

Lecture 4: Generalized Parton Distribution

Parton distribution function

$$\begin{aligned}
 q(x) &= \int \frac{d\xi^-}{4\pi} e^{ixP^+\xi^-} \langle P | \bar{\psi}(0) \gamma^+ \psi(\xi^-) | P \rangle, & q(-x) &= -\bar{q}(x) \\
 G(x) &= \frac{1}{xP^+} \int \frac{d\xi^-}{2\pi} e^{ixP^+\xi^-} \langle P | F_a^{+i}(0) F_a^{+i}(\xi^-) | P \rangle, & G(-x) &= -G(x) \\
 \Delta q(x) &= \frac{P^+}{S^+} \int \frac{d\xi^-}{4\pi} e^{ixP^+\xi^-} \langle P | \bar{\psi}(0) \gamma^+ \gamma_5 \psi(\xi^-) | P \rangle, & \Delta q(-x) &= \Delta \bar{q}(x) \\
 \Delta G(x) &= \frac{i}{xS^+} \int \frac{d\xi^-}{2\pi} e^{ixP^+\xi^-} \langle P | F_a^{+i}(0) \tilde{F}_a^{+i}(\xi^-) | P \rangle & \Delta G(-x) &= \Delta G(x)
 \end{aligned}$$

Two-point correlation function along the light-cone (Wilson line implicit)

Function only of x (and the RG scale)

Number density interpretation in the light-cone gauge

DGLAP equation

$$\frac{d}{d \ln \mu^2} f_i(x, \mu) = \int_x^1 \frac{dx'}{x'} P_{ij} \left(\frac{x}{x'} \right) f_j(x', \mu)$$


 Splitting function

Generalized parton distribution

forward

nonforward

$$\int d\xi^- e^{ixP^+\xi^-} \langle P | \bar{\psi}(0) \gamma^+ \psi(\xi^-) | P \rangle \quad \longrightarrow \quad \int d\xi^- e^{ix\bar{P}^+\xi^-} \langle P' | \bar{\psi}(-\xi^-/2) \gamma^+ \psi(\xi^-/2) | P \rangle$$

$$f(x, \mu) \longrightarrow f(x, \eta, t, \mu)$$

$$\bar{P}^\mu = \frac{P^\mu + P'^\mu}{2} \quad \Delta^\mu = P'^\mu - P^\mu$$

momentum transfer $t = \Delta^2 = (P' - P)^2$

$$\xi^- = \xi \cdot n \quad n^\mu = \delta_-^\mu$$

skewness $\eta = \frac{-\Delta \cdot n}{2\bar{P} \cdot n} = \frac{P^+ - P'^+}{P^+ + P'^+}$

Quark GPDs

$$\Delta^\mu = P'^\mu - P^\mu$$

Unpolarized

$$\bar{P}^+ \int \frac{dz^-}{2\pi} e^{ix\bar{P}^+ z^-} \langle P' | \bar{q}(-z/2) \gamma^+ q(z/2) | P \rangle = \bar{u}(P') \left[\gamma^+ H_q(x, \eta, t) + \frac{i\sigma^{+\nu} \Delta_\nu}{2m_N} E_q(x, \eta, t) \right] u(P)$$

reduce to PDFs
in the forward limit

no PDF counterpart

Polarized

$$\bar{P}^+ \int \frac{dz^-}{2\pi} e^{ix\bar{P}^+ z^-} \langle P' | \bar{q}(-z/2) \gamma^+ \gamma_5 q(z/2) | P \rangle = \bar{u}(P') \left[\gamma^+ \gamma_5 \tilde{H}_q(x, \eta, t) + \frac{\gamma_5 \Delta^+}{2m_N} \tilde{E}_q(x, \eta, t) \right] u(P)$$

From PT symmetry, $H_q(x, \eta, t) = H_q(x, -\eta, t)$

From Hermiticity, $H_q^*(x, \eta, t) = H_q(x, -\eta, t)$

Gluon GPDs

$$\delta_{ij} \int \frac{dz^-}{2\pi\bar{P}^+} e^{ix\bar{P}^+z^-} \langle P' | F_a^{+i}(-z/2) F_a^{+j}(z/2) | P \rangle = \frac{1}{2\bar{P}^+} \bar{u}(P') \left(H_g \gamma^+ + E_g \frac{i\sigma^{+\nu} \Delta_\nu}{2m_N} \right) u(P)$$

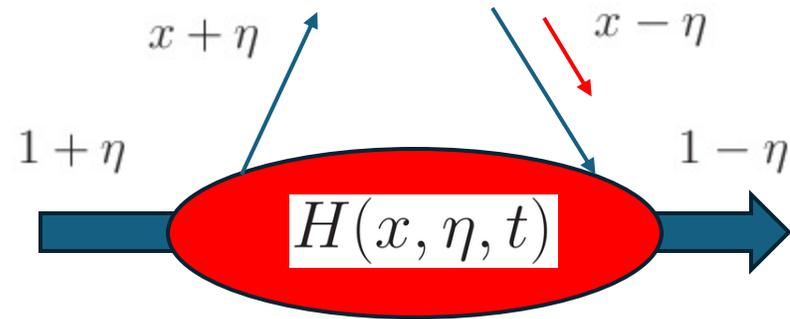
$$i \int \frac{dz^-}{2\pi\bar{P}^+} e^{ix\bar{P}^+z^-} \langle P' | \tilde{F}_a^{+\mu}(-z/2) F_{a\mu}^+(z/2) | P \rangle = \frac{1}{2\bar{P}^+} \bar{u}(P') \left(\tilde{H}_g \gamma^+ \gamma_5 + \tilde{E}_g \frac{\gamma_5 \Delta^+}{2m_N} \right) u(P)$$

Note that $F^{+i} \tilde{F}^{+i} = -F^{+\mu} \tilde{F}_\mu^+ = \tilde{F}^{+\mu} F_\mu^+ = -\epsilon_{ij} F^{+i} F^{+j}$

In the forward limit,

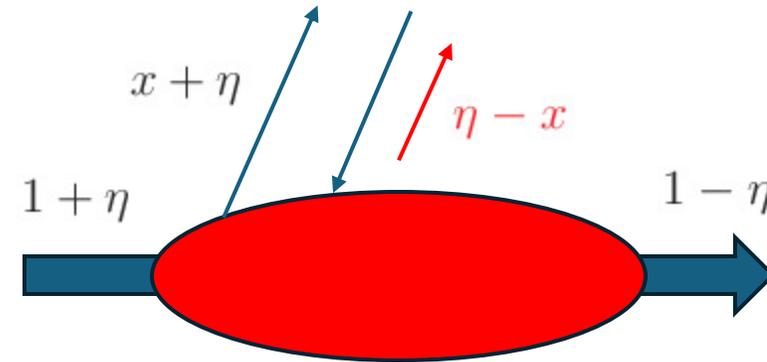
$$H_g(x) = xG(x) \quad \tilde{H}_g(x) = x\Delta G(x)$$

DGLAP vs ERBL



$$\eta < x < 1 \quad -1 < x < -\eta$$

DGLAP region



$$-\eta < x < \eta$$

Efremov-Radyushkin-Brodsky-Lepage region

meson-like intermediate state

Different functional behavior, different physical interpretation

GPD and form factors

First moment of quark GPDs \rightarrow contribution to electromag form factors

$$\int_{-1}^1 dx H_q(x, \eta, t) = F_1^q(t) \qquad \int_{-1}^1 dx E_q(x, \eta, t) = F_2^q(t)$$

$F_2^q(0)$ anomalous magnetic moment

Independent of η (why?)

Similarly, moments of polarized GPDs \tilde{H}_q, \tilde{E}_q related to axial form factors

$$\langle P' | \bar{\psi} \gamma^\mu \gamma_5 \psi | P \rangle = \bar{u}(P') \left[\gamma^\mu \gamma_5 g_A(t) + \frac{\Delta^\mu \gamma_5}{2m} g_P(t) \right] u(P)$$

$$g_A(t) = \sum_q \int_{-1}^1 dx \tilde{H}_q(x, \xi, t) \qquad g_P(t) = \sum_q \int_{-1}^1 dx \tilde{E}_q(x, \xi, t)$$

Polynomiality

Take the n-th moment of GPDs

$$\int dx x^n H_q(x, \eta, t) = h_0^n(t) + h_2^n(t) \eta^2 + \dots + h_{n+1}^n(t) \eta^{n+1}$$

Polynomial in skewness!

$$H_q(x, \eta, t) = H_q(x, -\eta, t) \quad \rightarrow \text{only even powers}$$

Reason:

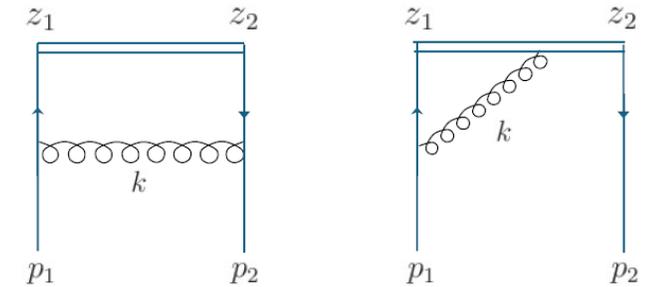
$$\begin{aligned} \int dx x^n H_q(x, \eta) &\sim \langle P' | \bar{\psi} \gamma^+ (D^+)^n \psi | P \rangle \\ &\sim (\bar{P}^+)^{n+1} + (\bar{P}^+)^{n-1} (\Delta^+)^2 + \dots + (\Delta^+)^{n+1} \quad \Delta^+ \propto \eta \end{aligned}$$

This is highly nontrivial to satisfy in GPD model building.

Example: one-loop calculation

Sudakov double log

$$\begin{aligned}
 H_q(x, \xi, t) = & \delta(1-x) + \frac{\alpha_s C_F}{2\pi} \theta(x-\xi) \left[\frac{\delta(1-x)}{2} \left\{ -\ln^2 \frac{\mu_F^2}{-t} - 3 \ln \frac{\mu_F^2}{-t} + \frac{\pi^2}{6} - \ln^2 \frac{1+\xi}{1-\xi} \right\} \right. \\
 & + \ln \frac{\mu_{UV}^2}{-t} \left\{ \frac{1+x^2-2\xi^2}{1-\xi^2} \left[\frac{1}{1-x} \right]_+^\xi + \delta(1-x) \left(\frac{3}{2} - \ln \frac{1+\xi}{1-\xi} \right) \right\} \\
 & + \frac{(1+x^2-2\xi^2) \ln(1-\xi^2) - (1-x)^2}{1-\xi^2} \left[\frac{1}{1-x} \right]_+^\xi - 2 \frac{1+x^2-2\xi^2}{1-\xi^2} \left[\frac{\ln(1-x)}{1-x} \right]_+^\xi \\
 & + \frac{\alpha_s C_F}{2\pi} \theta(|\xi|-x) \left\{ \ln \frac{\mu_{UV}^2}{-t} \frac{x+\xi}{1+\xi} \left(\frac{1}{2\xi} + \frac{1}{1-x} \right) - \frac{x+\xi}{2\xi(1+\xi)} \right. \\
 & + \frac{1}{2\xi(1-x)(1-\xi^2)} \left((1-x)(x+\xi)(1+\xi) \ln \left(\frac{4\xi^2}{\xi^2-x^2} \right) + 2\xi x^2 \ln \frac{2\xi(1+\xi)}{(x+\xi)(1-x)} \right. \\
 & \left. \left. + 2\xi^3 \ln \left(\frac{\xi+x}{\xi-x} \right) + 2\xi \ln \left(\frac{(1+\xi)(\xi-x)}{2\xi(1-x)} \right) + 4\xi^3 \ln \left(\frac{1-x}{1+\xi} \right) \right) \right\} + \mathcal{O}(\alpha_s^2).
 \end{aligned}$$



DGLAP region

ERBL region

This function satisfies polynomiality, after a highly nontrivial cancellation between the DGLAP and ERBL regions!

GPD and 3D tomography

Set $\eta = 0$ and 2D Fourier transform $\Delta_{\perp} \leftrightarrow b_{\perp}$

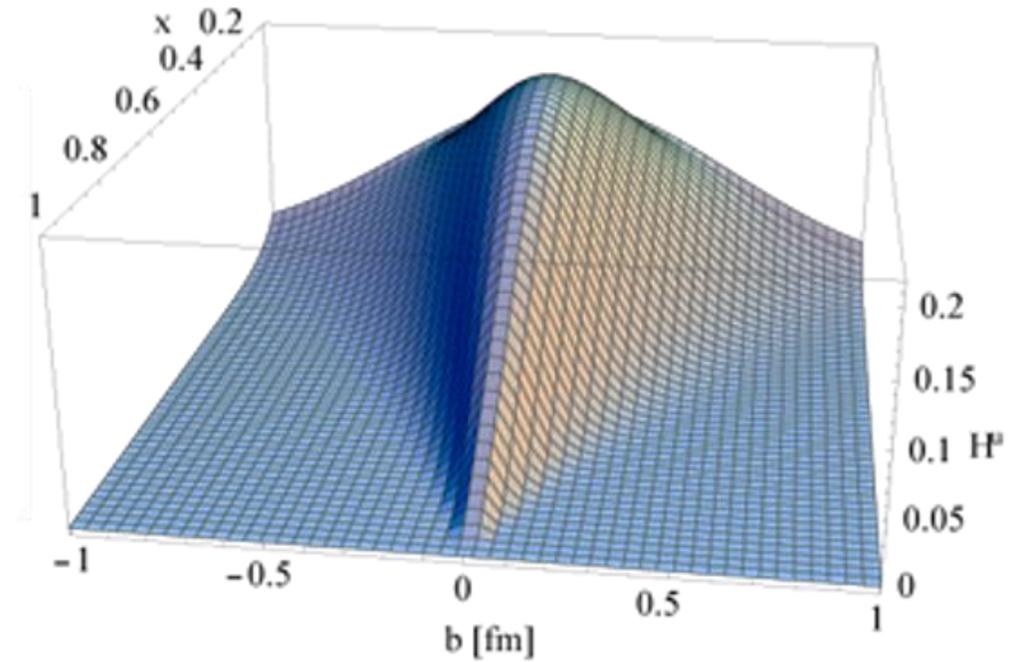
Distribution of quarks in **impact parameter** \vec{b}_{\perp} space

$$H_q(x, t = -\vec{\Delta}_{\perp}^2) \rightarrow H_q(x, \vec{b}_{\perp})$$

2D spatial density invariant under Lorentz boost.

Large (infinite) longitudinal momentum suppresses recoil effects

More robust meaning as 'coordinates' than the 3D vector \vec{r}
conjugate to $\vec{\Delta}$ in the Breit frame



Transverse spin deformation

For a transversely polarized nucleon,

$$\bar{u}\sigma^{+i}u \approx -\frac{2P^+}{m}\epsilon^{ij}S_j \quad \text{Exercise: derive this}$$

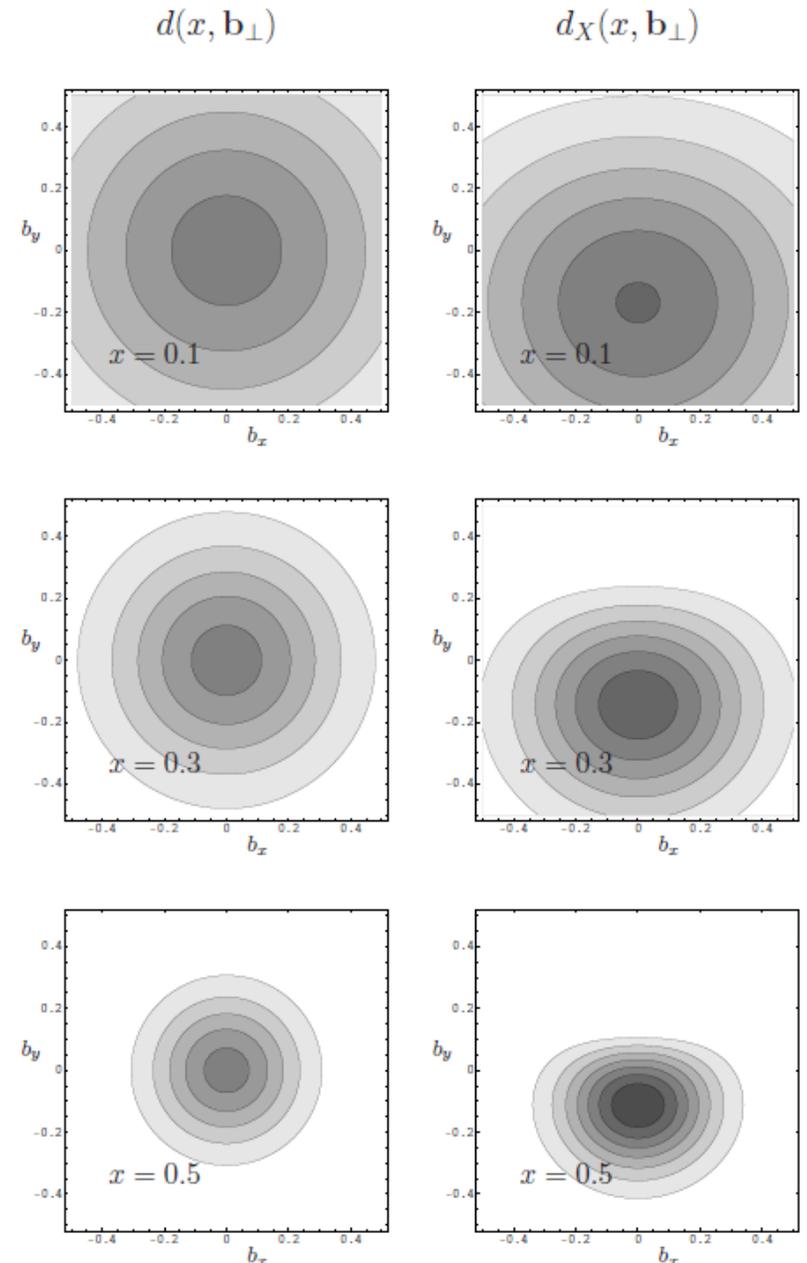
Get the linear combination

$$H_q(x, t) - \frac{i\epsilon^{ij}\Delta_i S_j}{2m^2}E_q(x, t)$$

➔
$$H_q(x, b_\perp) - \frac{\epsilon^{ij}S_j}{2m^2}\frac{\partial}{\partial b_i}E_q(x, b_\perp)$$

deformation in xy plane

Burkardt (2002)



Connection to the gravitational form factors

Multiply by x and integrate over x .

$$\int_{-1}^1 dx x (P^+)^2 \int \frac{dy^-}{2\pi} e^{ixP^+y^-} \langle \bar{\psi}(0) \gamma^+ \psi(y^-) \rangle = \langle P' | \bar{\psi} \gamma^+ i D^+ \psi | P \rangle = \langle P' | T_q^{++} | P \rangle$$

From Gordon identity,

$$\begin{aligned} \langle P' | T_q^{++} | P \rangle &= \bar{P}^+ \bar{u}(P') \left[A_q(t) \gamma^+ + B_q(t) \frac{i\sigma^{+\lambda} \Delta_\lambda}{2m_N} + D_q(t) \frac{(\Delta^+)^2}{4m_N \bar{P}^+} \right] u(P) \\ &= \bar{P}^+ \bar{u}(P') \left[(A_q(t) + \eta^2 D_q(t)) \gamma^+ + (B_q(t) - \eta^2 D_q(t)) \frac{i\sigma^{+\lambda} \Delta_\lambda}{2m_N} \right] u(P) \end{aligned}$$

$$\int_{-1}^1 dx x H_q(x, \eta, t) = A_q(t) + \eta^2 D_q(t) \quad \int_{-1}^1 dx x E_q(x, \eta, t) = B_q(t) - \eta^2 D_q(t)$$

Ji sum rule (GPD version)

$$A_q(0) = \int dx x H_q(x, 0, 0) \quad B_q(0) = \int dx x E_q(x, 0, 0)$$

$$J_q = \frac{1}{2}(A_q(0) + B_q(0)) = \frac{1}{2} \int_{-1}^1 dx x (H_q(x, 0, 0) + E_q(x, 0, 0))$$

$$J_g = \frac{1}{2}(A_g(0) + B_g(0)) = \frac{1}{2} \int_0^1 dx (H_g(x) + E_g(x))$$

GPD evolution

Significantly more complicated than DGLAP due to skewness dependence.

$$\frac{d}{d \ln \mu^2} \mathcal{O}(x, \eta, \mu^2) = \frac{C_F \alpha_s}{2\pi} \int dy K(x, y, \eta) \mathcal{C}_{(y; \eta)}^+$$

$$K(x, y, \eta) = -\frac{x + \eta}{y + \eta} \left[\frac{2\eta - x + y}{2\eta(x - y)} (\theta(x + \eta) - \theta(x - y)) \right]_+ - \frac{x - \eta}{y - \eta} \left[\frac{2\eta + x - y}{2\eta(x - y)} (\theta(x - \eta) - \theta(x - y)) \right]_+ + \frac{3}{2} \delta(x - y)$$

Separate evolution in the DGLAP region $x > \eta$ and the ERBL region $-\eta < x < \eta$

State-of-the-art: 3-loop evolution equation [Braun, Manashov, Moch, Stohmaier \(2018\)](#)

Deeply Virtual Compton Scattering (DVCS)

Deeply Virtual Meson Production (DVMP)

GPD can be probed in **exclusive** processes
 Small cross section, need high luminosity → JLab/EIC

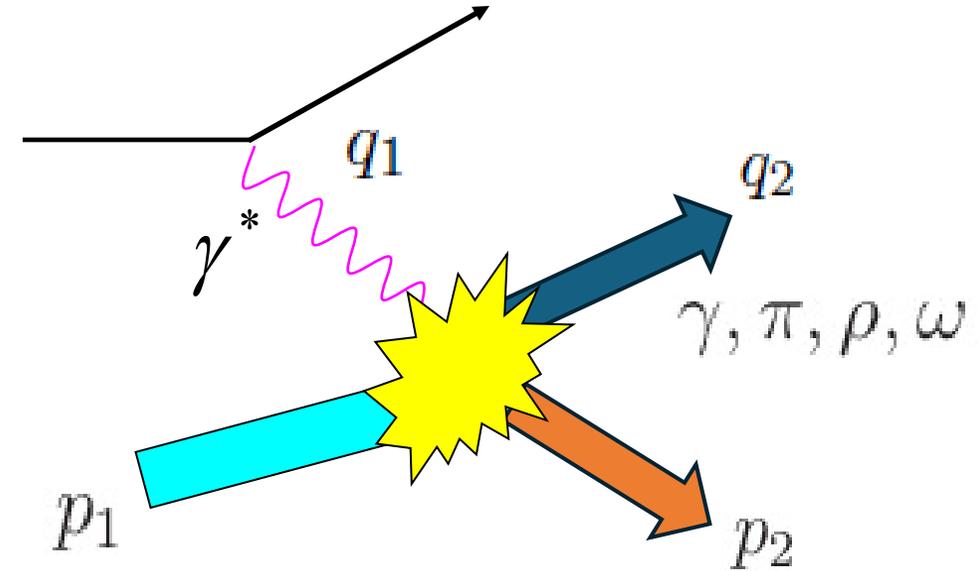
$$q = \frac{q_1 + q_2}{2} \quad P = \frac{p_1 + p_2}{2} \quad Q^2 = -q^2$$

$$\Delta = p_2 - p_1 = q_1 - q_2$$

Bjorken variable $x_B = \frac{-q_1^2}{2p_1 \cdot q_1}$ **generalized Bjorken variable** $\xi = \frac{Q^2}{2P \cdot q}$

Skewness $\eta = \frac{-\Delta \cdot q}{2P \cdot q}$

Exercise: Show that when $q_2^2 = 0$ (DVCS) and $Q^2 \gg |\Delta^2|$ $\eta \approx \xi \approx \frac{x_B}{2 - x_B}$



Virtual Compton amplitude: derivation

$$T^{\mu\nu}(\xi, \eta, t) = \frac{i}{2\pi} \int d^4y e^{iq \cdot y} \langle p_2 | \mathbf{T} \left\{ \overbrace{\bar{\psi} \gamma^\mu \psi(y/2)} \overbrace{\bar{\psi} \gamma^\nu \psi(-y/2)} \right\} | p_1 \rangle$$

Coordinate space propagator

$$\overbrace{\psi(y/2) \bar{\psi}(-y/2)} = \frac{i \not{y}}{2\pi^2 (y^2 - i\epsilon)^2}$$

Dirac matrix identity

$$\begin{aligned} & e^{-iq \cdot y} \gamma_\mu \not{y} \gamma_\nu + e^{iq \cdot y} \gamma_\nu \not{y} \gamma_\mu \\ &= (e^{-iq \cdot y} + e^{iq \cdot y}) (g_{\mu\rho} g_{\nu\tau} + g_{\nu\rho} g_{\mu\tau} - g_{\mu\nu} g_{\rho\tau}) y^\rho \gamma^\tau + (e^{-iq \cdot y} - e^{iq \cdot y}) i \epsilon_{\mu\rho\nu\lambda} y^\rho \gamma^\lambda \gamma_5 \end{aligned}$$

Connect to GPD in the leading twist approximation

$$\langle p_2 | \bar{\psi}(-y/2) \gamma^\tau \psi(y/2) | p_1 \rangle \approx \int dx e^{-ixP \cdot y} \int \frac{P^+ dy'^-}{2\pi} e^{ixP^+ y'^-} \langle p_2 | \bar{\psi}(-y'^-/2) \gamma^\tau \psi(y'^-/2) | p_1 \rangle$$

Keep only $\tau = +$ (twist-2)

Do the d^4y integration

$$\int d^4y \frac{y^\rho}{2\pi^2 y^4} (e^{-iq \cdot y} + e^{iq \cdot y}) e^{-ixP \cdot y} = \frac{-q^\rho - xP^\rho}{(-q - xP)^2} + \frac{q^\rho - xP^\rho}{(q - xP)^2}$$

Work in the $\gamma - P$ collinear frame and introduce a conjugate light-like vector

$$n^\mu = \frac{\delta_-^\mu}{P^+} \quad P \cdot n = 1$$

Eliminate q in favor of n

$$q^\rho = \frac{Q^2}{2\xi} n^\rho - \xi P^\rho$$

(continued from the previous slide)

$$= \frac{\xi P^\rho - \frac{Q^2}{2\xi} n^\rho - x P^\rho}{-Q^2 + 2xq \cdot P + i\epsilon} + \frac{-\xi P^\rho + \frac{Q^2}{2\xi} n^\rho - x P^\rho}{-Q^2 - 2xq \cdot P + i\epsilon} = -\frac{n^\rho}{2} \left(\frac{1}{x - \xi + i\epsilon} + \frac{1}{x + \xi - i\epsilon} \right)$$

Similarly, in the antisymmetric part,

$$\frac{\xi P^\rho - \frac{Q^2}{2\xi} n^\rho - x P^\rho}{-Q^2 + 2xq \cdot P + i\epsilon} - \frac{-\xi P^\rho + \frac{Q^2}{2\xi} n^\rho - x P^\rho}{-Q^2 - 2xq \cdot P + i\epsilon} = \frac{1}{2P \cdot q} \left[-2P^\rho - \frac{Q^2}{2\xi} n^\rho \left(\frac{1}{x - \xi + i\epsilon} - \frac{1}{x + \xi - i\epsilon} \right) \right]$$

Remember, this is multiplied by $\epsilon_{\mu\rho\nu} + \langle \bar{\psi} \gamma^+ \gamma_5 \psi \rangle$

$\rho = -$, drop the $-2P^\rho$ term

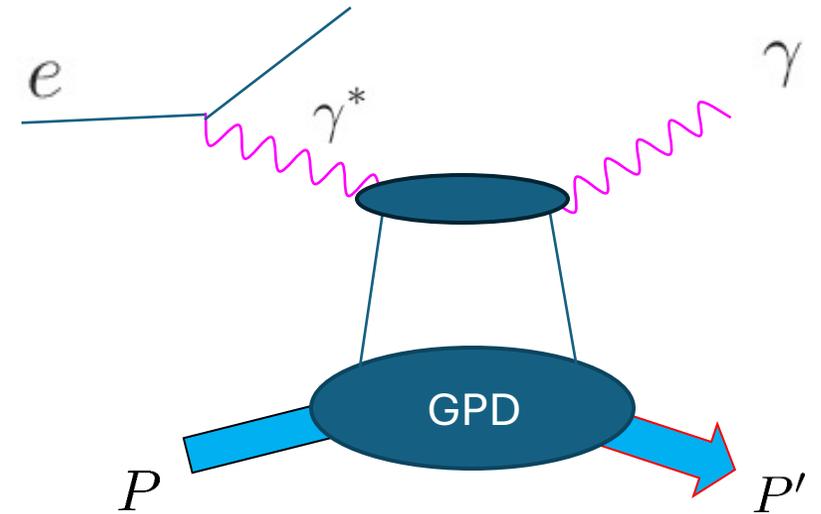
Final result (leading order factorization formula)

$$\begin{aligned}
 T^{\mu\nu}(\xi, \eta, t) = & \quad \text{unpolarized GPD} \\
 & -(g^{\mu\rho}g^{\nu-} + g^{\mu-}g^{\nu\rho} - g^{\mu\nu}g^{\rho-})n_\rho \int \frac{dx}{4\pi} \left(\frac{1}{x + \xi - i\epsilon} + \frac{1}{x - \xi + i\epsilon} \right) \bar{u}(p_2) \left[H\gamma^+ + E\frac{i\sigma^{+\sigma}\Delta_\sigma}{2m} \right] u(p_1) \\
 & -i\epsilon^{\mu\nu\rho-}n^\rho \int \frac{dx}{4\pi} \left(\frac{1}{x + \xi - i\epsilon} - \frac{1}{x - \xi + i\epsilon} \right) \bar{u}(p_2) \left[\tilde{H}\gamma^+\gamma_5 + \tilde{E}\frac{\gamma_5\Delta^+}{2m} \right] u(p_1) \\
 & \quad \text{polarized GPD}
 \end{aligned}$$

It is the amplitude (not cross section) that factorizes.

Both the unpolarized and polarized GPDs contribute even in unpolarized Compton scattering.

State-of-the-art: next-to-next-to-leading order (NNLO) coefficient functions [Braun, Ji, Schoenleber \(2022\)](#)



GPD challenges

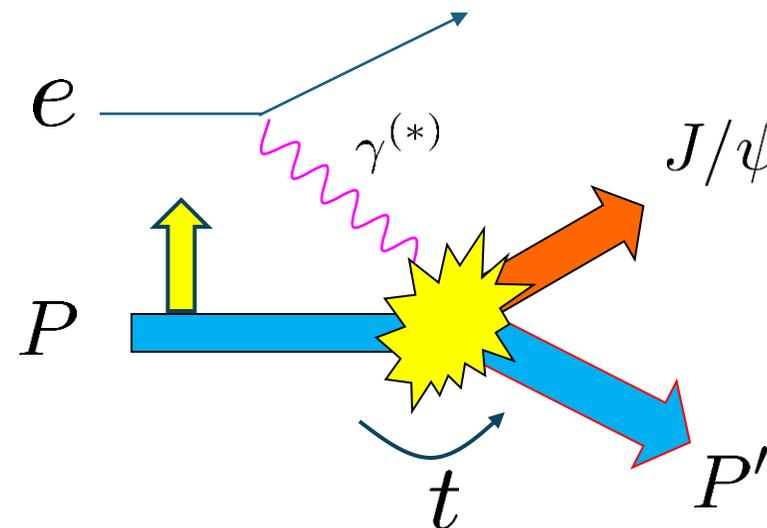
- More variables \rightarrow more difficult to extract from experiments
- Many GPDs. `Polarized' GPDs contribute to unpolarized processes
- Severe inverse problem. How can one reconstruct $H(x, \xi, t)$ if one only knows

$$\int_{-1}^1 dx \frac{1}{x - \xi + i\epsilon} H(x, \xi, t)$$

In contrast, PDF is directly related to the structure functions

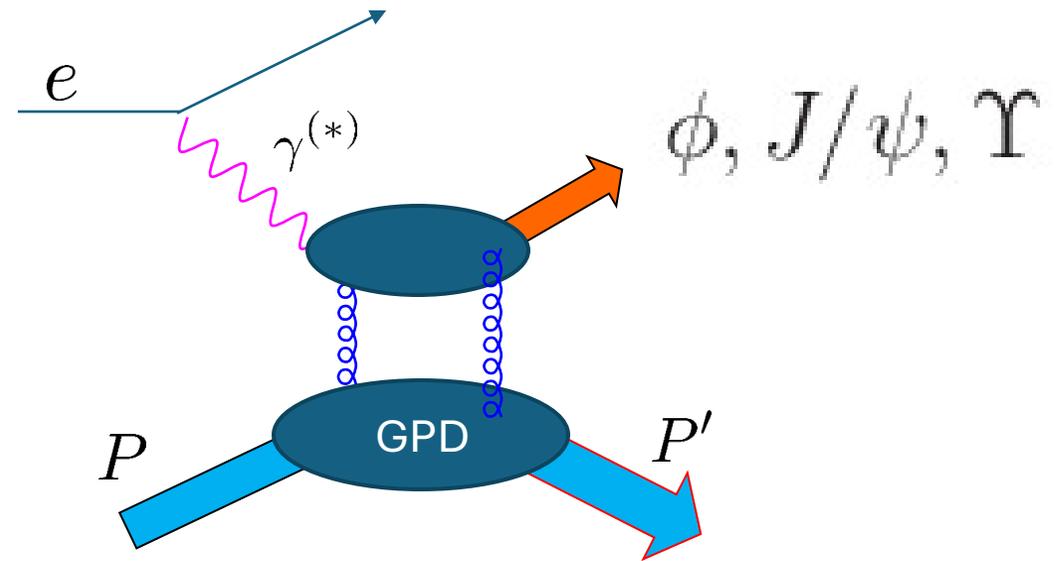
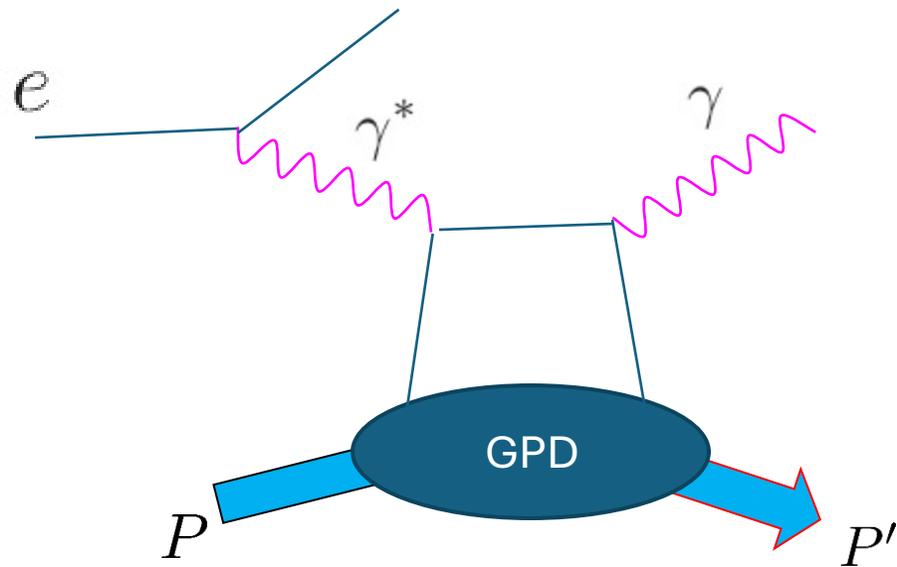
$$g_1(x) = \frac{1}{2} \sum_f e_f^2 (\Delta q_f(x) + \Delta \bar{q}_f(x)) + \dots$$

- Evolution equation complicated.
- Difficult to access gluon GPDs
- Yet, many recent progress in theory and lattice!



Gluon GPD E from exclusive single spin asymmetry

Can we access GFFs through GPD measurements?



Processes like DVCS and heavy meson production involve two photons/gluons
→ Can mimic a spin-2 graviton exchange!?

$$1+1=2$$

Polyakov-Weiss D-term

Remember polynomiality relation

$$\int dx x^n H_q(x, \eta, t) = h_0^n(t) + h_2^n(t) \eta^2 + \dots + h_{n+1}^n(t) \eta^{n+1}$$

Only even powers

→ highest power $n = \text{odd}$

$$H_q(x, \eta, t) = H_q^{DD}(x, \eta, t) + \theta(\eta - |x|) D_q(x/\eta, t)$$

Radyushkin's
'double distribution'

Support in the ERBL region

Solely responsible for the highest power η^{n+1}

$$\int_{-1}^1 dz z^n D_q(z, t) = h_{n+1}^n(t), \quad D_q(z, t) = -D_q(-z, t)$$

In particular, when $n = 1$, connection to GFF $\int_{-1}^1 dz z D_q(z, t) = h_2^1(t) = D_q(t)$

Dispersion relation

Compton form factor has both real and imaginary parts

$$\mathcal{H}_q(\eta, t) = \int_{-1}^1 dx \left(\frac{1}{\eta - x - i\epsilon} - \frac{1}{\eta + x - i\epsilon} \right) H_q(x, \eta, t)$$

$$\text{Im}\mathcal{H}_q(\eta, t) = \pi(H_q(\eta, \eta, t) - H_q(-\eta, \eta, t))$$

One can show that [Teryaev, arXiv:0510031, Anikin, Teryaev \(2007\)](#)

$$\begin{aligned} \mathcal{H}_q(\eta, t) &= \int_{-1}^1 dx \frac{1}{\eta - x - i\epsilon} (H_q(x, \eta, t) - H_q(-x, \eta, t)) \\ &= \int_{-1}^1 dx \frac{1}{\eta - x - i\epsilon} \underbrace{(H_q(x, x, t) - H_q(-x, x, t))}_{\text{Proportional to the imaginary part}} + 2 \int_{-1}^1 dz \frac{D_q(z, t)}{1 - z} \end{aligned}$$

Proportional to the imaginary part

Proof:

$$\begin{aligned} & \int_{-1}^1 dx \frac{1}{\eta - x - i\epsilon} \left(\overbrace{H_q(x, \eta, t) - H_q(-x, \eta, t)} - \underbrace{(H_q(x, x, t) - H(-x, x, t))} \right) \\ &= \int_{-1}^1 dx \sum_{n=0}^{\infty} \frac{1}{(n+1)!} (x - \eta)^n \frac{d^{n+1}}{d\eta^{n+1}} (H_q(x, \eta, t) - H(-x, \eta, t)) \\ &= \sum_{n=0}^{\infty} (1 - (-1)^n) h_{n+1}^n(t) = 2 \sum_{n=1}^{\text{odd}} h_{n+1}^n(t) = 2 \int_{-1}^1 dz \frac{D_q(z, t)}{1 - z} \end{aligned}$$

Because of polynomiality, only the highest power $(x - \eta)^n = x^n + \dots$ contributes

Taking the real part, one finds the dispersion relation

$$\operatorname{Re}\mathcal{H}_q(\eta, t) = \frac{1}{\pi} \int_{-1}^1 dx \operatorname{P} \frac{\operatorname{Im}\mathcal{H}_q(x, t)}{\eta - x} + 2 \int_{-1}^1 dz \frac{D_q(z, t)}{1 - z}$$

subtraction constant

By separately measuring the real and imaginary part of the Compton form factor, one can extract the integral from the experimental data

$$\int_{-1}^1 dz \frac{D_q(z, t)}{1 - z}$$

BUT, this is **not** the D-term

$$\int_{-1}^1 dz z D_q(z, t) = D_q(t)$$

What's going on? Taylor expand

$$\frac{1}{1-z} = 1 + z + z^2 + z^3 + \dots$$

Twist-2, spin-2
Energy momentum tensor

Twist-2, spin-4

Two-photon state couples to infinitely many twist-2 operators with arbitrary spin.

$$1 + 1 \neq 2$$

How can one extract only the spin-2 component?

