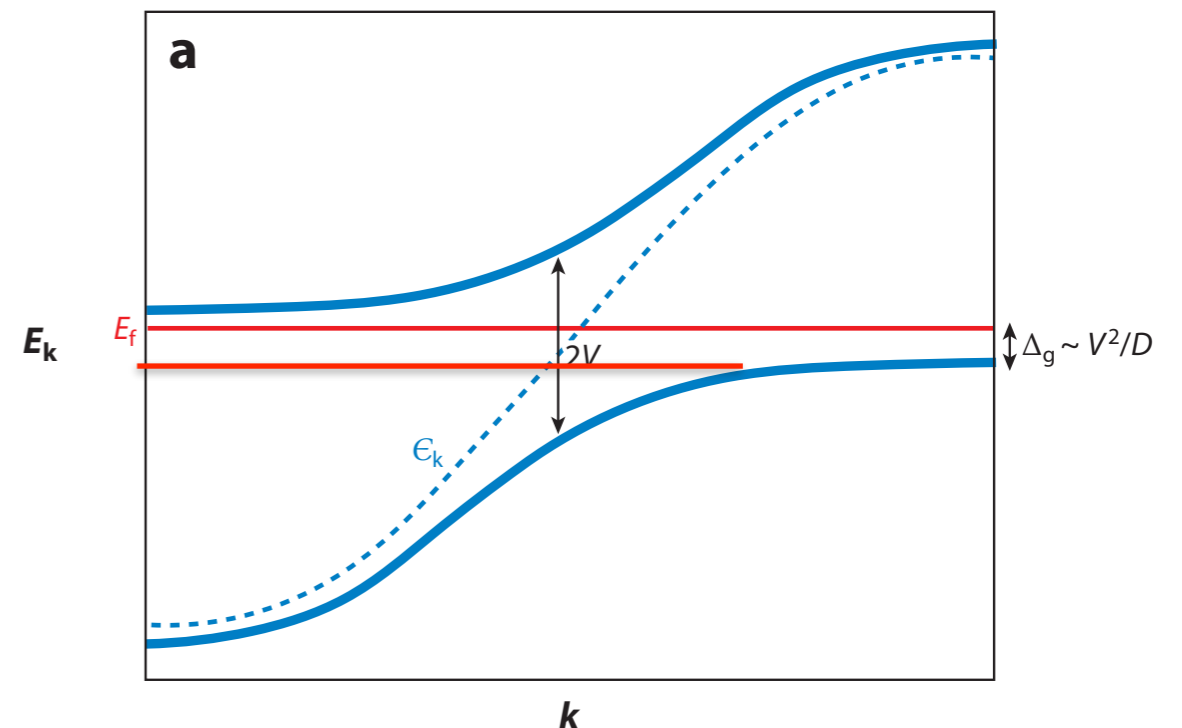
(b) $\omega(p)$ with condensation.

Schematic presentation
of the hybridization

$T_K \sim V^2/D$: 1 – Kondo Temp .

FS in gap \rightarrow **K insulator**,
otherwise

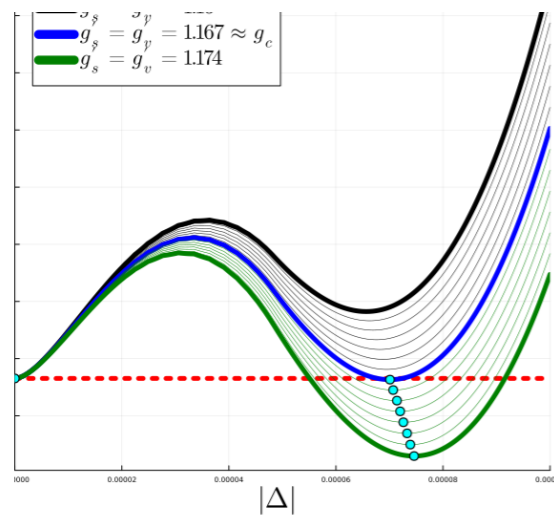
Heavy Fermion w/ **larger FS**



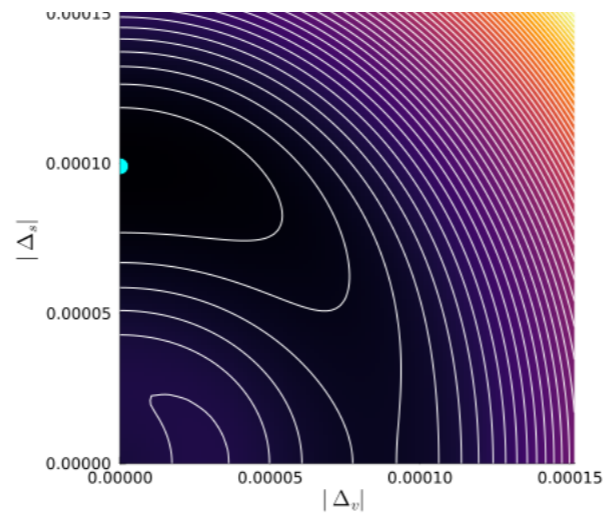
MFT for the Kondo lattice

$$\Omega = U + \frac{1}{V} \sum_{|\vec{p}| < \Lambda} \sum_{i=1}^4 \left\{ -\frac{1}{2} |\omega_i(\vec{p})| - \frac{1}{\beta} \ln \left[1 + e^{-\beta |\omega_i(\vec{p})|} \right] \right\}$$

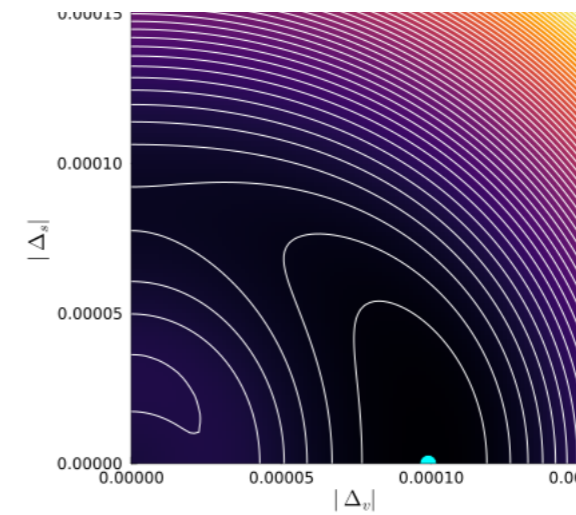
$$= U - \frac{1}{4\pi^2} \int_0^\Lambda dp p^2 \sum_{i=1}^4 |\omega_i(p)| - \frac{1}{2\pi^2 \beta} \int_0^\Lambda dp p^2 \sum_{i=1}^4 \ln \left[1 + e^{-\beta |\omega_i(p)|} \right],$$



(a) Ω versus $|\Delta|$.



(b) Ω with strong $g'_s > g'_v > g_c$.



(c) Ω with strong $g'_v > g'_s > g_c$.

Condensation **only for large enough coupling!**
Validity of MFT?

Holographic Kondo Lattice



YoungKwon Han

$$S_{\text{tot}} = S_{\text{bg}} + S_{\text{spin}},$$

$$S_{\text{bg}} = S_{\text{bg, bdy}} + \int d^4x \sqrt{-g} \left(R + \frac{6}{L^2} - \frac{1}{4} F_{\mu\nu} F^{\mu\nu} \right) \\ + \int d^4x \sqrt{-g} [-(\partial_\mu \Phi_s)(\partial^\mu \Phi_s) - m_s^2 \Phi_s^2 - (\partial_\mu \Phi_{\text{ps}})(\partial^\mu \Phi_{\text{ps}}) - m_{\text{ps}}^2 \Phi_{\text{ps}}^2],$$

$$S_{\text{spin}} = S_{\text{spin, bdy}} + \sum_{j=1}^2 \int d^4x \sqrt{-g} i \bar{\psi}^{(j)} \left[\frac{1}{2} \left(\overrightarrow{\not{D}}^{(j)} - \overleftarrow{\not{D}}^{(j)} \right) - m_j \right] \psi^{(j)} \\ + \int d^4x \sqrt{-g} \begin{pmatrix} \bar{\psi}^{(1)} \\ \bar{\psi}^{(2)} \end{pmatrix}^T \begin{pmatrix} g_1 \Phi_{\text{ps}} \cdot \Gamma^5 & V \Phi_s \cdot i\mathbb{I}_4 \\ V \Phi_s \cdot i\mathbb{I}_4 & g_2 \Phi_s \cdot i\mathbb{I}_4 \end{pmatrix} \begin{pmatrix} \psi^{(1)} \\ \psi^{(2)} \end{pmatrix},$$

$$S_{\text{spin, bdy}} = \frac{1}{2} \int d^3x \sqrt{-h} [\bar{\psi}^{(1)} (i\mathbb{I}_4) \psi^{(1)} + \bar{\psi}^{(2)} \Gamma^{\underline{xy}} \psi^{(2)}],$$

$$\not{D}^{(j)} = \Gamma^a e_a^B \left(\partial_B + \frac{1}{4} \omega_{Bcd} \Gamma^{cd} - iq_j A_B \right),$$

$$L = 1,$$

$$\Gamma^{\underline{t}} = \sigma_1 \otimes i\sigma_2 = \begin{pmatrix} 0 & i\sigma_2 \\ i\sigma_2 & 0 \end{pmatrix},$$

$$\Gamma^{\underline{y}} = \sigma_1 \otimes \sigma_3 = \begin{pmatrix} 0 & \sigma_3 \\ \sigma_3 & 0 \end{pmatrix},$$

$$\Gamma^5 = i\Gamma^{\underline{t}}\Gamma^{\underline{x}}\Gamma^{\underline{y}}\Gamma^{\underline{u}},$$

$$h = gg^{uu},$$

$$\bar{\psi}^{(j)} = \psi^{(j)\dagger} \Gamma^{\underline{t}},$$

$$\Gamma^{\underline{x}} = \sigma_1 \otimes \sigma_1 = \begin{pmatrix} 0 & \sigma_1 \\ \sigma_1 & 0 \end{pmatrix},$$

$$\Gamma^{\underline{u}} = \sigma_3 \otimes \sigma_0 = \begin{pmatrix} \sigma_0 & 0 \\ 0 & -\sigma_0 \end{pmatrix}$$

$$\Gamma^{ab} = \frac{1}{2} [\Gamma^a, \Gamma^b].$$

Role of interaction terms

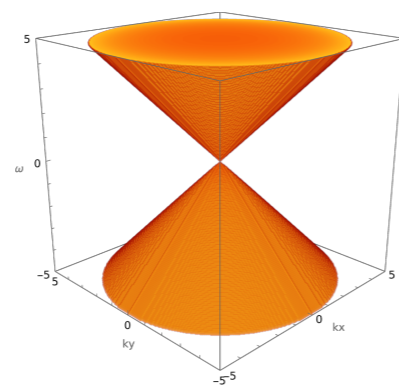
Eq. Of Motion

$$S_{\text{spin}} = S_{\text{spin, bdy}} + \sum_{j=1}^2 \int d^4x \sqrt{-g} i \bar{\psi}^{(j)} \left[\frac{1}{2} \left(\overrightarrow{\not{D}}^{(j)} - \overleftarrow{\not{D}}^{(j)} \right) - m_j \right] \psi^{(j)}$$

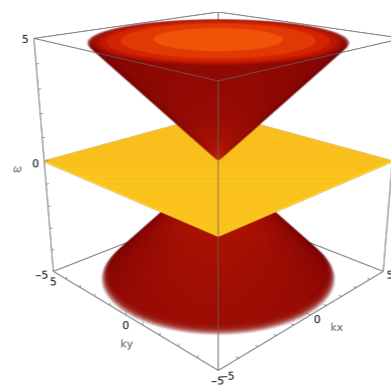
$$S_{\text{spin, bdy}} = \frac{1}{2} \int d^3x \sqrt{-h} [\bar{\psi}^{(1)} (i \mathbb{I}_4) \psi^{(1)} + \bar{\psi}^{(2)} \Gamma^{\underline{xy}} \psi^{(2)}]$$

Gives Dirac cone
(H. Liu et.al)

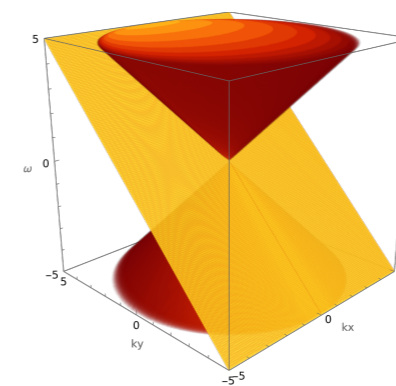
Gives Flat band
(Tong+Laia)



(a) Standard ($\Gamma = i \mathbb{I}_4$)



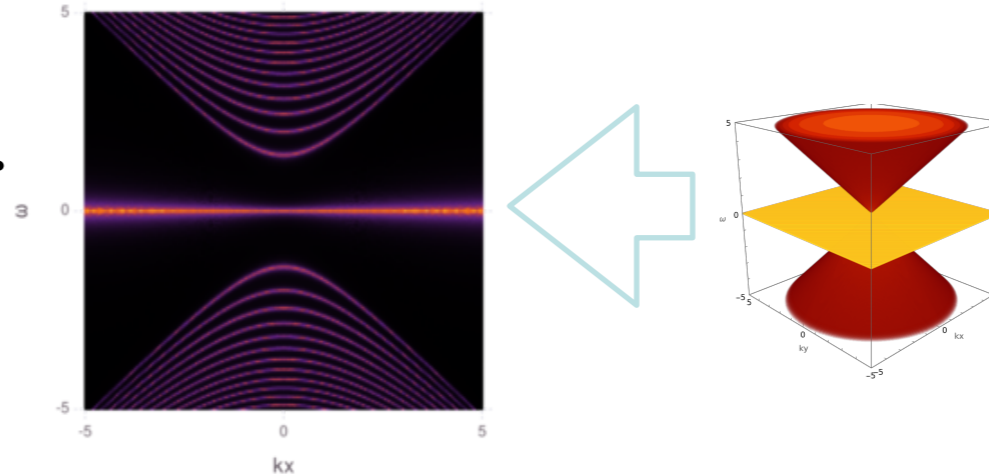
(b) $\Gamma = \Gamma^{\underline{xy}}$



(c) $\Gamma = \Gamma^{\underline{5x}}$

Role of interaction terms

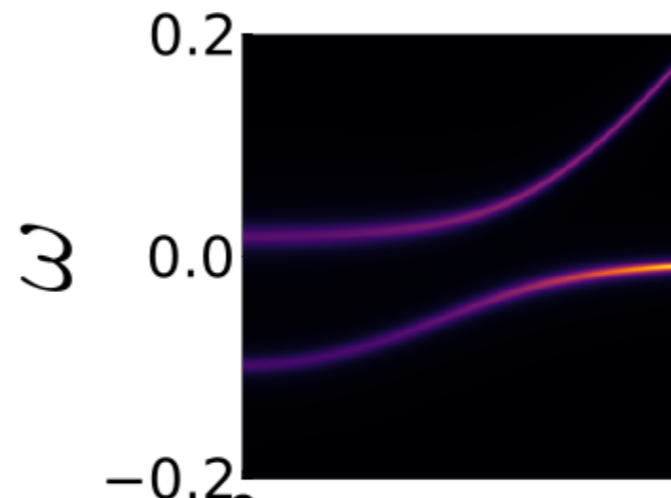
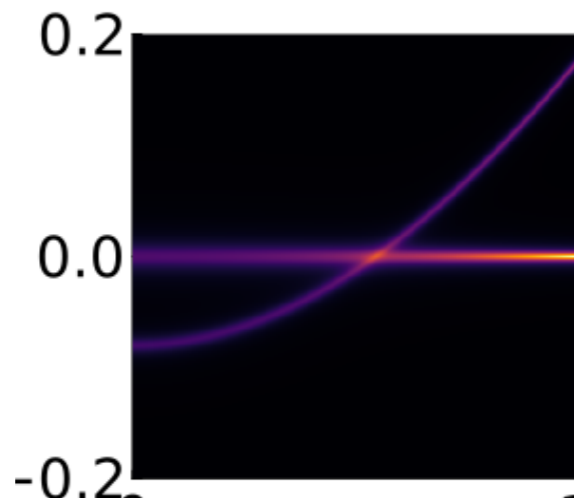
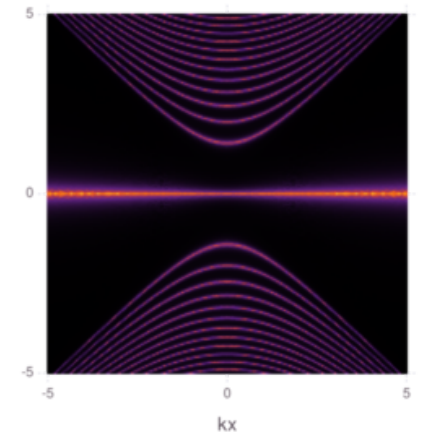
Gives hyperbolic spec.



$$+ \int d^4x \sqrt{-g} \begin{pmatrix} \bar{\psi}^{(1)} \\ \bar{\psi}^{(2)} \end{pmatrix}^\dagger \begin{pmatrix} g_1 \Phi_{ps} \cdot \Gamma^5 & V \Phi_s \cdot i\mathbb{I}_4 \\ V \Phi_s \cdot i\mathbb{I}_4 & g_2 \Phi_s \cdot i\mathbb{I}_4 \end{pmatrix} \begin{pmatrix} \psi^{(1)} \\ \psi^{(2)} \end{pmatrix},$$

Separating flat band from Dirac cone.

Making Hybridization to compete the goal

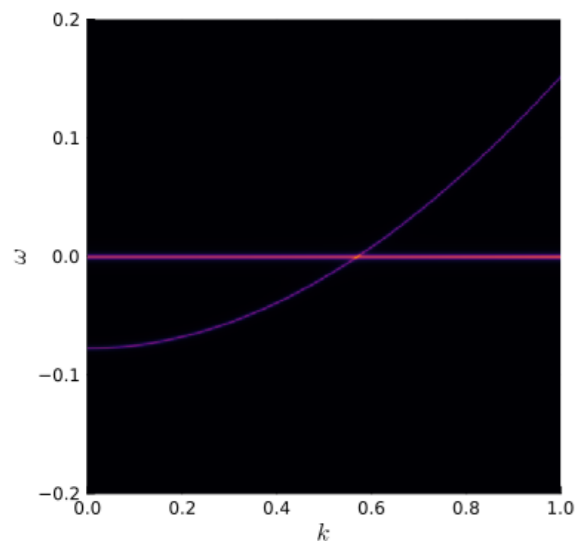


Holographic Kondo Lattice

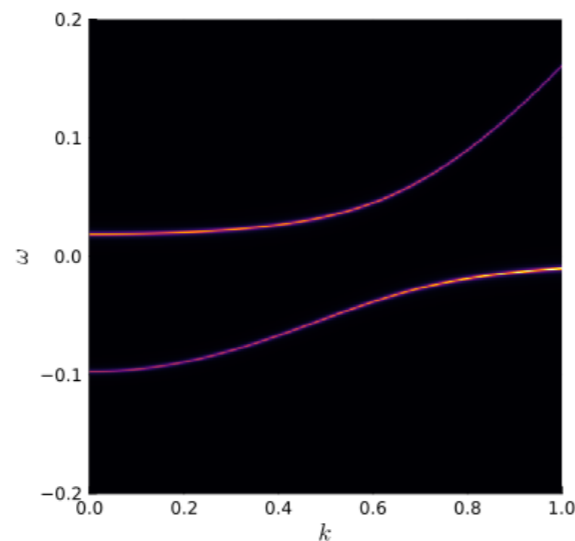
$$\left[\begin{pmatrix} \vec{D} - m_1 & 0 \\ 0 & \vec{D} - m_2 \end{pmatrix} - i \begin{pmatrix} g_1 \Phi_{ps} \cdot \Gamma^5 & V \Phi_s \cdot i\mathbb{I}_4 \\ V \Phi_s \cdot i\mathbb{I}_4 & g_2 \Phi_s \cdot i\mathbb{I}_4 \end{pmatrix} \right] \begin{pmatrix} \psi^{(1)} \\ \psi^{(2)} \end{pmatrix} = 0.$$

$$T/\mu \sim 0,$$

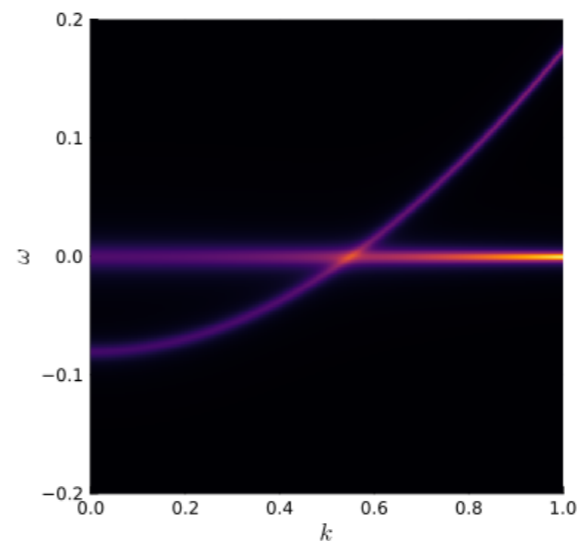
$$T/\mu \sim 1,$$



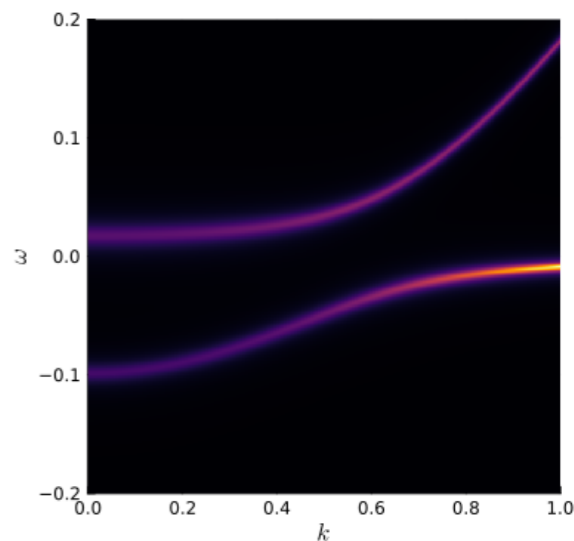
(a) $A(\omega, k), V = 0.$



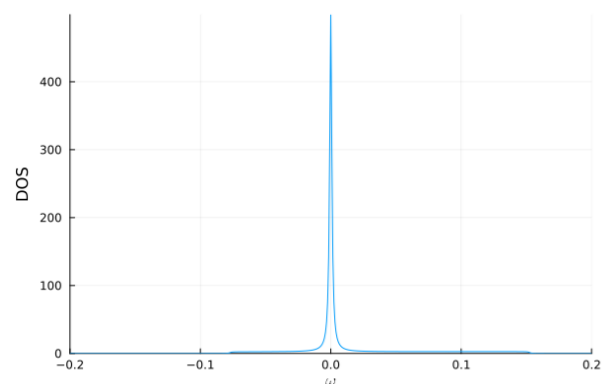
(b) $A(\omega, k), V = 0.5.$



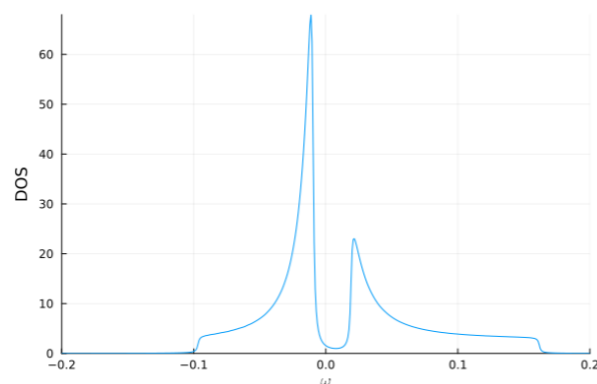
(c) $A(\omega, k), V = 0.$



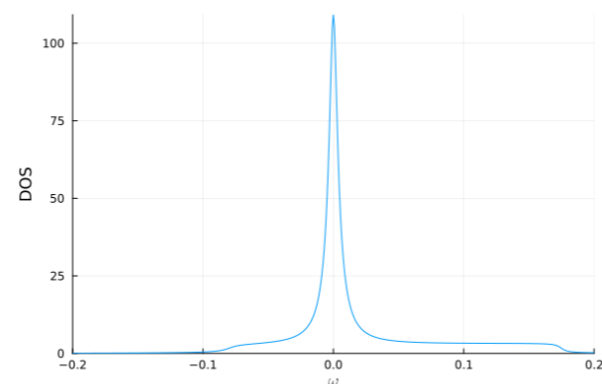
(d) $A(\omega, k), V = 0.5.$



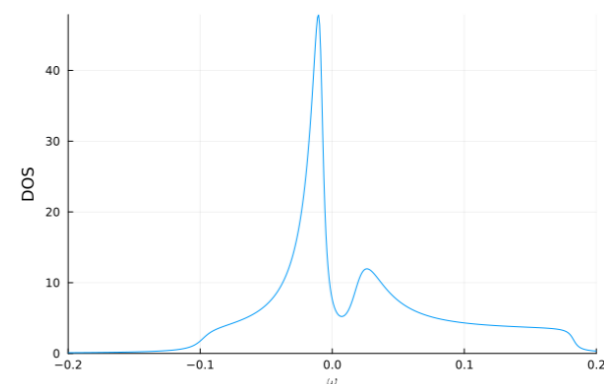
(e) $g(\omega), V = 0.$



(f) $g(\omega), V = 0.5.$



(g) $g(\omega), V = 0.$



(h) $g(\omega), V = 0.5.$

results with back reaction: T-evolution

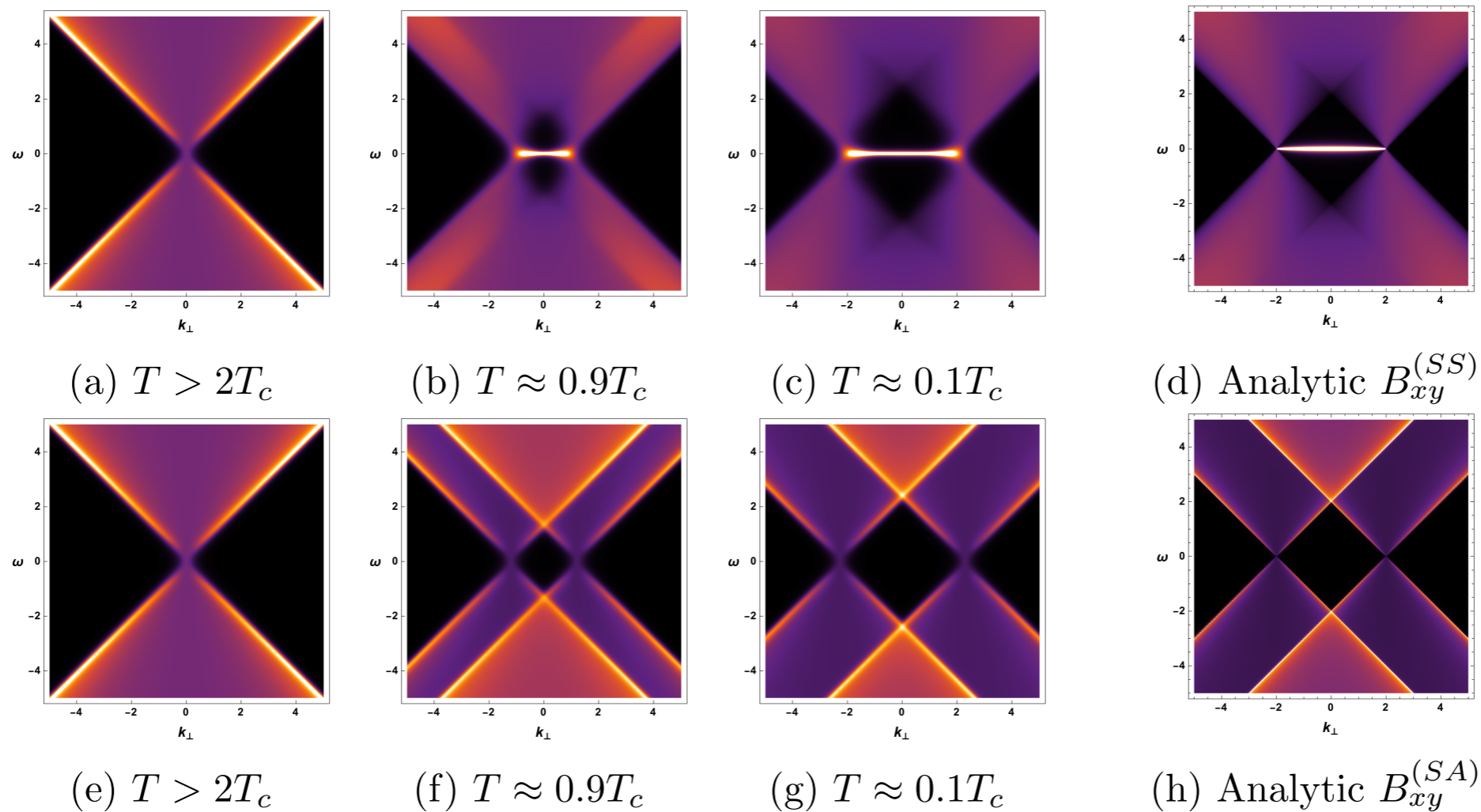


Figure 12: Backreacted fermions spectral functions (SFs) by fixing the order parameter $\langle \mathcal{O}_1 \rangle \approx 2.0$ at $T \approx 0.1T_c$. (a,e) fermions SF with B_{xy} interaction type at above T_c . In this regime, the symmetry is restored so that $\langle \mathcal{O}_1 \rangle = 0$. (b,f) SFs where the order parameter is of the order of temperature ($T \sim T_c \sim \langle \mathcal{O}_1 \rangle$). (c,g) SFs where the order parameter is much bigger than temperature ($\langle \mathcal{O}_1 \rangle \gg T$). (d,h) SFs generated by our analytic results given in the section 4.

Application 2. Classifying the Mott gap

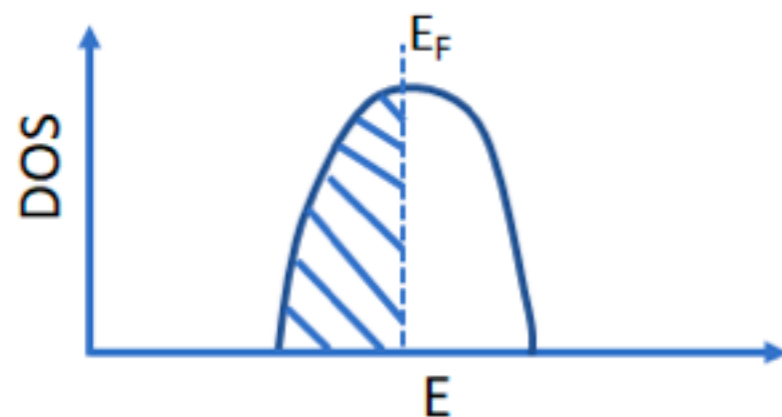
- Mott gap : gap without order, due to the Coulomb int.

Hubbard model.

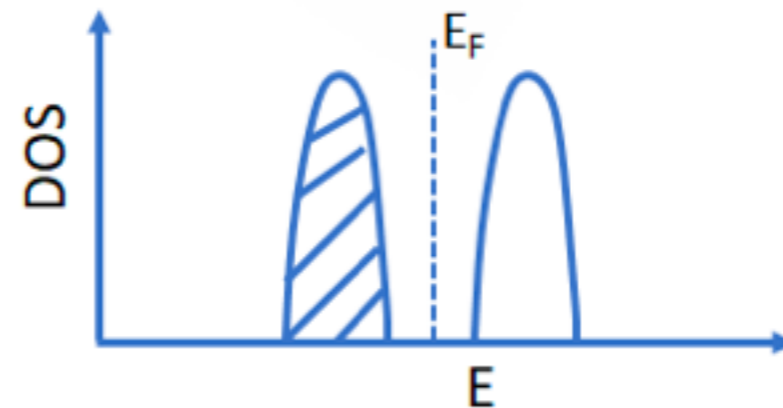
$$H_{\text{Hubbard}} = -t \sum_{\langle ij \rangle \sigma} c_{i\sigma}^\dagger c_{j\sigma} + U \sum_i n_{i\uparrow} n_{i\downarrow}$$

No symmetry breaking, No condensation.

- Previously Phillips group used Pauli term $F_{\mu\nu} \bar{\psi} \Gamma^{\mu\nu} \psi$ for it.



$t/U > 1 \Rightarrow$ Metal



$t/U < 1 \Rightarrow$ Mott insulator 42

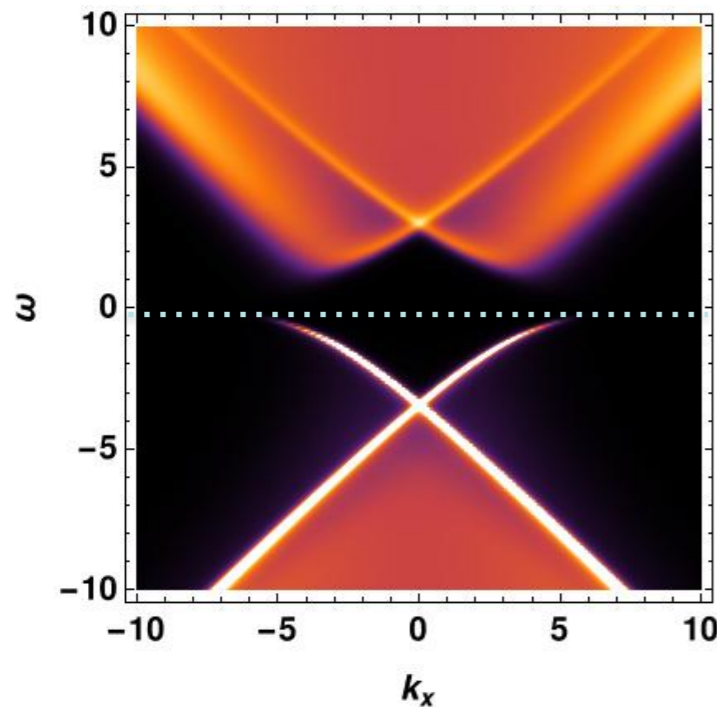
Order Gap vs Mott gap

- Order : gap by condensation by spon.sym.breaking.
- Mott gap: gap by coulomb interaction without sym. breaking.
- In holography,
if a matter field has **source only or condensation only** => Order.
if a matter field has **both source and condensation** => **induced gap**
- We treat the Mott gap as the induced gap.
- So, holography can handle the Mott phenomena as a hMFT=analogue of Landau theory.
This is a surprise!

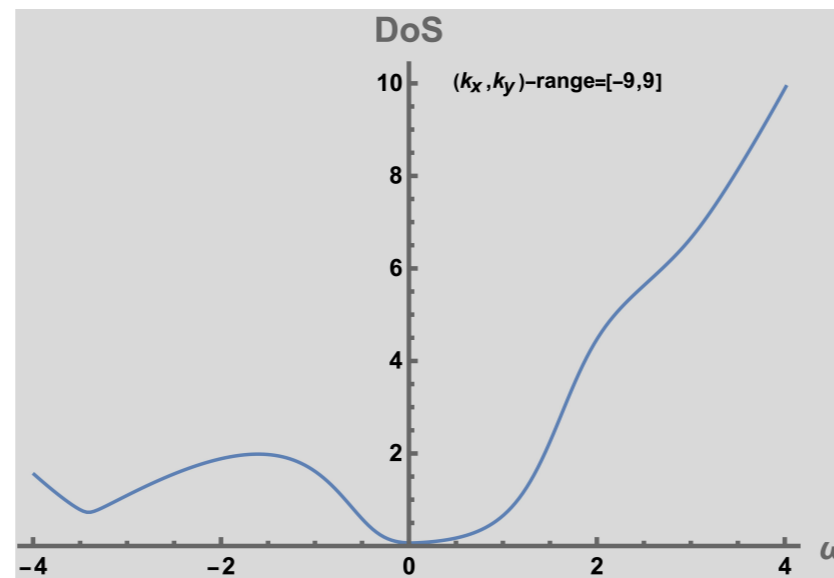
Pauli term and asymmetry

- $$\mathcal{L}_f = i\bar{\psi}(\Gamma^\mu D_\mu - m_f - i\frac{p}{2}F_{\mu\nu}\Gamma^{\mu\nu})\psi$$

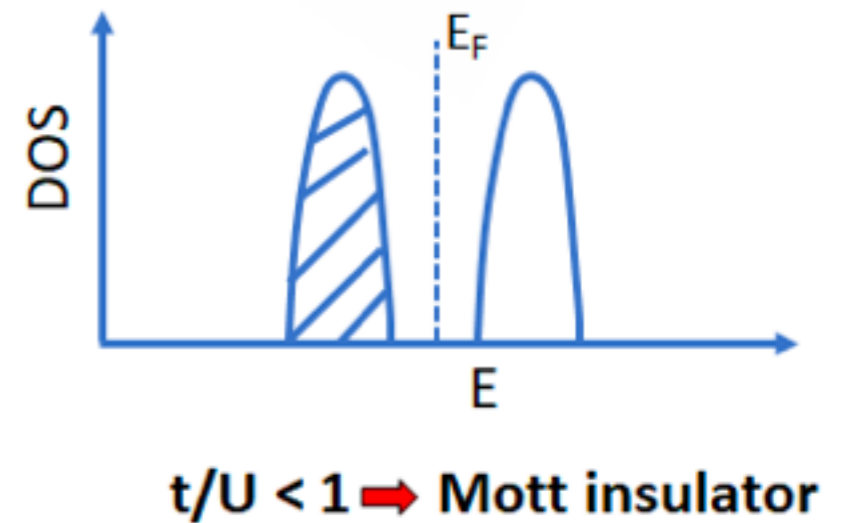
- Phillips et.al



Spectral fn



DoS: asymmetric gap

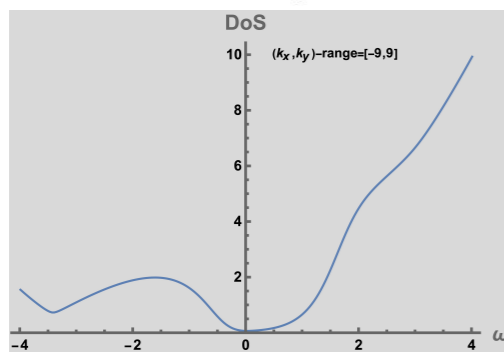
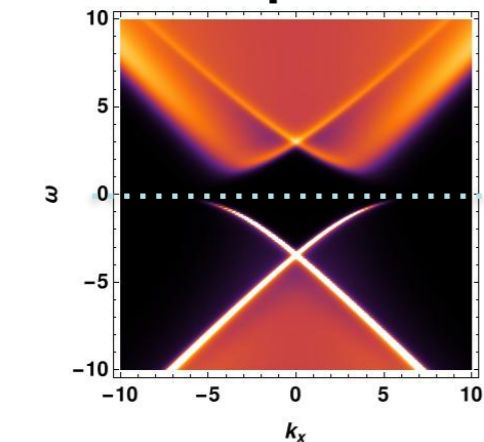


Our proposal

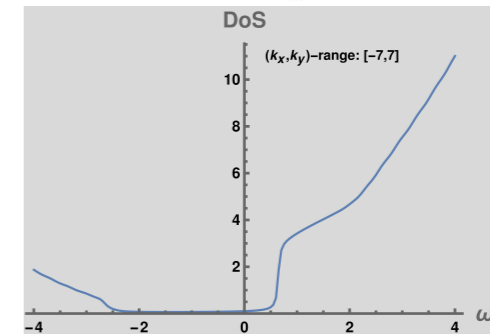
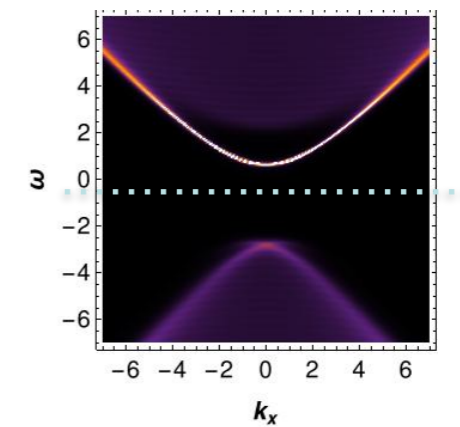
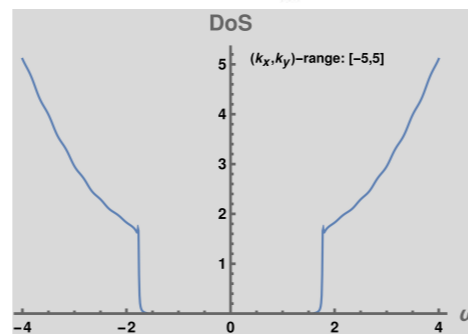
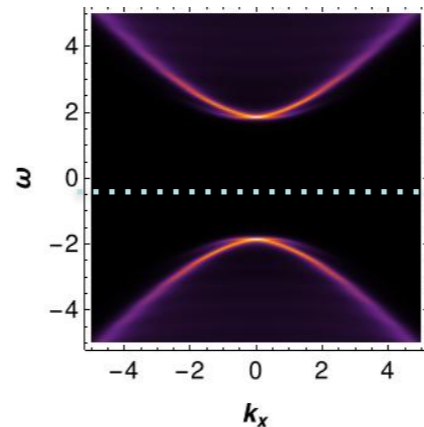
- No order parameter. Use only density.
- Want more canonical **symmetric** gap

Gauge Field	$i\eta\bar{\psi}L_{int}\psi$			
	Gapless	Gap	Flat Band	Effect of q
$A = A_t(z)dt$	$\Gamma^z F^2, i\Gamma^{5z} F^2$	$F^2(\eta > 0), iF^2\Gamma^5, iF_{\mu\nu}\Gamma^{\mu\nu}$	$F_{\mu\nu}\Gamma^{\mu\nu}\Gamma^5$	Shifting & Bending

Phillips et.al



WO/W minimal int.



(a) For $F_{\mu\nu}\Gamma^{\mu\nu}$ at $T = 0, q = 1$ (b) For F^2 at $T = 0.025\mu, q = 0$ (c) For F^2 at $T = 0.025\mu, q = 1$

Application 3. Topology in interacting system

- Topological Hamiltonian Method and Eigenvectors ($\omega = 0$)

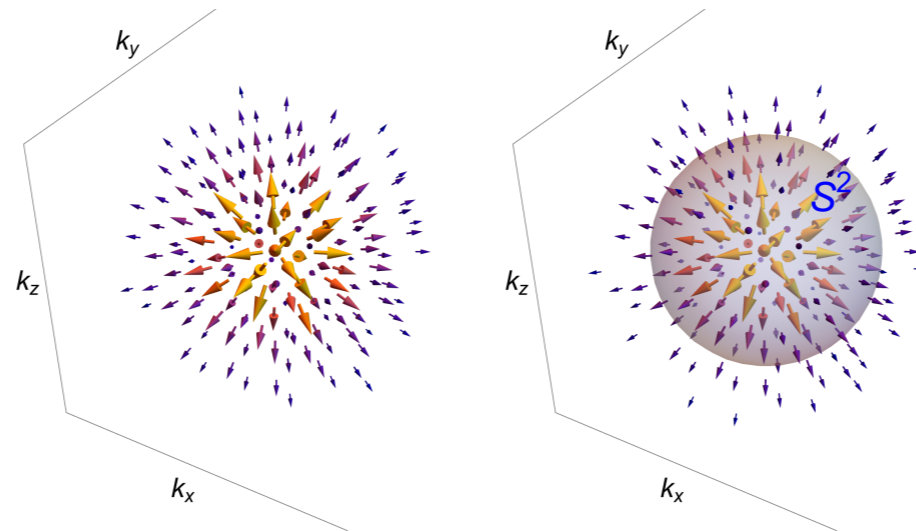
$$\mathcal{H}_t(\mathbf{k}) = -\mathbb{G}^{-1}(0, \mathbf{k})$$

where eigenvector of H_t and H share the same eigenvector, $|n\rangle$.

$$\mathcal{F}_c = \nabla \times \langle n | \partial_{\mathbf{k}} | n \rangle \quad (2)$$

- Alternative method: "Cubic of Green's function"

$$\mathcal{F}_c = \frac{1}{3!} \int_{-\infty}^{\infty} \frac{d\omega}{2\pi} \epsilon_{\mu\nu\rho c} \text{Tr} [\mathbb{G}(\partial_{\mu} \mathbb{G}^{-1}) \mathbb{G}(\partial_{\nu} \mathbb{G}^{-1}) \mathbb{G}(\partial_{\rho} \mathbb{G}^{-1})] \quad (3)$$



Monopole Number:

$$C_n = \oint \mathcal{F}_c \cdot dS = i \oint \nabla \times \langle n | \partial_{\mathbf{k}} | n \rangle \cdot dS$$

Critical case ($\Phi = 0$)

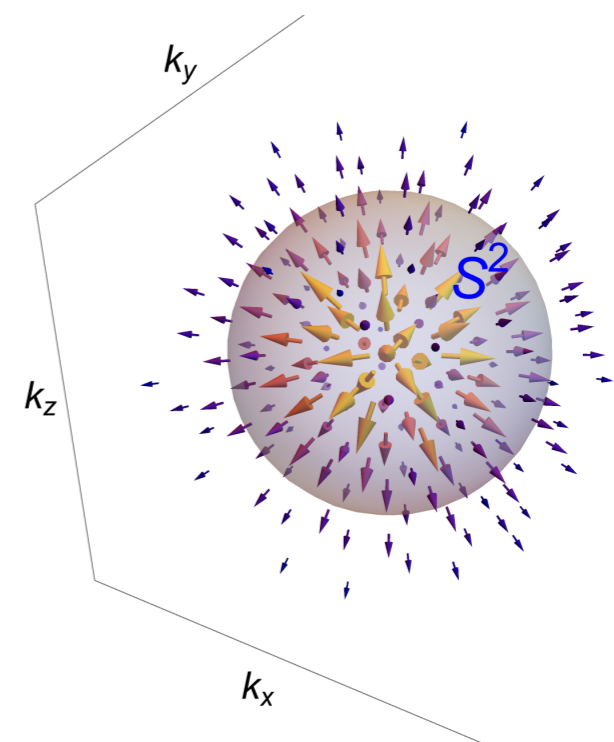
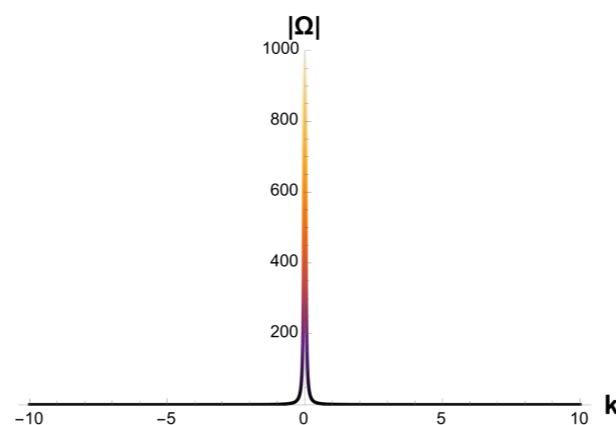
$$\mathcal{A}^{11} = \mathcal{A}^{22} = \frac{|\mathbf{k}| - k_x}{2|\mathbf{k}|(k_y^2 + k_z^2)} (0, -k_z, k_y)^T \quad (5.1)$$

$$\mathcal{A}^{33} = \mathcal{A}^{44} = \frac{|\mathbf{k}| + k_x}{2|\mathbf{k}|(k_y^2 + k_z^2)} (0, -k_z, k_y)^T \quad (5.2)$$

$$\mathcal{A}^{13} = \mathcal{A}^{24} = \mathcal{A}^{31*} = \mathcal{A}^{42*} = \frac{\sqrt{\mathbf{k}^2 - k_x^2}}{2\mathbf{k}^2(k_y^2 + k_z^2)} (-i(k_y^2 + k_z^2), ik_x k_y + |\mathbf{k}|k_z, ik_x k_z - |\mathbf{k}|k_y)^T \quad (5.3)$$

$F = dA + A \wedge A \Rightarrow$ for Abelian case, denote $F = \Omega$

$$\Omega = \frac{1}{\mathbf{k}^{3/2}} (k_x, k_y, k_z)^T \quad \text{flux} = \int_S \Omega \cdot dS = 2\pi$$



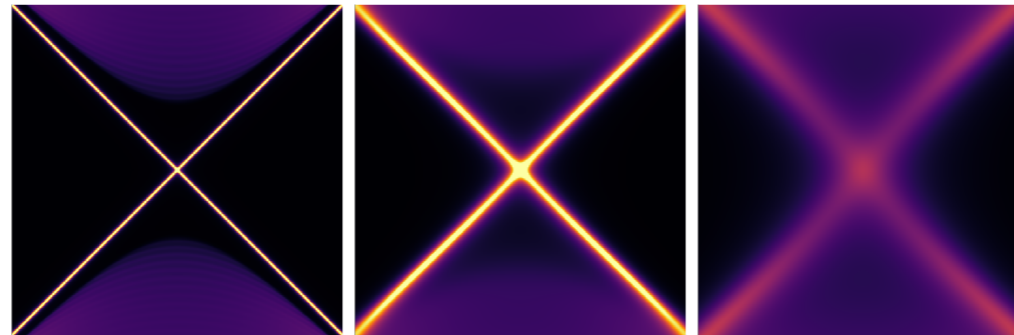
Topological Liquid : scalar order without gap

$$S_\psi = \int d^5x \sum_{j=1}^2 \sqrt{-g} \bar{\psi}^{(j)} \left(\frac{\overrightarrow{\not{D}} - \overleftarrow{\not{D}}}{2} - m^{(j)} \right) \psi^{(j)}, \quad (5)$$

$$S_{g,\Phi} = \int d^5x \sqrt{-g} \left(R - 2\Lambda - \nabla_M \Phi^2 - m_\Phi^2 |\Phi|^2 \right) \quad (6)$$

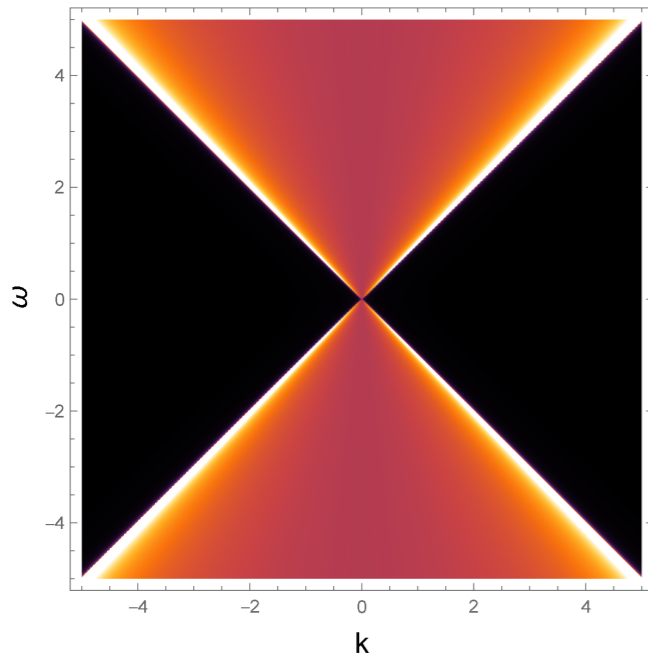
$$S_{int} = \int d^5x \sqrt{-g} \left(i\Phi \bar{\psi}^{(1)} \psi^{(2)} + h.c. \right). \quad (7)$$

where $\not{D} = \Gamma^M D_M$, $D_M = (\partial_M - iqA_M + \frac{1}{4}\omega_{M\alpha\beta}\Gamma^{\alpha\beta})$

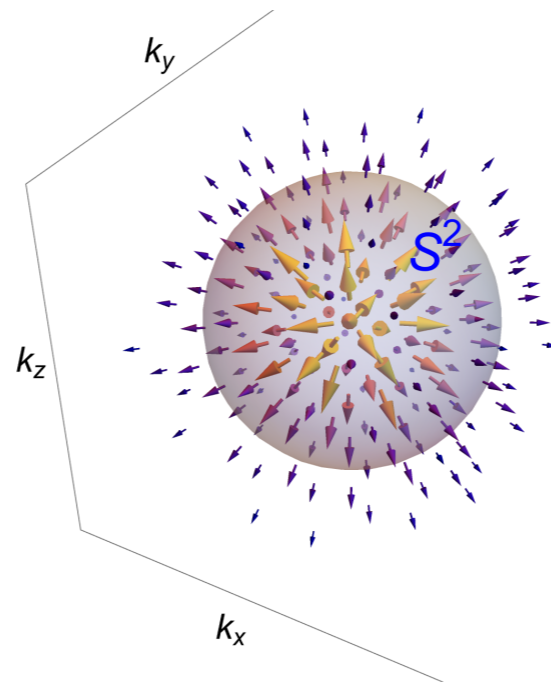


Scalar Interaction case(SS quantization)

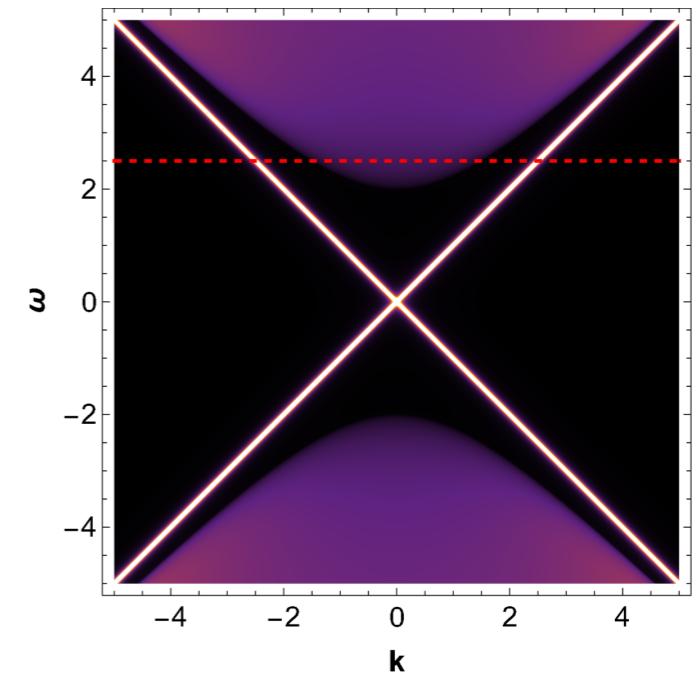
Spectrum is pole type, differ from critical case.



Spectrum of free Fermion



Berry curvature for both cases



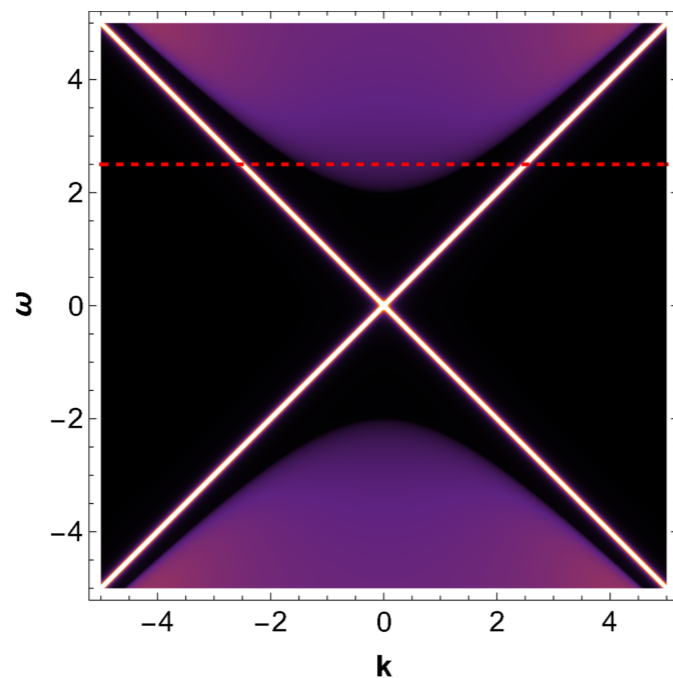
Spectrum of scalar coupled Fermion

However, Berry Curvature is Identical to critical case.
The same Dirac monopole

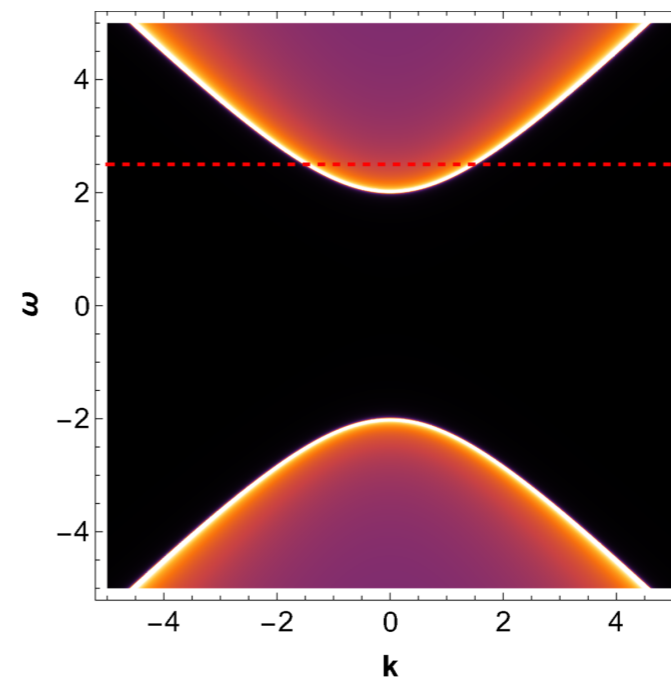
Scalar Interaction case (SA quantization)

- Gapped spectrum
- Trivial topology

$$\text{Tr } \mathbb{G}_{M_0}^{(SA)} = \frac{4\omega}{\sqrt{\mathbf{k}^2 - \omega^2 + M_0^2}},$$



(a) $M_0^{(SS)}, \omega - \mathbf{k}$



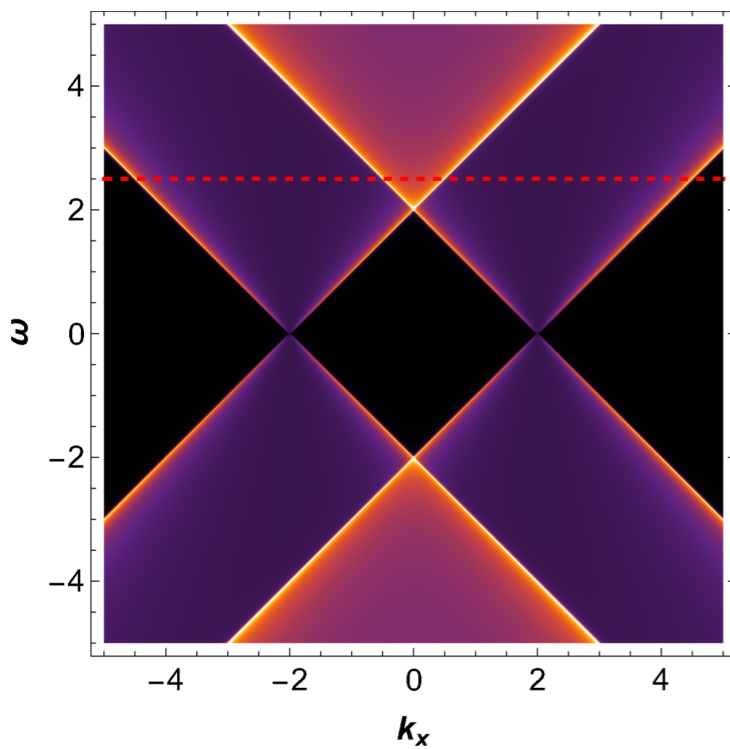
(b) $M_0^{(SA)}, \omega - \mathbf{k}$

Vector Interaction : Separated Dirac monopole

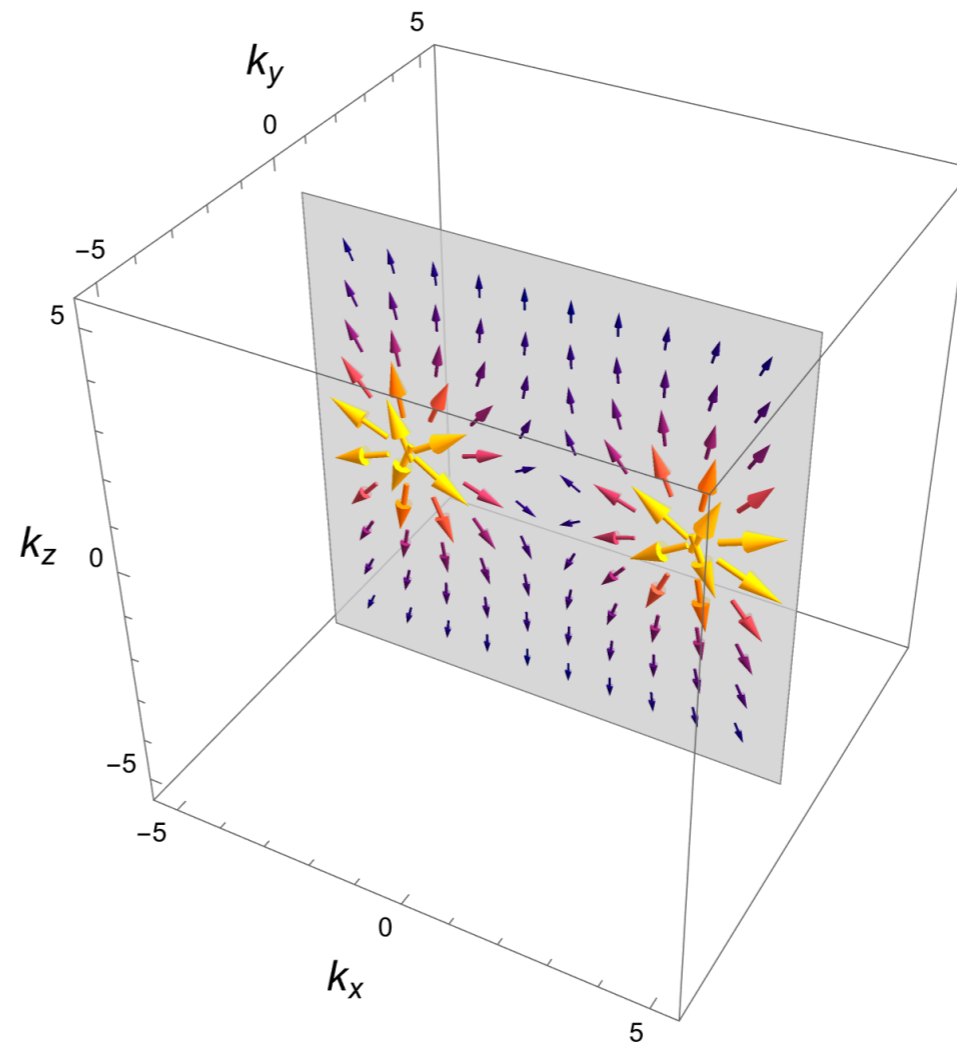
Berry curvature

$$\Omega = \frac{1}{2((b_x + k_x)^2 + k_y^2 + k_z^2)^{3/2}}(k_x + b_x, k_y, k_z)^T + \frac{1}{2((b_x - k_x)^2 + k_y^2 + k_z^2)^{3/2}}(k_x - b_x, k_y, k_z)^T$$

Spectrum



(a) $B_x^{(0)(SS)}, \omega - k_x$



(b) Berry curvature on $k_z - k_x$ plane

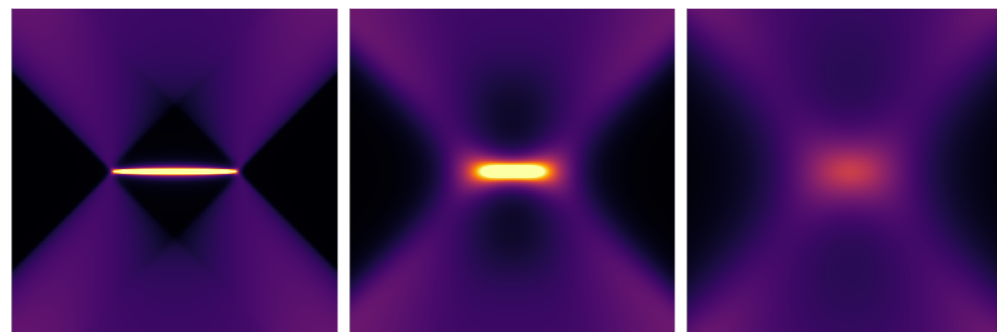
B_{xy} case

$$S_\psi = \int d^5x \sum_{j=1}^2 \sqrt{-g} \bar{\psi}^{(j)} \left(\frac{\overrightarrow{D} - \overleftarrow{D}}{2} - m^{(j)} \right) \psi^{(j)}, \quad (8)$$

$$S_{g,B_{\mu\nu}} = \int d^5x \sqrt{-g} \left(R - 2\Lambda - |D_M \Phi|^2 - m_\Phi^2 |\Phi|^2 \right), \quad (9)$$

$$S_{int} = \int d^5x \sqrt{-g} \left(B_{\mu\nu} \bar{\psi}^{(1)} \Gamma^{\mu\nu} \psi^{(2)} + h.c. \right). \quad (10)$$

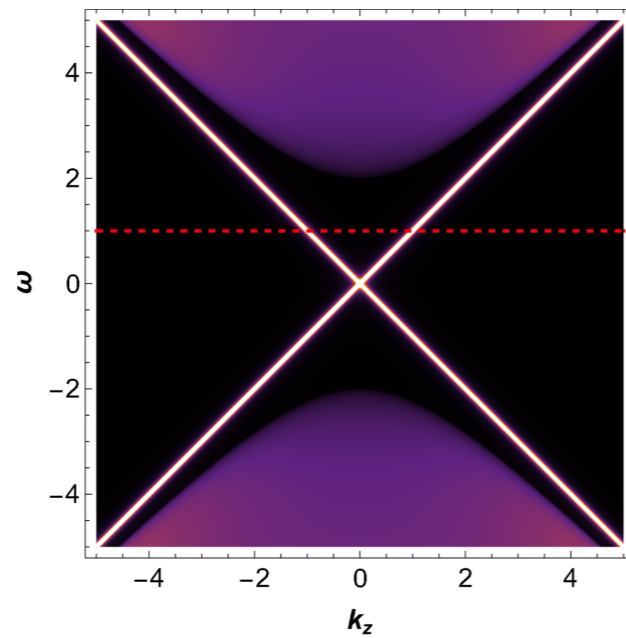
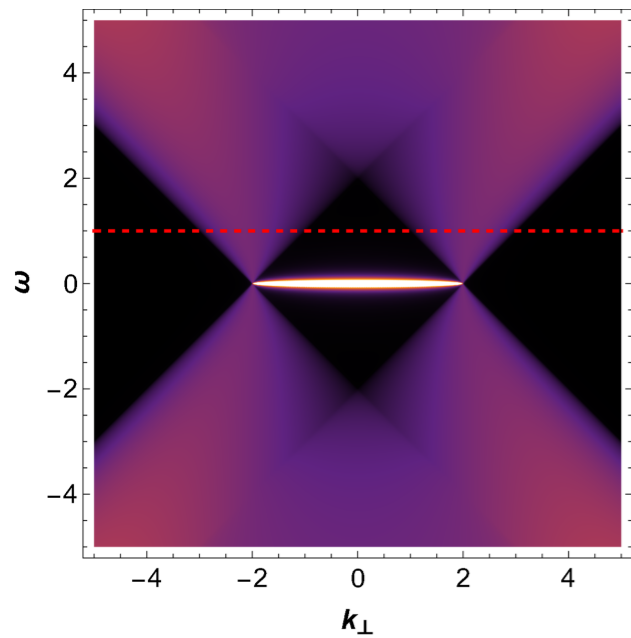
where $\overrightarrow{D} = \Gamma^M D_M$, $D_M = (\partial_M + \frac{1}{4} \omega_{M\alpha\beta} \Gamma^{\alpha\beta})$, and $B = B_{xy}(u) dx \wedge dy$



Topology of Flat band

Spectrum = 2d Disk

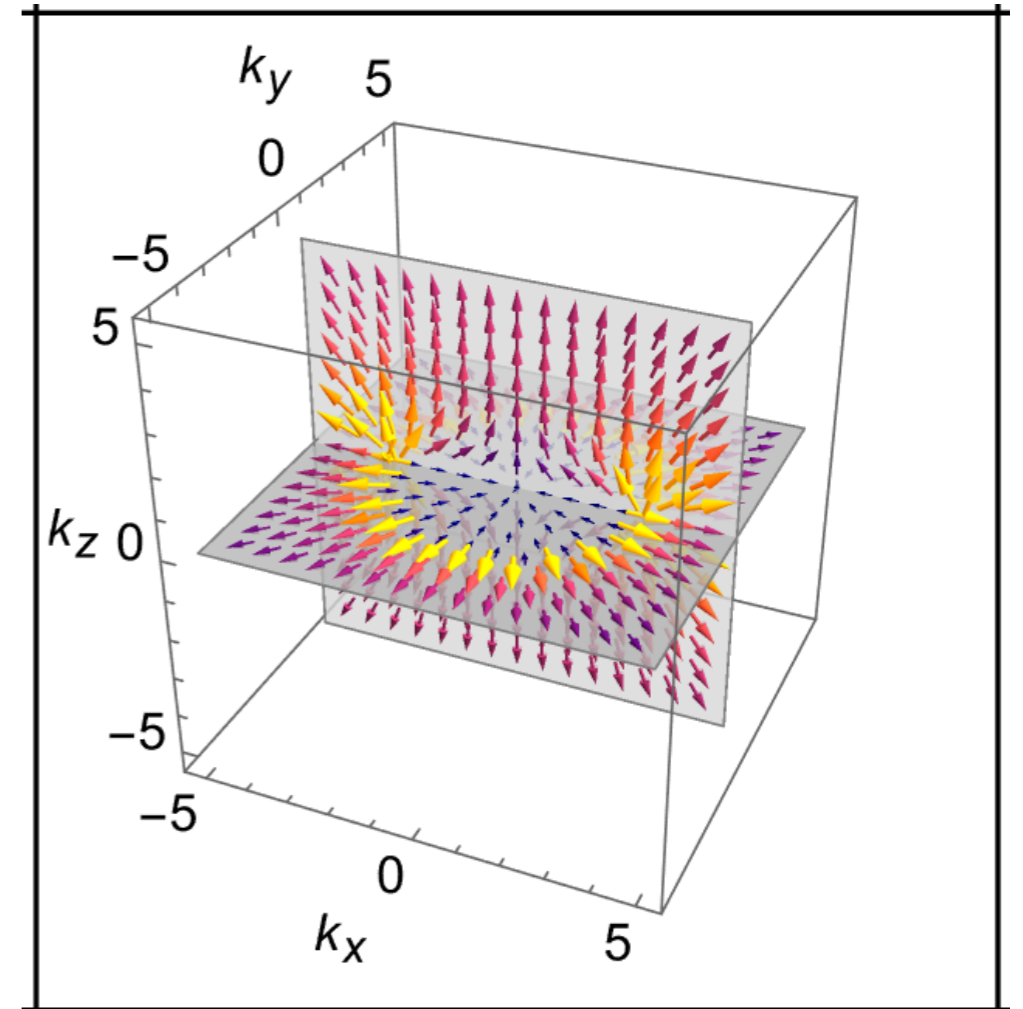
$$\text{Tr } \mathbb{G}_{B_{xy}^{(-1)}}^{(SS)} = \frac{2\omega}{b} \left[\frac{(b + |\mathbf{k}_\perp|) \sqrt{(b - |\mathbf{k}_\perp|)^2 + k_z^2} - \omega^2 + (b - |\mathbf{k}_\perp|) \sqrt{(b + |\mathbf{k}_\perp|)^2 + k_z^2} - \omega^2}{k_z^2 - \omega^2 - i\epsilon} \right].$$



(e) $B_{xy}^{(-1)(SS)}, \omega - \mathbf{k}_\perp$

(f) $B_{xy}^{(-1)(SS)}, \omega - k_z$

Berry curvature = monopole Ring

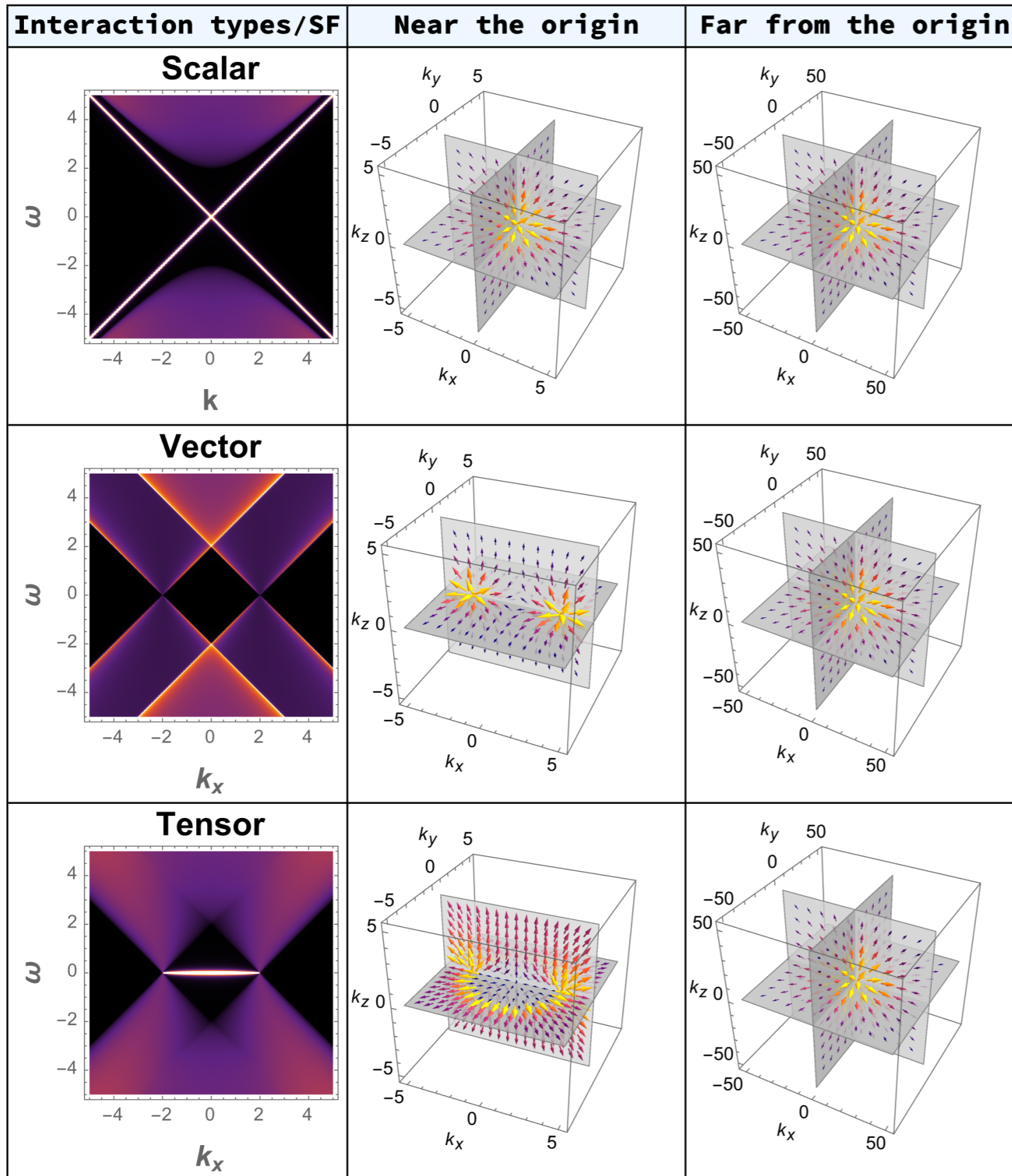


$$\Omega = \frac{k_z (f_- - f_+)^2 (\mathbf{k}^2 - b^2)}{4\sqrt{2} |\mathbf{k}_\perp| f_- f_+ ((\mathbf{k}^2 - b^2)(\mathbf{k}^2 - b_{xy}^2 - f_- f_+))^{3/2}}$$

$$f_\pm = \sqrt{(b_{xy} \pm |\mathbf{k}_\perp|)^2 + k_z^2} \text{ and } \mathbf{k}_\perp = \sqrt{k_x^2 + k_y^2}.$$

$$\left(\begin{array}{l} (|\mathbf{k}_\perp| (f_- - f_+) + b_{xy} (f_- + f_+)) \sin \theta \\ (|\mathbf{k}_\perp| (f_- - f_+) + b_{xy} (f_- + f_+)) \cos \theta \\ \frac{4k_z (b_{xy}^2 + \mathbf{k}_\perp^2 + k_z^2) \left((\mathbf{k}_\perp^2 + k_z^2) (f_- - f_+) + b_{xy} |\mathbf{k}_\perp| (f_- + f_+) \right)}{(\mathbf{k}_\perp^2 + k_z^2 - b_{xy}^2) (f_- - f_+)^2} \end{array} \right)$$

Summary (AdS_5 or 3d topology)



Single monopole

Separated monopole

Monopole ring

AdS_4 : scalar vs pseudo-scalar

the scalar $\Gamma \cdot \Phi = iM_0$ Green's function is given by

$$\mathbb{G} = \begin{pmatrix} \frac{k_x + \omega}{-M_0 + \sqrt{k_x^2 + k_y^2 + M_0^2 - \omega^2}} & \frac{k_y}{M_0 - \sqrt{k_x^2 + k_y^2 + M_0^2 - \omega^2}} \\ \frac{k_y}{M_0 - \sqrt{k_x^2 + k_y^2 + M_0^2 - \omega^2}} & \frac{k_x + \omega}{M_0 - \sqrt{k_x^2 + k_y^2 + M_0^2 - \omega^2}} \end{pmatrix}$$

$$\text{Tr } \mathbb{G} = \frac{2\omega}{-M_0 + \sqrt{k_x^2 + k_y^2 + M_0^2 - \omega^2}}$$

Spectrum \rightarrow gap ($g > 0$)
Topological Liquid ($g < 0$)

But in both case

$$\Omega_{xy} = 0$$

the 1-flavor with pseudo scalar $\Gamma \cdot \Phi = \Gamma^5 M_5$ can give a gap

$$\mathbb{G} = \frac{1}{\sqrt{k_x^2 + k_y^2 + M_5^2 - \omega^2}} \begin{pmatrix} k_x + \omega & -k_y + iM_5 \\ -k_y - iM_5 & -k_x + \omega \end{pmatrix},$$

$$\text{Tr } \mathbb{G} = \frac{2\omega}{\sqrt{k_x^2 + k_y^2 + M_5^2 - \omega^2}}$$

Spectrum \rightarrow gap

$$\Omega = \frac{M_5}{2(k_x^2 + k_y^2 + M_5^2)^{3/2}}$$

$$c_1 = \frac{1}{2\pi} \int F = 1$$

Topology in finite temperature

I. Non-interacting (single particle) theory:

Finite temperature is ensemble average.

Each band has its own topological number c_n .

Therefore the topological number = average of c_n :

$$c(T) = \sum p_n(T) c_n$$

Actually Uhlmann defined a T-dependent c .

Q: But does it make sense for a topology to be dependent on T, a continuous deformation?

Q: What holography says about it?

Monopole number at Finite T in holography

Method 1: A & F are T -independent, though G depends on T .

Method 2: GdG^{-1} depends on T .

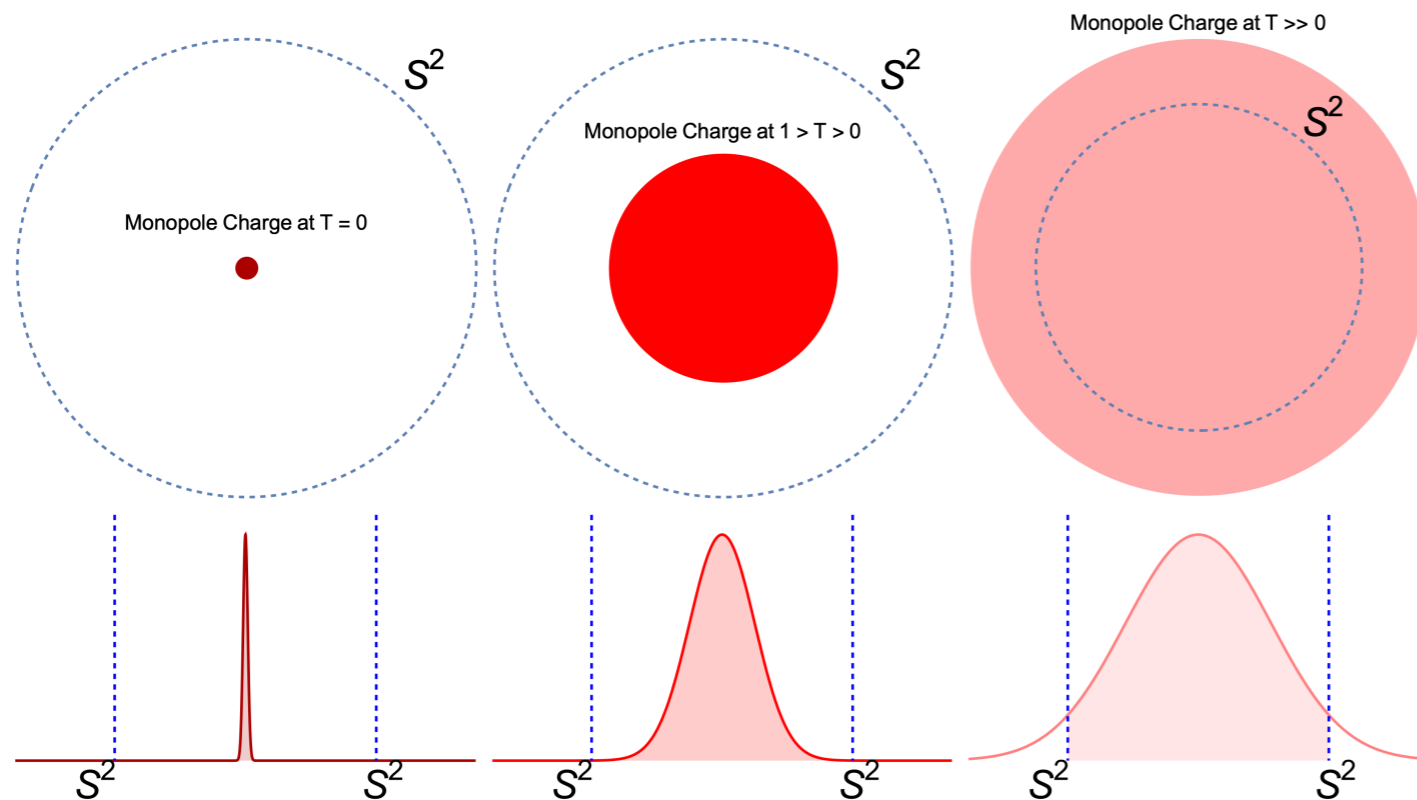


Figure: Monopole charge with increasing of temperature, with a fixed sphere surface

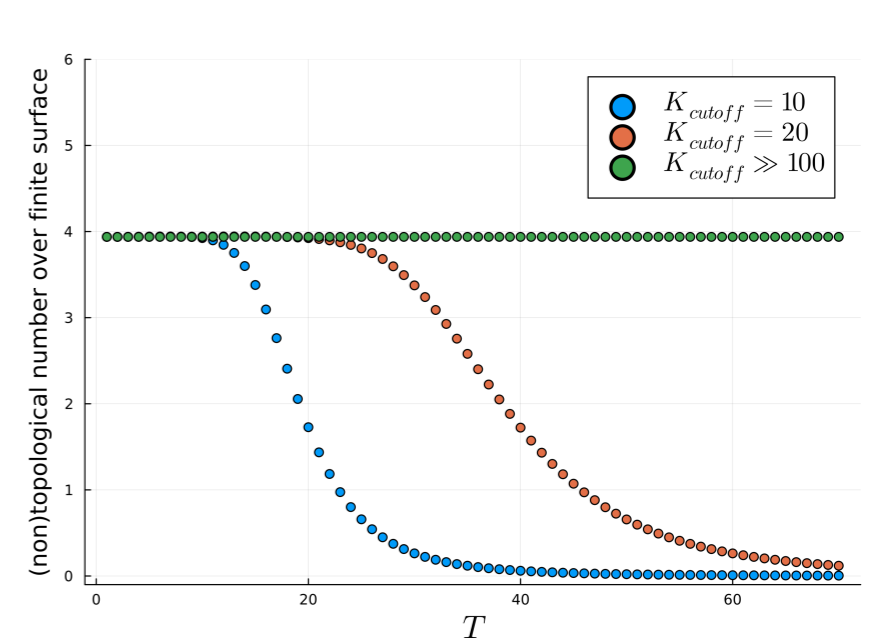


Figure: monopole numbers over the evolution of temperature by various integration sphere radius.

Flux over Large enough Surface \Rightarrow temperature independent result.

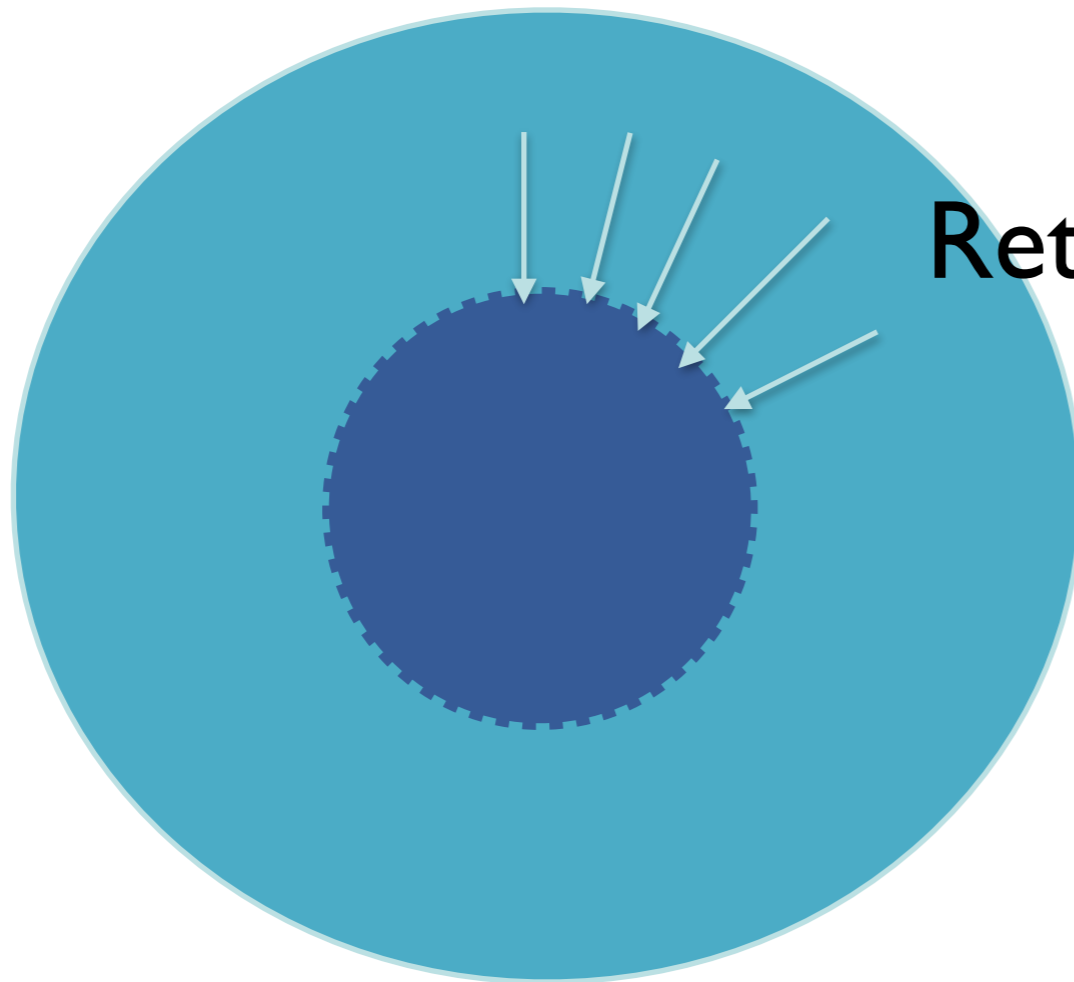
Observation

1. In holography, $c_1(T) = c_1(0)$.

2. Why this happen?

In AdS/CFT dictionary,

finite temperature \sim black hole \sim (a pure) state!



Retarded = inflating BC

Other applications

1. BCS and s-p-d-wave gaps
2. Strange metal
2. Topology and interaction/temperature
3. Fermi-Liquid as a topological liquid

.....

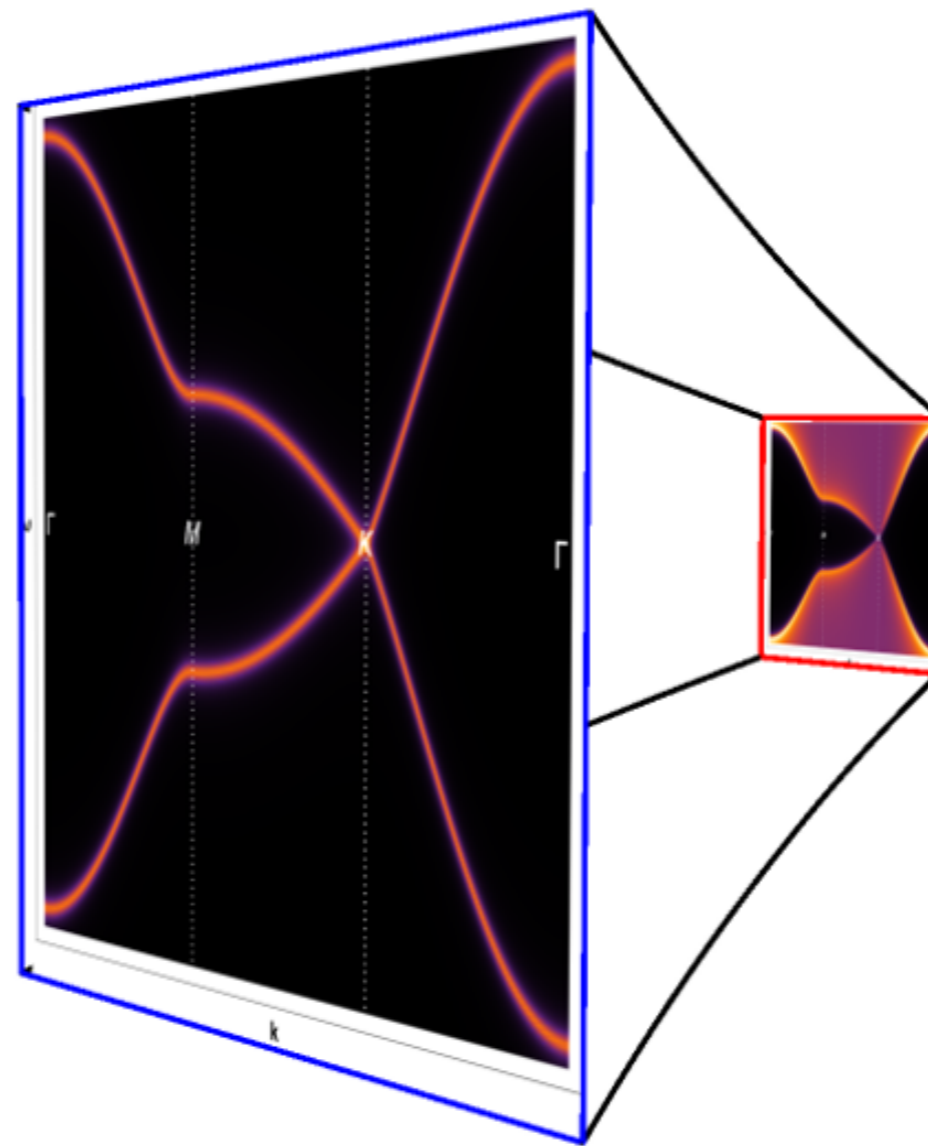
Application 4. How to encode the lattice in holography?

- To encode the lattice use localized basis (Wannier basis).
- Fourier transform \implies Tight binding model.
for n orbital $\implies H(k,w)$: matrix of $n \times n$.
- Schroedinger eq has the form of Dirac eq.
 \rightarrow Embed this Dirac eq in $2+1$ into Dirac eq in AdS4.

- $$\left[\tilde{\Gamma}^z \partial_z - m - i\tilde{\Gamma}^t \{i\mathcal{D}_t - \mathcal{GH}(k_x, k_y)\} \right] \zeta = 0,$$

Physical meaning: RG running of Dirac eq in 2+1

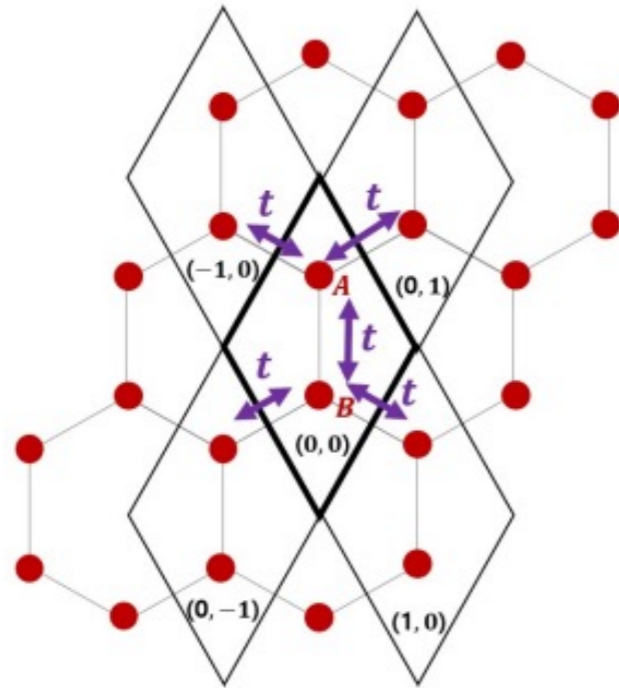
$$\left[\tilde{\Gamma}^z \partial_z - m - i\tilde{\Gamma}^t \{i\mathcal{D}_t - \mathcal{GH}(k_x, k_y)\} \right] \zeta = 0,$$



UV \longrightarrow IR

Two band case: I. Graphene

- Graphene's tight-binding Hamiltonian and its eigenvalue are given by



<https://drive.google.com/file/d/1PXvC9tJvCn391NngWw1bPWPvTvOok8T-/view>

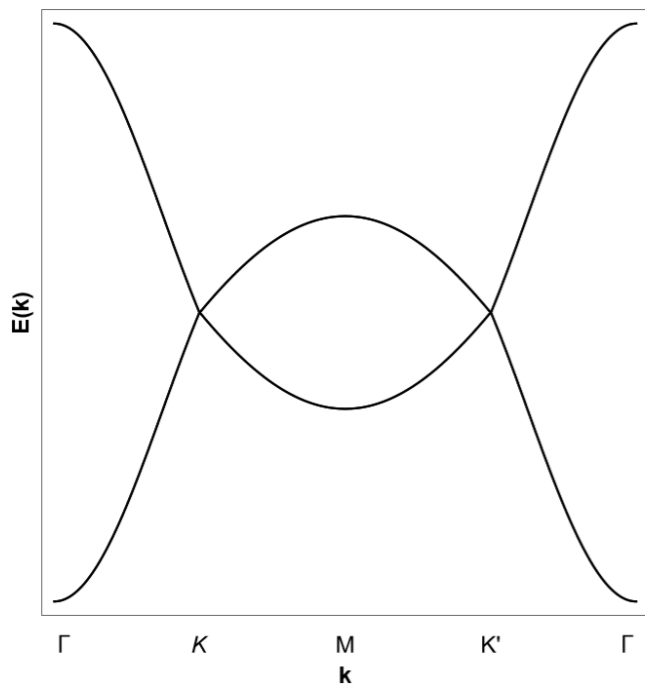
$$H = \begin{bmatrix} 0 & 1 + 2e^{3iak_x/2} \cos(\frac{\sqrt{3}}{2}ak_y) \\ 1 + 2e^{-3iak_x/2} \cos(\frac{\sqrt{3}}{2}ak_y) & 0 \end{bmatrix}.$$

$$E = \pm \sqrt{3 + 2 \cos(\sqrt{3}ak_y) + 4 \cos(\frac{3ak_x}{2}) \cos(\frac{\sqrt{3}ak_y}{2})}.$$

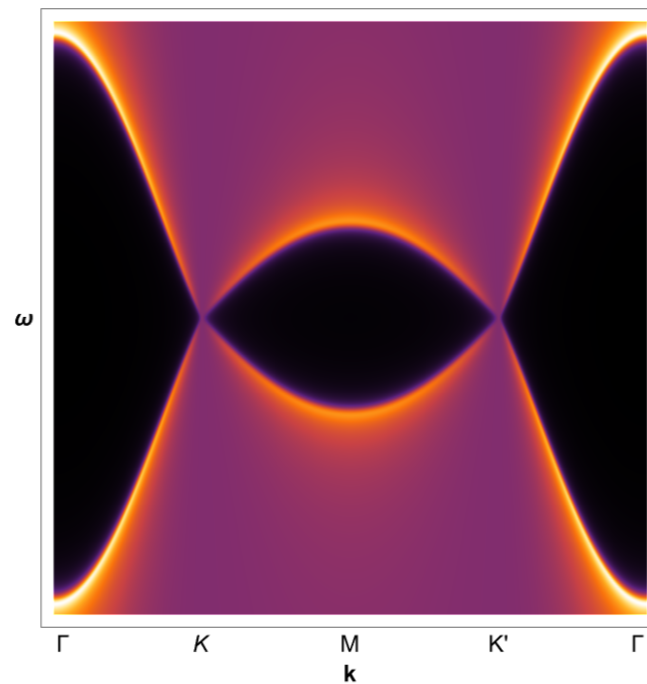
$$TrG = \frac{2\omega}{\sqrt{\epsilon^2 - \omega^2}}, \quad \epsilon^2 = 3 + 2 \cos(\sqrt{3}ak_y) + 4 \cos(\frac{3ak_x}{2}) \cos(\frac{\sqrt{3}ak_y}{2})$$

Graphene spectrum

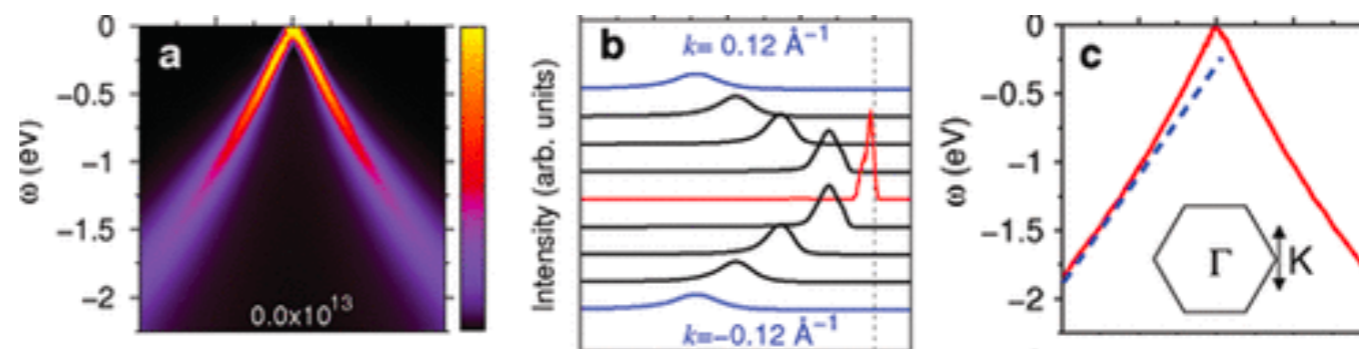
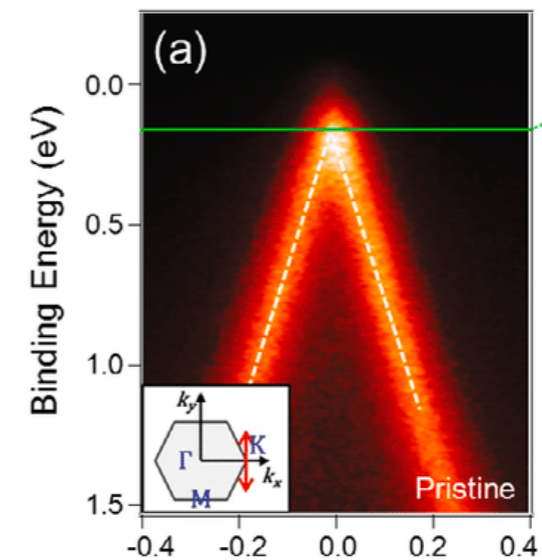
$$TrG = \frac{2\omega}{\sqrt{\epsilon^2 - \omega^2}}, \quad \epsilon^2 = 3 + 2 \cos(\sqrt{3}ak_y) + 4 \cos\left(\frac{3ak_x}{2}\right) \cos\left(\frac{\sqrt{3}ak_y}{2}\right)$$



(a) Dispersion Relation of the Graphene



(b) Spectral Density of the Graphene



Graphene spec as coupling grow.

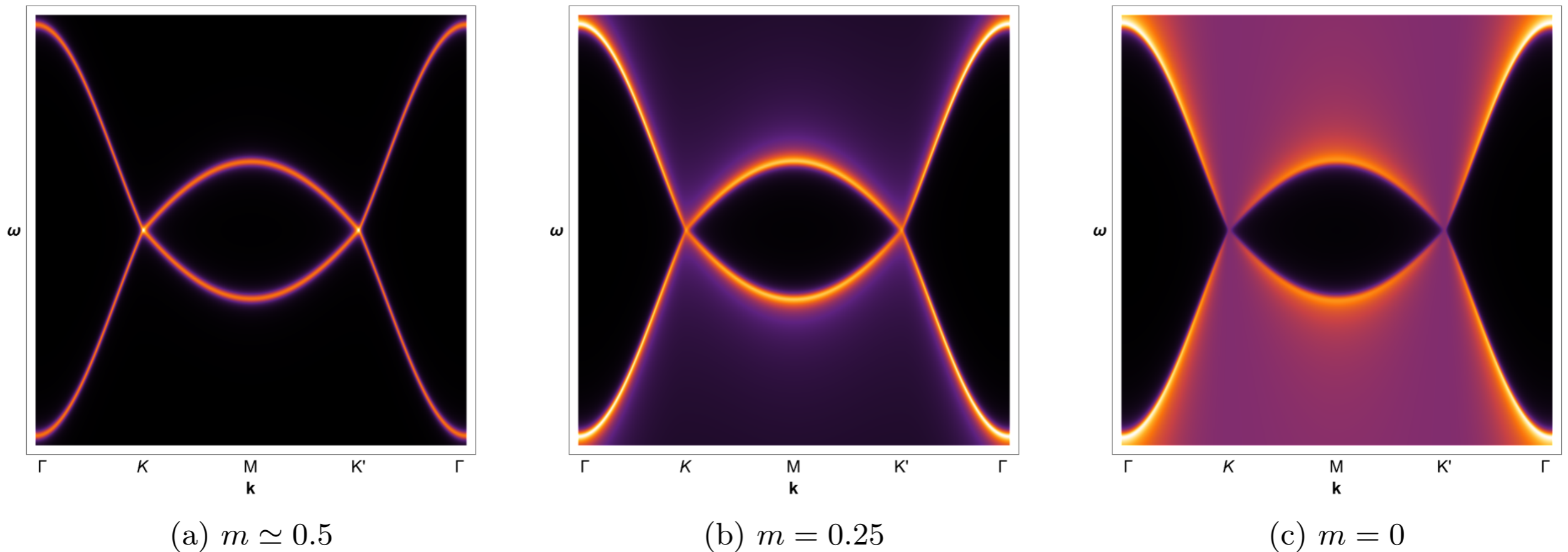
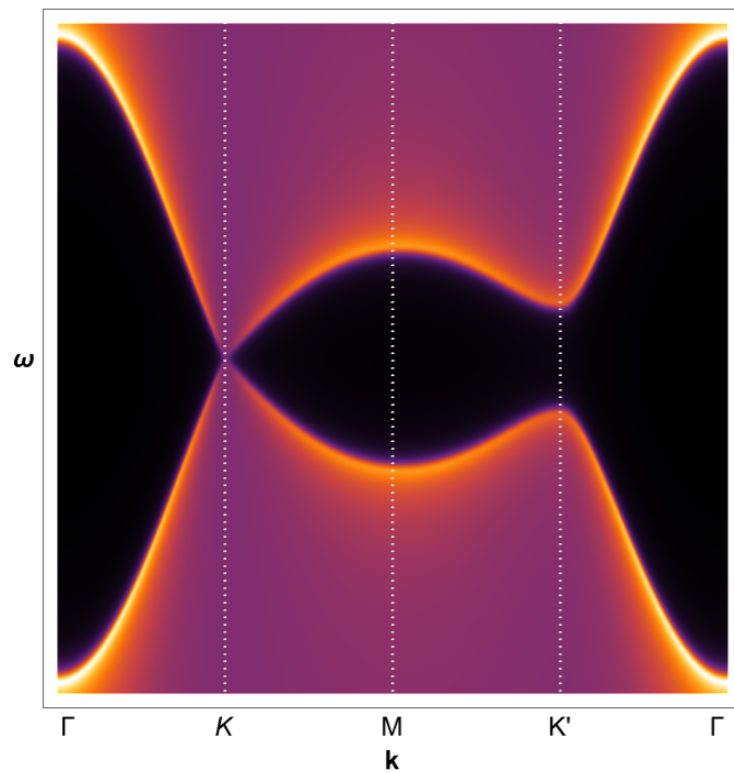


Figure 3: Graphene's SD changed by m with $t = 1$, $t_2 = 0$ and $\lambda_v = 0$. As we change the value of m from $\frac{1}{2}$ to 0, there is a transition from a simple pole to a branch-cut type pole.

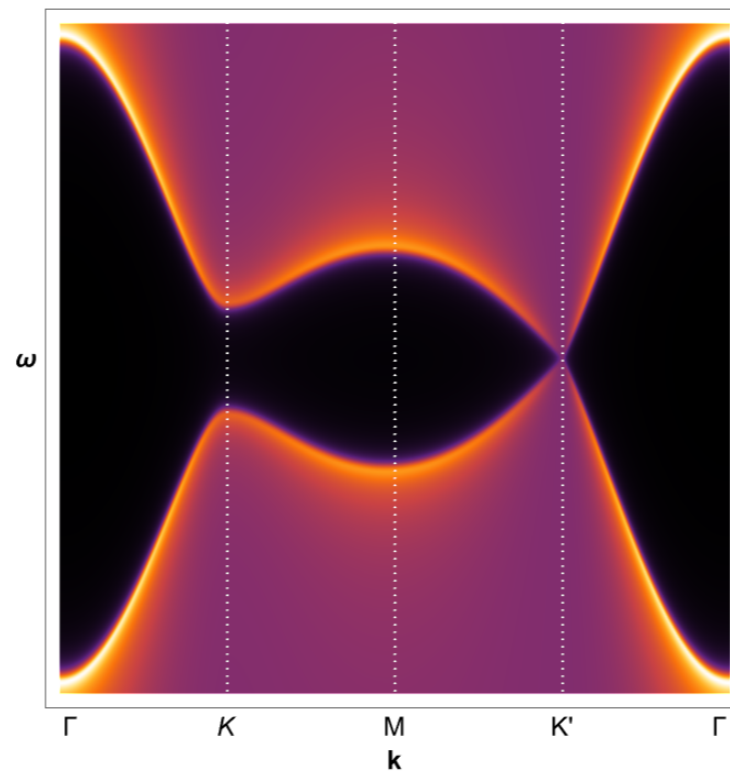
Haldane Model

$$H_{TB} = t \sum_{\langle ij \rangle} c_i^\dagger c_j + t_2 \sum_{\langle\langle ij \rangle\rangle} e^{i\nu_{ij}\phi} c_i^\dagger c_j + \lambda_v \sum_i \xi_i c_i^\dagger c_i.$$

$$\nu_{ij} = \text{sign}(\hat{d}_i \times \hat{d}_j)_z = \pm 1, \quad (i, j) \in \{1, 2\}$$



(a) $m = 0$



(b) $m = 0.25$

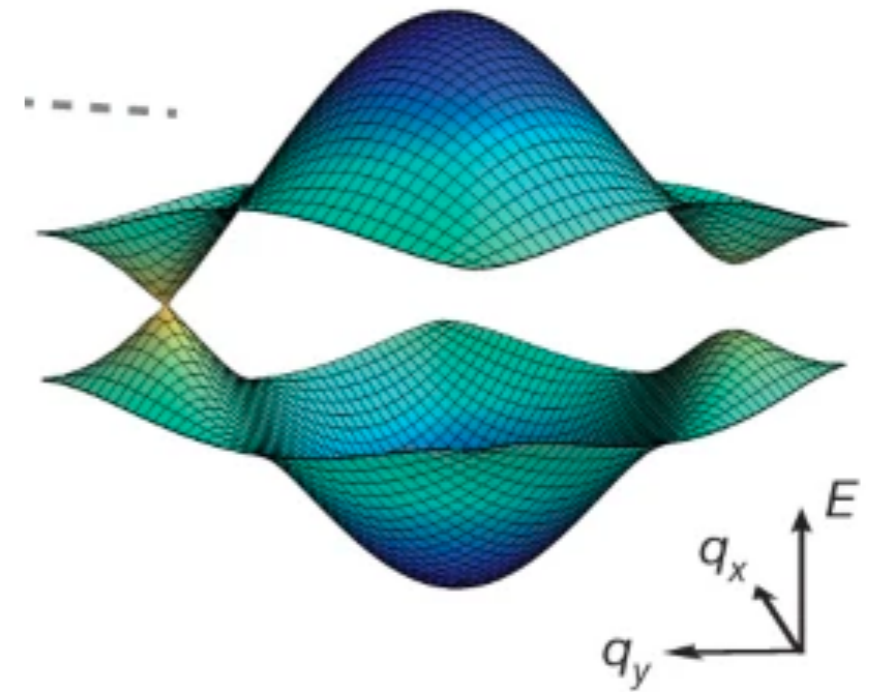


Figure 4: Haldane model SD

Conclusion

1. Holographic Mean field theory = classifying the order
 - i) spin of the order parameter:
 - ii) Singularity of the Green fct. (Pole vs branch cut)
 - iii) Features of band: 0,1,2,3 dim Flat band. nodal ring, nodal shell....
2. **surprise: Effect of the lattice =symmetry breaking.**
3. Mottness can be discussed in parallel to order.
Gap without order. Possible due to the conformal structure.
Mass term $\Rightarrow \bar{\psi}F^2\psi$
4. Future: Strange metallicity from the $\langle ER=EPR \rangle$

Thank you

Prescription: Model the system by Bulk Local Theories!

Why it works?

1. Large N = large **degeneracy** in the ground state.
=> Fluctuation/Frustration/Entanglement
2. Duality between CL and Quantum (Missing step)
3. Long distance entanglement at boundary
<=> Non-locality in the bdy.
Bulk locality is very plausible!
4. Strange metallicity from the entanglement. <ER=EPR>₆₈