

Buenas Ideas on the QCD Phase Diagram

Spectral properties of QCD at finite temperature

Peter Lowdon

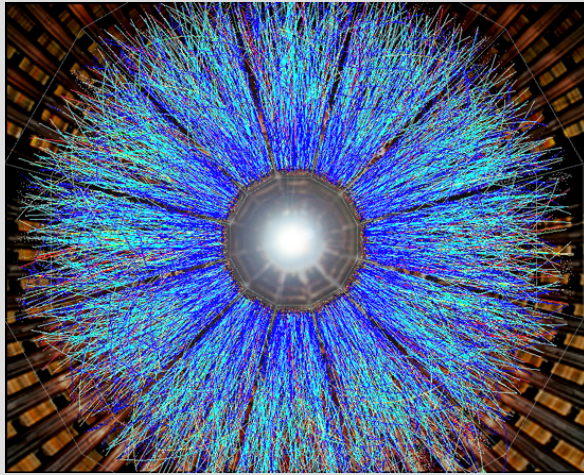
(Goethe University Frankfurt)

Outline

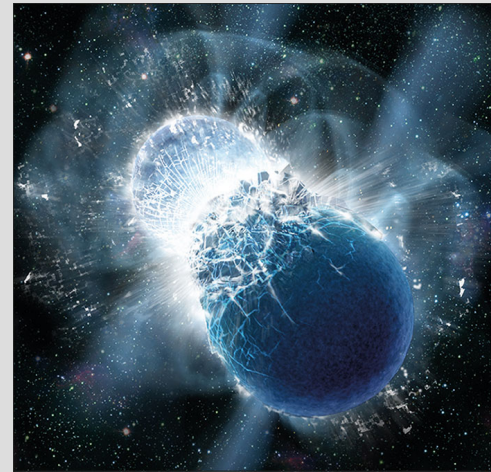
1. QFT beyond the vacuum
2. Thermoparticles
3. Hadrons at high temperature
4. Spontaneous symmetry breaking when $T > 0$
5. Finite-temperature perturbation theory

1. QFT beyond the vacuum

- To describe physical phenomena in “extreme environments” one must understand how QFT applies to systems that are hot, dense, or both



[Brookhaven National Lab]



[Skyworks Digital Inc.]

- Therefore need to establish how the inclusion of temperature $T = 1/\beta$ or density ($|\mu| > 0$) modifies the standard QFT assumptions, and what effect this has on the correlation functions $\langle \varphi(x_1) \dots \varphi(x_n) \rangle_{\beta, \mu}$
 - Here we stick to the case $T > 0$ and vanishing density

1. QFT beyond the vacuum

- As soon as $T > 0$ there are some immediate implications:

- **Lorentz invariance** ✗ → but can retain rotational invariance
- **Spectral condition** ($H > 0$) ✗ → replaced by KMS condition
- **Field locality** ($[\varphi(x), \varphi(y)] = 0, (x-y)^2 < 0$) ✓ → this is important!

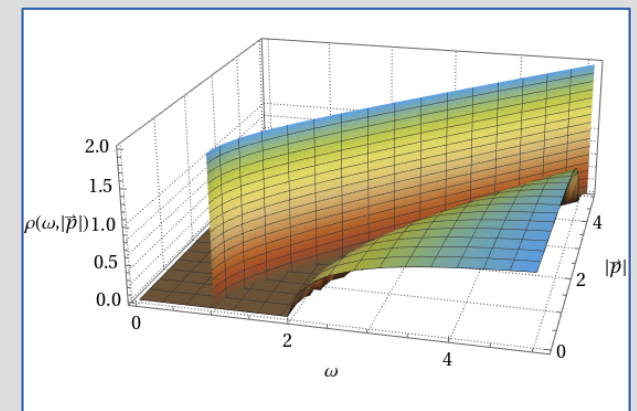
- Correlation functions of particular importance are “spectral functions”

$$\rho(\omega, \vec{p}) = \int d^4x e^{i(\omega x_0 - \vec{p} \cdot \vec{x})} \langle [\phi(x), \phi(0)] \rangle$$

When $T = 0$:

Delta peak → stable particle component

Continuum onset → multi-particle states



What changes when $T > 0$?

2. Thermoparticles

- Taking into account the additional constraints for $T > 0$ the spectral function has the representation*

$$\rho(\omega, \vec{p}) = \int_0^\infty ds \int \frac{d^3 \vec{u}}{(2\pi)^2} \epsilon(\omega) \delta(\omega^2 - (\vec{p} - \vec{u})^2 - s) \tilde{D}_\beta(\vec{u}, s)$$

This is the $T > 0$ generalisation of the textbook *Källén-Lehmann* representation!

$$\rho(\omega, \vec{p}) = 2\pi\epsilon(\omega) \int_0^\infty ds \delta(p^2 - s) \varrho(s)$$

“Thermal spectral density”

- $T > 0$ effects amount to understanding: $\rho(s) \rightarrow \tilde{D}_\beta(\vec{u}, s)$, which tell us about the possible excitations that can exist in a thermal medium

→ \vec{u} dependence: deviations away from the mass-shell $p^2 = s$

→ s dependence: energy ω thresholds, just like for $T = 0$

- There is theoretical evidence* that $\tilde{D}_\beta(\vec{u}, s)$ contains **discrete** components

$$\tilde{D}_{m,\beta}(\vec{u}) \delta(s - m^2)$$

“Thermoparticle”

→ $T \rightarrow 0$ implies: $\tilde{D}_{m,\beta}(\vec{u}) \rightarrow \delta^3(\vec{u})$, and vacuum particle $\delta(p^2 - m^2)$ recovered

* See: J. Bros and D Buchholz, Z. Phys. C 55 (1992), Ann. Inst. H.Poincaré Phys.Theor. 64 (1996)

2. Thermoparticles

- Non-trivial “Damping factor” $\tilde{D}_{m,\beta}(\vec{u})$ results in thermally-broadened peak in the spectral function \rightarrow parametrises collisional broadening effects

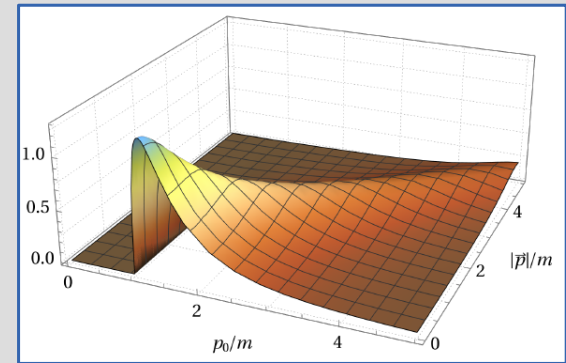
Thermoparticles have distinctive characteristics

- Spectral function has a threshold at $\omega=m$

$$\rho_{\text{TP}}(\omega, \vec{p}) = \theta(\omega^2 - m^2) \varrho(\omega, \vec{p})$$

- Two-point function has factorised form

$$\mathcal{W}_{\text{TP}}(x_0, \vec{x}) = D_{m,\beta}(\vec{x}) \mathcal{W}_{(0)}(x_0, \vec{x})$$



\rightarrow They dominate the large-time behaviour of $\langle \phi(x_0, \vec{x}) \phi(0) \rangle$

\rightarrow Along direction $\vec{x} = \vec{v} x_0$ they behave like $D_{m,\beta}(\vec{v} x_0) |x_0|^{-3/2}$ hence damping depends on velocity relative to the medium [Bros, Ann. H. Poincare 4, (2003)]

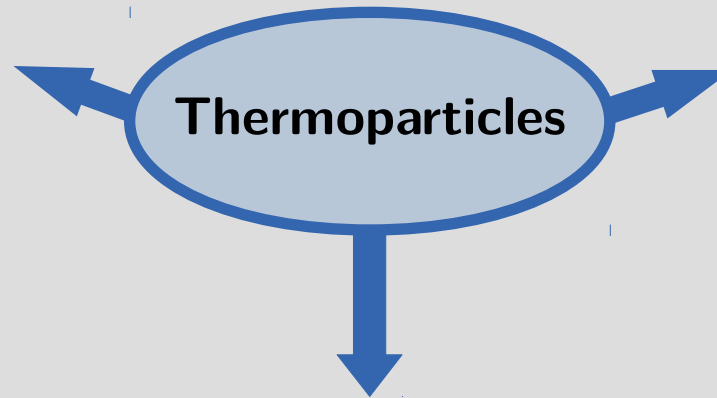
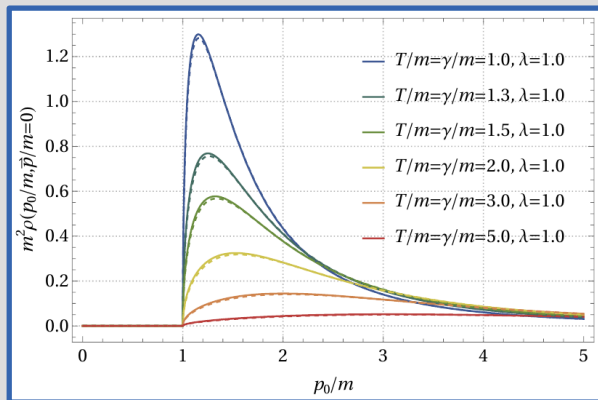
- Singularities of propagators can be broader than simple poles, e.g. when $D_{m,\beta}(\vec{x}) = e^{-\gamma|x|}$

$$\tilde{G}_{\text{TP}}(k_0, \vec{p}) = -\frac{1}{k_0^2 - |\vec{p}|^2 - m^2 - 2\gamma\sqrt{m^2 - k_0^2 - \gamma^2}}$$

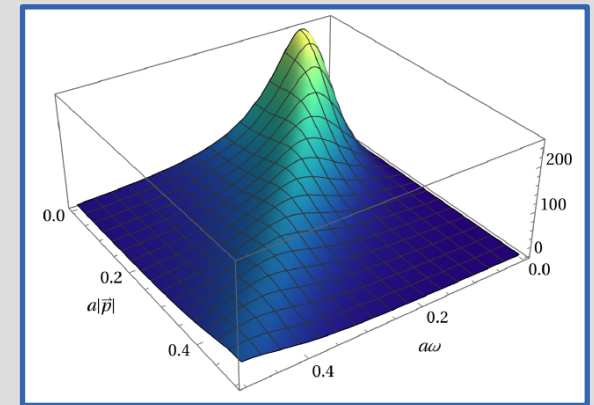
2. Thermoparticles

- There is mounting evidence that thermoparticles play a central role in determining the characteristics of QFTs at finite temperature

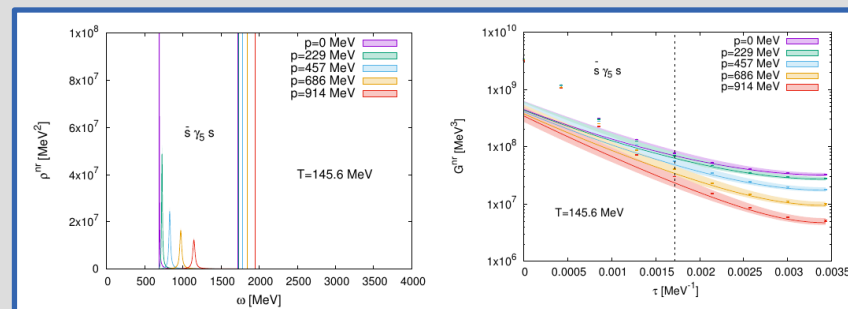
$T > 0$ perturbation theory



Spontaneous symmetry breaking when $T > 0$

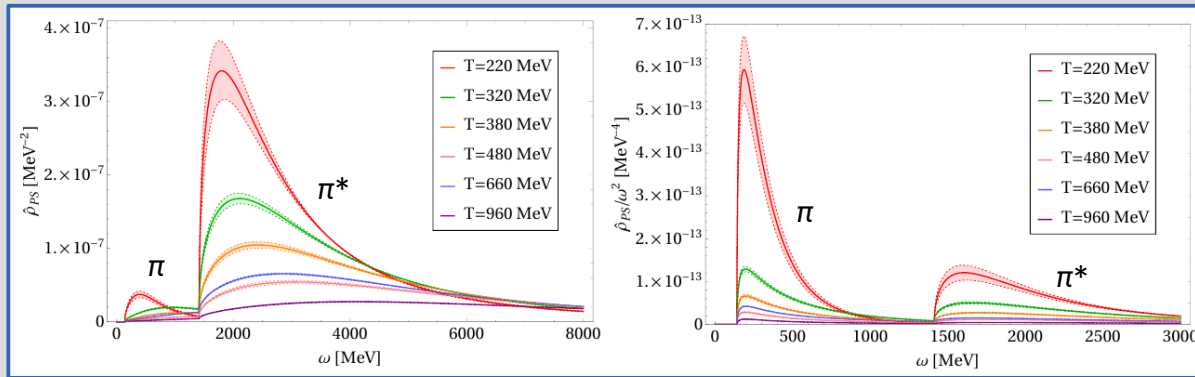


Hadrons at high temperature



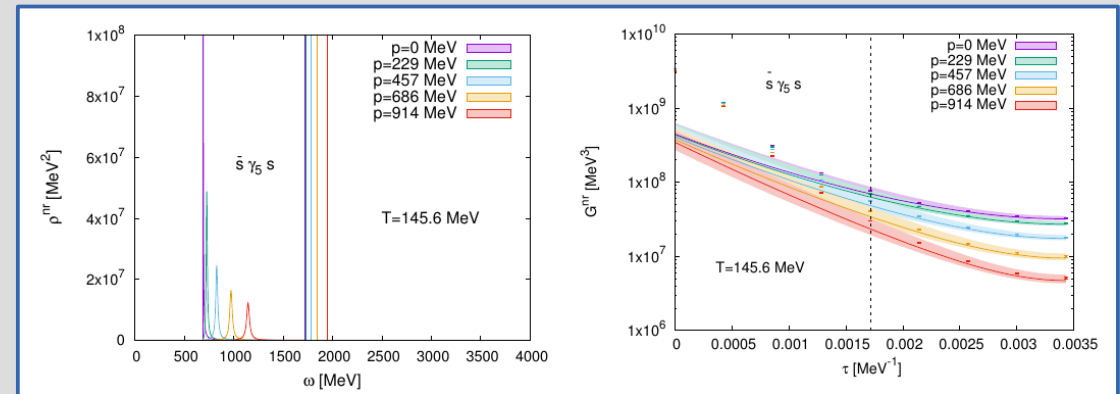
3. Hadrons at high temperature

- Evidence for low-energy thermoparticle excitations in QCD → extraction of spectral function for pseudo-scalar meson operator $\mathcal{O}_{PS}^a = \bar{\psi}\gamma_5\tau_2^a\psi$ in several different quark channels



Light-light pseudo-scalar meson (pion) channel [P.L., O. Philipsen, *JHEP* 10, 161 (2022)]

Light-strange (kaon) and strange-strange (eta) pseudo-scalar meson channels [D. Bala, O. Kaczmarek, P. L., O. Philipsen, and T. Ueding, *JHEP* 05, 332 (2024)]



Data in *all* channels consistent with a thermoparticle-type ground state: suggests light pseudo-scalar mesons (pions, kaons,..) still have a bound-state-like structure, even at high T

3. Hadrons at high temperature

Goal: Extract information about the finite T spectral function $\rho_r(\omega, \mathbf{p})$ from data of *Euclidean* correlator $C_\Gamma(\tau, \vec{x}) = \langle O_\Gamma(\tau, \vec{x}) O_\Gamma(0, \vec{0}) \rangle_T$ $O_r =$ scalar operator

- Standard approach: extract $\rho_r(\omega, \mathbf{p})$ from temporal correlator $\tilde{C}_r(\tau, \mathbf{p})$

$$\tilde{C}_\Gamma(\tau, \vec{p}) = \int_0^\infty \frac{d\omega}{2\pi} \frac{\cosh \left[\left(\frac{\beta}{2} - |\tau| \right) \omega \right]}{\sinh \left(\frac{\beta}{2} \omega \right)} \rho_\Gamma(\omega, \vec{p}) \rightarrow \text{Problem is ill-conditioned, need more information!}$$

- Instead, one can use the **spatial** correlator, where one integrates $C_r(\tau, \mathbf{x})$ over $\{\tau, x, y\}$ and fixes a spatial direction z

$$C_\Gamma(z) = \int_{-\infty}^{\infty} \frac{dp_z}{2\pi} e^{ip_z z} \int_0^\infty \frac{d\omega}{\pi\omega} \rho_\Gamma(\omega, p_x = p_y = 0, p_z)$$

- It turns out that *if* thermoparticles exist, then they will give a distinct contribution to $C(z)$

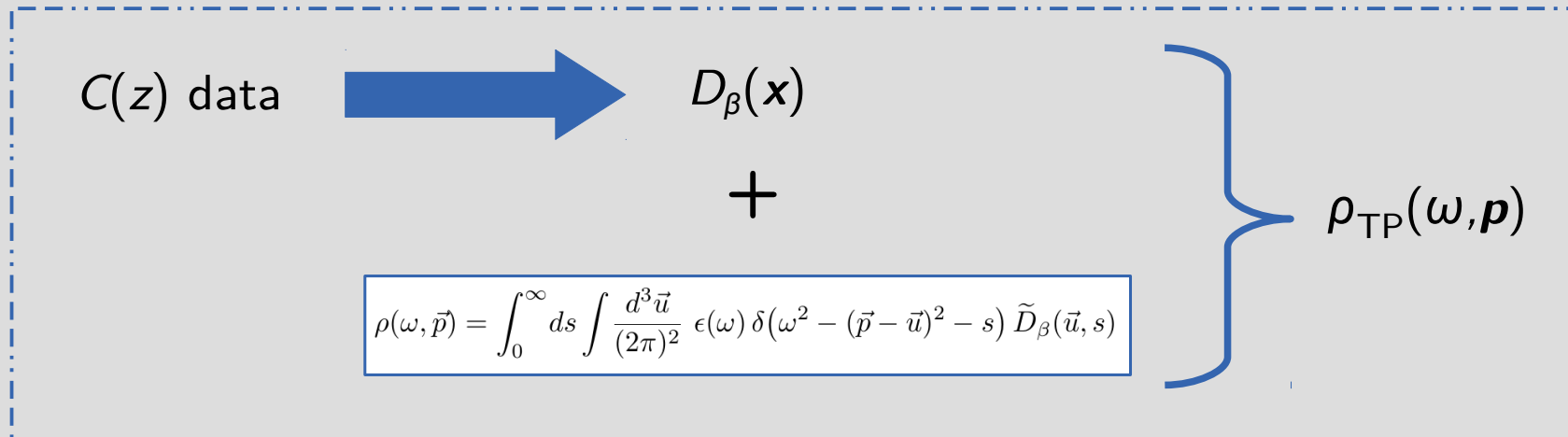
$$C(z) \approx \frac{1}{2} \int_{|z|}^{\infty} dR e^{-mR} D_{m,\beta}(R)$$

[P.L., *PRD* 106 (2022); P.L., O. Philipsen, *JHEP* 10, 161 (2022)]

→ This component can be extracted *directly* from data

3. Hadrons at high temperature

- In particular, one can extract the damping factors $D_\beta(\mathbf{x})$ and their temperature dependence from $C(z)$, and then use this to determine the spectral contribution $\rho_{\text{TP}}(\omega, \mathbf{p})$

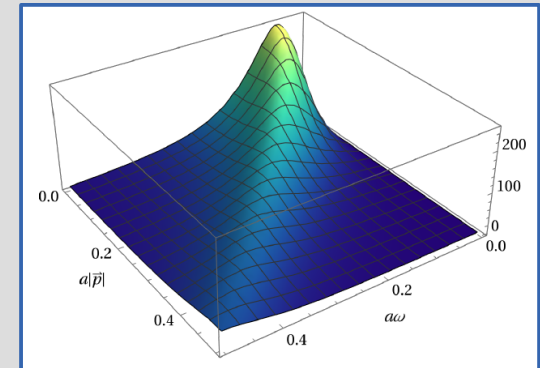


- Can use temporal correlator $\tilde{C}_T(\tau, \mathbf{p})$ data to check whether extracted $\rho_{\text{TP}}(\omega, \mathbf{p})$ components are consistent \rightarrow a *highly non-trivial test!*
- Test was performed for $\mathbf{p} = 0$ in [P.L., Philipsen, 2022] (light-light channel), and generalised to $|\mathbf{p}| > 0$ in [Bala, Kaczmarek, P.L., Philipsen, Ueding, 2024] (light-strange and strange-strange channels)

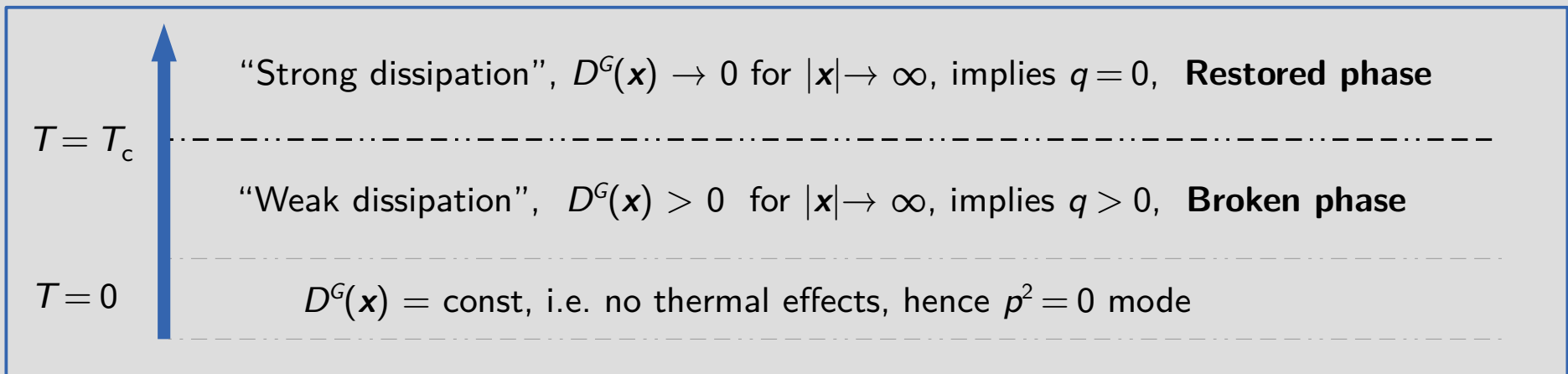
4. Spontaneous symmetry breaking when $T > 0$

- Thermoparticles play an essential role in the generalisation of spontaneous symmetry breaking to finite temperature [Bros, Buchholz, *PRD* 58 (1998)]
- Evidence [PL, O. Philipsen, 2501.17120, 2507.14348] that $T > 0$ Goldstone mode in U(1) complex scalar theory is a *massless* thermoparticle, and persists for $T > T_c$

$$D_{\beta}^G(\vec{x}, s) = D_{\beta}^G(\vec{x})\delta(s)$$



→ The damping factor determines the phase structure, i.e. value of order parameter q



This captures the physics! → sufficiently strong thermal effects destroy the long-range order, which leads to symmetry restoration

4. Spontaneous symmetry breaking when $T > 0$

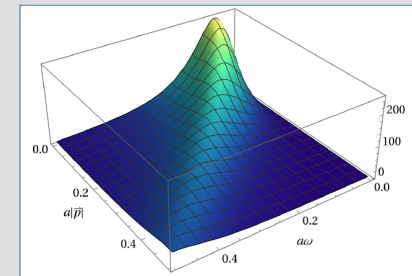
Goldstone's theorem → *What happens when a continuous global symmetry of a QFT is spontaneously broken?*

- If j^μ is the conserved current associated with the symmetry, and A is some local field whose transformation δA under the symmetry has a non-trivial expectation value: $\langle \delta A \rangle = \lim_{R \rightarrow \infty} \langle [Q_R, A] \rangle = q \neq 0$, then*

→ When $T = 0$ the Fourier transform of $\langle [j^0(\mathbf{x}), A(0)] \rangle$ contains a $\delta(p^2)$ component, i.e. a massless Goldstone boson

$T > 0$ case: using spectral representation for $\langle [j^0(\mathbf{x}), A(0)] \rangle_\beta$ the SSB condition $\langle \delta A \rangle_\beta \neq 0$ implies [Bros, Buchholz, 1998] that the Fourier transform contains a massless **thermoparticle** $D_\beta^G(\vec{x}, s) = D_\beta^G(\vec{x})\delta(s)$

- This a **thermal Goldstone boson**: in the $T \rightarrow 0$ limit, $D^G(\mathbf{x}) \rightarrow \text{const}$, and one recovers $\delta(p^2)$
- Non-trivial damping factor $D^G(\mathbf{x})$ causes broadening of the $p^2 = 0$ Goldstone peak



* See: [F. Strocchi, Symmetry Breaking, Lect. Notes Phys. 732 (2008)]

4. Spontaneous symmetry breaking when $T > 0$

- Now we know what the signatures of thermal Goldstone modes are, one can look for them in lattice data
- Consider a simple model with SSB: U(1) complex scalar field theory

$$\mathcal{L} = \frac{1}{2} \partial_\mu \phi^\dagger \partial^\mu \phi - \frac{1}{2} m^2 \phi^\dagger \phi - \frac{\lambda}{4!} (\phi^\dagger \phi)^2$$

- In the broken phase at $T=0$ the model contains a massless Goldstone boson and a resonance-like mode

→ Model expected* to undergo a second-order phase transition: for $T > T_c$ the U(1) symmetry is restored, and $|v|^2 = \langle \phi \rangle \langle \phi^\dagger \rangle = 0$

- Investigate theory on a $L_\tau \times L^3$ lattice ($L_\tau = a N_\tau$, $L = a N_s$) with action

$$S = a^4 \sum_{x \in \Lambda_a} \left[\sum_\mu \left(\frac{1}{2} \Delta_\mu^f \phi^*(x) \Delta_\mu^f \phi(x) \right) + \frac{m_0^2}{2} \phi^*(x) \phi(x) + \frac{g_0}{4!} (\phi^*(x) \phi(x))^2 \right]$$

→ Avoid triviality by keeping $a > 0$ fixed, hence $T = (aN_\tau)^{-1}$ is varied in discrete steps

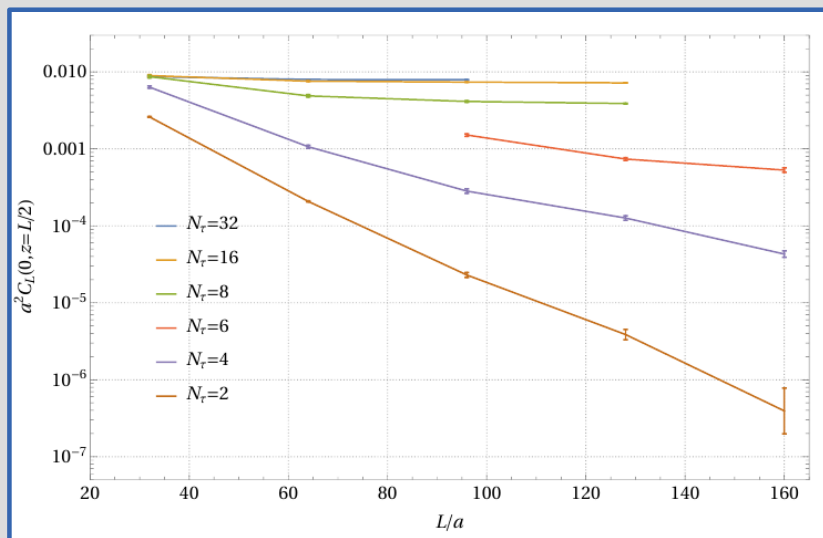
* See e.g. [J. I. Kapusta and C. Gale, *Finite-temperature Field Theory*]

4. Spontaneous symmetry breaking when $T > 0$

- SSB does not occur in a finite spatial volume $V = L^3$, i.e. there is no notion of a “vev” on the lattice

→ Need to perform $L \rightarrow \infty$ extrapolation of lattice results!

- For the finite-volume correlator $C_L(\tau, \mathbf{x})$: $\lim_{L \rightarrow \infty} C_L(\tau, \vec{x}) \xrightarrow{|\vec{x}| \rightarrow \infty} |v|^2$
- Based on this property there are different approaches* for extracting $|v|^2$, here we use: $|v|^2 = \lim_{L \rightarrow \infty} C_L(0, |\vec{x}| = L/2)$



- $N_\tau = 8, 16, 32$, non-zero for large $L \rightarrow \mathbf{U(1)}$ *broken*
- $N_\tau = 2, 4, 6$, vanishing for large $L \rightarrow \mathbf{U(1)}$ *restored*
- Infinite-volume extrapolation requires a parametrisation for $C_L(\tau=0, |\mathbf{x}| = z)$
- Use finite-volume version of $C^G(0, \vec{x}) = \frac{\coth\left(\frac{\pi|\vec{x}|}{\beta}\right)}{4\pi\beta|\vec{x}|} D_\beta^G(\vec{x})$

$$C^G(0, \vec{x}) = \frac{\coth\left(\frac{\pi|\vec{x}|}{\beta}\right)}{4\pi\beta|\vec{x}|} D_\beta^G(\vec{x})$$

* See e.g. [H. Neuberger, *PRL* 60,(1988).]

4. Spontaneous symmetry breaking when $T > 0$

Broken phase ($N_\tau = 8, 16, 32$)

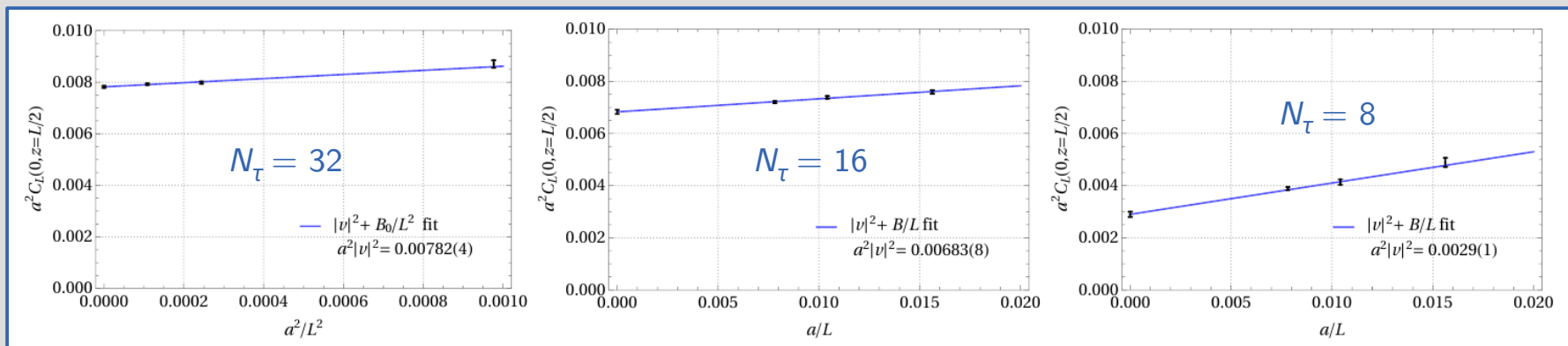
- In this case we assume $D^G(\mathbf{x}) \sim \text{const}$ and fit the ansatz:

$$C_L(0, z) = c_L + b_L \left[\frac{\coth\left(\frac{\pi z}{\beta}\right)}{z} + \{z \rightarrow (L - z)\} \right]$$

Non-zero if in broken phase

Finite L symmetrisation

- Functional form provides very good description of the data for each volume considered ($L/a = 32, 64, 96, 128$). Use this to do $L \rightarrow \infty$ extrapolation:



4. Spontaneous symmetry breaking when $T > 0$

Restored phase ($N_\tau = 2, 4, 6$)

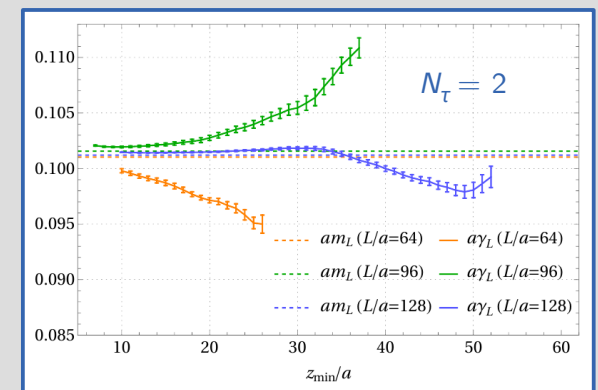
- As outlined previously, the Goldstone mode can still persist in this regime, and would have the properties of a massless thermoparticle
- What is the structure of the damping factor $D^G(\mathbf{x})$? Use **spatial** correlator:

$$C_L(z) = d_L [e^{-m_L z} + \{z \rightarrow (L - z)\}] \quad \Rightarrow \quad D_\beta^G(\vec{x}) = \alpha e^{-\gamma|\vec{x}|} \quad \Rightarrow \quad C^G(0, \vec{x}) = \frac{\coth\left(\frac{\pi|\vec{x}|}{\beta}\right)}{4\pi\beta|\vec{x}|} \alpha e^{-\gamma|\vec{x}|}$$

- Spatial correlator fits provide excellent description of data over full range $[0, L/2]$ for each of the (large) volumes considered ($L/a = 64, 96, 128, 160$)

- Test damping by fitting: $C_L(0, z) = b_L \left[\frac{\coth\left(\frac{\pi z}{\beta}\right)}{z} e^{-\gamma_L z} + \{z \rightarrow (L - z)\} \right]$

- Consistency of the thermoparticle hypothesis requires that the fit parameters γ_L and m_L approach one another in the infinite-volume $L \rightarrow \infty$ limit



4. Spontaneous symmetry breaking when $T > 0$

Restored phase ($N_\tau = 2, 4, 6$)

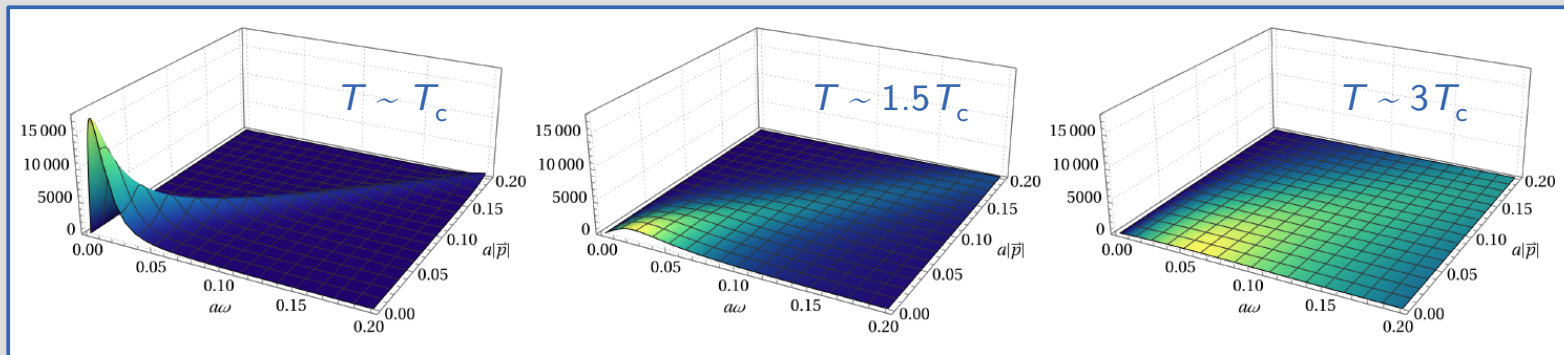
- Data at each N_τ consistent with the correlator being dominated by an exponentially-damped massless thermoparticle → **thermal Goldstone**

- One can use the extracted damping factor

$D^G(\mathbf{x}) = a e^{-\gamma|\mathbf{x}|}$ to compute the spectral function $\rho_G(\omega, \mathbf{p})$ of the Goldstone mode

$$\rho_G(\omega, \vec{p}) = \frac{4\alpha\omega\gamma}{(\omega^2 - |\vec{p}|^2 - \gamma^2)^2 + 4\omega^2\gamma^2}$$

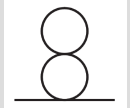
- Spectral properties are very different to the $T=0$ case $\rho_G(\omega, \mathbf{p}) \sim \delta(p^2)$



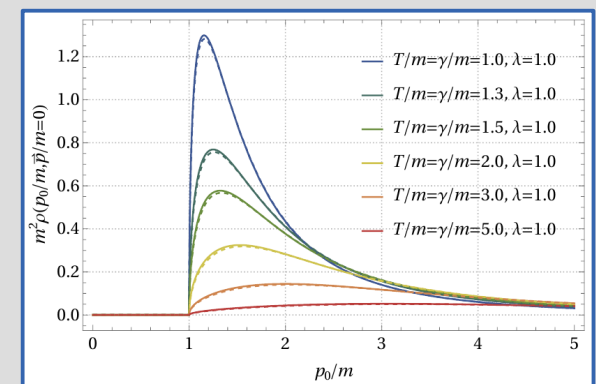
- Broadened peak structure around $p^2 = 0$ increases with temperature
→ Represents the increasingly strong thermal effects of the medium!

5. Finite-temperature perturbation theory

- Perturbation theory has several issues related to the infrared structure of certain classes of diagrams when $T > 0$, e.g. the $m \rightarrow 0$ divergence of



- This seems to be a realisation of a more fundamental constraint: non-trivial scattering at $T > 0$ is incompatible with real dispersion laws (*NRT theorem*, Commun. Math. Phys. 92, 247 (1983))
- Now both analytic (Weldon, PRD 65 (2002)) and numerical (PL, Philipsen, 2405.02009) evidence for this, even for massive theories, and at small T
- A resolution of these issues is to use propagators which don't have real dispersion laws \rightarrow *thermoparticles* are a natural candidate
- **Thermoparticle perturbation theory** gives precise predictions consistent with lattice Φ^4 theory data [Ali, PL, Philipsen, (2026) paper out soon!]
 - \rightarrow Framework can be generalised to any QFT, so potentially many applications



5. Finite-temperature perturbation theory

- The Gell-Mann-Low (GML) relation is the basis of perturbation theory

When $T=0$:

$$\langle \Omega | T \{ \phi(x_1) \phi(x_2) \cdots \phi(x_n) \} | \Omega \rangle = \frac{\langle \Omega_0 | T \{ \phi_0(x_1) \phi_0(x_2) \cdots \phi_0(x_n) e^{i \int d^4 z \mathcal{L}_I[\phi_0(z)]} \} | \Omega_0 \rangle}{\langle \Omega_0 | T \{ e^{i \int d^4 z \mathcal{L}_I[\phi_0(z)]} \} | \Omega_0 \rangle}$$

Fully interacting fields

Asymptotic fields

- The asymptotic (large-time) fields ϕ_0 are non interacting. Expanding the exponential in the coupling, and applying Wick's theorem, all terms (diagrams) involve products or convolutions of the free ϕ_0 propagator $\frac{i}{p^2 - m^2 + i0^+}$
- Perturbation theory for $T > 0$ requires a **thermal** generalisation of the GML relation \rightarrow But NRT theorem rules out that the asymptotic fields are free or have real dispersion relations, hence propagators cannot have real poles

Standard $T > 0$ relation:

$$\langle \Omega_\beta | T \{ \phi(x_1) \phi(x_2) \cdots \phi(x_n) \} | \Omega_\beta \rangle = \frac{\text{Tr} \left(e^{-\beta H} T \{ \phi_0(x_1) \phi_0(x_2) \cdots \phi_0(x_n) e^{i \int_C \mathcal{L}_I[\phi_0]} \} \right)}{\text{Tr} \left(e^{-\beta H} T \{ e^{i \int_C \mathcal{L}_I[\phi_0]} \} \right)}$$

Assumes **free** asymptotic fields

5. Finite-temperature perturbation theory

- Due to their large-time dominance, thermoparticles are natural candidates for describing scattering states when $T > 0$
- Since scattering states fix the propagators of the perturbative expansion, use thermoparticle propagators instead! → “Thermoparticle perturbation theory”
- **What form do these propagators have?** → From Φ^4 theory data there are strong indications [PL, O. Philipsen, 2405.02009] that these excitations are present, and that their damping factor has the form: $D_\beta(\mathbf{x}) = e^{-\gamma|\mathbf{x}|}$

$$G(\omega_n, \vec{p}) = \frac{1}{\omega_n^2 + |\vec{p}|^2 + m^2 + \gamma^2 + 2\gamma\sqrt{m^2 + \omega_n^2}}$$

→ Generalises the free field ($\gamma=0$) result. Width $\gamma>0$ cuts off IR divergences!

In principle: the form of the thermoparticle propagators, including γ , are *uniquely* fixed by the dynamical equations of the theory [Bros, Buchholz, 2002]

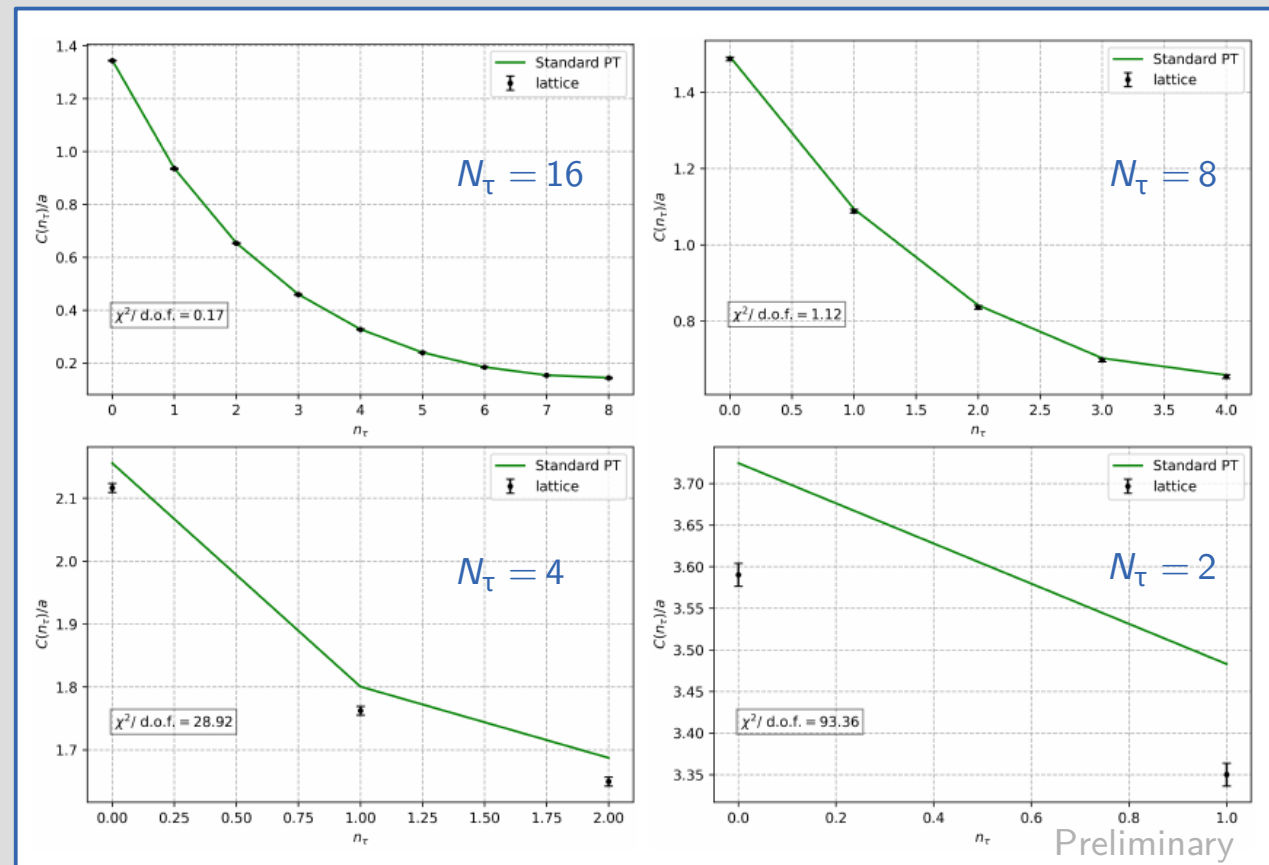
In practice: we determine γ by adjusting it such that 2-loop lattice perturbation theory results are consistent with the spatial correlator data $C(z)$

5. Finite-temperature perturbation theory

- Perform procedure for different values of N_τ and different lattice parameters (am_0, g_0), and then use the extracted $\gamma(am_0, g_0, N_\tau)$ to make predictions

Test: Compute 2-loop lattice PT predictions of $C(\tau)$ with extracted γ and compare with the data \rightarrow a *highly non-trivial consistency check!*

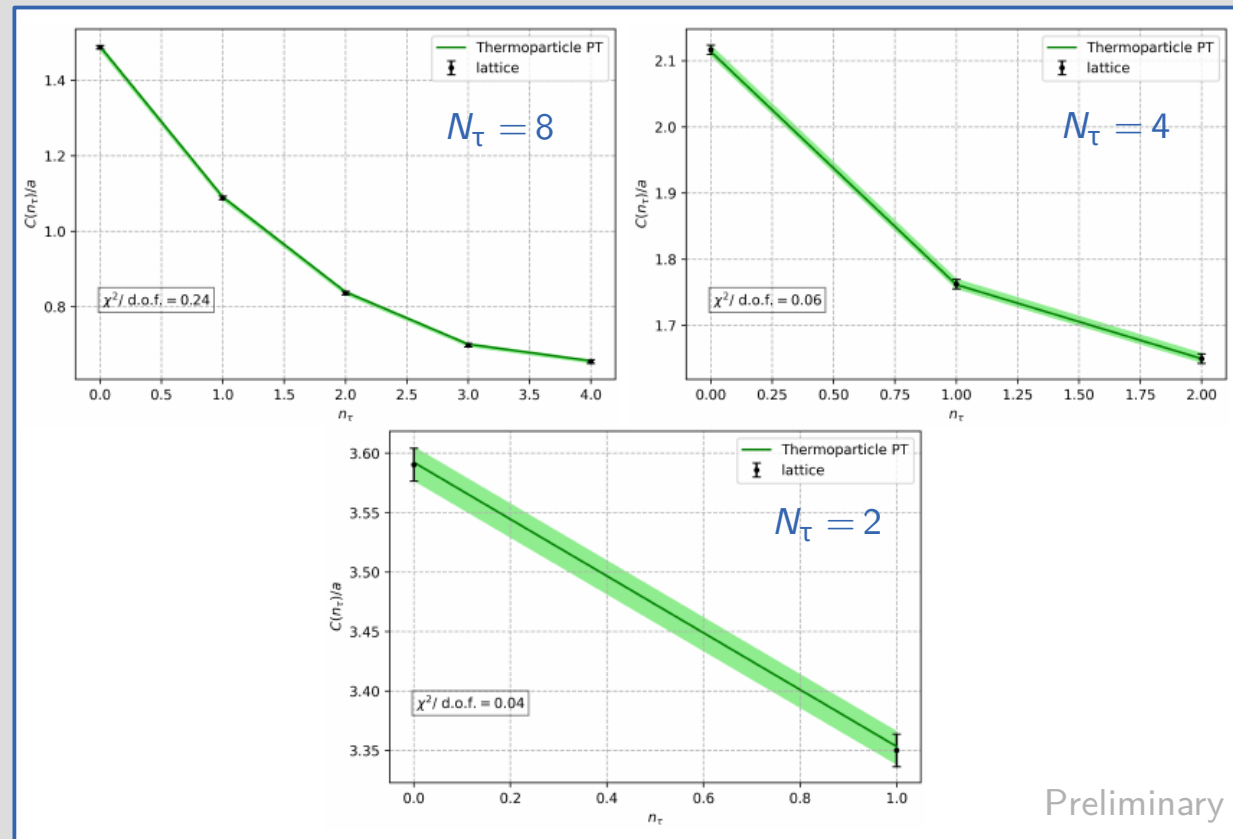
$C(\tau)$ predictions of standard perturbation theory ($\gamma=0$):



\rightarrow Predictions increasingly inconsistent with the data for higher T (lower N_τ)

5. Finite-temperature perturbation theory

- Now compute two-loop $C(\tau)$ predictions using **thermoparticle perturbation theory**, with the $\gamma(am_0, g_0, N_\tau)$ values determined from $C(z)$ data



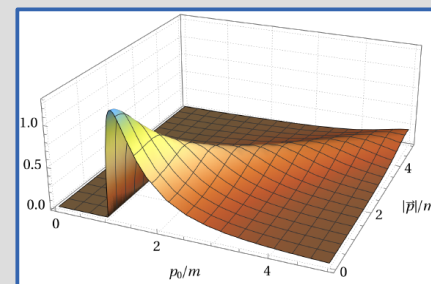
- Predictions are highly consistent with the data at **all** temperatures (lattice errors $< 1\%$)

Evidence that thermoparticles are the correct basis for the $T > 0$ perturbative expansion

Summary & outlook

- One can use the non-perturbative constraints imposed by causality to gain new insights $\rightarrow \rho(\omega, \mathbf{p})$ have spectral representations. This narrows down the potential excitations that can exist \rightarrow “**Thermoparticles**”
- Thermoparticles play a central role in QFTs at finite temperature:
 - (i) Light mesons appear to have a thermoparticle-like structure at high temperature, i.e. bound-state properties are still important
 - (ii) Thermal Goldstone modes behave like massless thermoparticles, and persist when $T > T_c \rightarrow$ *Phase structure is determined by their damping*
 - (iii) Inconsistencies of standard $T > 0$ perturbation theory are caused by using the wrong choice of scattering states, i.e. free particles.
 \rightarrow *Thermoparticles fix these problems and lead to precise predictions*

So far, just considered $T > 0$ and $\mu = 0$.
What happens in systems with non-vanishing density? \rightarrow *Interesting implications!*



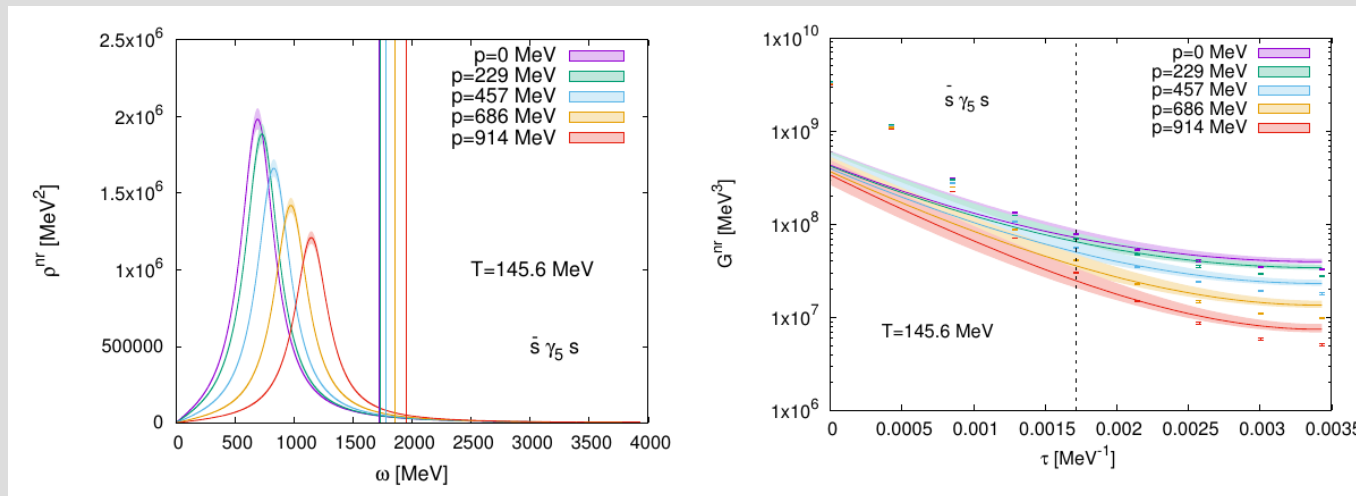
Backup: Hadrons at high temperature

- The robustness of the thermoparticle hypothesis can also be tested by comparing with different causal models, e.g. a Breit Wigner

$$\rho_{\text{BW}}(\omega, \vec{p}) = \frac{4\omega\Gamma}{(\omega^2 - |\vec{p}|^2 - m^2 - \Gamma^2)^2 + 4\omega^2\Gamma^2},$$

$$C_{\text{BW}}(z) = \frac{e^{-\sqrt{m^2 + \Gamma^2}|z|}}{2\sqrt{m^2 + \Gamma^2}}.$$

- Same procedure as with the thermoparticle case: (i) extract the width parameter Γ and coefficient from the spatial lattice data (ii) use this to predict the corresponding temporal correlator



→ Data is *not* consistent with a Breit-Wigner-type ground state!

Backup: SSB when $T > 0$

- In order to define SSB rigorously one needs to define a regularised charged operator Q_R , and this only converges for $R \rightarrow \infty$ within commutator $[Q_R, A]$

$$Q_{\delta R} = \int d^4x \alpha_\delta(t) g_R(\vec{x}) j_0(t, \vec{x})$$

(i) $g_R(\mathbf{x})=1$ for $|\mathbf{x}| \leq R$, $g_R(\mathbf{x})=0$ for $|\mathbf{x}| > R$

(ii) $\alpha_\delta(t)$ has compact support, and $\alpha_\delta(t) \rightarrow \delta(t)$

- The condition: $\lim_{R \rightarrow \infty} \langle [Q_R, A] \rangle = q$ is then **always** well-defined, and $q=0$ is a necessary and sufficient condition for the existence of a charge operator Q , defined by: $\langle u, Qv \rangle := \lim_{R \rightarrow \infty} \langle u, Q_R v \rangle$

→ If this is non-vanishing for *any* A then no such charge exists, i.e. SSB!

- SSB condition:
$$\lim_{\delta \rightarrow 0} \lim_{R \rightarrow \infty} \int_0^\infty ds \int \frac{d^3\vec{u}}{(2\pi)^3} \frac{d^3\vec{p}}{(2\pi)^3} \tilde{D}_\beta^{(+)}(\vec{u}, s) \tilde{g}(\vec{p}) \tilde{\alpha} \left(\delta R \sqrt{((\vec{p}/R) - \vec{u})^2 + s} \right) = iq \tilde{\alpha}(0)$$

- In the vacuum case this implies: $\tilde{D}^{(+)}(\mathbf{u}, s) \rightarrow iq (2\pi)^3 \delta(\mathbf{u}) \delta(s)$

- Value of q is **determined** by Goldstone damping factor $D^{(+)}(\mathbf{x})$ for $|\mathbf{x}| \rightarrow \infty$

Backup: SSB when $T > 0$

- The phase of the theory is determined by the dissipative effects experienced by the thermal Goldstone mode
- Only $D^G(\mathbf{x}) \rightarrow 0$ for $|\mathbf{x}| \rightarrow \infty$ is required to ensure the symmetry is restored, but this can happen at any rate
- If the damping factor has the functional form $D^G(\mathbf{x}) \sim |\mathbf{x}|^{-\varepsilon}$ with some $\varepsilon > 0$ at large $|\mathbf{x}|$, the two-point function decays as a pure power-law

Interesting possibility: a symmetry could be restored at high temperatures without there being a finite correlation length on either side of the phase transition

- Damping factors are fixed by the (asymptotic) dynamics of the theory [Bros, Buchholz, (2002)] \rightarrow suggests that finite-temperature phase transitions may not be entirely characterised by universality arguments

Backup: SSB when $T > 0$

- If thermal Goldstone modes are present one can look for their signatures in (Euclidean) correlation functions
- For simplicity, consider the QFT of a single complex scalar field at finite temperature, with two-point function $C(\tau, \vec{x}) = \langle \phi(\tau, \vec{x}) \phi^\dagger(0) \rangle_\beta$
- If a thermal Goldstone mode is present, it follows from the thermoparticle structure, and the spectral function representation, that:

$$C^G(0, \vec{x}) = \frac{\coth\left(\frac{\pi|\vec{x}|}{\beta}\right)}{4\pi\beta|\vec{x}|} D_\beta^G(\vec{x})$$

- The mode dissipation is determined by the damping factor $D^G(\mathbf{x})$

→ For $T \rightarrow 0$ the vacuum behaviour is recovered: $C^G(0, \vec{x}) \xrightarrow{T \rightarrow 0} \frac{\alpha_0}{4\pi^2|\vec{x}|^2}$

- For the spatial correlator

$$C(z) = \int dx dy d\tau C(\tau, \vec{x}) = \frac{1}{2} \int_0^\infty ds \int_{|z|}^\infty dR e^{-R\sqrt{s}} D_\beta^G(R, s) \longrightarrow C^G(z) = \frac{1}{2} \int_{|z|}^\infty dR D_\beta^G(R)$$

See: [PL, O. Philipsen, 2022]

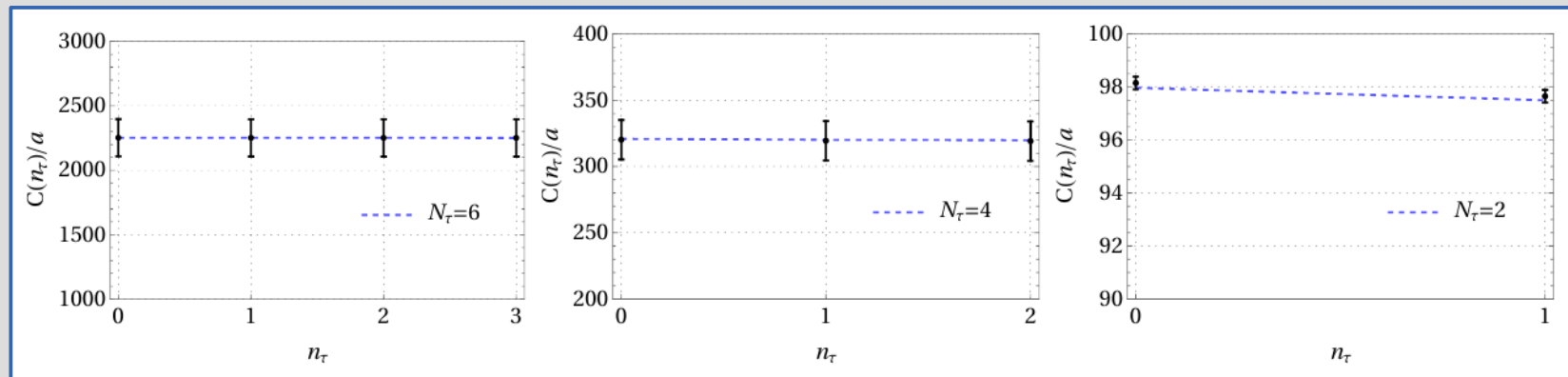
Backup: SSB when $T > 0$

Restored phase ($N_\tau = 2, 4, 6$)

- One can check the consistency of the extracted Goldstone spectral function $\rho_G(\omega, \mathbf{p})$ by comparing its prediction of the lattice **temporal** correlator data $C(\tau)$, since these are related via:

$$C(\tau) = \int_0^\infty \frac{d\omega}{2\pi} \frac{\cosh \left[\left(\frac{\beta}{2} - |\tau| \right) \omega \right]}{\sinh \left(\frac{\beta}{2} \omega \right)} \rho_G(\omega, \vec{p} = 0)$$

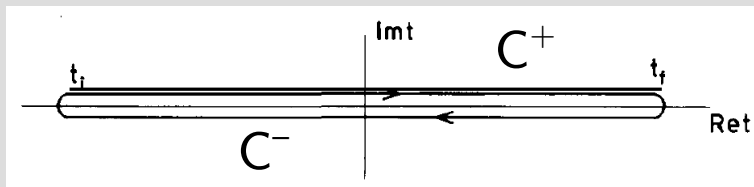
- Spectral functions are consistent with the data for all values of N_τ



Backup: Finite-temperature perturbation theory

- Perturbation theory at non-zero temperature $T = 1/\beta$ can be performed using either real or imaginary-time formulations. Both have their advantages and disadvantages (see: Kapusta & Gale, Le Bellac)

(i) **Real-time approach:** evolution along Schwinger-Keldish contour $C=C^+UC^-$



Leads to “field doubling”: Φ evolution along each branch expressed as separate fields Φ^+ and Φ^-

→ Perturbative calculations involve *four* propagators ($++$, $+-$, $-+$, $--$)

$$\tilde{\tau}_{(0)}^{++}(p) = \frac{i}{p^2 - m^2 + i\epsilon} + \frac{2\pi\delta(p^2 - m^2)}{e^{\beta|p_0|} - 1}$$

(ii) **Imaginary-time approach:** based on “Matsubara” propagators $G(\omega_n, p)$

$$G(\omega_n, \vec{p}) = \frac{1}{\omega_n^2 + |\vec{p}|^2 + m^2}$$

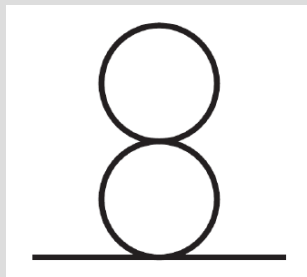
→ Energy integrals replaced with sums over discrete energies $\omega_n = 2\pi n/\beta$

→ Analytic continuation $i\omega_n \rightarrow p_0 + i\epsilon$ taken at the end

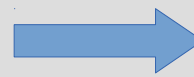
Backup: Finite-temperature perturbation theory

- It has long been understood that the inclusion of temperature T introduces a number of different complications:
 - In QCD, perturbation theory suffers inconsistencies above a fixed loop order (“*Lindé problem*” [PLB 96, 1980])
 - Perturbative series have poor convergence properties: need to reorganise the expansion (screened perturbation theory, infinite resummations, functional techniques, ...)
- All of these problems stem from the fact that $T > 0$ perturbation theory introduces a class of diagrams that are not present in the vacuum theory, and these are highly sensitive to the infrared dynamics

e.g. 2-loop order ϕ^4 theory:



Includes sub-component



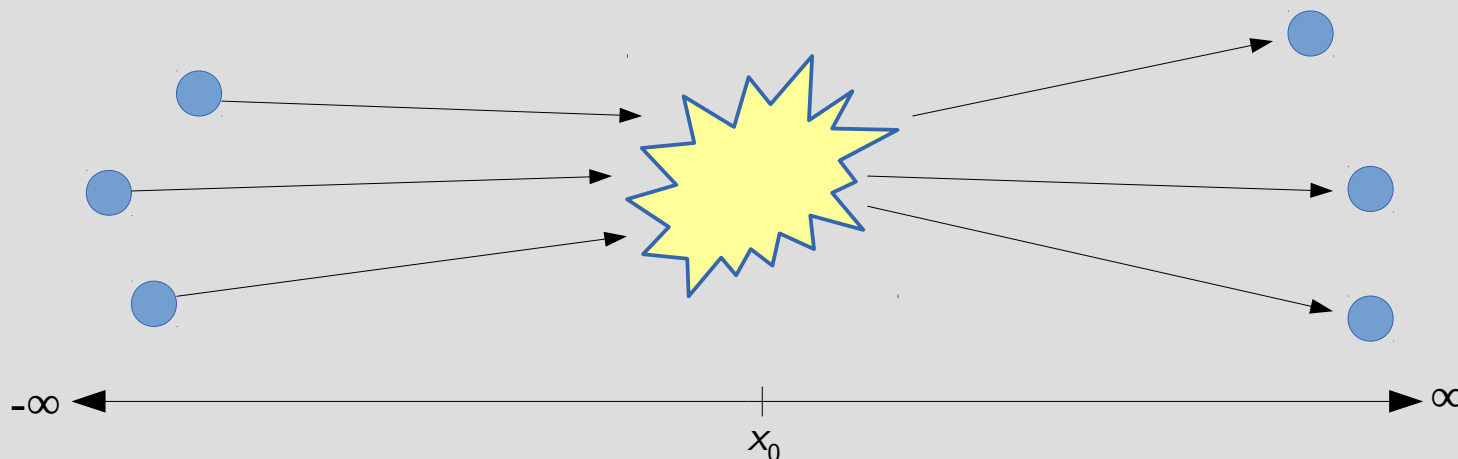
$$T \sum_n \int \frac{d^3 p}{(2\pi)^3} \frac{1}{(\omega_n^2 + |\vec{p}|^2 + m^2)^2}$$

Diverges for $m \rightarrow 0$, even after renormalisation

Backup: Finite-temperature perturbation theory

- These features are often viewed as a purely perturbative feature of finite-temperature QFT, but they are in fact a symptom of a more fundamental (non-perturbative) constraint:

“Narnhofer-Requardt-Thirring Theorem” [Commun. Math. Phys. 92, 247 (1983)]



Idea: construct thermal “quasi-particle” in/out scattering states with real dispersion relations $\omega = E(\mathbf{p}) \rightarrow$ Conclusion: *the S-matrix must be trivial!*

- So quasi-particles can exist, but only when there are no interactions...

Backup: Finite-temperature perturbation theory

Why? QFT: thermal states satisfy the KMS condition, and this gives rise to very different spectral constraints than in the vacuum case

Physics: Dissipative effects of the thermal medium are everywhere-present, so need to take these into account in the definition of scattering states!

Significant implications: *neither free field, nor quasi-particle propagators with real poles $\omega = E(\mathbf{p})$, can form the basis of finite-temperature perturbative expansions* [Landsman, *Ann. Phys.* 186, 141 (1988)]

Note: these constraints are not restricted to infrared regimes, but also affect systems at low temperatures, or with non-vanishing mass scales (i.e. $T/m < 1$)

→ **But where do these propagator constraints come from?**

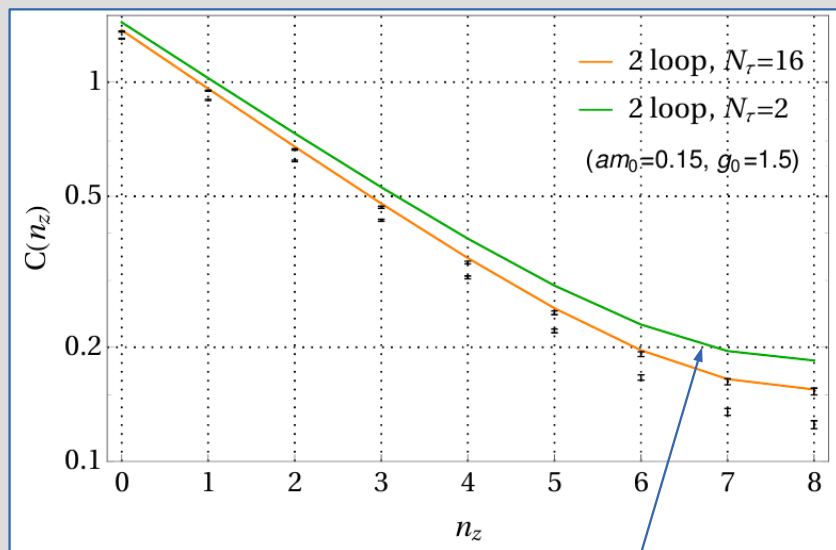
Backup: Finite-temperature perturbation theory

- **Realisation of NRT theorem constraints:** Weldon [*PRD* 65 (2002)] showed that (scalar) perturbation theory is inconsistent at some fixed loop order
 - One can classify the singularities occurring in the n-loop perturbative propagator given the singularities of the basic propagator
 - If the basic propagators have real poles $\omega = E(\mathbf{p})$, at some loop order the perturbative propagator will develop a branch point singularity at these poles, e.g. in Φ^4 theory this occurs at 2-loop order
- This prohibits corrections to the perturbative thermal propagator pole from being computed, hence the standard approach breaks down
 - So far these are analytic problems. Can one find numerical evidence of this breakdown in different systems?

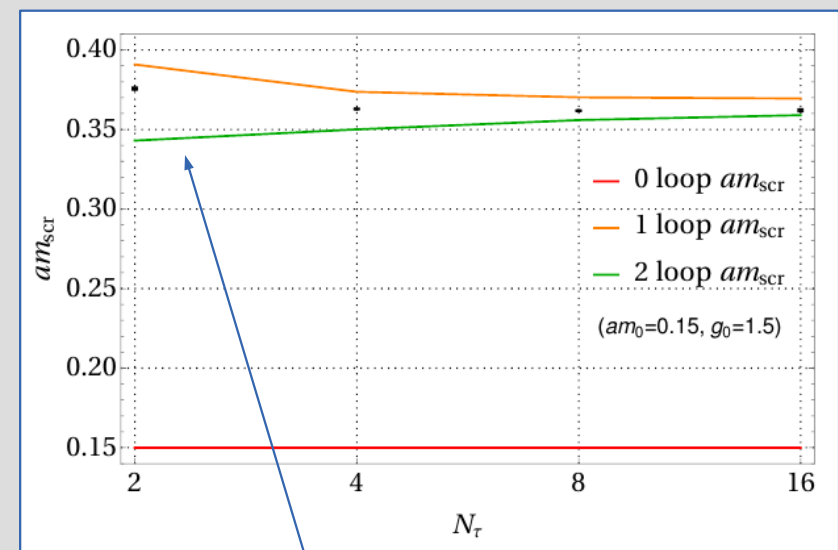
$$G_R(p) = -\frac{1}{(\omega + i\epsilon)^2 - E(\vec{p})^2}$$

Backup: Finite-temperature perturbation theory

- How do the 2-loop LPT predictions compare with the lattice data as one varies the (lattice) temperature $T=1/(aN_\tau)$?
 1. For sufficiently small g_0 the perturbative predictions are consistent with the data for all temperatures
 2. For non-negligible g_0 the perturbative predictions for $C(z)$ increasingly deteriorate as T/m increases



For $T/m \sim 1$ the 2-loop predictions deviate drastically from the data



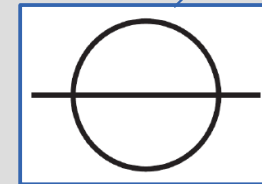
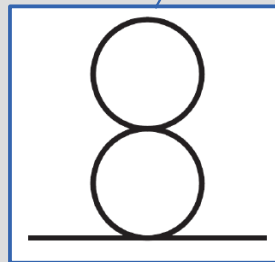
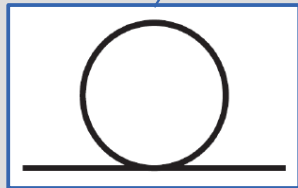
These deviations are also reflected by the screening mass m , defined: $C(z) \sim e^{-mz}$, having the *opposite* T dependence

Backup: Finite-temperature perturbation theory

- To establish the origin of these deviations one needs to understand how the 2-loop perturbative predictions are computed

$$C(z; a, N_s, N_\tau) = \frac{1}{N_s} \sum_{k_z=0}^{N_s-1} e^{\frac{2\pi i k_z}{a N_s} z} \frac{a}{4 \sin^2\left(\frac{\pi k_z}{N_s}\right) + (am_0)^2 + a^2 \Pi(\omega_E = p_x = p_y = 0, p_z = \frac{2\pi k_z}{a N_s}; a, N_s, N_\tau)}.$$

$$a^2 \Pi_{(2)}(p; a, N_s, N_\tau) = \frac{g_0}{2} J_1(am_0; N_s, N_\tau) - \frac{g_0^2}{4} J_1(am_0; N_s, N_\tau) J_2(am_0; N_s, N_\tau) - \frac{g_0^2}{6} I_3(p, am_0; N_s, N_\tau)$$



The functions J_n and I_3 are defined:

$$J_n(am_0; N_s, N_\tau) = \frac{1}{N_s^3 N_\tau} \sum_{p \in \mathcal{B}_a} \frac{1}{\left[\sum_{\mu} 4 \sin^2\left(\frac{ap_{\mu}}{2}\right) + (am_0)^2 \right]^n}, \quad n = 1, 2$$

$$I_3(p, am_0; N_s, N_\tau) = \frac{1}{(N_s^3 N_\tau)^2} \sum_{q \in \mathcal{B}_a} \sum_{r \in \mathcal{B}_a} \frac{1}{\left[\sum_{\mu} 4 \sin^2\left(p_{\mu} - \frac{aq_{\mu}}{2} - \frac{ar_{\mu}}{2}\right) + (am_0)^2 \right]} \times \frac{1}{\left[\sum_{\nu} 4 \sin^2\left(\frac{aq_{\nu}}{2}\right) + (am_0)^2 \right]} \frac{1}{\left[\sum_{\Lambda} 4 \sin^2\left(\frac{ar_{\Lambda}}{2}\right) + (am_0)^2 \right]}$$

Backup: Finite-temperature perturbation theory

- In principle, the implications of these constraints can also be looked for by comparing perturbative predictions with lattice calculations

Idea [PL, O. Philipsen, 2405.02009]: perform lattice simulations of basic field correlators in ϕ^4 theory and compare these with the predictions of lattice perturbation theory (LPT) → see: Montvay & Münster

- In LPT one uses the discretised propagator
$$G(p; a, L, L_\tau) = \frac{1}{\sum_\mu \frac{4}{a^2} \sin^2\left(\frac{ap_\mu}{2}\right) + m_0^2}$$
- Theory is defined on a finite $N_s^3 \times N_\tau$ lattice → internal loop integrals are replaced with sums over first Brillouin zone
$$\mathcal{B}_a = \{p \in \mathbb{R}^4 : -\frac{\pi}{a} < p_\mu \leq \frac{\pi}{a}\}$$

- In ϕ^4 theory the lattice action is:
$$S = a^4 \sum_{x \in \Lambda} \left[\frac{1}{2} \Delta_\mu^f \phi_0(x) \Delta_\mu^f \phi_0(x) + \frac{m_0^2}{2} \phi_0(x)^2 + \frac{g_0}{4!} \phi_0(x)^4 \right]$$

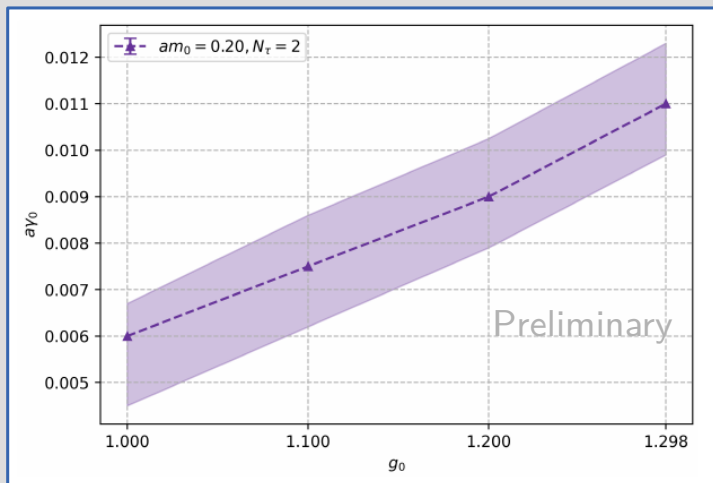
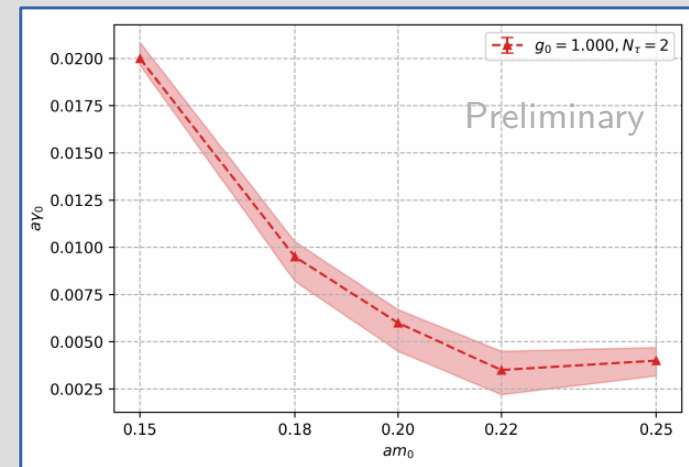
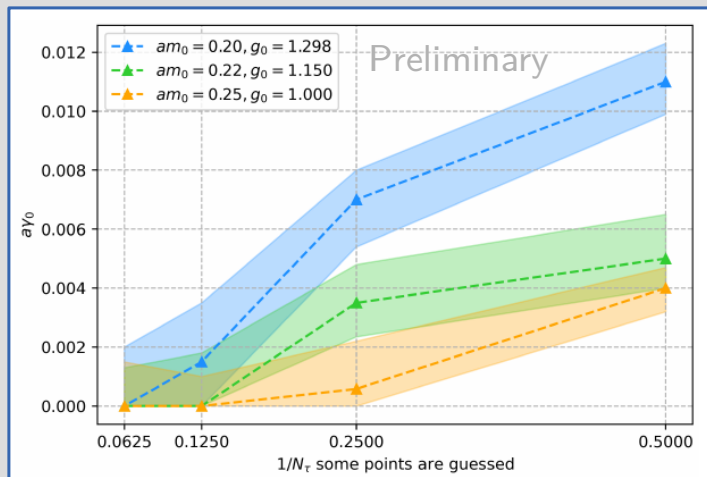
- Here we focus on perturbative calculations of the *spatial correlator*, which is defined:

$$C(z; a, N_s, N_\tau) = a^3 \sum_{\tau, x, y} \langle \phi(\tau, \vec{x}) \phi(0) \rangle$$

Backup: Finite-temperature perturbation theory

Parametric dependence of $a\gamma_0(am_0, g_0, N_\tau)$

→ By performing simulations with various different parameter sets one can determine how $a\gamma_0$ changes as one of $\{am_0, g_0, N_\tau\}$ is varied whilst keeping the others fixed



$a\gamma_0$ changes as expected:

- Larger $am_0 \rightarrow$ lower $a\gamma_0$
- Higher $1/N_\tau \rightarrow$ larger $a\gamma_0$
- Lower $g_0 \rightarrow$ lower $a\gamma_0$

Backup: Finite-temperature perturbation theory

Consistency check: perform the same procedure with different propagator parametrisations, e.g. Breit-Wigner

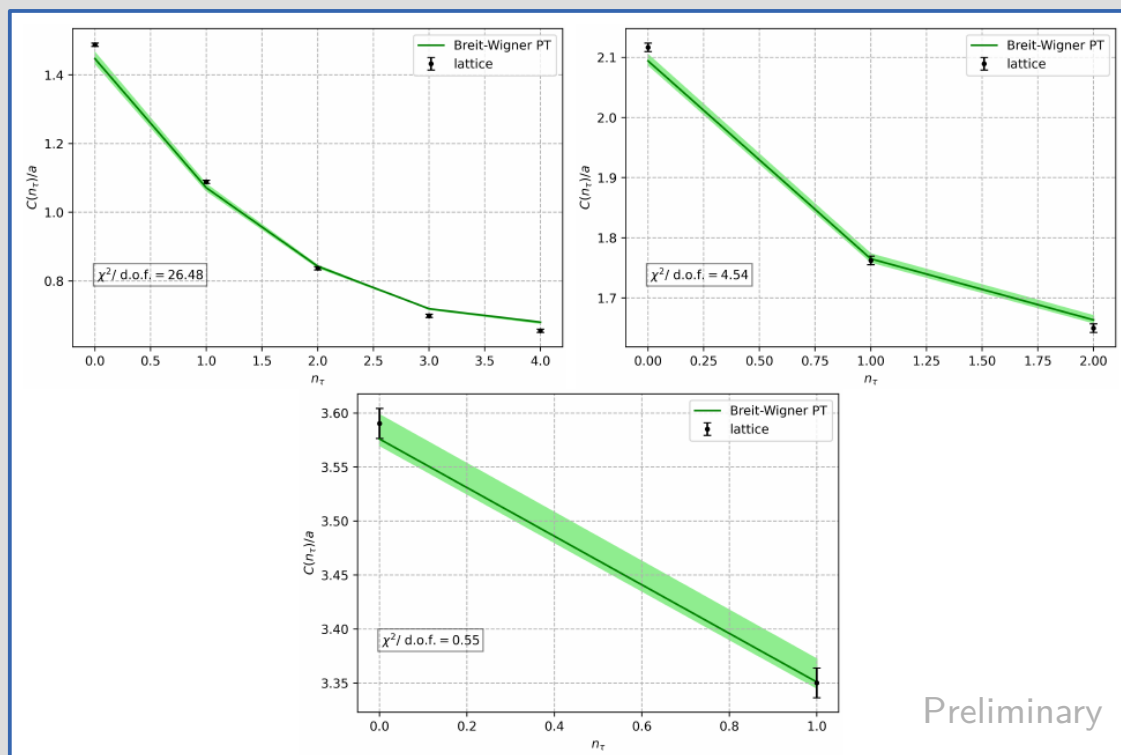
- In the Breit-Wigner case the spectral function and propagator have the form:

$$\rho_{\text{BW}}(\omega, \vec{p}) = \frac{4\omega\Gamma}{(\omega^2 - |\vec{p}|^2 - m^2 - \Gamma^2)^2 + 4\omega^2\Gamma^2}$$

$$G_n(\omega_n, \vec{p}) = \frac{1}{\omega_n^2 + |\vec{p}|^2 + m^2 + \Gamma^2 + 2\Gamma|\omega_n|}$$

Extract width Γ for different (am_0, g_0, N_τ) from $C(z)$ and use this to compute $C(\tau)$

→ **Poor description!**



Preliminary

Backup: Finite-temperature perturbation theory

Given a specific QFT, what form should the damping factors take?

Idea: thermal scattering states are defined by imposing an asymptotic field condition [Bros, Buchholz, (2002)]:

Asymptotic fields Φ_0 are assumed to satisfy dynamical equations, but only at large x_0

In Φ^4 theory

$$(\partial^2 + m^2)\phi_0(x) + \frac{\lambda}{3!}\phi_0^3(x) \xrightarrow{|x_0| \rightarrow \infty} 0$$

- Since thermoparticles dominate the large-time behaviour of correlators, they are natural candidates for describing such states. It turns out that their damping factors $\tilde{D}_{m,\beta}(\mathbf{u})$ are **uniquely fixed** by the asymptotic condition
- In Φ^4 theory one finds (where κ is a thermal width):

For $g < 0$:

$$\tilde{D}_{m,\beta}^{(-)}(\vec{u}) = \frac{2\pi^2}{\kappa^2} \delta(|\vec{u}| - \kappa),$$

For $g > 0$:

$$\tilde{D}_{m,\beta}^{(+)}(\vec{u}) = \frac{4\pi}{\kappa_0 (|\vec{u}|^2 + \kappa^2)},$$

$$\tilde{G}_{\beta}^{(-)}(k_0, \vec{p}) = \frac{1}{4|\vec{p}|\kappa} \ln \left[\frac{-k_0^2 + m^2 + (|\vec{p}| + \kappa)^2}{-k_0^2 + m^2 + (|\vec{p}| - \kappa)^2} \right]$$

$$\tilde{G}_{\beta}^{(+)}(k_0, \vec{p}) = \frac{i}{2|\vec{p}|\kappa_0} \ln \left[\frac{\sqrt{-k_0^2 + m^2 - i|\vec{p}| + \kappa}}{\sqrt{-k_0^2 + m^2 + i|\vec{p}| + \kappa}} \right]$$