

Symmetric mass generation of 8 Majorana domain-wall fermions

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Based on: On-going work

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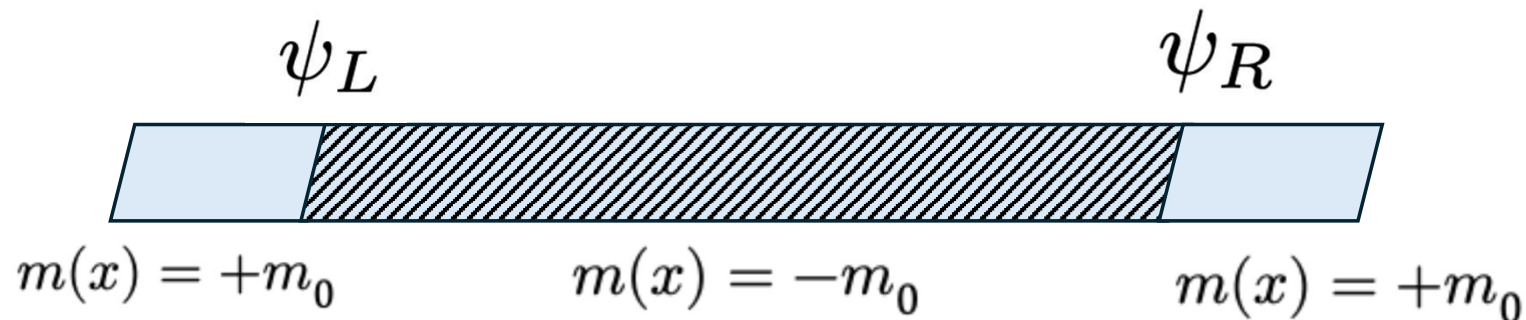
Domain-wall fermion

[Kaplan 1992]

A method to realize lattice Dirac fermions with precise chirality.

 ψ_L (localized on a domain-wall)
+ ψ_R (localized on the other wall)

- Isolating single mode (i.e., formulating Weyl fermion) is a difficult problem. [Nielsen Ninomiya theorem]



Symmetric mass generation+ Domain-wall fermion

An approach to get only one-wall localized mode at low-energy by gapping out the mirror fermions.

Keep massless ψ_L ψ_R **Gap out by SMG**



Symmetric mass generation (SMG):

A symmetry-preserving mass gap generated by interactions, without a fermion bilinear mass term.

SMG is possible if the symmetry is anomaly-free.

Target model: 8 Majorana fermions in 1D

It provides a suitable testing ground for this domain-wall–SMG approach.

- **Instead of chiral symmetry, the model satisfies**

$\mathbb{Z}_2^F \times \mathbb{Z}_2^T$ (fermion parity) \times (time reversal) **symmetry**

→ **It exhibits non-perturbative \mathbb{Z}_8 anomaly**

Our previous work:

Numerical verification of the \mathbb{Z}_8 structure of the bulk SPT and anomaly inflow with Wilson/domain-wall fermions.

[Araki, Fukaya, Onogi, Yamaguch 2025]

Motivation

Our work is the first numerical study of **only-one-wall SMG** using domain-wall fermions in the Euclidean path-integral formalism.

- Spatial separation of the two edge modes allows the SMG interaction to be localized on only one wall.
- Euclidean path integral enable non-perturbative HMC simulations.

To our knowledge, this is first numerical study of this combination.

The SMG Hamiltonian is exactly solved. [Fidkowski-Kitaev 2008]

→ It provides a controlled benchmark for testing our approach.

Fidkovski-Kitaev model in the Hamiltonian formalism (0+1D model)

Exactly solvable in quantum mechanics.
(finite dimensional Hilbert space)

8 Majorana fermions

$$c = (c^1, \dots, c^8) \quad \{c^i, c^j\} = 2\delta^{ij} \quad c^{i\dagger} = c^i$$

Time reversal \mathcal{T}

$$c^i \rightarrow c^i \quad i \rightarrow -i$$

Mass terms (quadratic terms) are prohibited.

$$ic^i c^j \rightarrow -ic^i c^j$$

- When the number of flavors are 8 (mod 8), SMG interaction terms can exist.

A 4-fermi interaction by Fidkowski-Kitaev

$$H_{FK} = -\frac{1}{24} \sum_{\alpha=1}^7 \left(\sum_{i,j=1}^8 c_i c_j \Gamma_{ij}^{\alpha} \right)^2$$

This simple form manifestly satisfies global Spin(7) symmetry [You and Xu 2015]

$$\Gamma = \{ \sigma^{123}, \sigma^{203}, \sigma^{323}, \sigma^{211}, \sigma^{021}, \sigma^{231}, \sigma^{002} \} \quad \sigma^{ijk} = \sigma^i \otimes \sigma^j \otimes \sigma^k$$
$$\sigma^0 = 1_{2 \times 2}$$

The ground state of the H_{FK} is unique (= no symmetry breaking) and the finite energy gap (= 14 energy unit) exist.

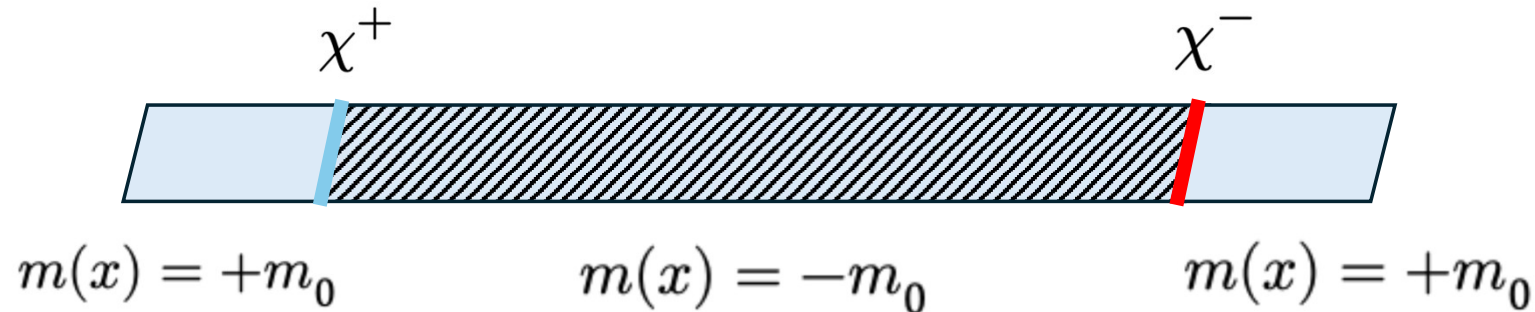
→ **The simplest SMG example.**

※ Spin(7) symmetry is not essential to SMG but it provides the direction of the model constructions.

2D domain-wall model

(We now switch to Euclidean path integral)

$$S_E = \frac{1}{2} \int dx^2 \chi^T(x, t_E) C (\gamma^\mu \partial_\mu + m(x)) \chi(x, t_E)$$



Reflection (Euclidean counterpart of time reversal) \mathcal{R}_{t_E}

$$\chi(x, t_E) \rightarrow i\sigma^1 \chi(x, -t_E)$$
$$\chi^+(x, t_E) \rightarrow +i\chi^+(x, -t_E)$$
$$\chi^-(x, t_E) \rightarrow -i\chi^-(x, -t_E)$$

Gamma matrices convention

$$\gamma^x = \sigma^1 \quad \gamma^{t_E} = \sigma^2 \quad \gamma^3 = \sigma^3 \quad C = i\sigma^2 \quad (\text{Charge conjugate})$$

4-fermi interaction

$$S_{E,\text{int}} = \int dx^2 \left\{ \frac{1}{2} \chi^T C (\gamma^\mu \partial_\mu + m) \chi - \frac{g_P^2}{2} \sum_{\alpha=1}^7 \left(\frac{1}{2} \chi^T C i \gamma^3 \Gamma^\alpha \chi \right)^2 \right\}$$

**Reflection invariant 4-fermi term
(pseudo-scalar)²**

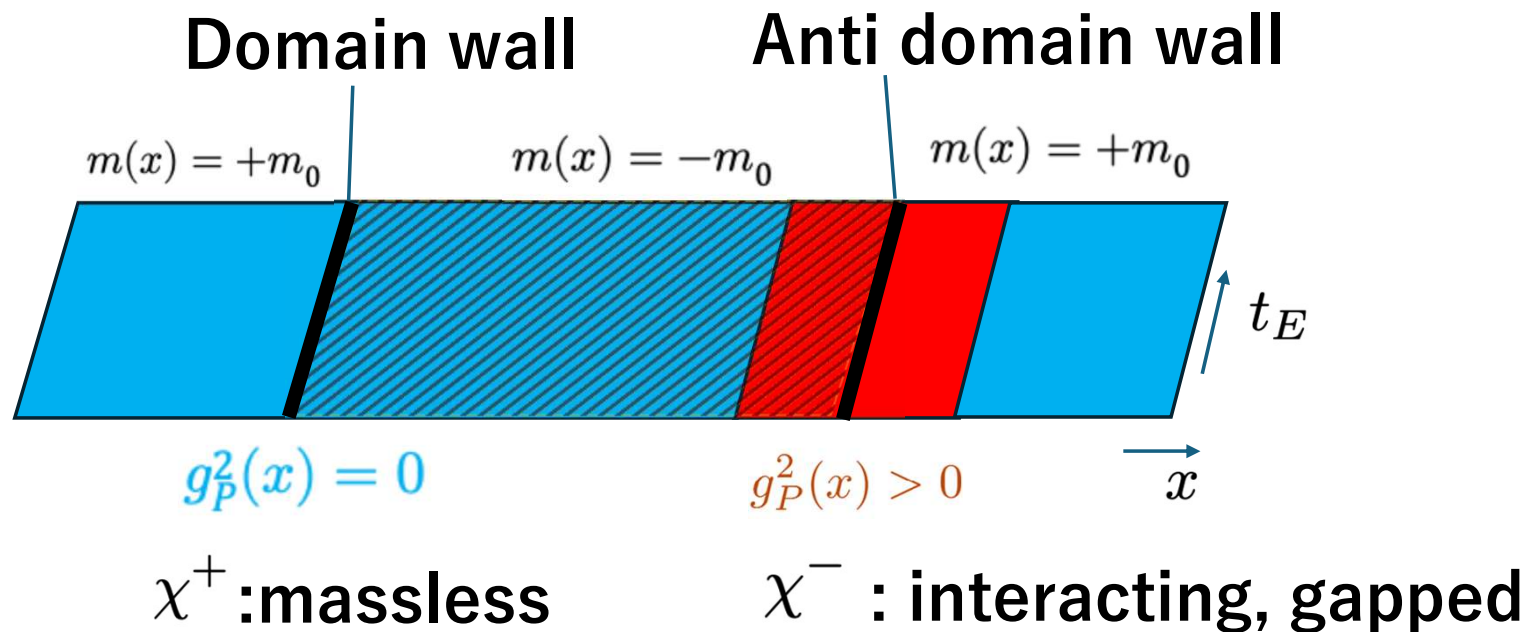
$$\Gamma = \{ \sigma^{123}, \sigma^{203}, \sigma^{323}, \sigma^{211}, \sigma^{021}, \sigma^{231}, \sigma^{002} \}$$

$$\sigma^{ijk} = \sigma^i \otimes \sigma^j \otimes \sigma^k \quad \sigma^0 = 1_{2 \times 2}$$

Turning on the coupling constant $g_P^2 > 0$ induce the SMG.

What we want to do:

- ① While keeping the modes on one domain wall **massless**,
- ② introduce the SMG interaction near the other (anti) wall to open a **mass gap**.



Auxiliary-field technique (Hubberd-Stratnovich transformation)

$$Z = \int D\chi \exp(-S_{E,\text{int}}[\chi])$$

$$= \int D\chi D\pi \exp(-S_{E,HS}[\chi, \pi])$$

Insert the “identity”

(Gaussian path-integral over the auxiliary field $\pi^a(x, t_E)$)

$$1 = \frac{1}{\mathcal{N}} \int D\pi \exp \left[- \int dx^2 \frac{1}{2} \sum_a \left\{ \pi^a(x, t_E) + \frac{\sqrt{g_P^2}}{2} \chi^T(x, t_E) C i \gamma^3 \Gamma^a \chi(x, t_E) \right\}^2 \right]$$

$$S_{E,HS} = \int dx^2 \left\{ \frac{1}{2} \chi^T(x, t_E) C \left(\gamma^\mu \partial_\mu + m + \sqrt{g_P^2} \sum_{\alpha=1}^7 \pi^\alpha(x, t_E) i \gamma^3 \Gamma^\alpha \right) \chi(x, t_E) + \frac{1}{2} \sum_{\alpha=1}^7 (\pi^\alpha(x, t_E))^2 \right\}$$

4-fermi terms \rightarrow **bilinear Yukawa terms** + **boson term**

2D lattice simulation

$$Z = \int D\pi \text{ Pf}(CD[\pi]) \exp\left(-\sum_{x,t_E} a^2 \frac{1}{2} \sum_{\alpha=1}^7 (\pi^\alpha(x, t_E))^2\right)$$

Stochastically evaluate the four-fermion interaction by sampling the auxiliary fields $\pi^\alpha(x, t_E)$ with Hybrid Monte Carlo (HMC) method.

$$D[\pi] = \left(D_{W,\text{free}} + m(x) + \sum_{\alpha=1}^7 \pi^\alpha(x, t_E) i\gamma^3 \Gamma^\alpha \right)$$

We employed the Wilson-Dirac operator.

- **It dose not break any symmetry.**

$$D_{W,\text{free}} = \sum_{\mu} \left\{ \gamma^{\mu} \frac{\nabla_{\mu}^f + \nabla_{\mu}^b}{2} - \frac{a}{2} \nabla_{\mu}^f \nabla_{\mu}^b \right\} \quad \nabla_{\mu}^{f/b} \quad \text{Forward/backward difference}$$

Parameters

- lattice size

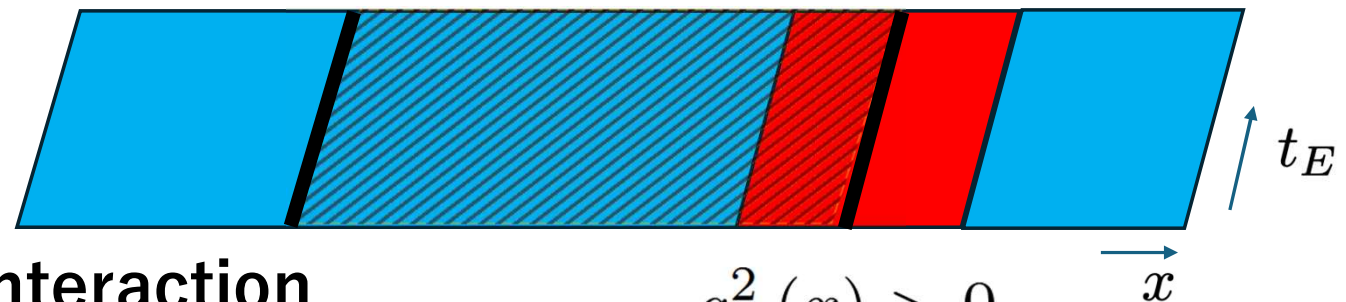
$$N_x = 12 \quad N_{t_E} = 12, 24 \quad a = 1$$

With periodic boundary conditions (for the both directions)

- Domain-wall mass term

$$m(x) = +1 \text{ for } x = 1, 2, 3, \quad 10, 11, 12$$

$$m(x) = -1 \text{ for } x = 4, 5, 6, 7, 8, 9$$



- DW-selective interaction

$$g_P^2(x) > 0$$

for $x = 8, 9, 10, 11$

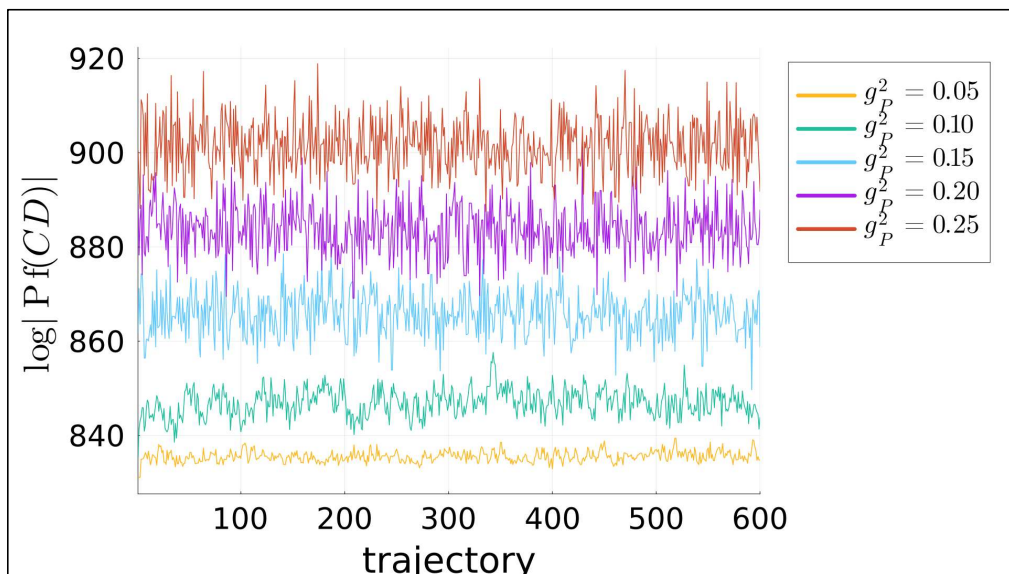
HMC w/ phase quenched + reweighting

Pf($CD[\pi]$) is complex

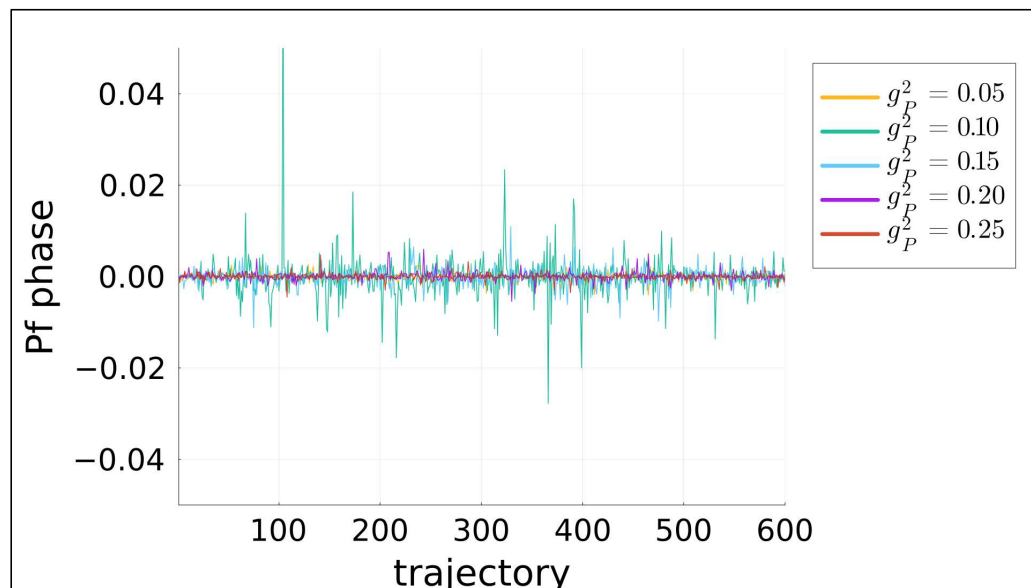
$$\langle O \rangle = \frac{\langle O e^{i\theta} \rangle_{pq}}{\langle e^{i\theta} \rangle_{pq}}$$

12 × 12 lattice

log|Pfaffian| history



argument(Pfaffian) history



Complex phases of Pfaffian are small.

(total effect < 1 %)

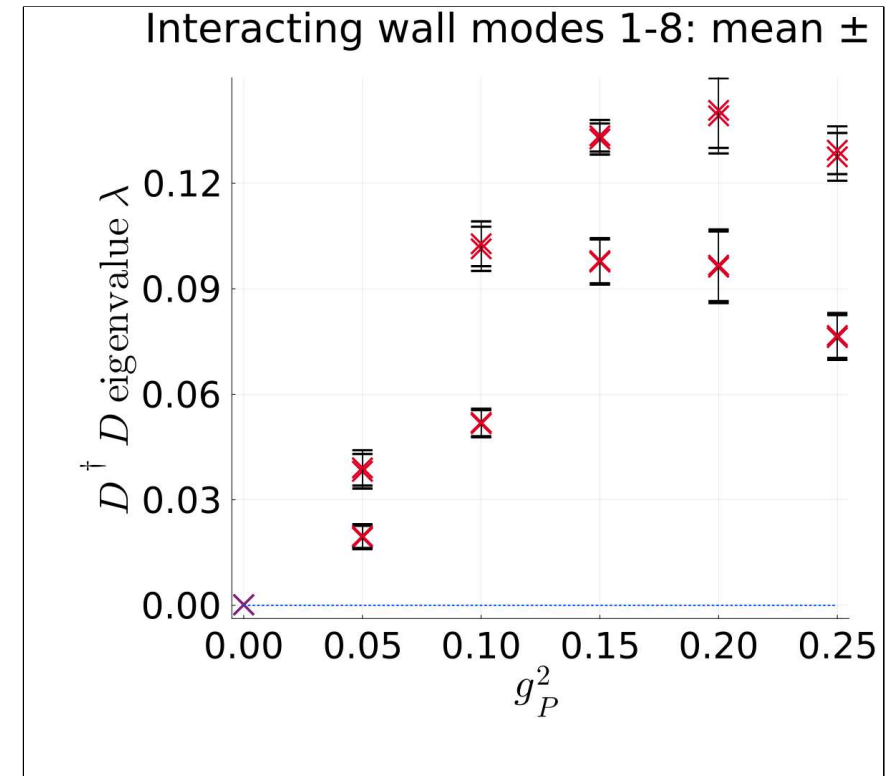
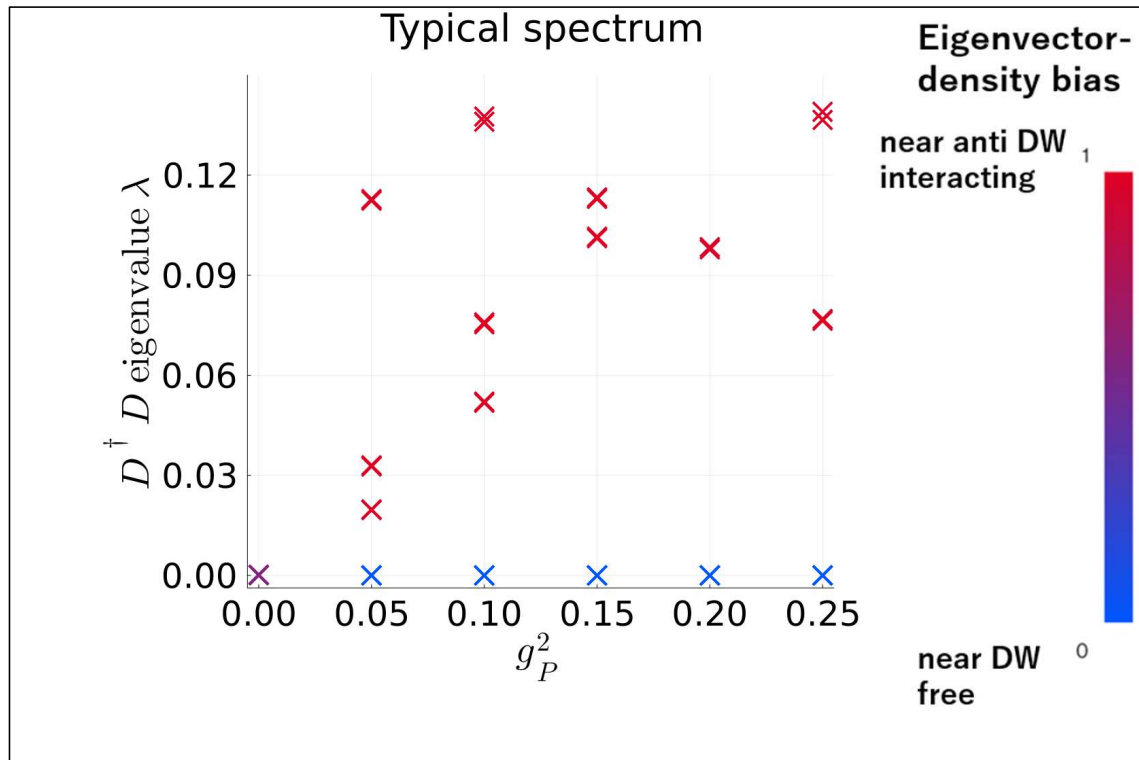
=Sign problem is negligible

Spectrum of $D^\dagger D$

$$D = \left(D_{W,\text{free}} + m(x) + \sqrt{g_P^2} \sum_{\alpha=1}^7 \pi^\alpha(x, t_E) i\gamma^3 \Gamma^\alpha \right)$$

12 × 12 lattice

preliminary

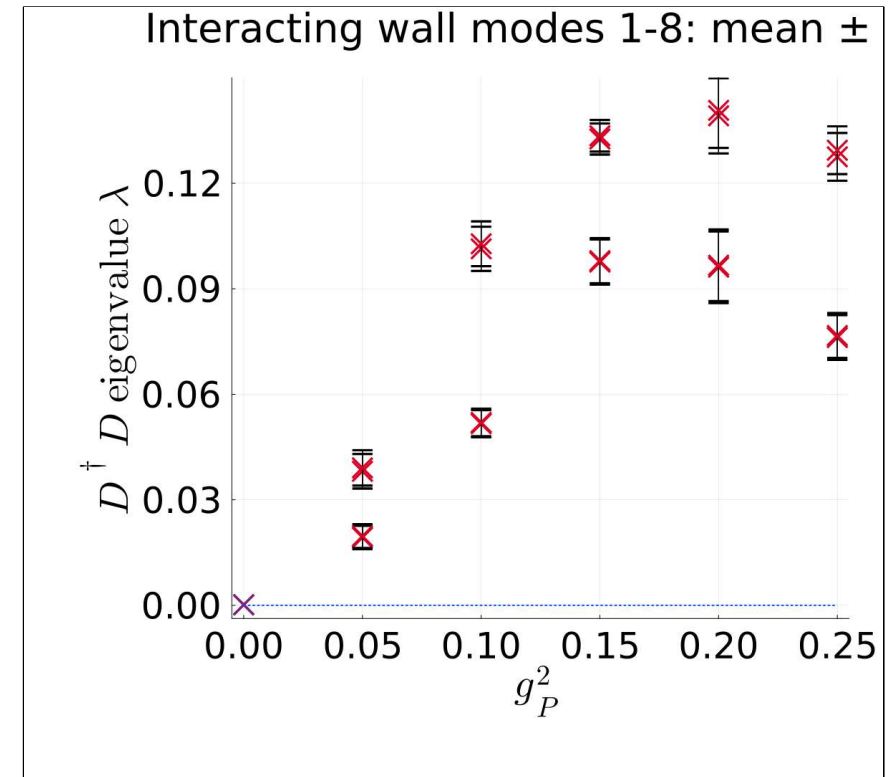
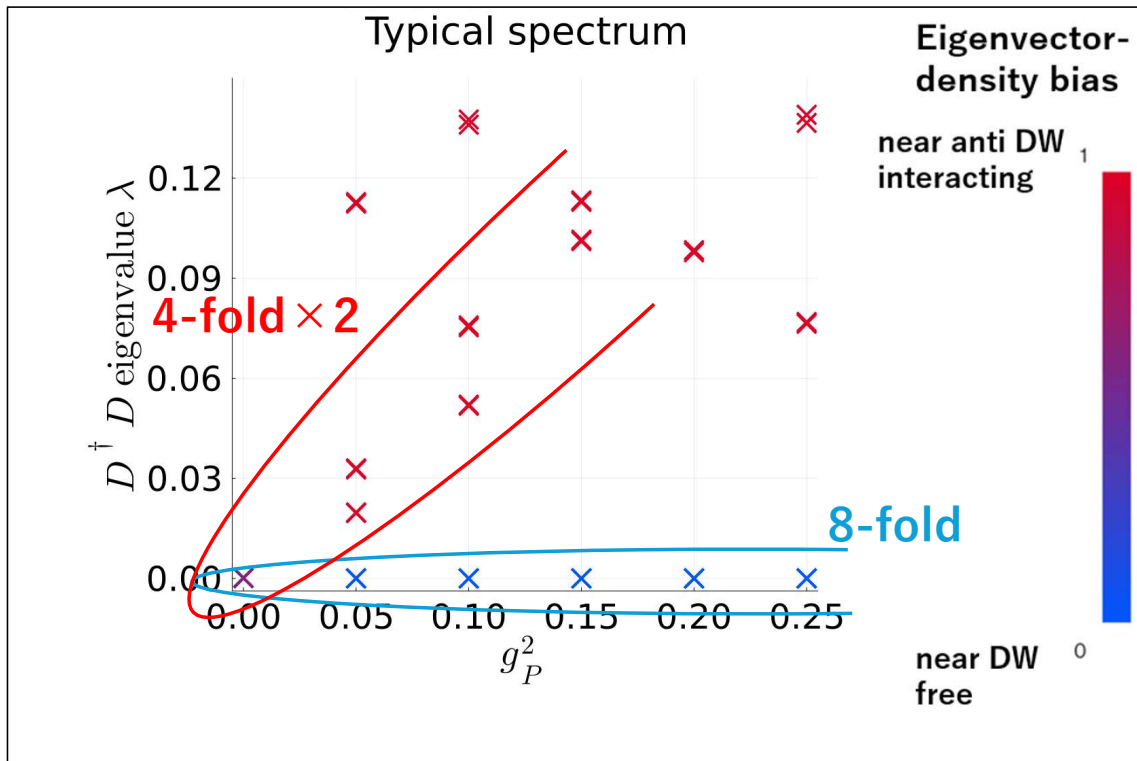


Spectrum of $D^\dagger D$

$$D = \left(D_{W,\text{free}} + m(x) + \sqrt{g_P^2} \sum_{\alpha=1}^7 \pi^\alpha(x, t_E) i\gamma^3 \Gamma^\alpha \right)$$

preliminary

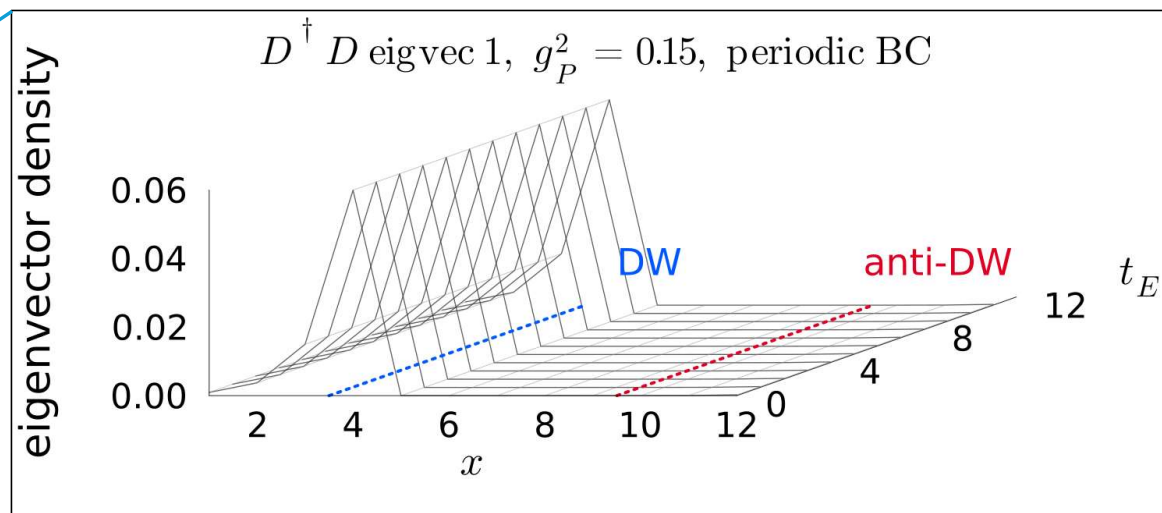
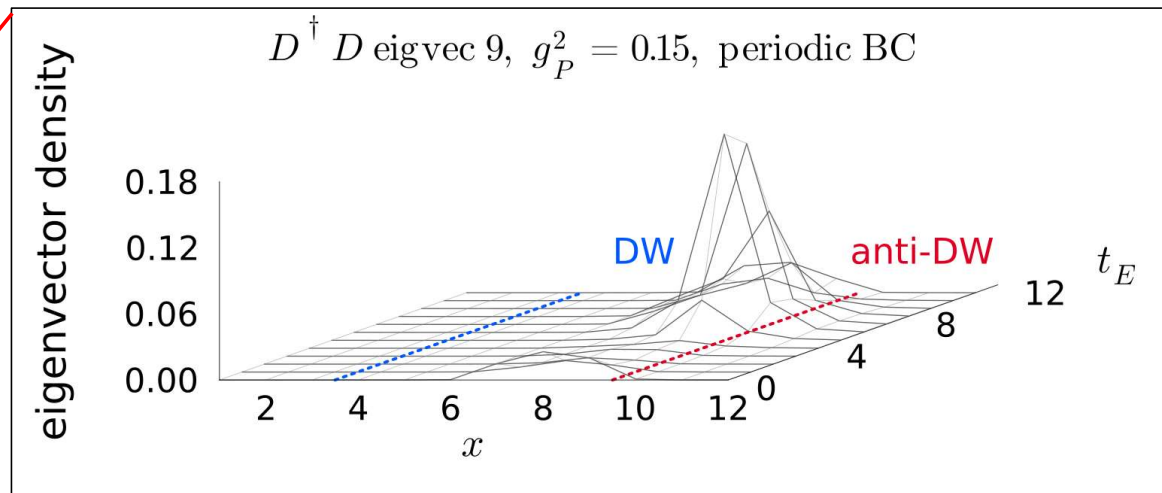
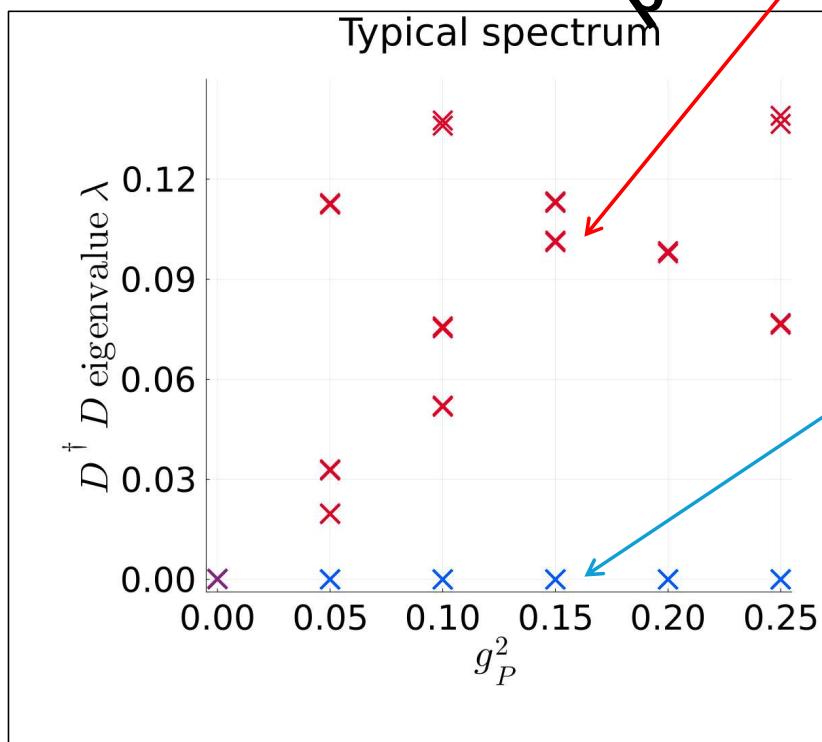
12 × 12 lattice



Profiles of eigenvectors

12 × 12 lattice

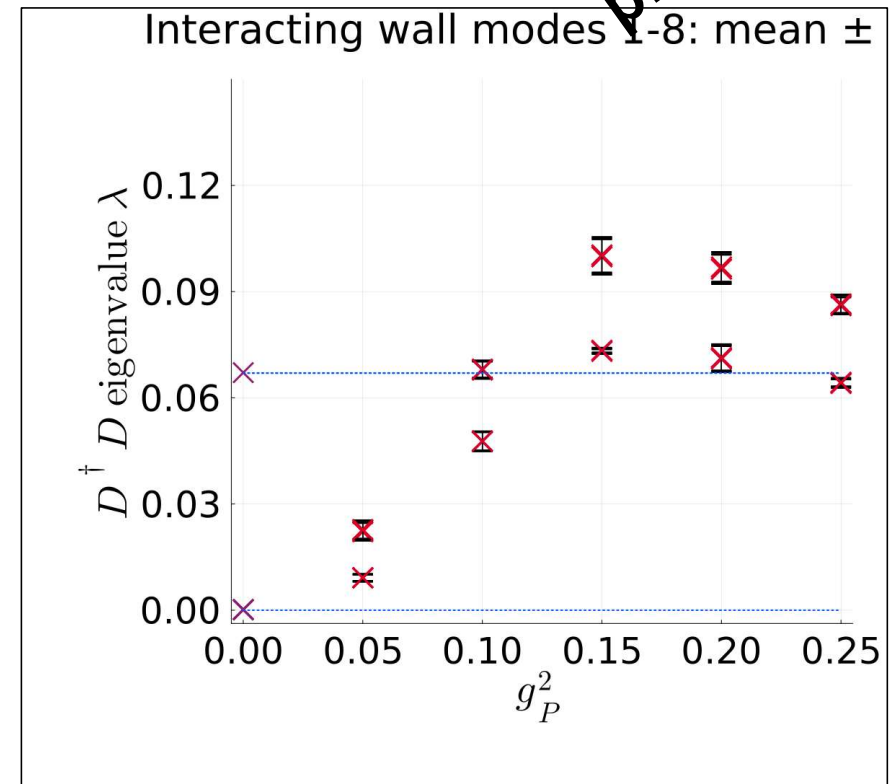
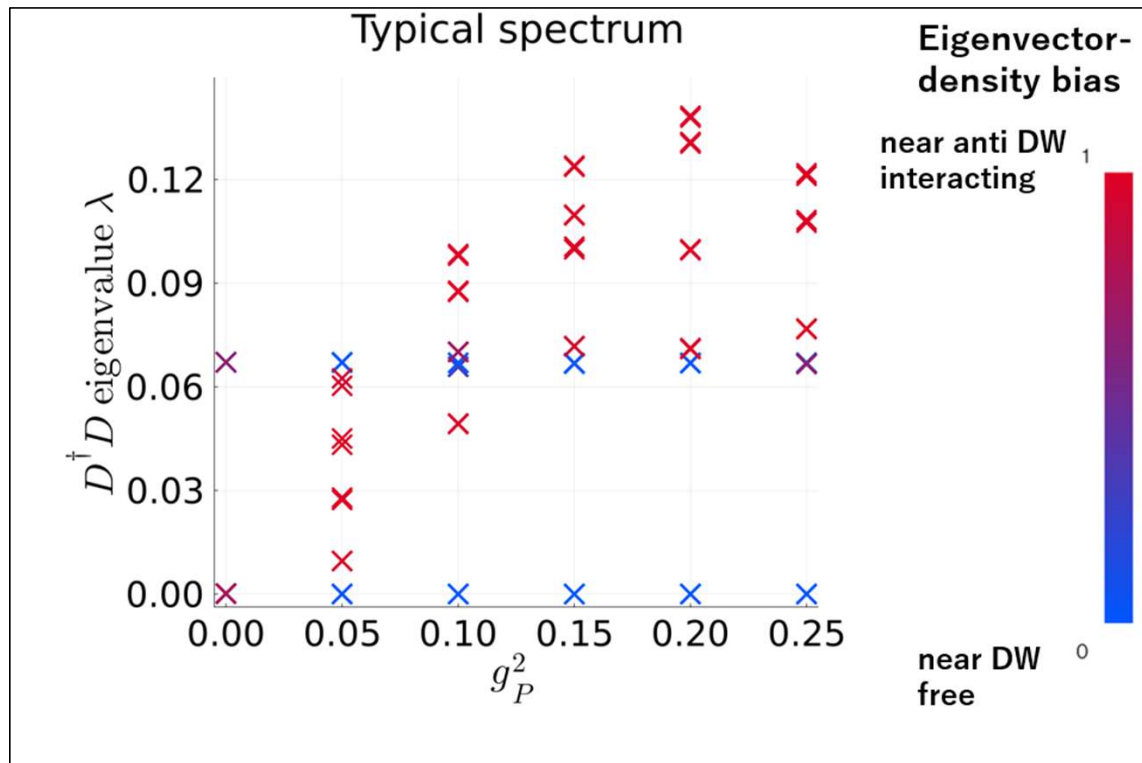
preliminary



Spectrum of $D^\dagger D$

12×24 lattice

preliminary

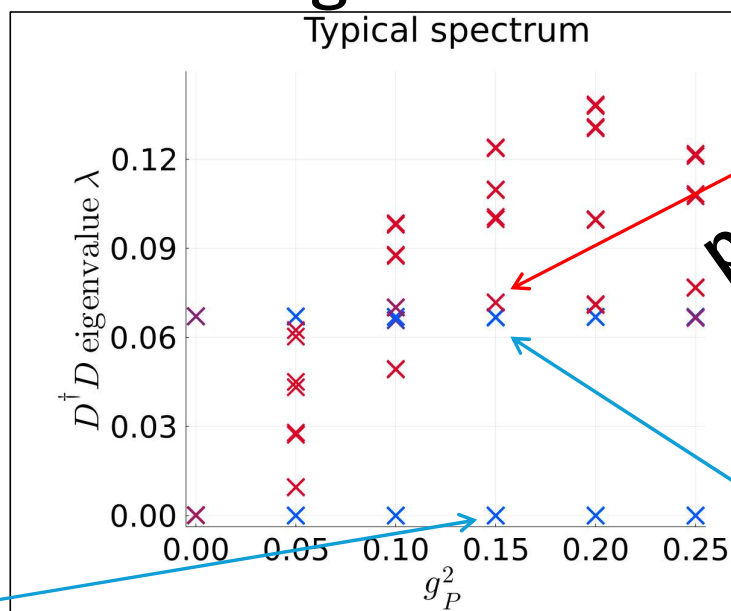


$$p_{t_E} = \pm \frac{2\pi}{N_{t_E}}$$

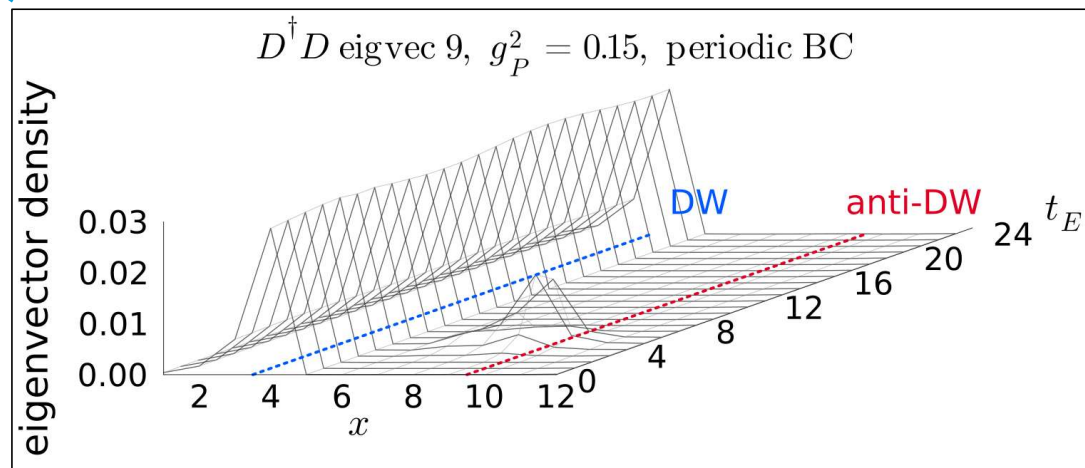
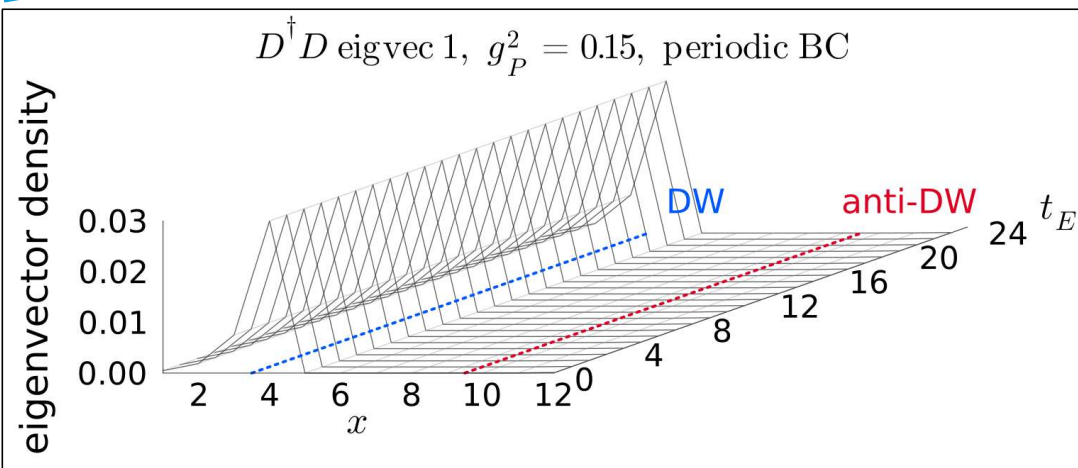
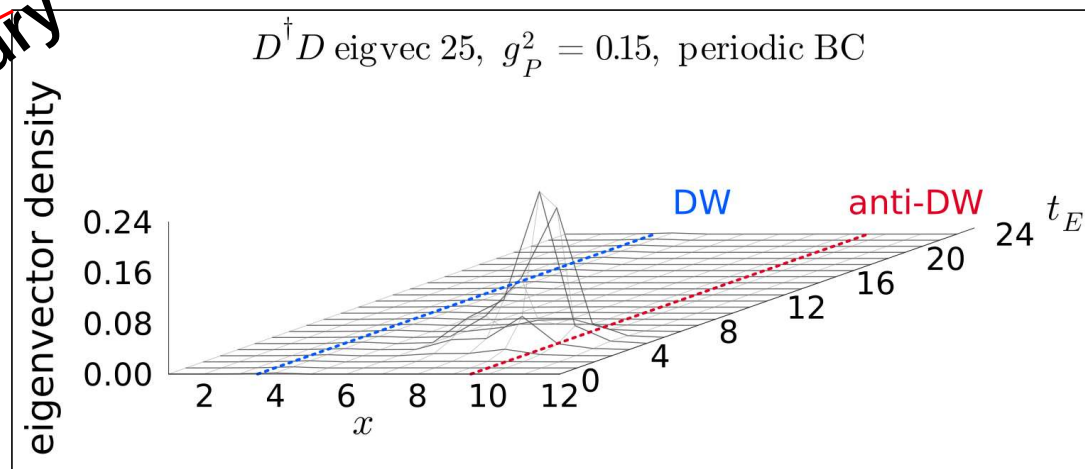
modes (16 folded) are observed on the free DW side.

Profiles of eigenvectors

12 × 24 lattice



preliminary



Remaining issue

Establish stronger evidence for SMG

- Determine whether the correlation functions exhibit power-law or exponential decay; the present analysis provides only a preliminary estimate.

More detailed analysis of gap generation

- Perform a scaling analysis to examine the continuum and large-gap limits, including whether both can be taken simultaneously.

Summary

We have simulated the path-integral version of Fidkowski-Kitaev model with domain-wall fermion.

- We have estimated gap by **the Dirac-Yukawa operator with Higgs fields** generated by HMC.
 - We have introduced the SMG interaction only around one domain-wall.
- Eigenvalue spectrum exhibit that **interacting modes obtain mass gap** and **non-interacting modes stay massless**.