

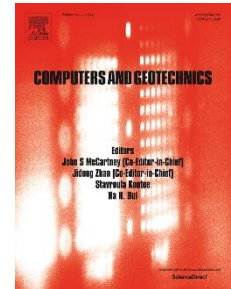
A Data-Driven Framework for Efficient Hierarchical Multiscale Modeling of Thermomechanical Effects in Granular Materials

Rafael L. Rangel
(r.rangel@utwente.nl)

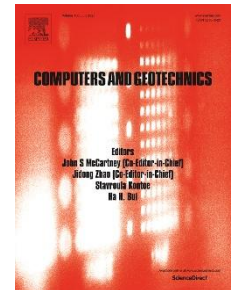
Juan M. Gimenez, Eugenio Oñate, Alessandro Franci

Reference Publications

R.L. Rangel, J.M. Gimenez, E. Oñate, and A. Franci.
A continuum–discrete multiscale methodology using machine learning for thermal analysis of granular media.
Computers and Geotechnics, 168:106118, 2024.



R.L. Rangel, A. Franci, E. Oñate, and J.M. Gimenez.
Multiscale data-driven modeling of the thermomechanical behavior of granular media with thermal expansion effects.
Computers and Geotechnics, 176:106789, 2024.



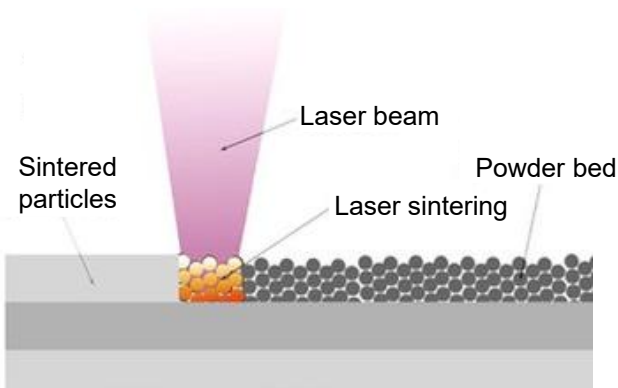
1 – Introduction

2 – Methodology

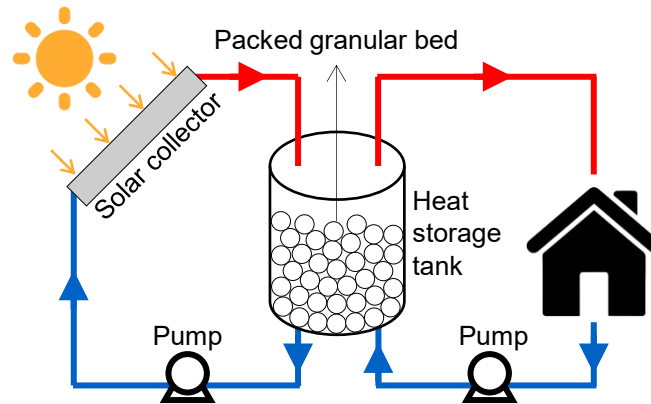
3 – Results and Discussions

4 – Conclusions

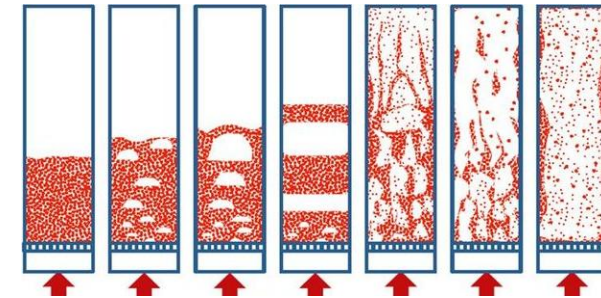
Thermomechanical effects in granular materials



Selective laser sintering

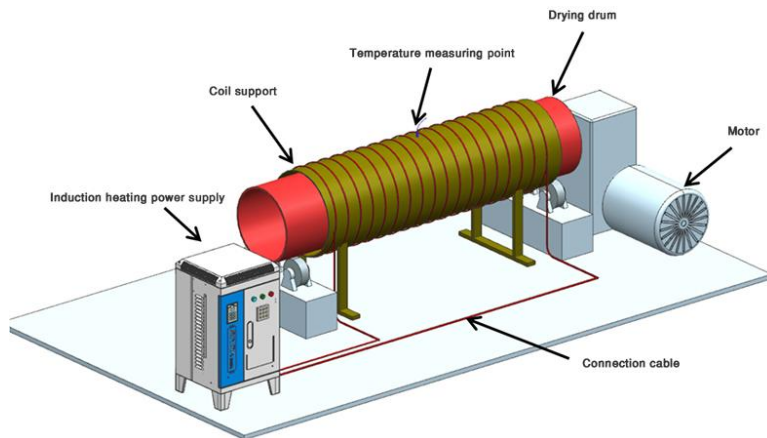


Thermal energy storage systems



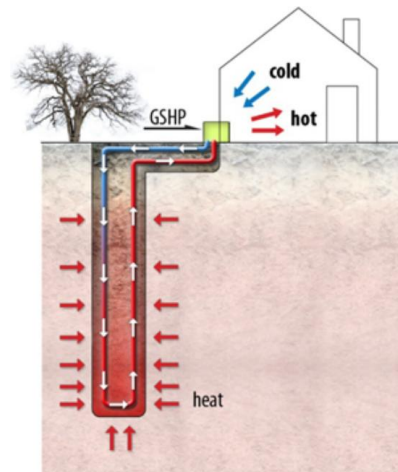
Fluidized beds in catalytic reactors

Adham and Bowes, 1st Global Conference on Extractive Metallurgy, 2505-2512, 2018



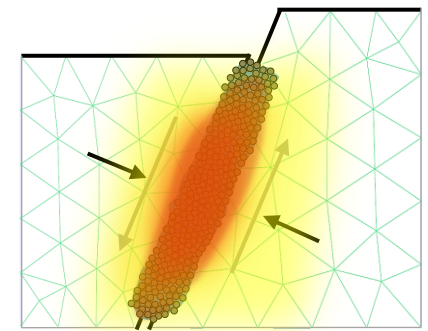
Rotating drum driers

<http://inductionheater-inc.com/induction-heating-for-rotary-drum-dryer.html>



Geothermal piles

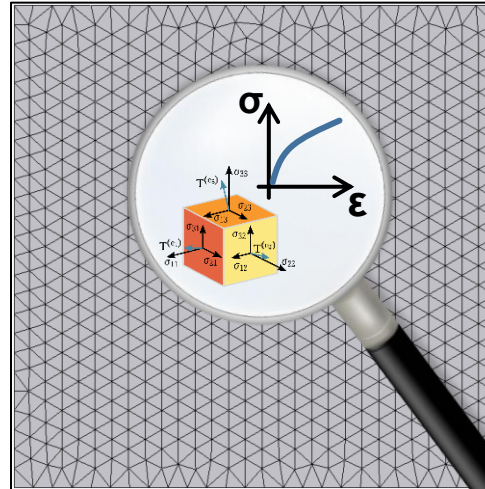
Johnston, *KSCE J. Civil Eng.*, 15:643-653, 2011



Flash frictional heating in seismic activities

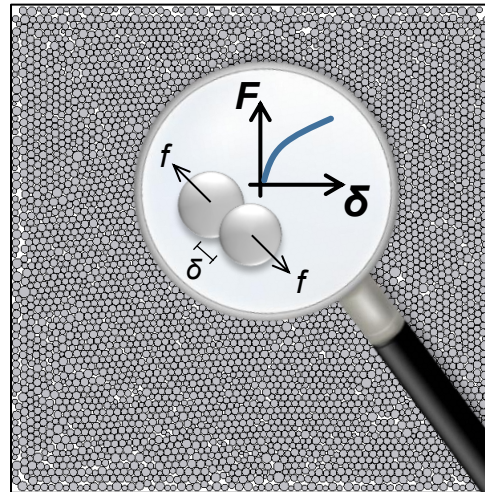
Modeling Limitations

Dichotomy of numerical approaches for granular media



Continuous methods

- Affordable computational cost.
- Phenomenological, scale-specific constitutive laws.

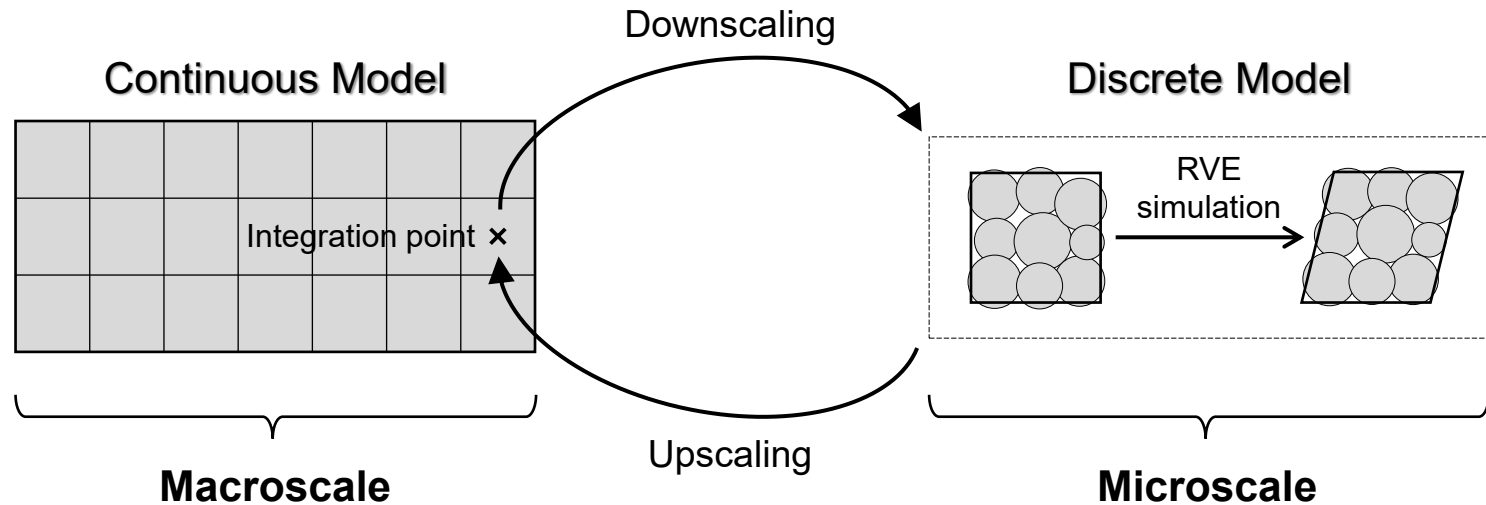


Discrete methods (DEM)

- Grain-scale accuracy.
- High computational cost.

Modeling Limitations

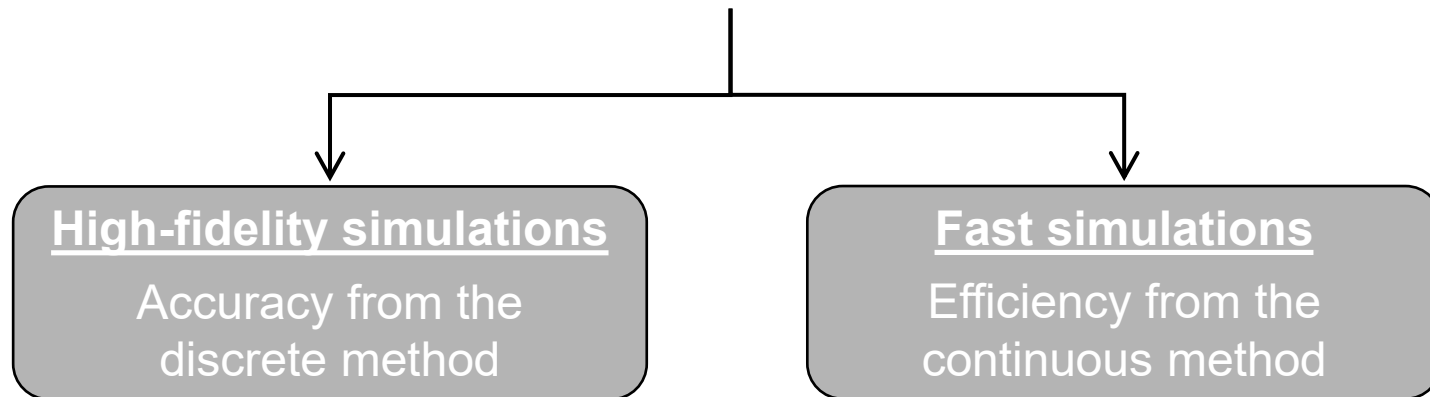
Continuum-discrete hierarchical multiscale



- Alleviates the limitations of continuous and discrete methods.
- Online (runtime) RVE simulations: Too expensive for real-world problems.
- Seldom applied to investigate thermomechanical effects.

Objectives

Multi-Scale Data-Driven (MSDD) approach



Ingredients

Continuum-discrete
hierarchical multiscale

Pre-computed
microscale solutions

Machine-learning
surrogate model

Purpose: Thermomechanical analyses

1 – Introduction

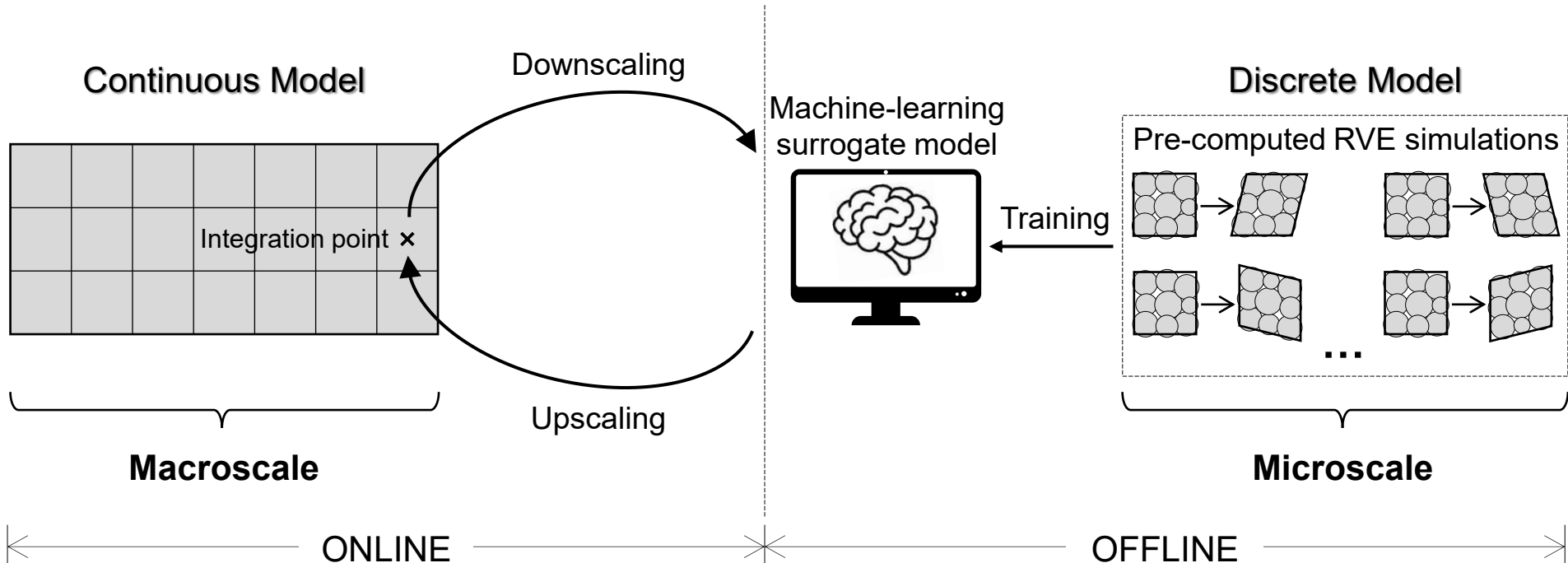
2 – Methodology

3 – Results and Discussions

4 – Conclusions

General Scheme

Multi-Scale Data-Driven (MSDD) approach

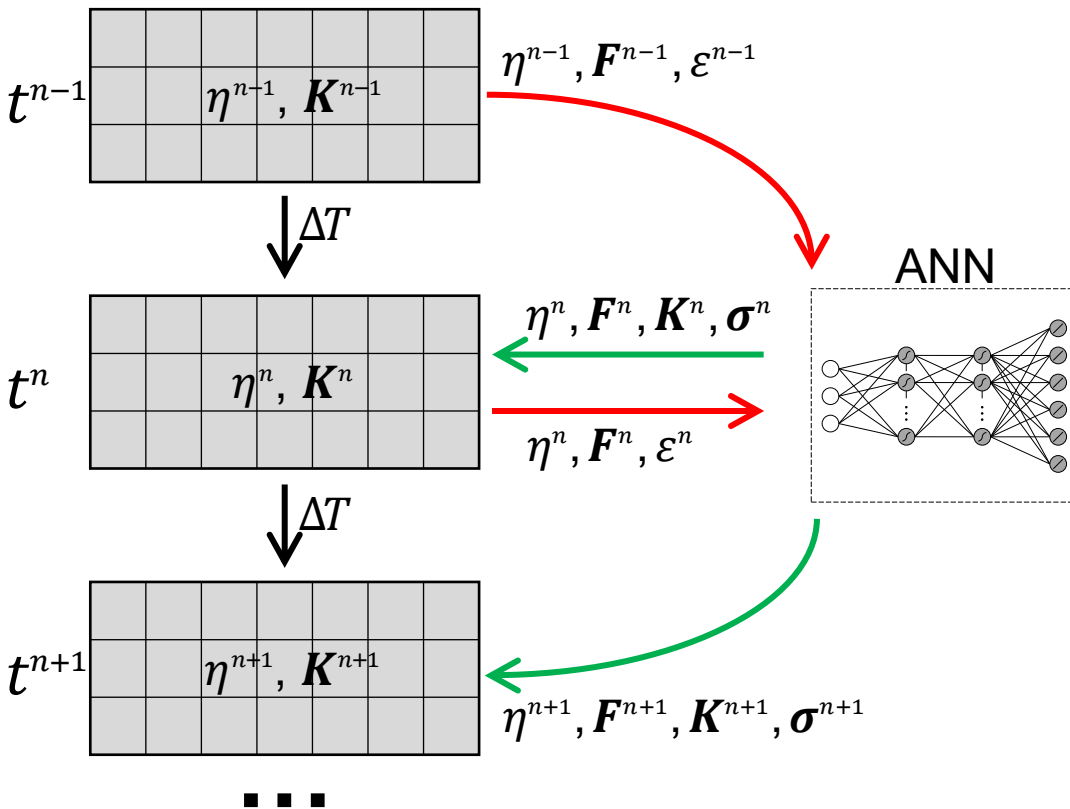


- Current scope:
- Thermal effects: heat conduction + thermal expansion
 - Small deformations in confined systems
 - Two-dimensional (2D) models with no gravity

Specific Scheme

Continuous model

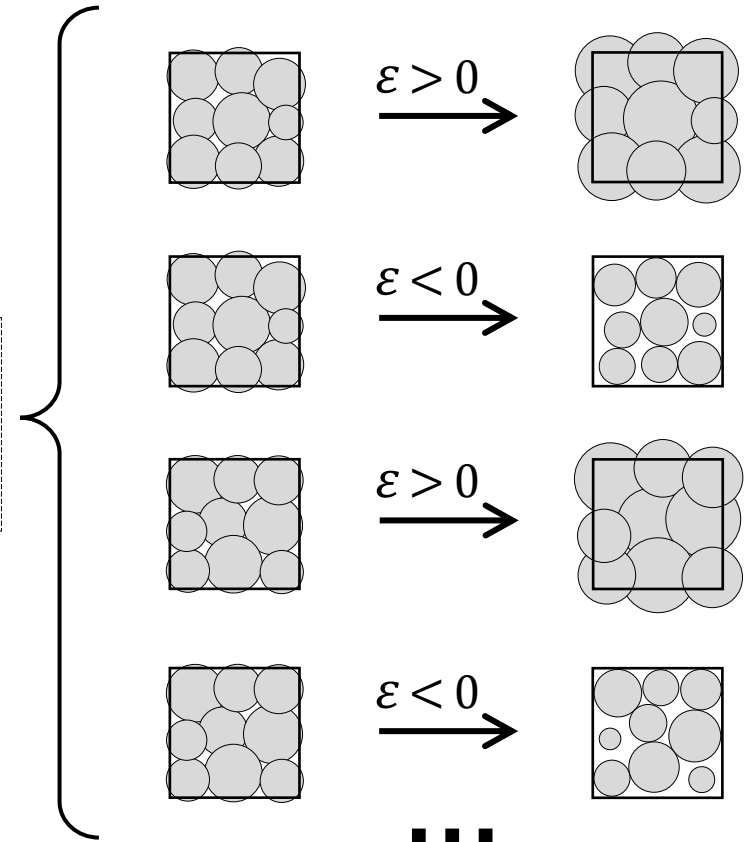
$$\rho_s(1 - \eta) \frac{\partial T}{\partial t} = \nabla \cdot (K \nabla T)$$



DEM RVEs

Input config.
 $(\eta, F)_0$

Output config.
 $(\eta, F, K, \sigma)_i$



T : Temperature ρ_s : Solid density η : Porosity F : Fabric ε : Thermal strain K : Conductivity σ : Stress

Transient heat diffusion problem

$$\varrho c \frac{\partial T}{\partial t} = \nabla \cdot (\mathbf{K} \nabla T) \quad \text{in } [\Omega, t] \quad \text{where: } \varrho = \rho_s(1 - \eta)$$

$$T = \bar{T} \quad \text{in } [\Gamma_D, t]$$

$$\mathbf{K} \nabla T \cdot \mathbf{n}_\Gamma = \bar{q} \quad \text{in } [\Gamma_N, t]$$

$$T = T_0 \quad \text{in } [\Omega, 0]$$

Open  FOAM

Finite Volume Method (FVM)

$$\sum_i \left((\varrho c)_i \left(\frac{\partial T}{\partial t} \right)_i \Omega_i - \sum_{b \in \Gamma_i} (\mathbf{K} \cdot \nabla T \cdot \mathbf{n})_b \Gamma_b \right) = 0 \quad \left\{ \begin{array}{l} \text{Time integration scheme: Implicit 1}^{\text{st}}\text{-order} \\ \text{Spatial approximation: 2}^{\text{nd}}\text{-order operators} \end{array} \right.$$

Effective granular properties ($\mathbf{K}$, ϱc) depend on the current microstructure

Microscale Discrete (DEM) Solver

$$\text{Equations of motion} \left\{ \begin{array}{l} \frac{dv}{dt} = \frac{\mathbf{f}}{m}, \\ \frac{d\omega}{dt} = \mathbf{I}^{-1} \mathbf{M}, \end{array} \right. \quad \begin{array}{l} \mathbf{f} = \mathbf{f}_d + \sum^{N_n} \mathbf{f}_n + \mathbf{f}_t \\ \mathbf{M} = \sum^{N_n} \mathbf{M}_{f_t} \end{array}$$

$$\text{Thermal energy} \left\{ \begin{array}{l} \frac{dT}{dt} = \frac{q}{mc}, \\ q = \sum^{N_n} q_c \end{array} \right.$$



Microscale Discrete (DEM) Solver

$$\text{Equations of motion} \left\{ \begin{array}{l} \frac{d\mathbf{v}}{dt} = \frac{\mathbf{f}}{m}, \quad \mathbf{f} = \mathbf{f}_d + \sum^{N_n} \mathbf{f}_n + \mathbf{f}_t \\ \frac{d\boldsymbol{\omega}}{dt} = \mathbf{I}^{-1} \mathbf{M}, \quad \mathbf{M} = \sum^{N_n} \mathbf{M}_{f_t} \end{array} \right.$$

$$\text{Thermal energy} \left\{ \begin{array}{l} \frac{dT}{dt} = \frac{q}{mc}, \quad q = \sum^{N_n} q_c \end{array} \right.$$



Mechanical interaction models (Linear forces + Coulomb friction + damping)

$$\mathbf{f}_n = -s_n \delta_n \mathbf{n} \quad \text{where: } s_n = 2er_1r_2/(r_1 + r_2)$$

$$\mathbf{f}_t = \begin{cases} \mathbf{f}_t^{\text{prev}} - \nu s_n \Delta \mathbf{u}_t & \text{if } \|\mathbf{f}_t\| \leq \|\mathbf{f}_n\| \tan(\varphi) \\ \|\mathbf{f}_n\| \tan(\varphi) \mathbf{t} & \text{otherwise} \end{cases}$$

$$\mathbf{f}_d = -\mu \|\mathbf{f}_c\| \frac{\mathbf{v}}{\|\mathbf{v}\|}$$

Microscale Discrete (DEM) Solver

Equations of motion $\begin{cases} \frac{dv}{dt} = \frac{f}{m}, & f = f_d + \sum^{N_n} f_n + f_t \\ \frac{d\omega}{dt} = I^{-1}M, & M = \sum^{N_n} M_{f_t} \end{cases}$

Thermal energy $\begin{cases} \frac{dT}{dt} = \frac{q}{mc}, & q = \sum^{N_n} q_c \end{cases}$



Thermal interaction model (Thermal pipe model)

$$q_c = -ka_p \frac{(T_i - T_j)}{l_p}$$
A diagram illustrating the thermal pipe model. It consists of two overlapping circles. The left circle is labeled T_i and the right circle is labeled T_j . A horizontal gray rectangle, representing the thermal pipe, is positioned in the intersection of the two circles. The rectangle has a length l_p and a cross-sectional area a_p . A vector $\mathbf{n}$ points from the left face of the pipe to the right face. The distance between the centers of the two circles is also indicated as l_p .

Thermal expansion model (Linear model)

$$\Delta r = \varepsilon r^0 \quad \text{where, thermal strain: } \varepsilon = \alpha \Delta T$$

Micro-to-Macro Upscaling Formulation

Fabric tensor

$$\mathbf{F} = \frac{1}{N_c} \sum_{N_c} \mathbf{n} \otimes \mathbf{n} \quad \text{2D: } \mathbf{F} = \begin{bmatrix} F_{xx} & F_{xy} \\ F_{xy} & F_{yy} \end{bmatrix}$$

Since $\text{tr}(\mathbf{F}) = 1$ and $F_{xy} \cong 0$, $\mathbf{F}$ is represented as a scalar fabric index: $f = F_{xx} - F_{yy}$

Effective thermal conductivity tensor

$$\mathbf{K} = \frac{1}{V} \sum_{N_c} k a_p l_p \mathbf{n} \otimes \mathbf{n} \quad \left\{ \begin{array}{l} \bullet \text{ Derived for thermal pipe model} \\ \bullet \text{ Geometry-based (no heat applied)} \\ \bullet k: \text{ Particles conductivity} \end{array} \right.$$

Cauchy stress tensor

$$\boldsymbol{\sigma} = \frac{1}{V} \sum_{N_c} (-l_p \mathbf{n}) \otimes (\mathbf{f}_n + \mathbf{f}_t) \quad \text{Mean effective stress: } p = \frac{1}{\dim} \text{tr}(\boldsymbol{\sigma})$$

RVE Packing Generation

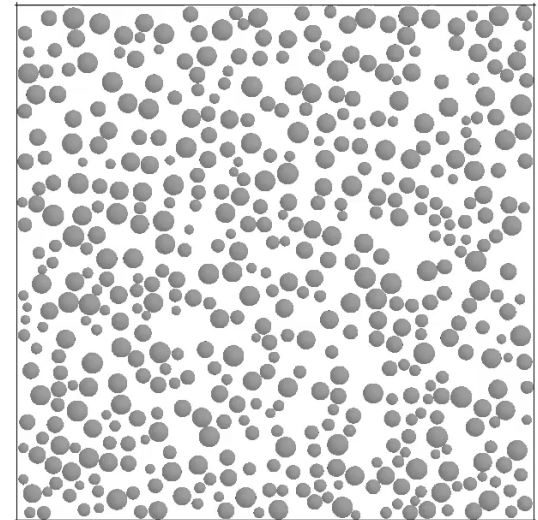
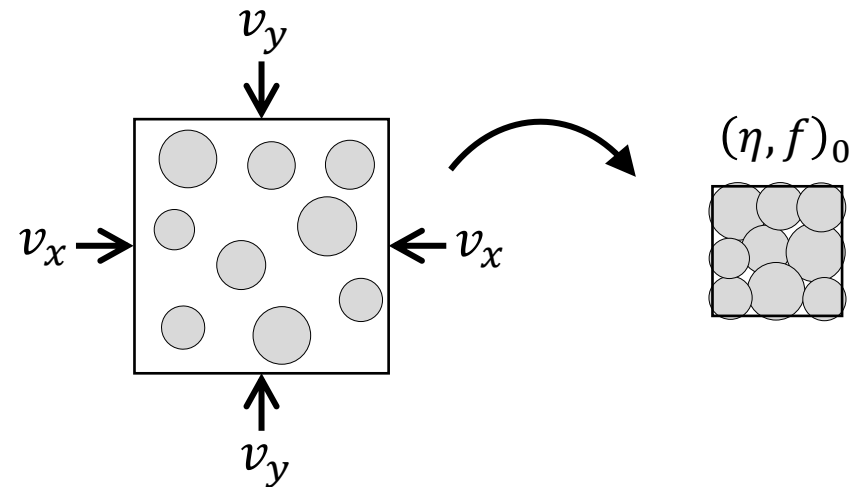
Particles randomly positioned
in a control volume

Compression by flat walls

No gravity

Compression stop criterion:
target packed porosity (η_0)

Relative wall velocities in X,Y directions
to modify packed fabric (F_0)



RVE Packing Generation

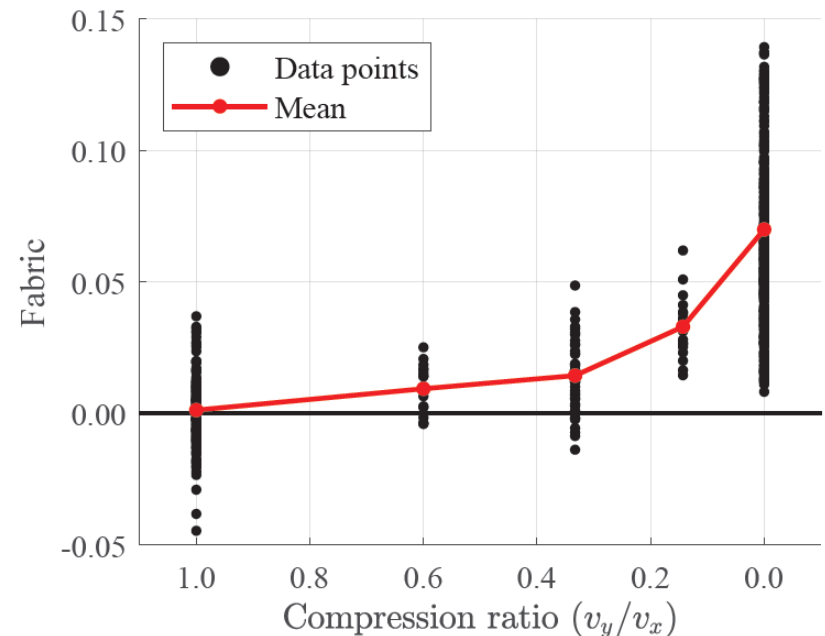
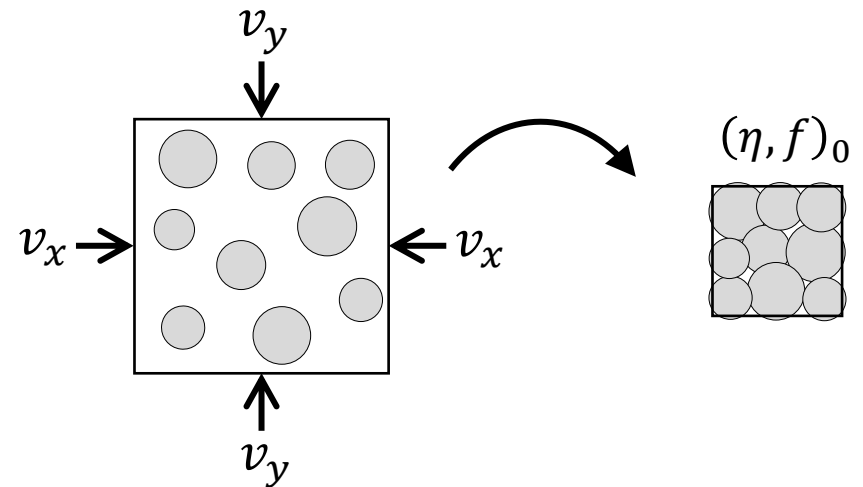
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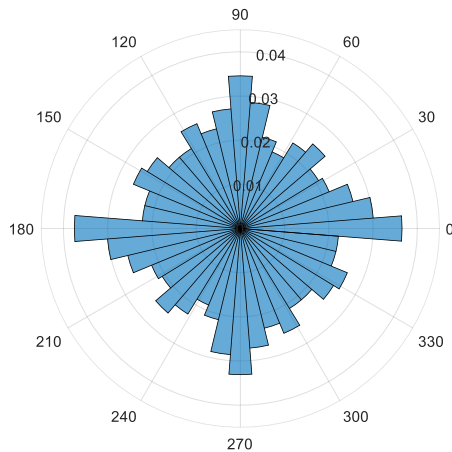
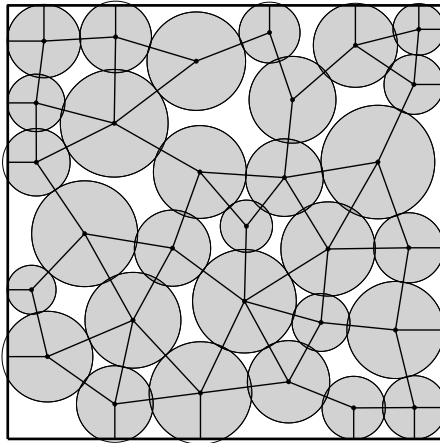
Compression stop criterion:
target packed porosity (η_0)

Relative wall velocities in X,Y directions
to modify packed fabric (F_0)



RVE Boundary Treatment

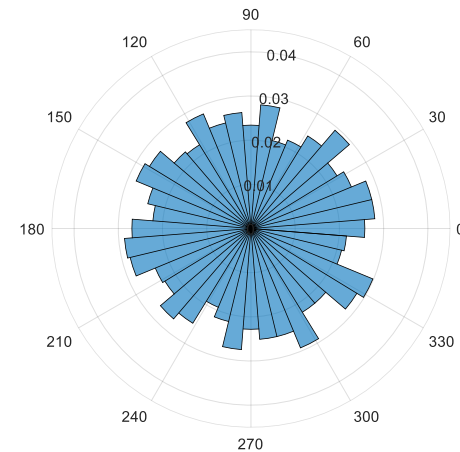
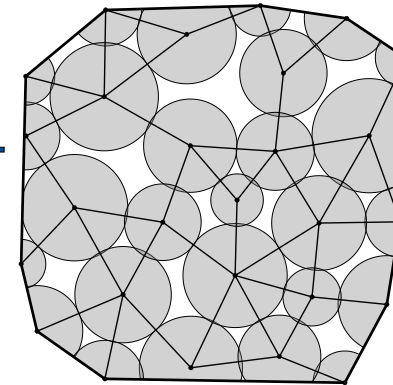
All contacts



Predominance of main XY directions

Internal contacts

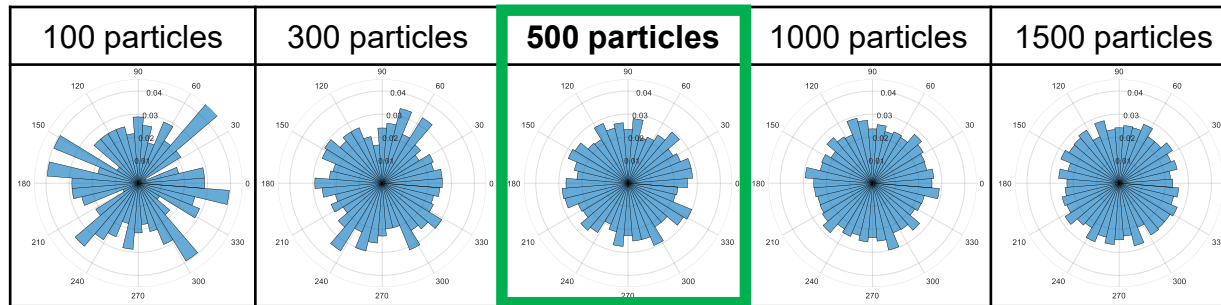
Convex hull



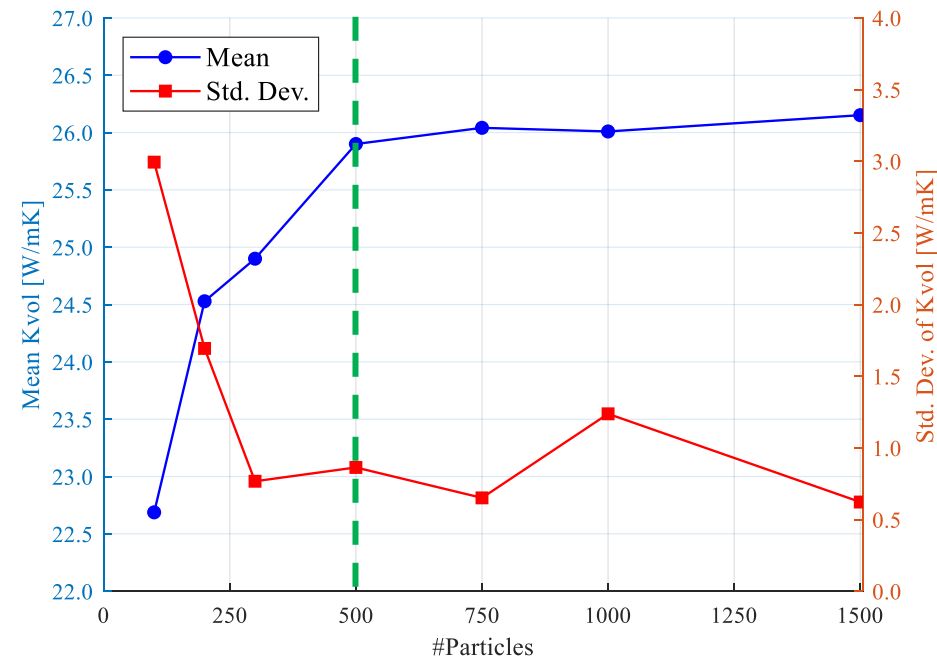
Wall effects are vanished

RVE Representativeness

Convergence of rose diagram



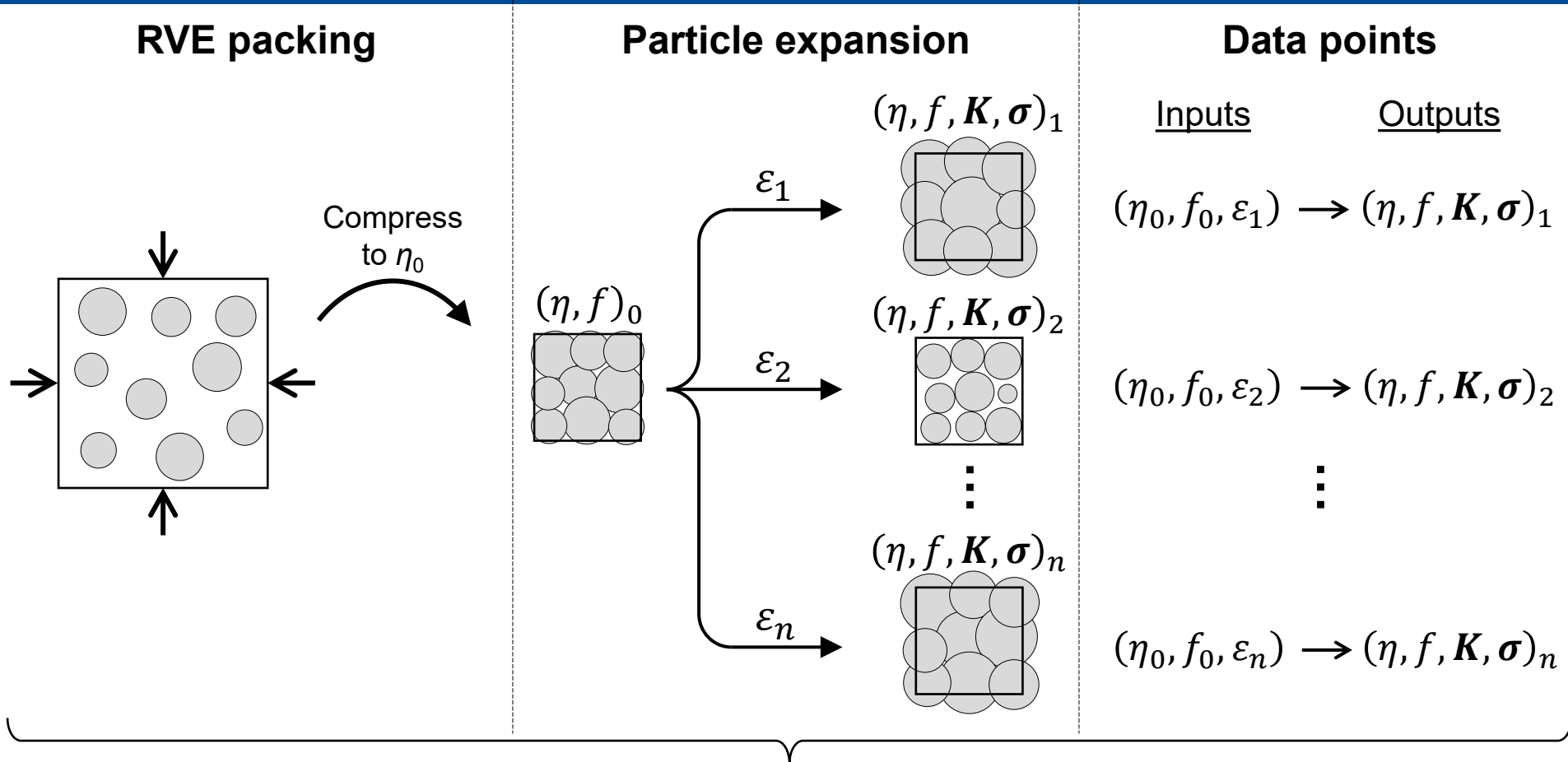
Convergence of effective conductivity



10 RVEs packings with different #particles

500 particles: Good balance between Computational Cost vs. Representativeness

Microscale Database Generation



Repeat for several packings $(\eta, f)_0$

Frame invariance:

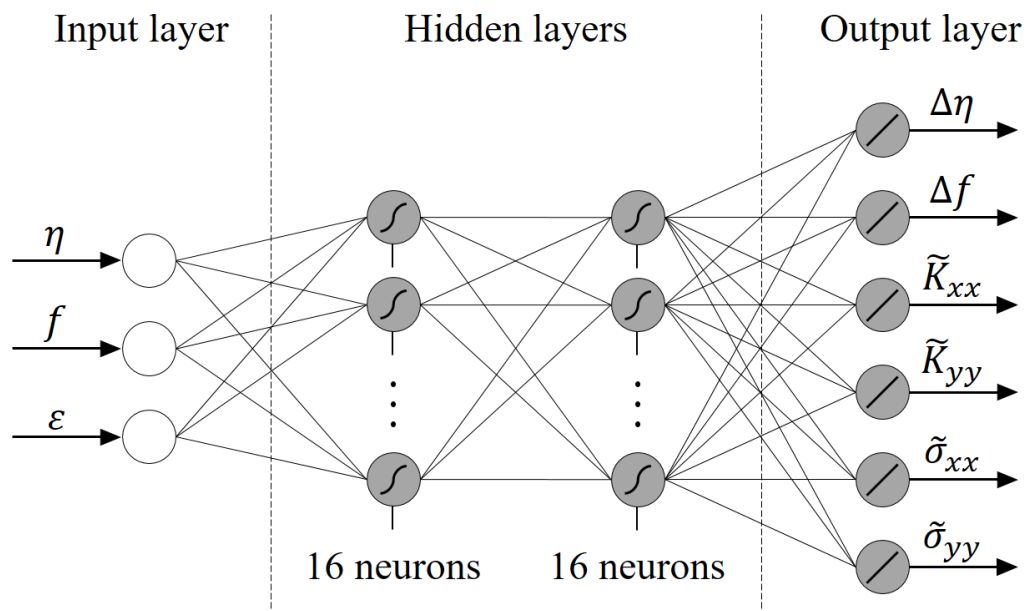
- Simulated data points: $(\eta_0, f_0, \epsilon) \rightarrow (\eta, f, K, \sigma)$
- Rotated data points: $(\eta_0, -f_0, \epsilon) \rightarrow (\eta, -f, K^R, \sigma^R)$ R: 90° rotation

Microscale Surrogate Model

$$(\Delta\eta, \Delta f, \tilde{\mathbf{K}}, \tilde{\boldsymbol{\sigma}}) = \psi(\eta, f, \varepsilon) \left\{ \begin{array}{l} \text{Dimensionless outputs} \\ \text{Diagonal components} \end{array} \right. \left\{ \begin{array}{l} \tilde{\mathbf{K}} = \frac{\mathbf{K}}{K} \\ \tilde{\boldsymbol{\sigma}} = \frac{\boldsymbol{\sigma}}{E} \end{array} \right. \begin{array}{l} K: \text{Particle conductivity} \\ E: \text{Particle Young's modulus} \end{array}$$

$$\left\{ \begin{array}{l} \tilde{\mathbf{K}} = \tilde{K}_{xx}, \tilde{K}_{yy} \\ \tilde{\boldsymbol{\sigma}} = \tilde{\sigma}_{xx}, \tilde{\sigma}_{yy} \end{array} \right.$$

Artificial Neural Network



1 – Introduction

2 – Methodology

3 – Results and Discussions

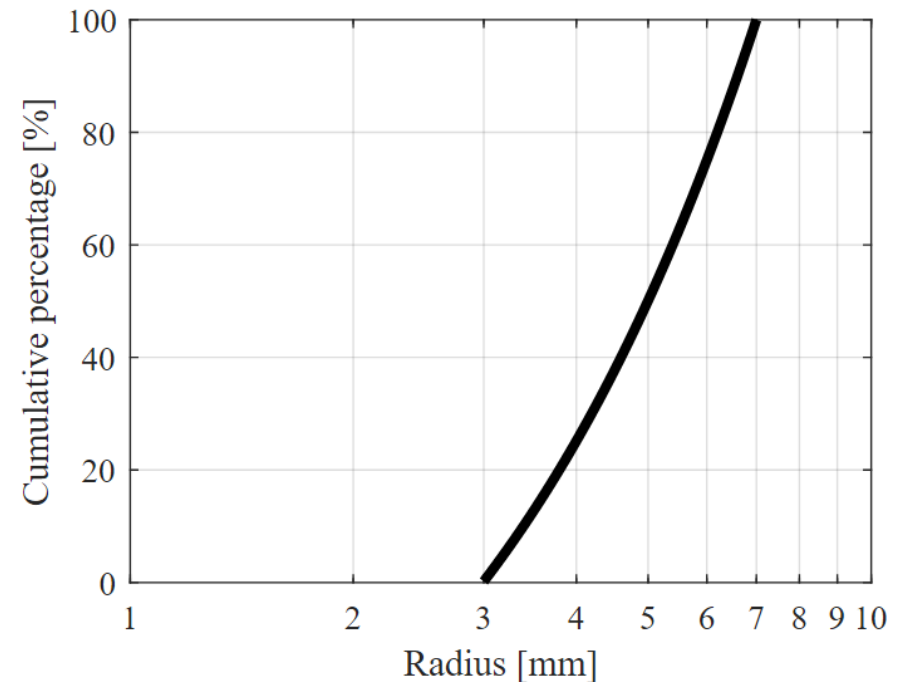
4 – Conclusions

Granular Material Adopted

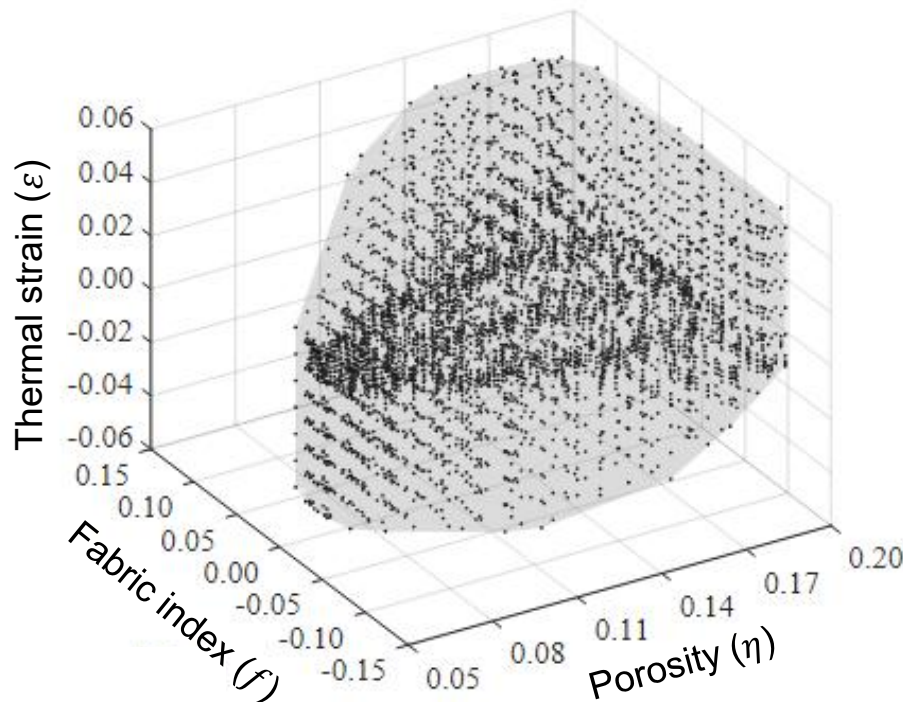
Particle properties

Property	Value
Density [kg/m^3]	3000
Young's modulus [MPa]	10
Stiffness ratio [-]	0.8
Friction angle [-]	0.5
Thermal conductivity [$\text{W/m}\cdot\text{K}$]	100
Specific heat capacity [$\text{J/kg}\cdot\text{K}$]	1.0
Thermal expansion coeff. [-]	0.001
Damping coeff. [-]	0.1

Particle size distribution



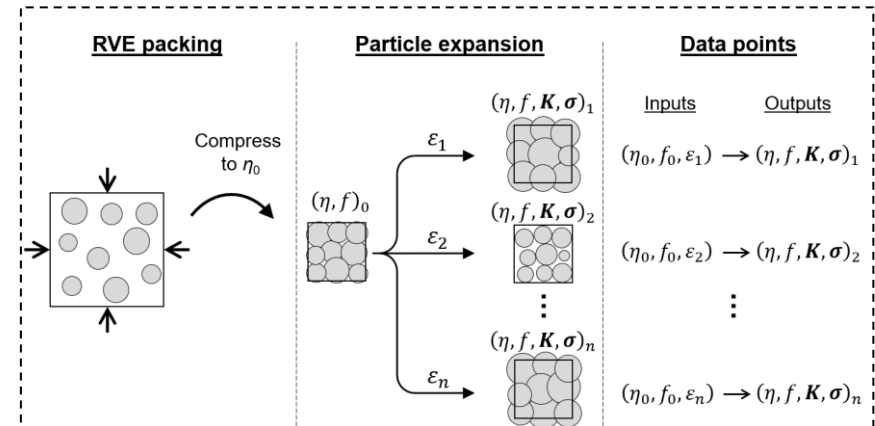
Database input space (η, f, ε)



5172 data points:

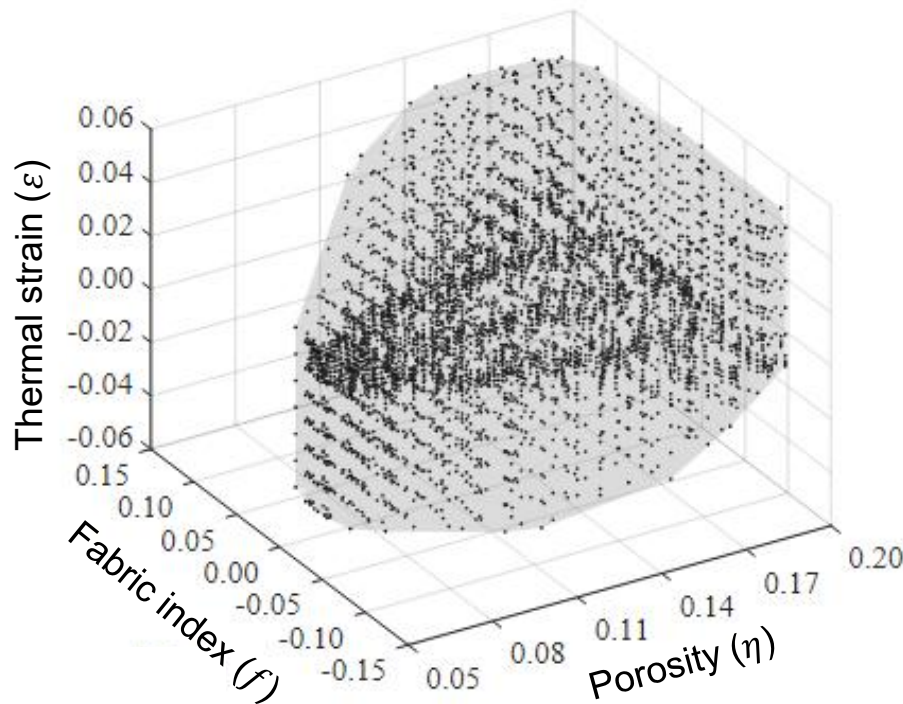
- Porosity: 6.0% – 19.5%
- Fabric: -0.14 – 0.14
- Strain: -5.0% – 5.0%

 Reliable ANN prediction region
(Convex hull of data points)



Microscale Database

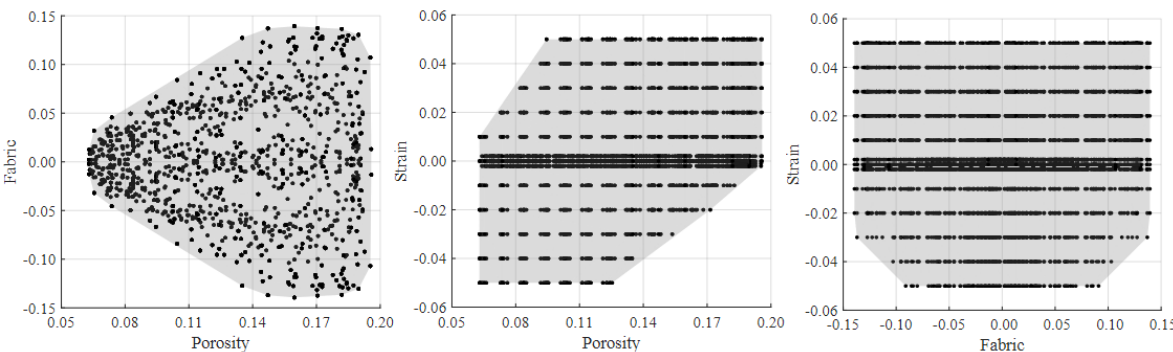
Database input space (η , f , ε)



5172 data points:

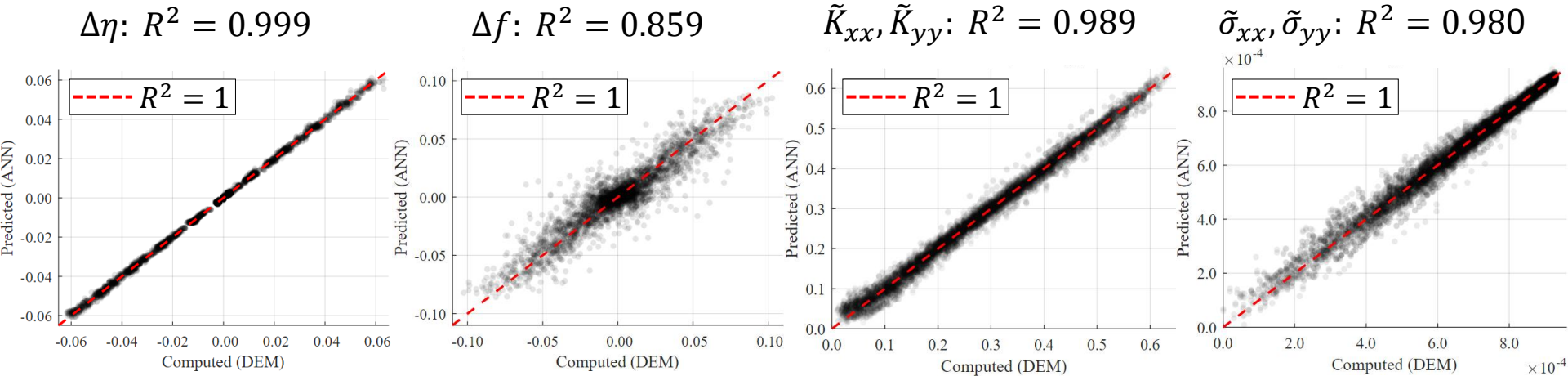
- Porosity: 6.0% – 19.5%
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 Reliable ANN prediction region
(Convex hull of data points)



➔ Higher concentration
for small ε

Output predictions



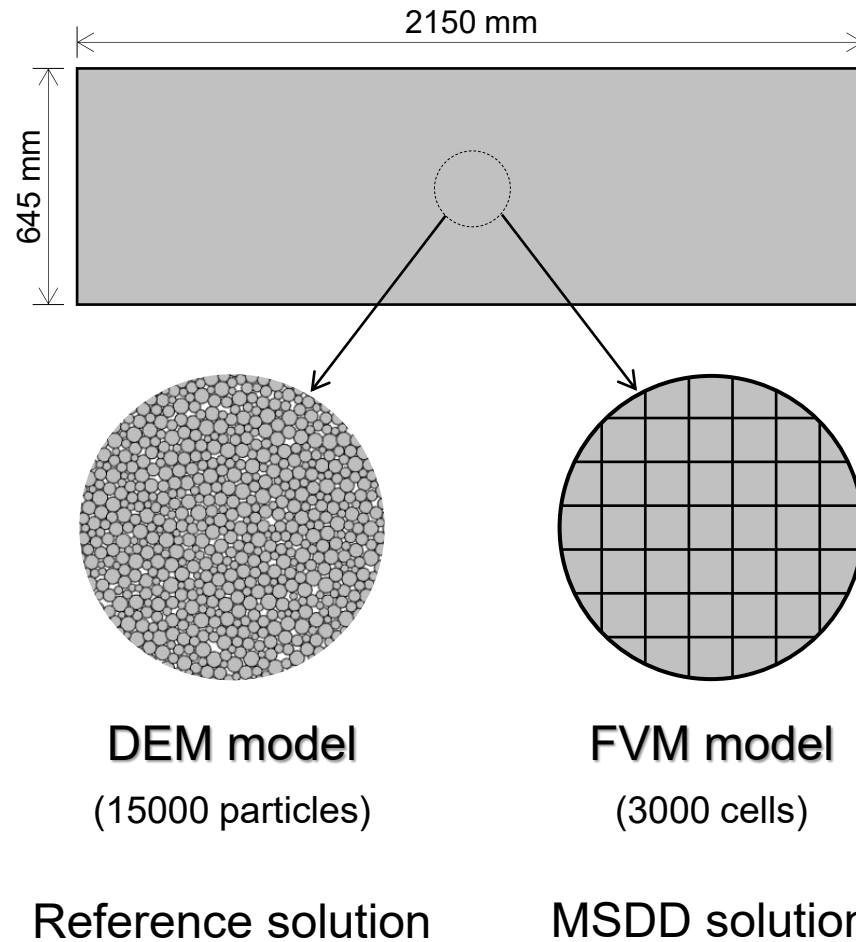
Output sensitivity to inputs

Excluded input	$\Delta\eta$	Δf	$\tilde{K}_{xx}, \tilde{K}_{yy}$	$\tilde{\sigma}_{xx}, \tilde{\sigma}_{yy}$
-	0.999	0.859	0.989	0.980
f	0.998	0.003	0.935	0.851
η	0.985	0.834	0.358	0.362
ε	0.132	0.256	0.649	0.664

No surplus or missing of important parameters!

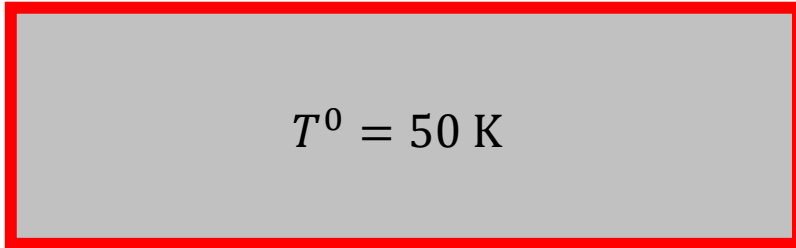
Validation Example

Comparison between DEM and MSDD solutions



Analysis Cases

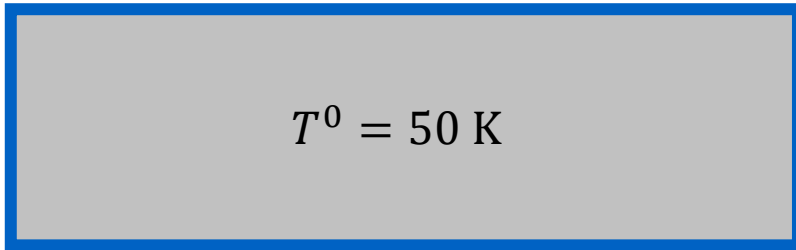
$$T = 100 \text{ K}$$



**Case
Hot**

- 1. No thermal expansion (H^*)
- 2. Thermal expansion (H)

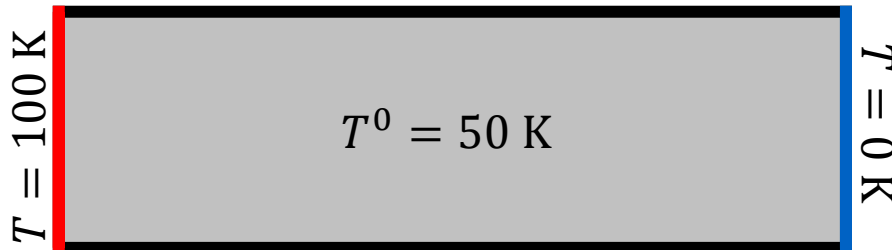
$$T = 0 \text{ K}$$



**Case
Cold**

- 3. No thermal expansion (C^*)
- 4. Thermal expansion (C)

Insulated



**Case
Hot-Cold**

- 5. No thermal expansion (HC^*)
- 6. Thermal expansion (HC)

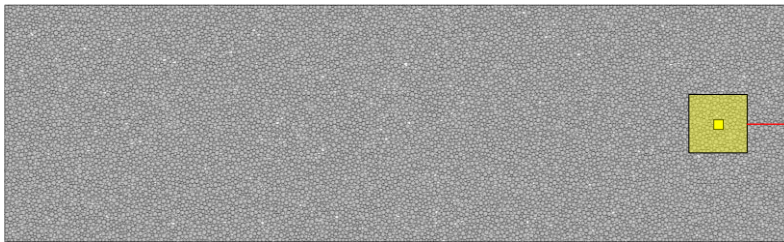
Insulated

Initial Conditions for MSDD Solution

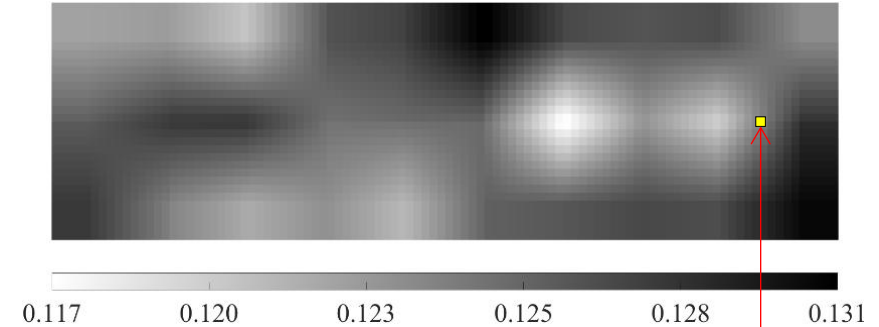
FVM model for MSDD

Reference DEM model

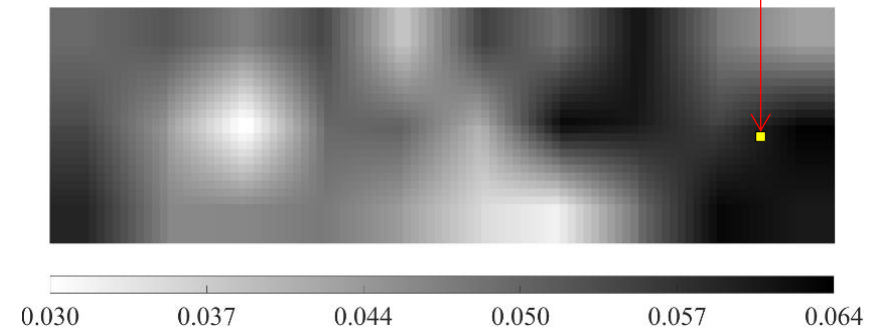
Properties calculated locally
around each FVM cell centroid



Local porosities (η)

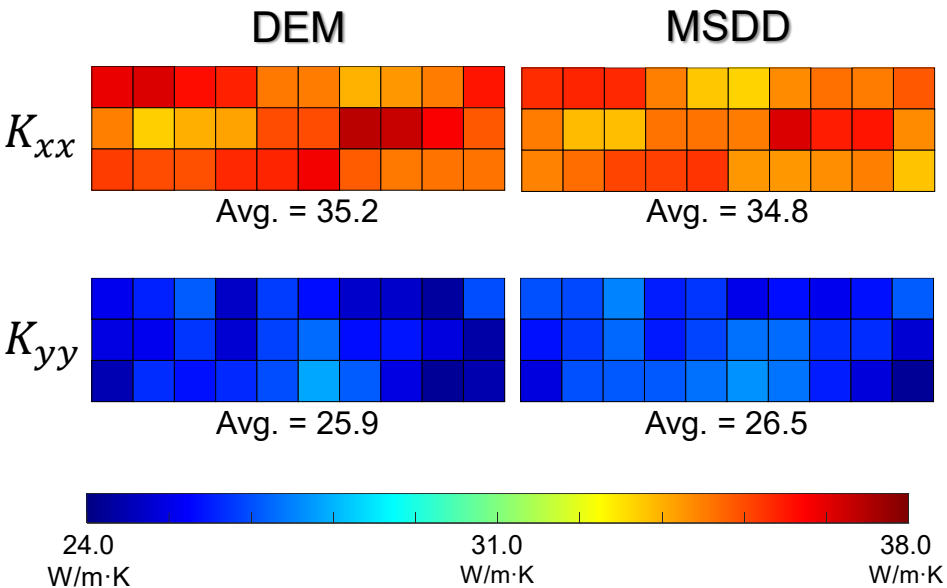


Local fabric indexes (f)

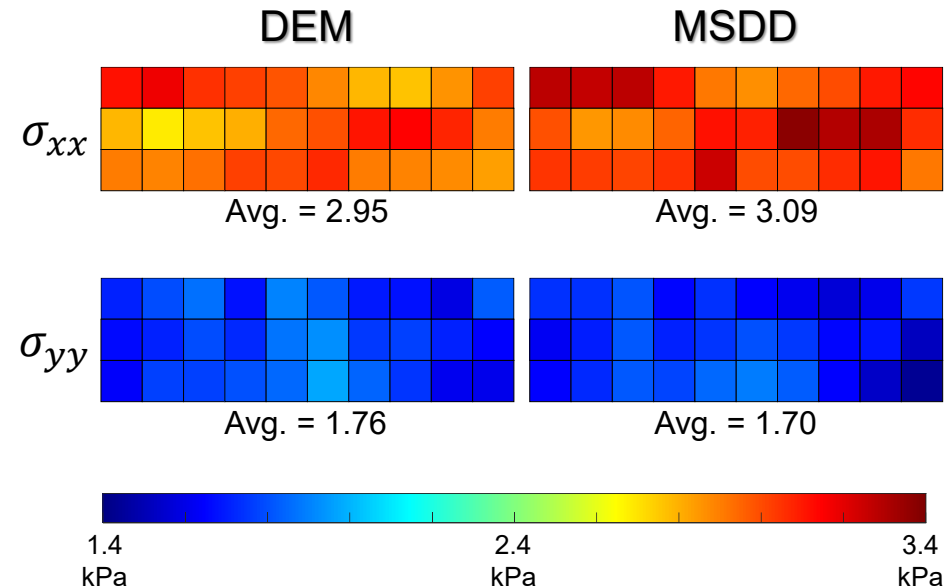


Results Without Thermal Expansion

Thermal conductivity distribution



Cauchy stress distribution

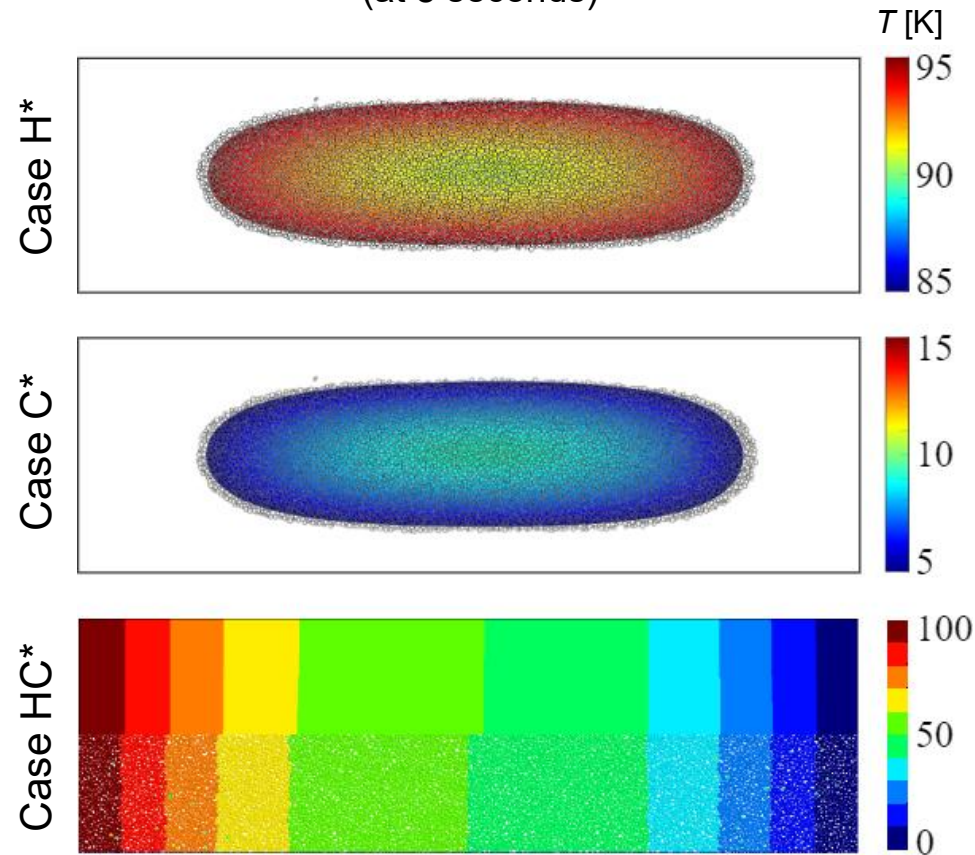


Purely thermal analysis: static microstructure.

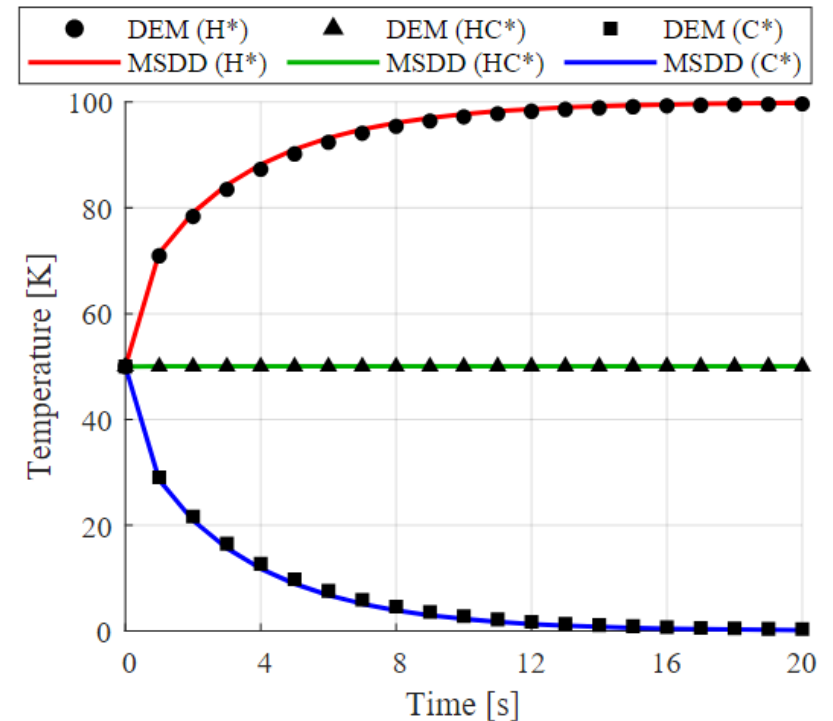
Good predictions of K and σ from porosity and fabric.

Results Without Thermal Expansion

Temperature contours
(at 8 seconds)



Average temperature evolution

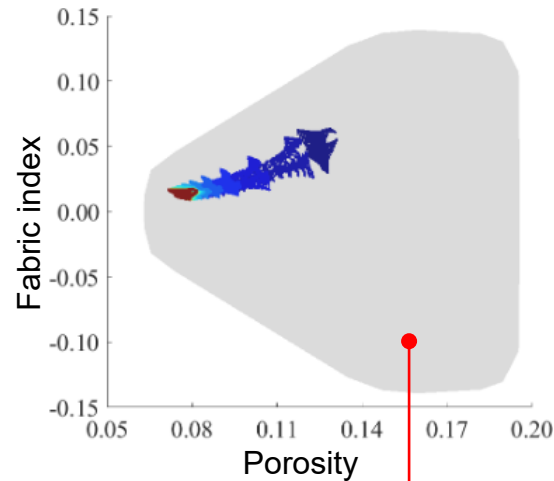


Results With Thermal Expansion

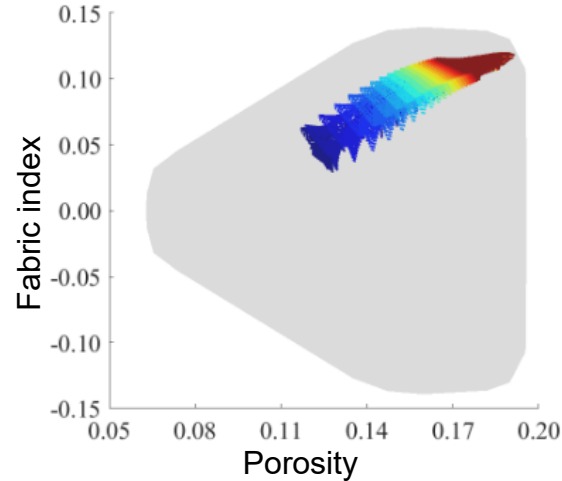
Transient response

Evolution of input parameters (η , f) in the MSDD solution

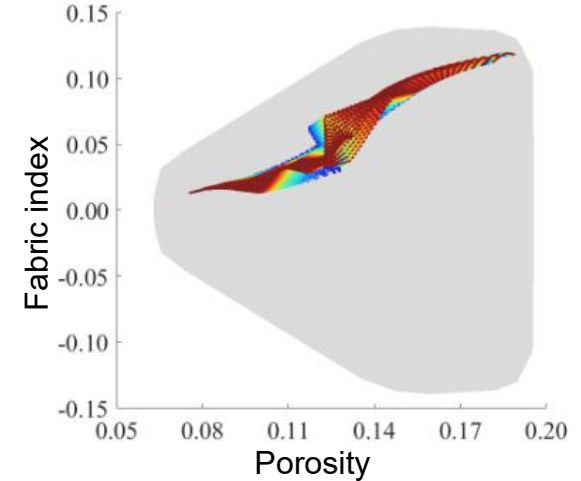
Case H



Case C



Case HC

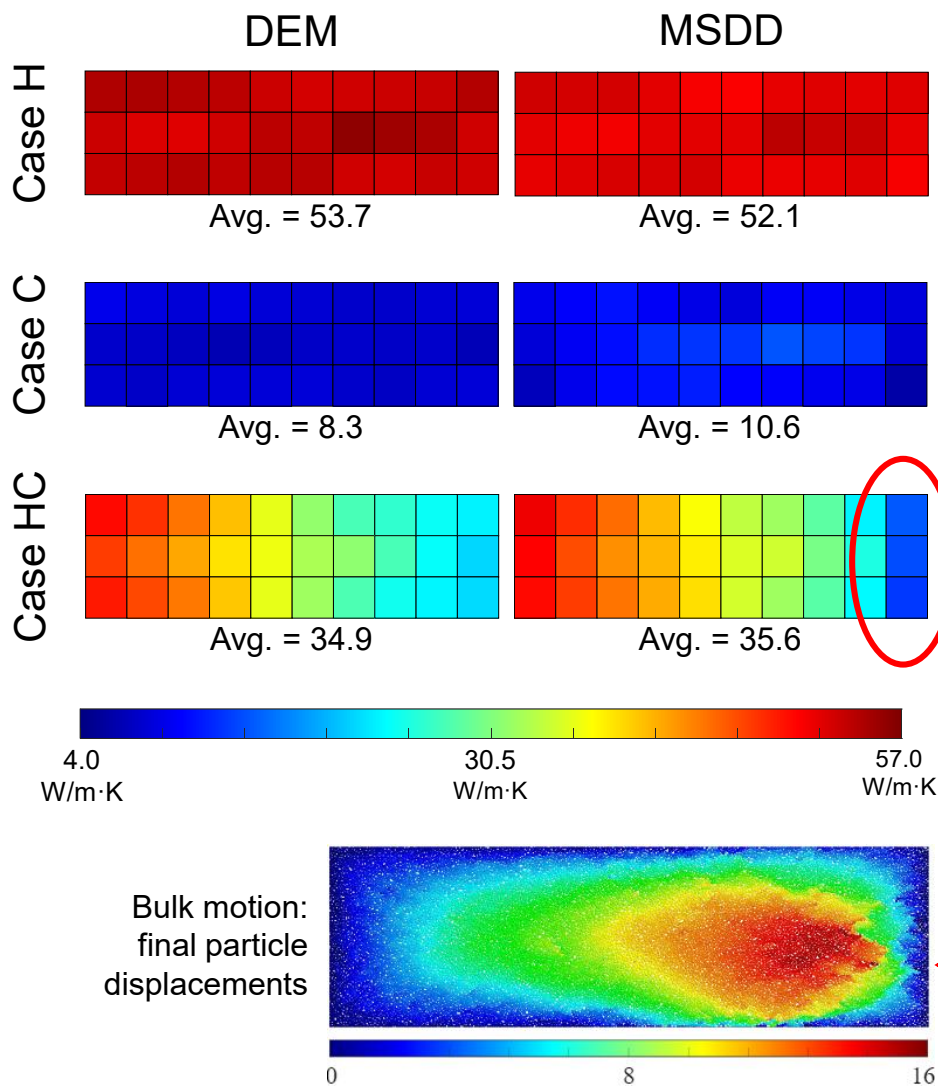


Reliable ANN prediction region ($\eta \times f$ plane)

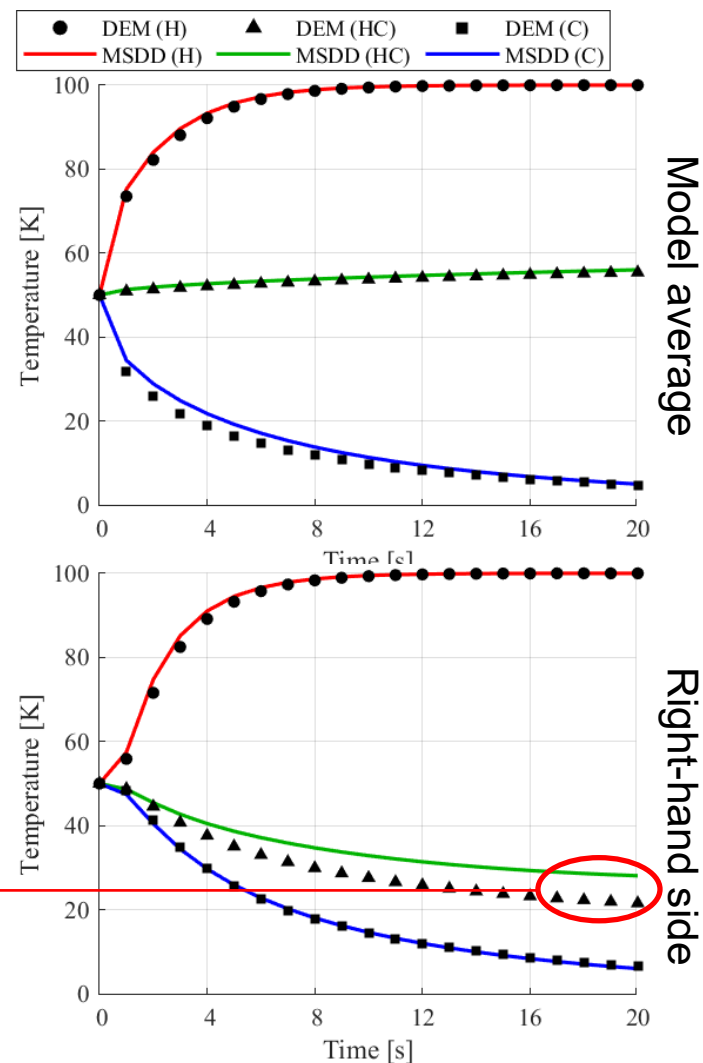
No extrapolation by the surrogate model!

Results With Thermal Expansion

Final thermal conductivity distribution K_{xx}

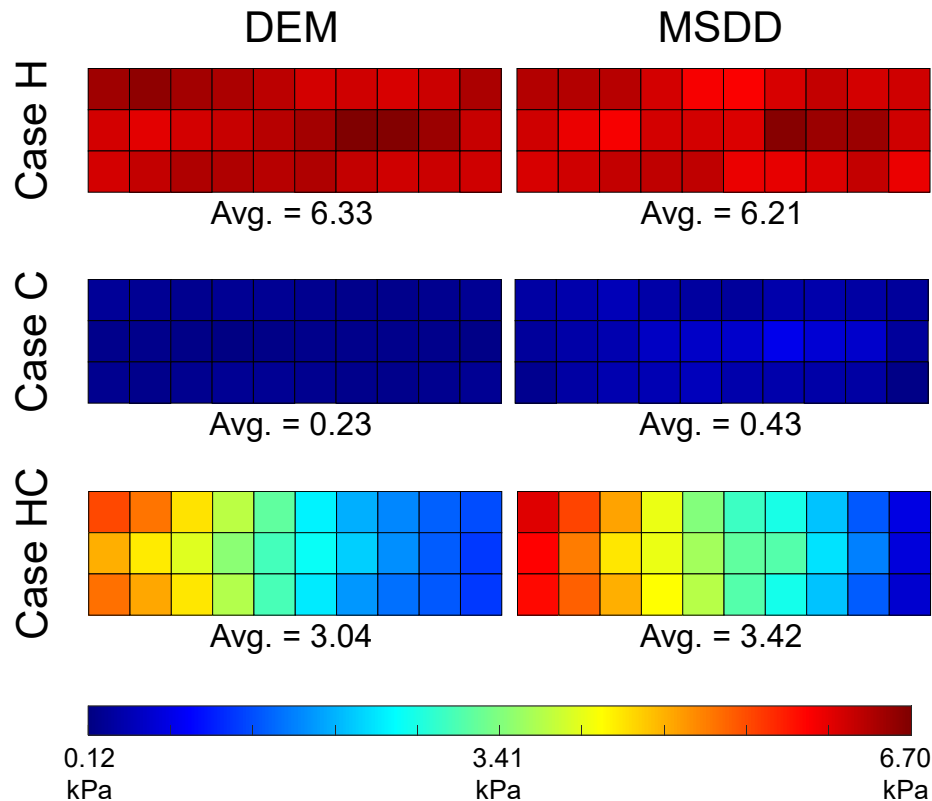


Temperature evolution



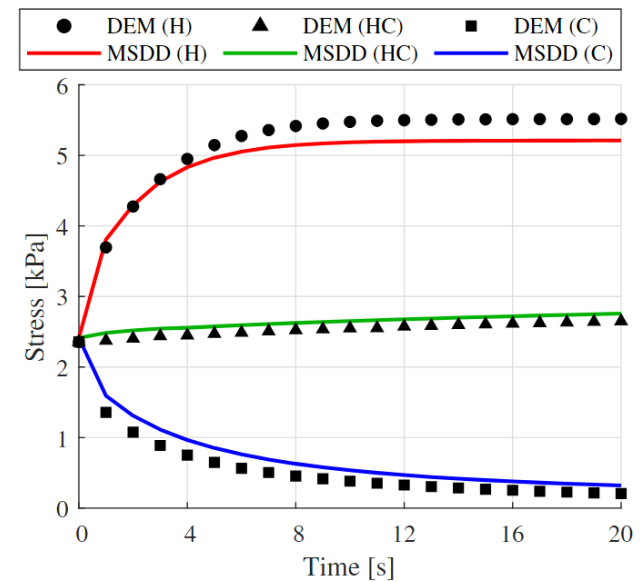
Results With Thermal Expansion

Final Cauchy stress distribution σ_{xx}



Average effective stress evolution

$$p = \frac{\sigma_{xx} + \sigma_{yy}}{2}$$

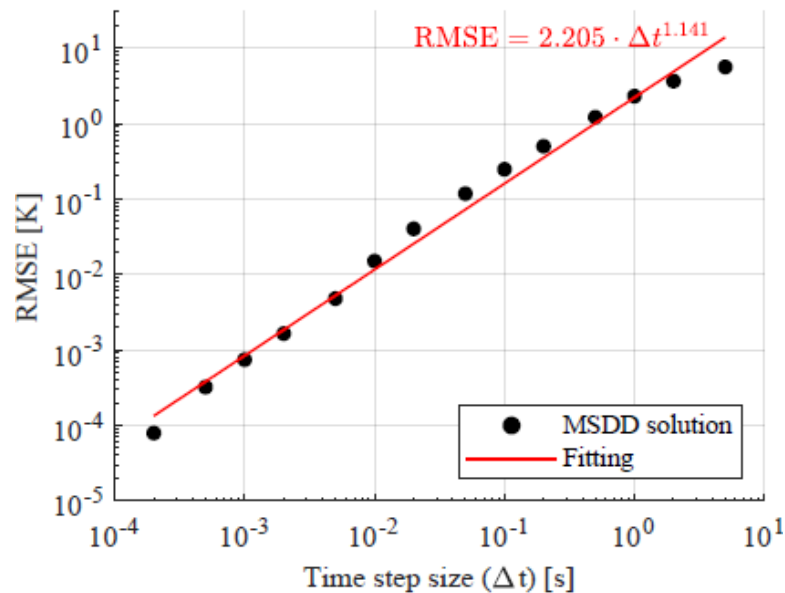


Results With Thermal Expansion

Convergence analyses of MSDD solution

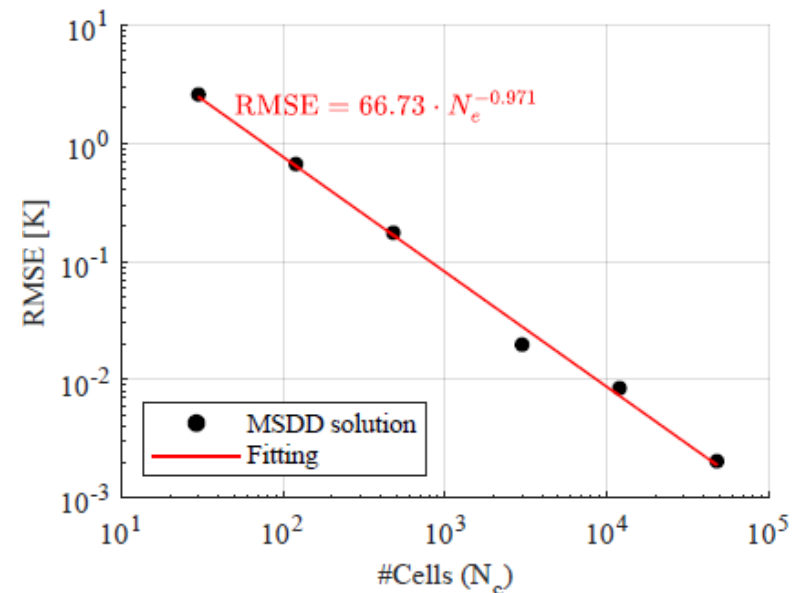
Time step size

(RMSE for avg. temperature in case H)



FVM mesh refinement

(RMSE for avg. temperature in case H)



Simulation Elapsed Times

Analysis case	DEM wall-clock time [s]	MSDD wall-clock time [s] *	DEM / MSDD speedup factor
H	112,054		2.7×10^3
C	78,551	41	1.9×10^3
HC	96,591		2.4×10^3

* Online macroscale solution only

1 – Introduction

2 – Methodology

3 – Results and Discussions

4 – Conclusions

Conclusions

Main achievements

- An online-offline approach based on machine-learning for hierarchical multiscale modeling.
- Transient analyses of thermal expansion and conduction in confined granular media.
- Validation results show:
 - Accuracy comparable to DEM.
 - Efficiency of a continuous method.

Main limitations

- **Database generation cost:**
Several RVE simulations for each particular problem.
- **Microscale representativeness:**
Variability of microscale response in RVEs with similar configurations.
- **ANN fitting errors:**
Influence of neglected input parameters.
- **Bulk granular motion:**
Mass / size conserving RVEs cannot account for global particle displacements.

- Extend to 3D.
- Surrogate model generalization:
Different particle size distributions, etc.
- Extend thermal behavior:
Different heat transfer mechanisms and models.
- Add mechanical behavior:
Solve equilibrium equation at the macroscale: larger deformation problems
- Apply to real-world problems:
Thermal cycling, granular flows, etc.

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