

Sterile neutrino dark matter with lepton flavor asymmetries

Kensuke Akita (University of Tokyo)

with

Koichi Hamaguchi (University of Tokyo) and Maksym Ovchynnikov (CERN)

2507.20659 and 2509.XXXXX

Introduction

- Sterile neutrinos are one of good **dark matter** candidates,
- They are motivated by neutrino masses.
- Sterile neutrinos freeze-in via oscillations in the early universe.



$$\langle P_m(\nu_\alpha \rightarrow \nu_s; p) \rangle = \frac{1}{2} \frac{\Delta^2 \sin^2 2\theta}{\Delta^2 \sin^2 2\theta + [\Delta \cos 2\theta - V_\alpha]^2 + \left(\frac{\Gamma_\alpha}{2}\right)^2}.$$

Mixing angle between ν_α and ν_s

Δ = $\frac{m_s^2}{2p}$
Matter potential
Quantum Zeno damping

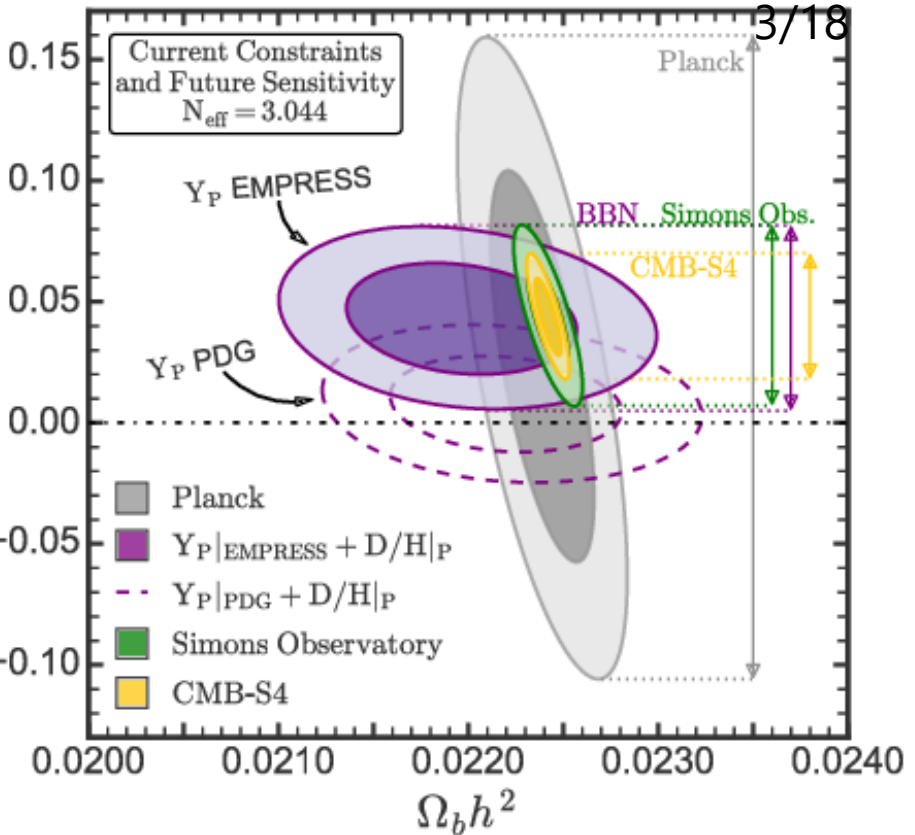
Introduction

- Recently, the helium-4 anomaly (a smaller ^4He) in the universe is reported.

Matsumoto, et al., 2203.09617.
Yanagisawa et al., 2506.24050.

- Positive large ν_e asymmetry is one of resolutions in this anomaly.

- If large lepton asymmetry exist, the active-sterile oscillation is **enhanced!**



Escudero, et al. 2208.03201

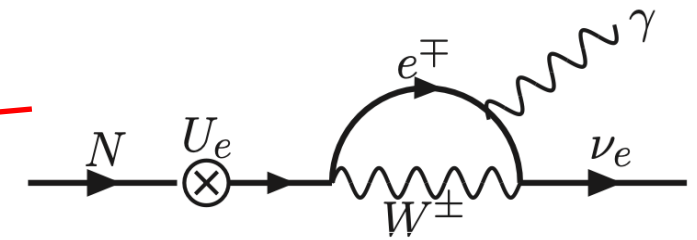
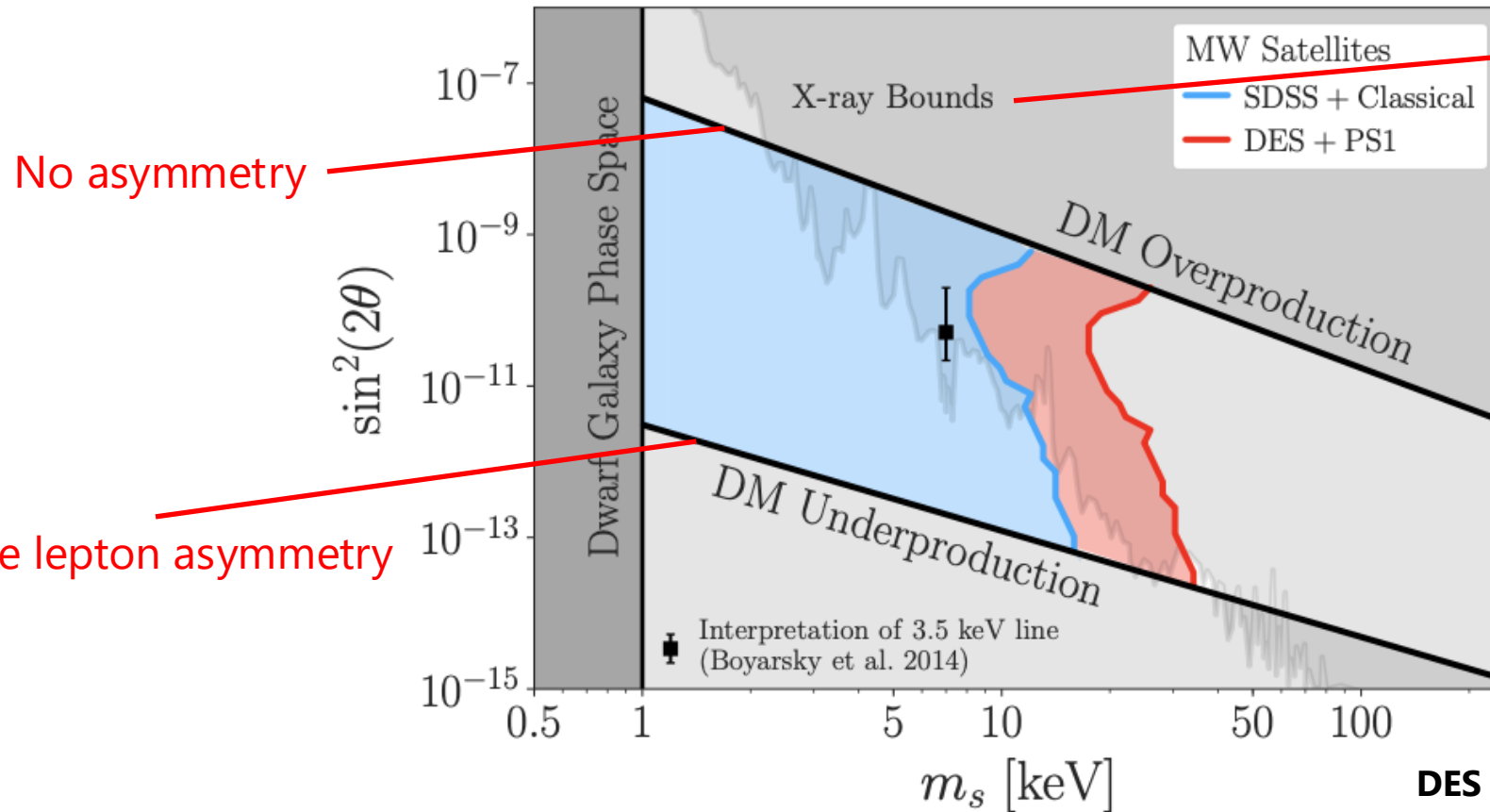
Shi and Fuller, 9810076, Abazajian et al., 0101524.

$$\langle P_m(\nu_\alpha \rightarrow \nu_s; p) \rangle = \frac{1}{2} \frac{\Delta^2 \sin^2 2\theta}{\Delta^2 \sin^2 2\theta + \underbrace{[\Delta \cos 2\theta - V_\alpha]^2}_{= 0} + \left(\frac{\Gamma_\alpha}{2}\right)^2}.$$

$$V_\alpha \approx \sqrt{2} G_F L s \quad L = \frac{\Delta n_L}{s}$$

Introduction

- However, sterile neutrino DM may be excluded even if large lepton asymmetry exist...



Drews, et al. 1602.04816

DES collaboration 2008.00022

Introduction

- By the way, lepton asymmetry may be related to the observed baryon asymmetry.

$$B^{\text{obs}} = \frac{\Delta n_B^{\text{obs}}}{s} \simeq 8.75 \times 10^{-11}$$

- However, ...

$$B \simeq \ominus \frac{8}{23} L \quad \text{at } T > T_{\text{sph}} \simeq 130 \text{ GeV}$$

- Baryon asymmetry may be overproduced by the sphaleron processes.
- Positive lepton asymmetry may induce **negative** baryon asymmetry.

The helium-4 anomaly may be unrelated for other puzzles...?

Introduction

- The lower black line is typically set as

$$\frac{\Delta n_L}{s} \simeq 10^{-3}$$

The BBN bound, assuming $n_{L_e} = n_{L_\mu} = n_{L_\tau}$
Neutrino oscillations imply this.

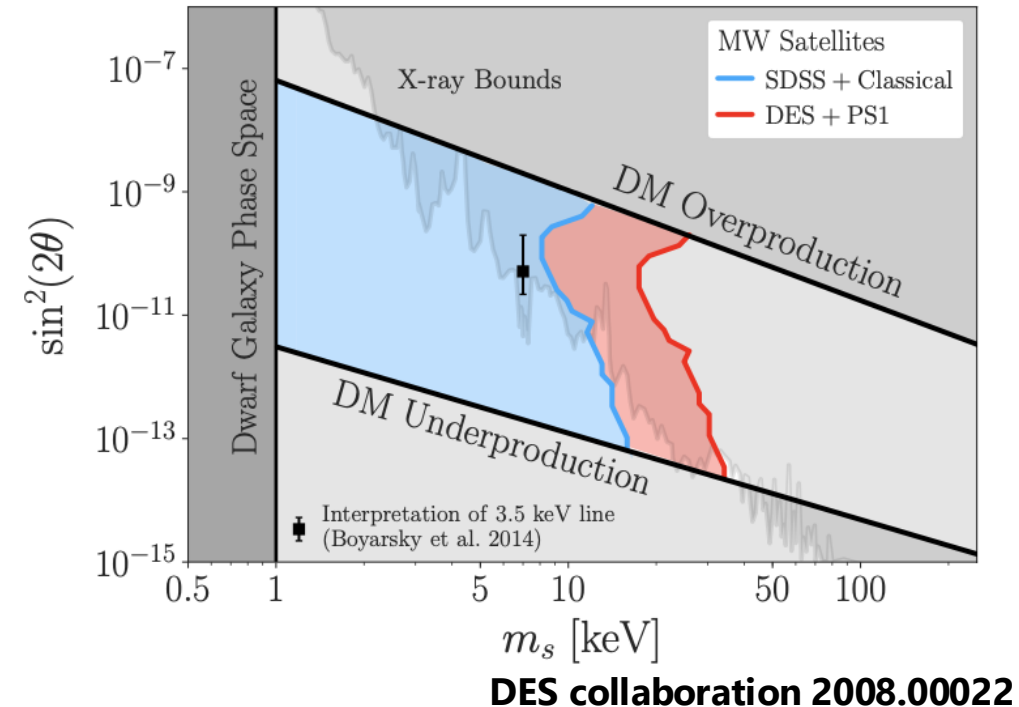
- Active neutrino oscillations:

Ineffective at $T \gtrsim 15$ MeV

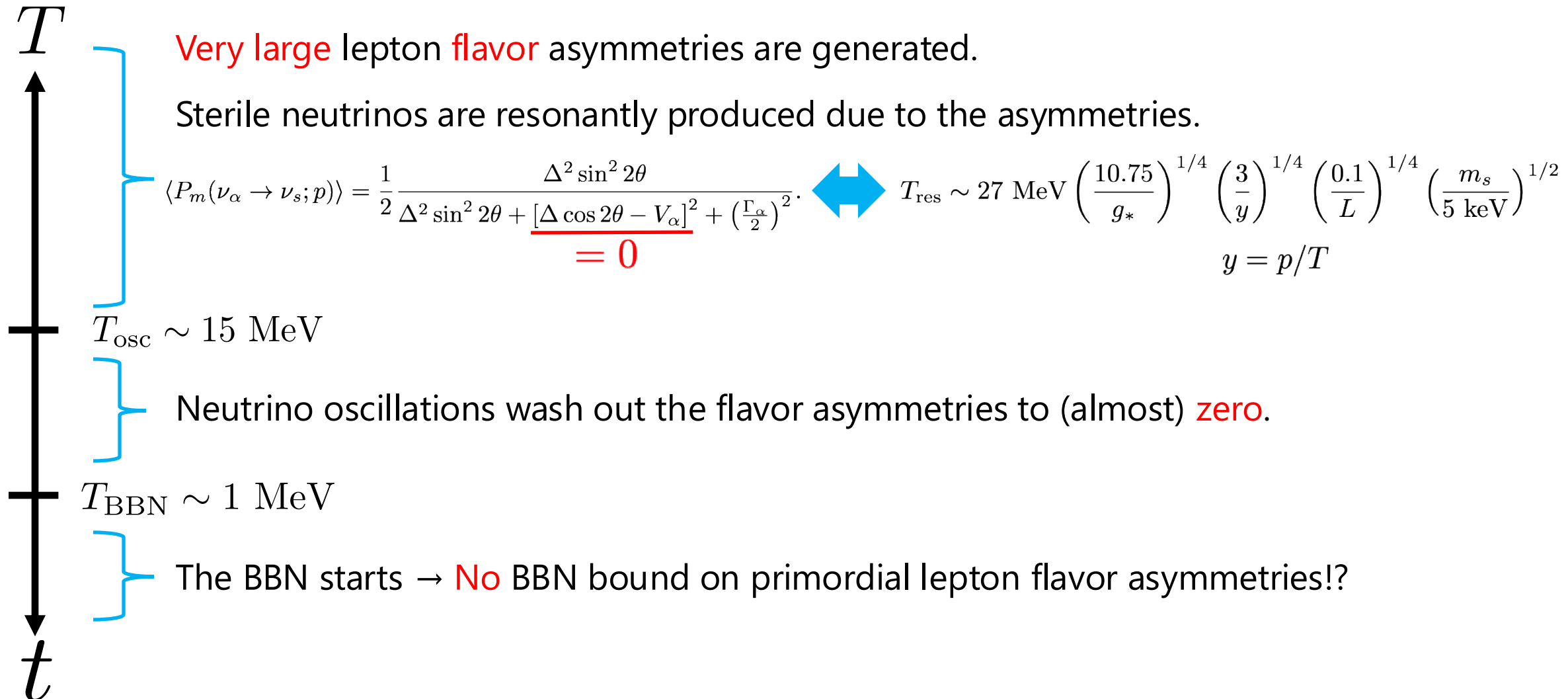
$$\underbrace{\begin{pmatrix} V_e + m_{ee} & m_{e\mu} & m_{e\tau} \\ m_{\mu e} & V_\mu + m_{\mu\mu} & m_{\mu\tau} \\ m_{\tau e} & m_{\tau\mu} & V_\tau + m_{\tau\tau} \end{pmatrix}}_{\text{Neutrino mass matrix}} \simeq \begin{pmatrix} V_e & 0 & 0 \\ 0 & V_\mu & 0 \\ 0 & 0 & V_\tau \end{pmatrix} \quad V_\alpha \sim L_\alpha T^3 + \dots$$

Effective at $T \lesssim 15$ MeV (before the BBN and neutrino decoupling start).

How is lepton **flavor** asymmetries with **zero** total asymmetry?



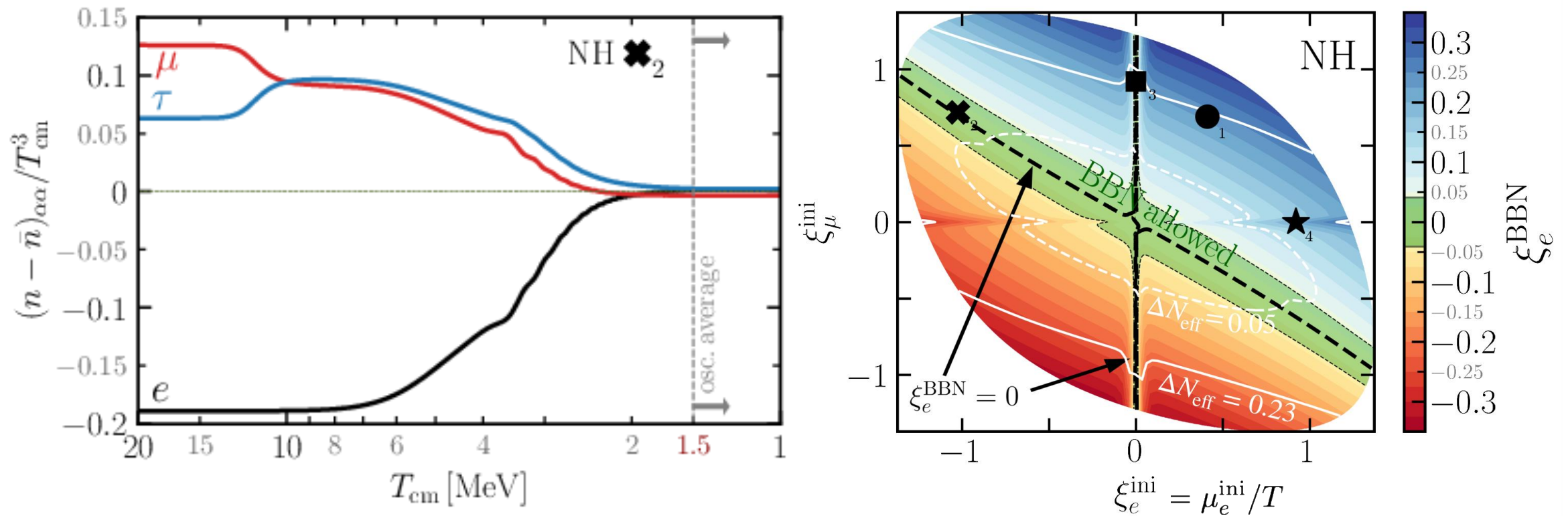
Introduction



Lepton flavor asymmetries open up a new parameter space for sterile neutrino DM!?

Lepton flavor asymmetries and BBN

Domcke, Escudero, Navarro and Sandner. 2502.14960



- The asymmetries of $L_e \simeq -L_\mu$ and $L_\mu = -L_\tau$ are much less constrained!
- The green contour ($\xi_e^{\text{BBN}} \simeq +0.04$) can resolve the helium-4 anomaly.

Open questions

- Can we use the previous formula for sterile neutrino production?
In particular, neutrino oscillations can be averaged?

*All previous literature use averaged procedures.

- What is the parameter space of sterile neutrino dark matter with lepton flavor asymmetries?
- What is the origin of lepton flavor asymmetries: leptoflavorgenesis?

Neutrino oscillation at the resonance

- Neutrino oscillations can be averaged?

$$\begin{aligned}
 \text{Resonance width} \quad \frac{\delta t_{\text{res}}^{\text{ave}}}{l_m^{\text{res}}} &= \frac{1}{3HV_\alpha} \max \left[\Delta(p) \sin 2\theta, \frac{\Gamma_\alpha}{2} \right]^2, & V_\alpha &\propto L_\alpha \\
 \text{Oscillation length} &\sim 0.05 \left(\frac{10.75}{g_*} \right)^{3/4} \left(\frac{y}{3.15} \right)^{13/4} \left(\frac{10^{-2}}{L} \right)^{9/4} \left(\frac{m_s}{10 \text{ keV}} \right)^{5/2} & \text{if } \Delta \sin 2\theta < \Gamma_\alpha/2 & \quad \Delta = \frac{m_s^2}{2p}
 \end{aligned}$$

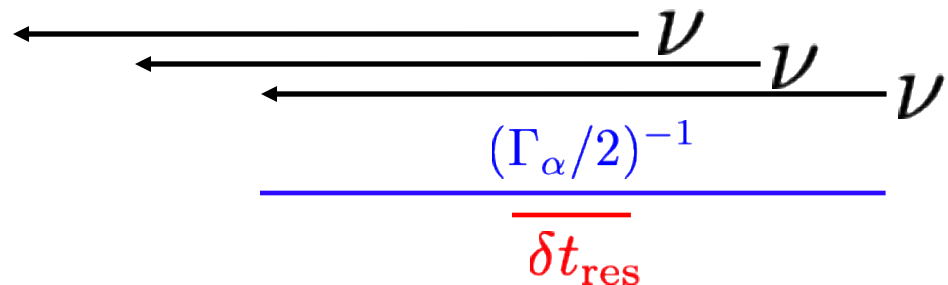
→ Neutrino oscillations **cannot** be averaged for large L.

- Non-averaged oscillation probability

$$\begin{aligned}
 P_m(\nu_\alpha \rightarrow \nu_s; p, \delta t_{\text{res}}) &\approx \sin^2 2\theta_m \sin^2 \left(\frac{m_m^2}{4p} \delta t_{\text{res}} \right) \left[1 + \left(\frac{\Gamma_\alpha \delta t_{\text{res}}}{2} \right)^2 \right]^{-1}, & \frac{m_m^2}{2p} &= l_m \\
 &\sim \sin^2 2\theta_m \left(\frac{m_m^2}{4p} \delta t_{\text{res}} \right)^2 \left[1 + \left(\frac{\Gamma_\alpha \delta t_{\text{res}}}{2} \right)^2 \right]^{-1}, & & \text{Damping factor by scattering} \\
 &\ll \langle P_m(\nu_\alpha \rightarrow \nu_s; p) \rangle & & \swarrow \downarrow
 \end{aligned}$$

System of the equations

- Enhancement by free-streaming accumulating neutrinos



Enhancement factor may be $\sim \frac{(\Gamma_\alpha/2)^{-1}}{\delta t_{\text{res}}}$.

Effective oscillation probability

$$P_{\text{eff}}(\nu_\alpha \rightarrow \nu_s) = P(\nu_\alpha \rightarrow \nu_s, \delta t_{\text{res}}) \times \frac{(\Gamma_\alpha/2)^{-1}}{\delta t_{\text{res}}}$$

$$\approx \frac{1}{2} \frac{\Delta(p)^2 \sin^2 2\theta}{[\Delta(p) \cos 2\theta - V_\alpha]^2 + (\frac{\Gamma_\alpha}{2})^2}$$

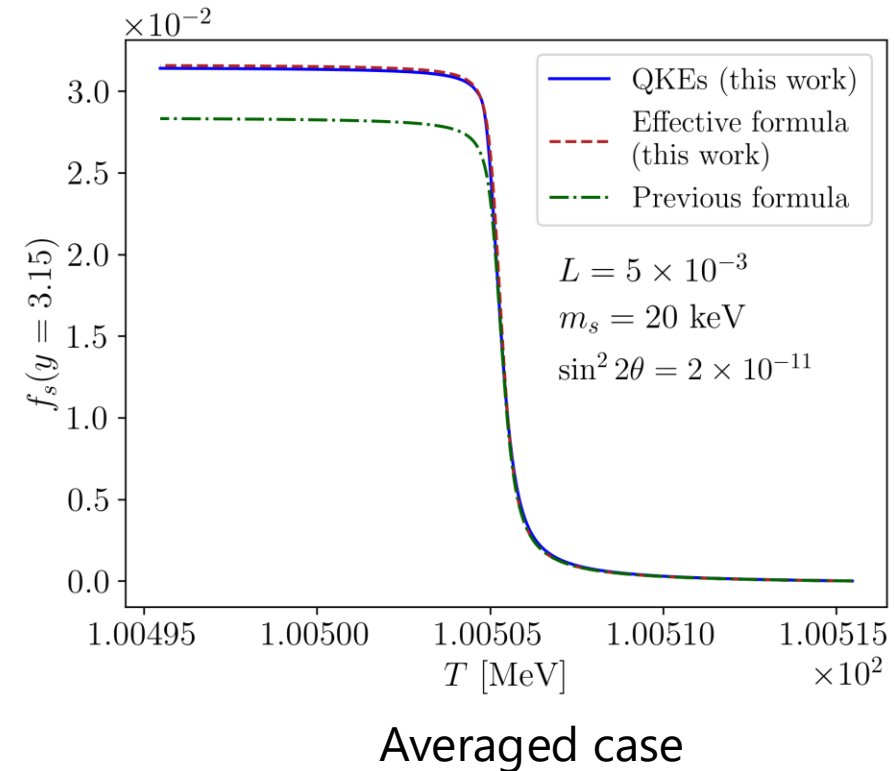
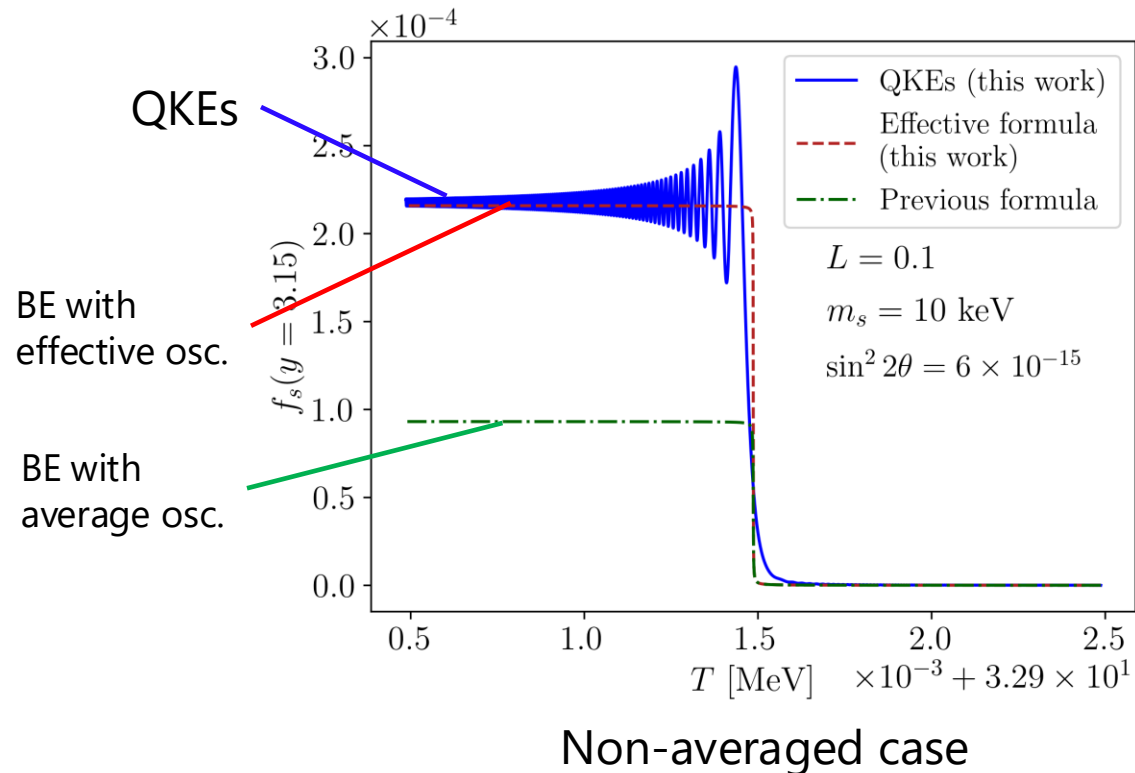
This is applicable to any lepton asymmetries.

- Effective Boltzmann equation for ν_s with **non-averaged** oscillations :

$$\left(\frac{\partial}{\partial t} - H p \frac{\partial}{\partial p} \right) f_{\nu_s}(p, t) = \frac{\Gamma_\alpha(p, \mu)}{2} P_{\text{eff}}(\nu_\alpha \rightarrow \nu_s) [f_{\nu_\alpha}(p, \mu) - f_{\nu_s}(p, t)] ,$$

Active neutrino interaction rate

Effective oscillations and QKEs



- A more fundamental but **computational expensive** way to follow sterile neutrino evolution is solving the quantum kinetic equations (QKEs).

The equations for density matrix $\rho_{ij} = \langle a_i^\dagger a_j \rangle$ ($i, j = \alpha, s$)

The off-diagonal parts involve neutrino oscillations.

Comments on our numerical method

Solving the precise semi-classical Boltzmann eq, improving **Ghiglieri and Laine, 1506.06752.**
Venumadhav et al., 1507.06655.

New! Non-averaged neutrino oscillation, which is in excellent agreement with QKEs.

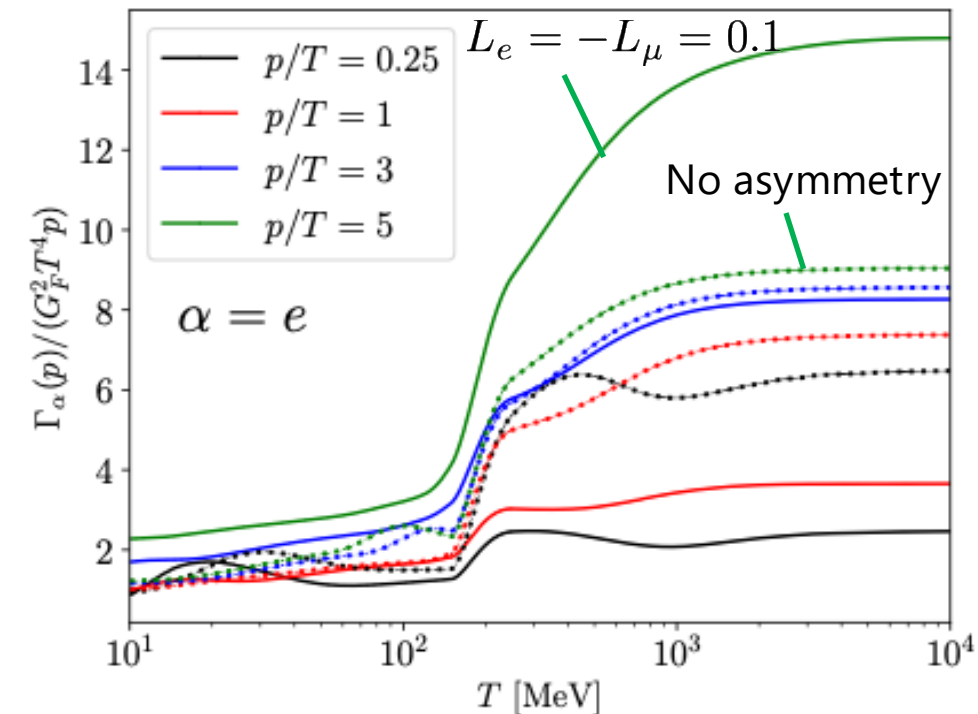
New! Full large chemical potentials are included.

Neutrino interaction rate: $\Gamma_\alpha = \Gamma_\alpha(p, \mu)$

Thermodynamics: $s(T, \mu) = s_0(T) + \delta s(T, \mu), \dots$

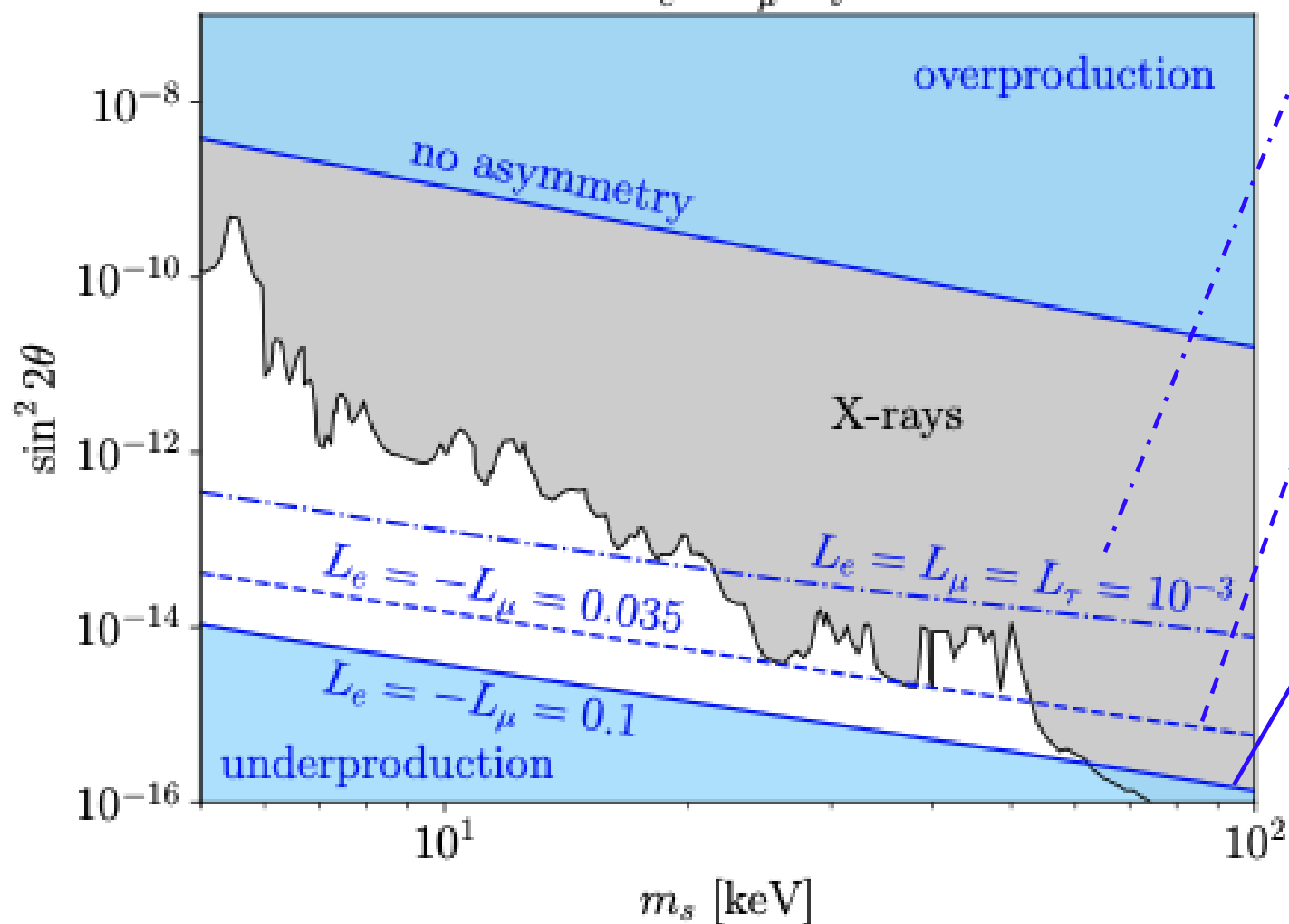
$$s_0(T) = \frac{2\pi^2}{45} g_{*,s}(T) T^3 \quad \delta s(T, \mu) = \underbrace{s(T, \mu) - s(T)}_{\text{Ideal gas limit}}$$

New! Our code is publicly available: [sterile-dm-lfa](#).



Parameter space

Case with $L_e - L_\mu$ asymmetries



Current limit for universal lepton asymmetry allowed by the BBN and CMB

The target sensitivity of the ongoing Simons Observatory:

$$L_e = -L_\mu \gtrsim 0.035$$

assuming normal neutrino mass ordering.

We set a fiducial value of lepton flavor asymmetries: $L_e = -L_\mu = 0.1$.

Typical constraints from structure formation:
 $m_s \lesssim 7\text{--}35$ keV

Origin of lepton flavor asymmetries

- The Affleck-Dine mechanism is one of the mechanisms that naturally explain large asymmetries.
[Affleck, Dine. 1985]

- In the supersymmetric theory, there are flat directions that have no total lepton charge but lepton flavor charge, e.g., $Q_i \bar{u}_j L_k \bar{e}_l$.

- Scalar leptons can have large VEVs and rotate, generating lepton flavor asymmetries.

$$n_\phi \simeq 2|\phi|^2 \dot{\theta}$$

- Lepton flavor asymmetries with **zero** total asymmetry can **partially** convert to baryon asymmetry via sphaleron processes

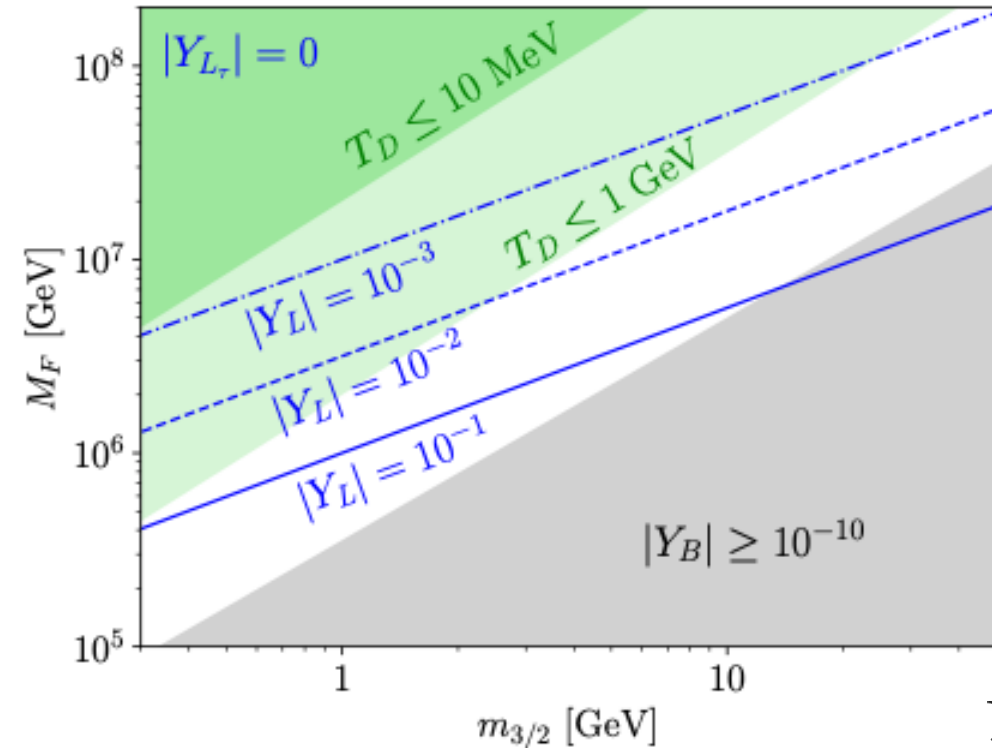
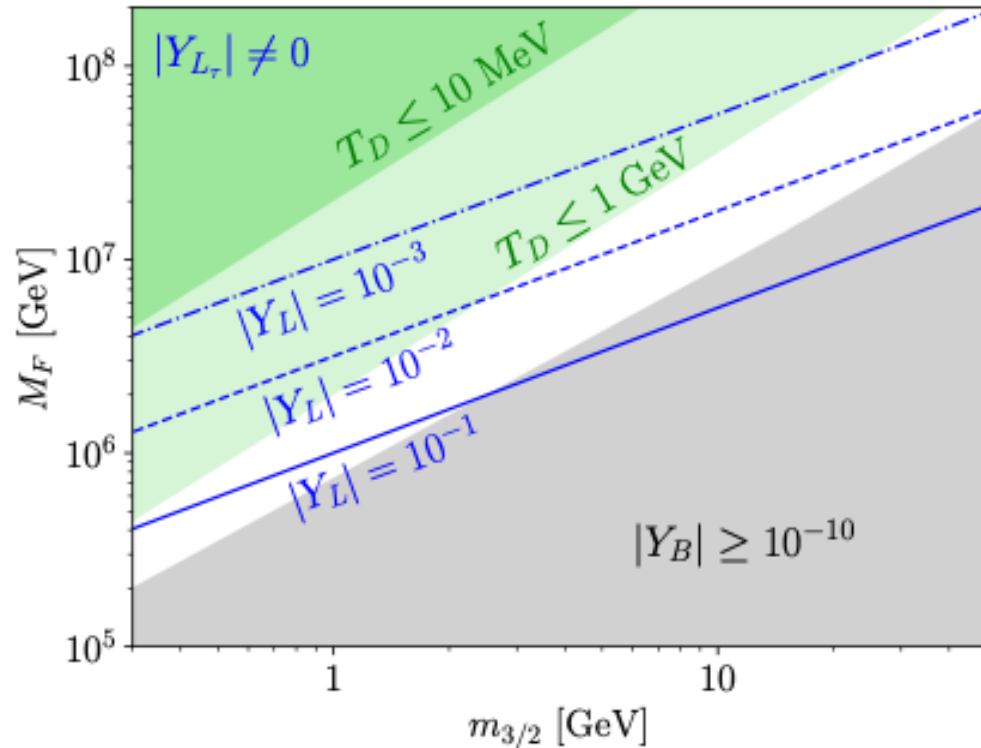
$$\frac{n_B}{s} \simeq -0.030 \left(h_\tau^2 \frac{n_\tau}{s} + h_\mu^2 \frac{n_\mu}{s} + h_e^2 \frac{n_e}{s} \right),$$

$$h_\tau \simeq 0.010, \quad h_\mu \simeq 6.1 \times 10^{-4}, \quad h_e \simeq 2.9 \times 10^{-6}$$

[March-Russell, Murayama, Riotto. 9908396.]
[Laine and Shaposhnikov. 9911473.]
[Mukaida, Schmitz, Yamada, 2111.03082.]

- Scalar leptons can deform to the non-topological solitons, protected by the sphaleron processes. Even larger asymmetries can be generated.

Origin of lepton flavor asymmetries



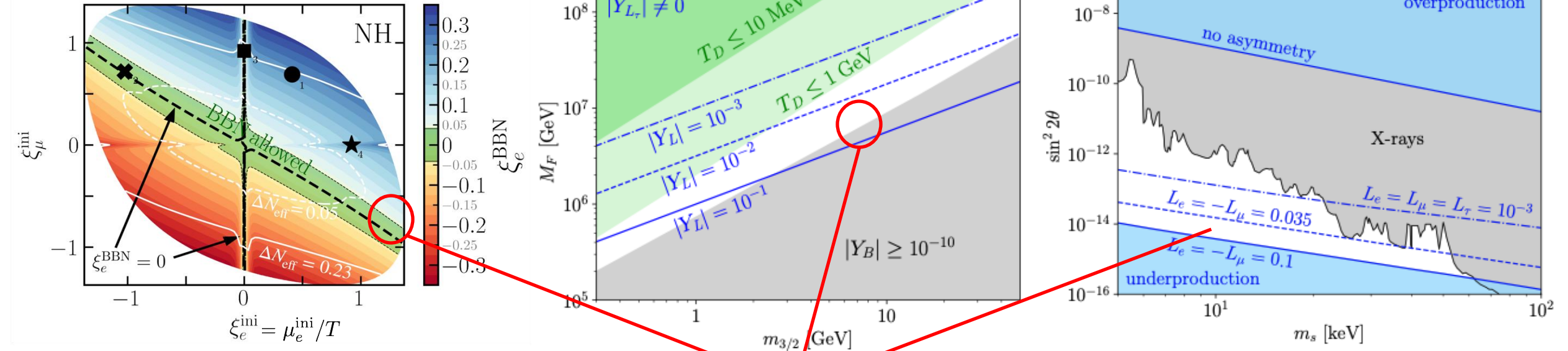
$$Y_L = \frac{\Delta n_L}{s}$$

The AD leptoflavorgenesis scenario with Q-balls can generate large lepton flavor asymmetries to avoid an overproduction of baryon asymmetry.

In the white region, the asymmetries are generated in $T \gtrsim 1$ GeV.

Benchmark point

Domcke, Escudero, Navarro and Sandner. 2502.14960



- We consider the benchmark point: $(L_e, L_\mu, L_\tau) = (0.06, -0.03, -0.03)$

$$* Y_{L_\alpha} = L_\alpha = \frac{\Delta n_{L_\alpha}}{s}$$

- The baryon asymmetry (with Q-ball protection):

$$Y_B \simeq -0.030 \frac{\Delta Q}{Q} \left(h_\tau^2 \frac{n_\tau}{s} + h_\mu^2 \frac{n_\mu}{s} + h_e^2 \frac{n_e}{s} \right) \simeq \underline{+9} \times 10^{-11} \left(\frac{\Delta Q/Q}{10^{-3}} \right) \left(\frac{Y_{L_\tau}}{-0.03} \right)$$

The helium-4 anomaly, the observed baryon asymmetry and sterile neutrino DM are simultaneously resolved!

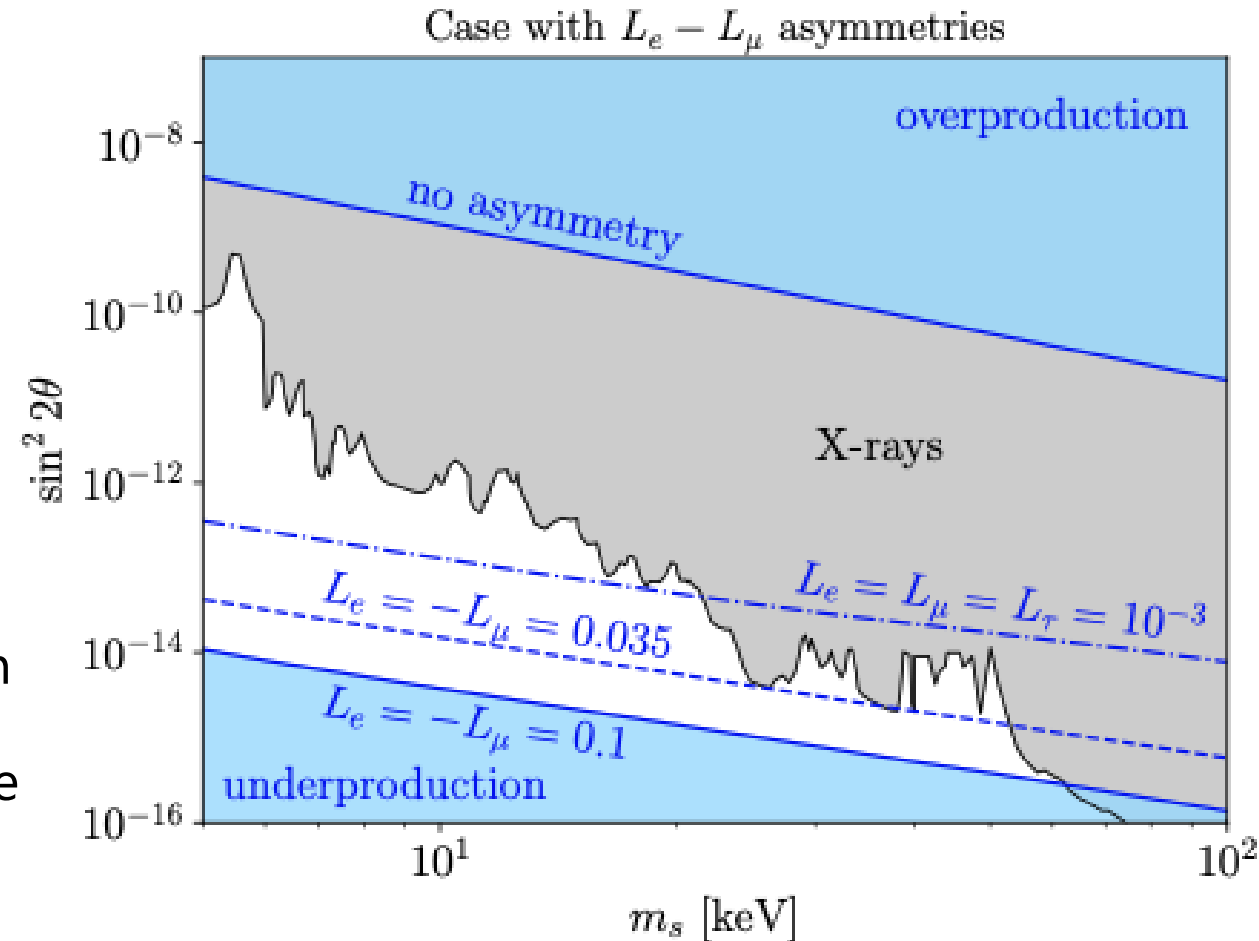
Conclusions

Lepton flavor asymmetries can (simultaneously)

1. explain the observed baryon asymmetry.
2. enhance the sterile neutrino DM production.
3. resolve the helium-4 anomaly.

We develop a new code, which traces the evolution of sterile neutrinos in the presence of lepton flavor asymmetries with $L_\alpha < 0.1$ in a precise and reliable way.

We also propose a scenario for Affleck-Dine leptoflavorgenesis with Q-balls for them.



Typical constraints from structure formation:
 $m_s \lesssim 7\text{--}35$ keV

Thank you!

Backup

System of the equations

Effective Boltzmann equation for sterile neutrino +

- Evolution equation for the plasma temperature

$$\frac{dT}{dt} = -\frac{3H(\rho_{\text{SM}} + P_{\text{SM}}) + \partial\rho_{\nu_s}/\partial t}{d\rho_{\text{SM}}/dT} \quad \frac{\partial\rho_{\nu_s}}{\partial t} \equiv \frac{1}{2\pi^2} \int dp p^2 \sqrt{p^2 + m_s^2} \frac{d}{dt} [f_{\nu_s}(p, t) + f_{\bar{\nu}_s}(p, t)]$$

- Evolution equation for lepton flavor asymmetries

$$\frac{d}{dt} L_\alpha = -\frac{1}{s} \int dp p^2 \frac{d}{dt} [f_{\nu_s}(p, t) - f_{\bar{\nu}_s}(p, t)]$$

Each particle asymmetry is satisfied in the B , L_α , Q conservation:

$$\begin{aligned} \frac{\Delta n_{\nu_\alpha} + \Delta n_\alpha}{s} &= L_\alpha \quad (\alpha = e, \mu, \tau), \\ \sum_i \frac{b_i \Delta n_i}{s} &= B, \\ \sum_i \frac{q_i \Delta n_i}{s} &= 0, \end{aligned}$$