

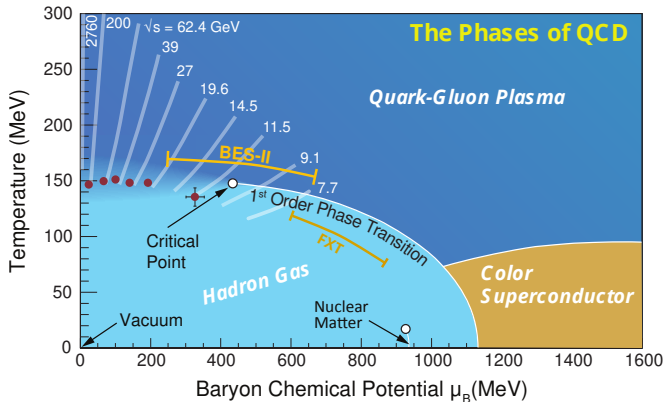
QCD critical point search: fluctuations and hydrodynamics

M. Stephanov



Phase diagram of QCD

Where on the QCD phase boundary is the Critical Point?



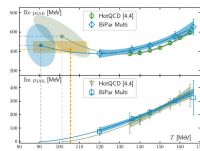
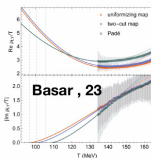
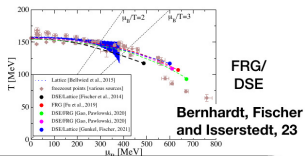
Theory estimates for the QCD CP location

- CP location: estimates converging around $\mu_B \sim 400 - 650$ MeV.

Caution: systematic errors poorly known.

Sign problem is still a challenge.

Latest theory developments on locating CP



$$(\mu_{BC}, T_c) = (495 - 654, 108 - 119) \text{ MeV}$$

$$(\mu_{BC}, T_c) \approx (580, 100) \text{ MeV}$$

$$(\mu_{BC}, T_c) = (422^{+80}_{-35}, 105^{+8}_{-18}) \text{ MeV}$$

(Post-Print)

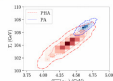
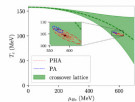
Bayesian holography + Lattice input at $\mu = 0$

[Higert et al., e-Print: 2309.00579 \[nucl-th\]](#)

Predict CEP [95% confidence level]:

$$T_c = 101 - 108 \text{ MeV} \quad \mu_c = 560 - 625 \text{ MeV}$$

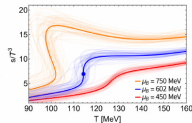
$$\sqrt{s} = 4.0 - 4.8 \text{ GeV}$$



Extrapolations of Lee-Yang edge singularities to
real axis

Thermodynamic analysis of a
lattice QCD extrapolated EoS

Shah et al., 24



$$(\mu_{BC}, T_c) = (602.1 \pm 62.1, 114.3 \pm 6.9)$$

From Maneesha Pradeep's talk, CPOD 2024

Different approaches, but broadly similar results: $\mu_{CP} \sim 420 - 650 \text{ MeV}$.

All critical points are equal, but some are more equal . . .

- While critical equation of state is universal, there are also important non-universal characteristics.
- Critical point is characterized not only by its location, but also by the strength and the shape of the singularity.
- BEST collaboration standardized the parameters quantifying these non-universal properties: w , ρ , α_1 , α_2 — Ising-to-QCD mapping parameters.
- Equation of state with given critical point parameters
and matching lattice QCD data: *Parotto et al 18'*, *Kahangirwe et al 24'*

Quantifying theory predictions for fluctuations

- Translating fluctuations in hydrodynamics into fluctuations of particle multiplicites observed in experiments had been a major challenge.
- A solution: **maximum entropy freezeout**
(Pradeep-MS 22')
 - Satisfies all conservation laws (baryon number, energy, etc.)
 - Maximizes fluctuation entropy of correlated hadron gas.
 - Model-independent, minimally biased prediction.

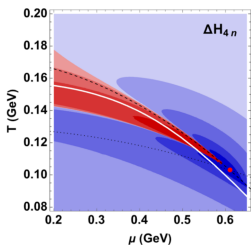
Recent applications of the maximum entropy freezeout

- Quantifying effects of non-universal parameters on fluctuations using maximum entropy approach to freezeout (*Karthein et al 25'*)
 - w controls magnitude (width of critical region);
 - ρ — location of signatures in μ_B (shape of the CR)
- Estimates for critical fluctuations with non-universal parameters constrained to the region indicated by Padé approach
 - Padé constrains combination $\bar{\rho} \equiv \rho w^{1-1/\beta\delta}$ (*Basar et al 26'*)
- Extracting baryon susceptibilities from proton fluctuations

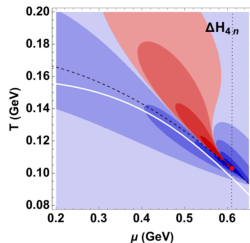
G. Pihan's talk

Qualitative features depend on parameters too

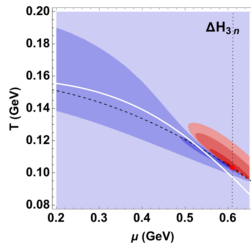
$\alpha_2 = 5^\circ$:



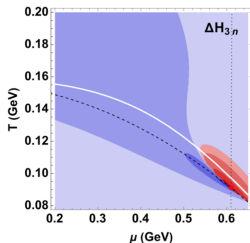
$\alpha_2 = -89^\circ$:



“Hot CP”:



“Cool CP”:



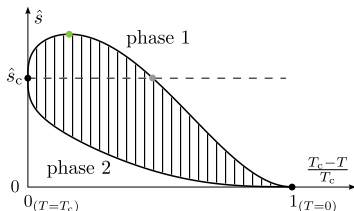
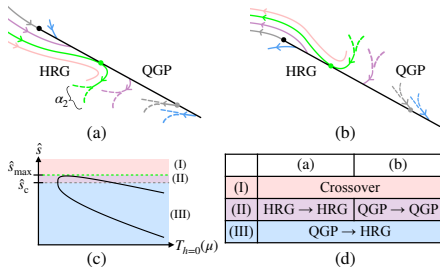
🔴 Signatures could differ depending on non-universal parameters

(Basar et al, 26')

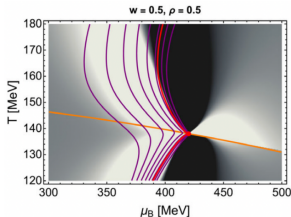
Critical point and non-trivial hydro trajectories

Pradeep, Sogabe, MS, Yee 2402.09519, PRC

- $\hat{s} \equiv s/n$ is non-monotonic along coexistence (1st order) line
- non-trivial deformation of trajectories



explains “lensing”, “cusp”

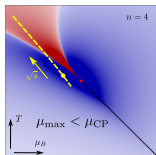
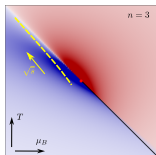
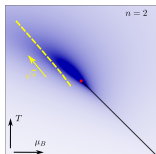


Critical lensing~Dore et al,22,
Nonaka&Asakawa, 05

- depending on $\hat{s}_c - \cot \alpha_1$,
or $\frac{1}{n} \left(\frac{\partial P}{\partial T} \right)_n$, at CP

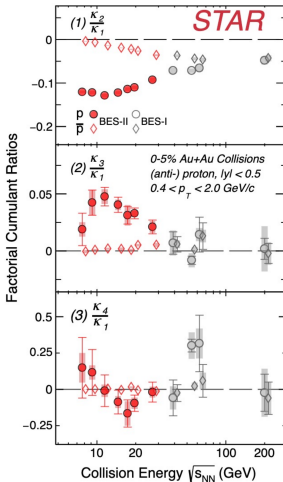
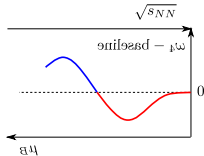
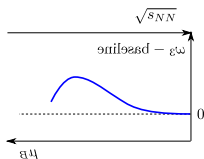
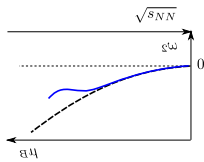
Theory vs BES-II data

(universal EOS) critical χ_n :



Bzdak et al review [1906.00936](#)

(irreducible correlations) $FC_n[N_p] \sim \chi_n$ (Pradeep, MS [2211.09142](#)), $\omega_n \equiv FC_n/FC_1$



Expected signatures: **bump** in ω_2 and ω_3 , **dip** then **bump** in ω_4 for CP at $\mu_B > 420$ MeV

[2410.02861](#) more

Observations

- Significant deviations from monotonic baseline(s) in all FC.
- Qualitatively, consistent with expectations from CP.
- To produce such signatures the CP has to be at $\mu_B > 420$ MeV.
- In line with recent theory estimates by different approaches.

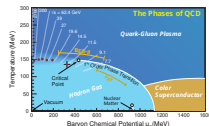
But

- Non-critical baseline is important for interpretation of signals.
- Non-equilibrium effects — conservation laws, memory, i.e., fluctuation *dynamics* — are not yet taken into account.

more

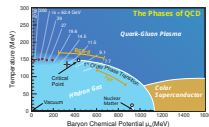
Connection between theory and experiment

- QCD phase diagram:
 - Lattice; Taylor; Padé; YL singularities.
 - FRG; ● Models
- Fluctuations are singular *in equilibrium*.



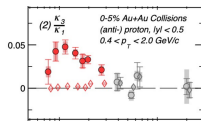
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Gap

- Experiment:
 - BES at RHIC
 - Future experiments



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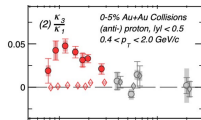
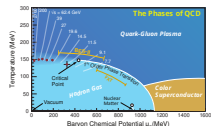
● Hydrodynamics

● Freezeout

● Experiment:

● BES at RHIC

● Future experiments



Deterministic approach to non-Gaussian fluctuations

Andreev, Akamatsu et al, Yin et al, Martinez et al, . . .

An et al [1902.09517](#), [1912.13456](#), [2009.10742](#) (PRL), [2212.14029](#)

- **Model independent** description based on hydrodynamics
- In *stochastic* hydrodynamics,
equal-time correlators $H_{a_1 \dots a_N} \equiv \langle \delta\psi_{a_1} \dots \delta\psi_{a_N} \rangle^c$
of fluctuating hydrodynamic fields $\psi_a = \{\epsilon, n_B, \dots\}$
obey *deterministic* evolution equations.
- The equations describe relaxation of the correlators towards (evolving) equilibrium values. more
- In *equilibrium*, the correlators are given by thermodynamic EOS.
- *Relativistic* flow presents interesting challenges. Equal time?

Main ingredients of the relativistic formalism

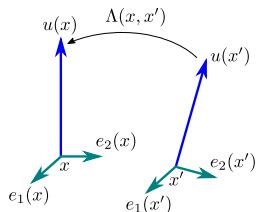
An et al 2604.14110

● With $u(x)$ – average flow velocity, i.e., Landau frame for $\langle T^{\mu\nu} \rangle$:

● define connection $\tilde{\omega} = \nabla\Lambda$ and
confluent derivative: $\tilde{\nabla}_\mu u^\nu = 0$.

● supply local basis $e_a(x)$,
also confluent constant: $\tilde{\nabla}_\mu e_a^\nu = 0$.

Local $SO(3)$ (gauge) invariance.



Main ingredients of the relativistic formalism

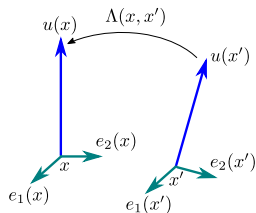
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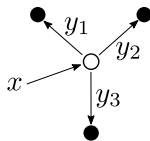
Local $SO(3)$ (gauge) invariance.



• Define *fluctuating* densities in this local frame/basis.

Momentum 3-vector π^a , energy $\tilde{\varepsilon}$, (baryon) charge \tilde{n} .

- Define N -point correlator $H_{(N)}$ which is
 - equal time w.r.t. to midpoint, x :
 - $SO(3)$ covariant, i.e., transforms as a local tensor at the midpoint.



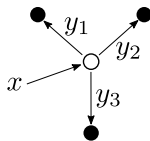
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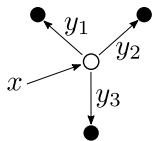
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Define a *confluent derivative* of the correlator, $\tilde{\nabla} H_{(N)}$.

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$\tilde{\nabla} H_{(N)}$ is also $SO(3)$ covariant.

- Define generalized Wigner transform,

$$H_{(N)}(x_1, \dots, x_N) \longrightarrow W_{(N)}(x; \mathbf{q}_1, \dots, \mathbf{q}_N),$$

— N -ple Fourier integral with midpoint fixed *An et al., [2009.10742](#)*

Takes advantage of scale separation:

average gradients vs fluct. wavenumbers: $k \sim q^2 \ll q$.

Evolution equations

- Diagrammatic representation:

$$\left(\text{---} \bullet \text{---} \right)' = \text{---} \text{D} \bullet \text{---} + \text{---} \triangle \text{---}$$

$$\left(\text{---} \bullet \begin{array}{l} / \\ / \end{array} \right)' = \text{---} \text{D} \bullet \begin{array}{l} / \\ / \end{array} + \text{---} \text{D} \begin{array}{l} \bullet / \\ \bullet / \end{array} + \text{---} \triangle \begin{array}{l} / \\ \bullet \end{array}$$

● – correlator; D – evolution; \triangle – noise.

- For all modes (incl. velocity) known for $N = 2$.
- For $N \geq 3$ — known for scalar, diffusive mode. *An et al., 2009.10742*
- All modes, $N = 3$, — recent result — *An et al, 2604.14110*

Physical meaning of W

- $N = 2$: matrix equations have intuitive nontrivial interpretation:
 - Wigner function (projected onto sound channel) obeys kinetic equation with local group velocity $c_s(x)|\mathbf{q}|$ — phonons.
 - In an inhomogeneous, expanding, accelerating, and rotating fluid – all inertial effects are accurately reproduced.
 - Distribution relaxes to equilibrium at the well-known rate of sound attenuation (times two).
 - The equilibrium is given by classical (Rayleigh-Jeans) limit of Bose-Einstein distribution.
- For $N = 3$, the same Liouville operator, and its field derivatives, appear in evolution equation.

Summary

- RHIC BES-II data shows interesting qualitative features (deviations from baseline) in line with theoretical expectations for the CP at $\mu_B > 400$ MeV.

But

- Understanding non-equilibrium effects and the baseline — important for interpretation.
Fluctuation hydrodynamics + maximum entropy freezeout = model-independent formalism to address these issues.
- To obtain constraints on the QCD EOS from experimental data more work is needed and is underway.

More

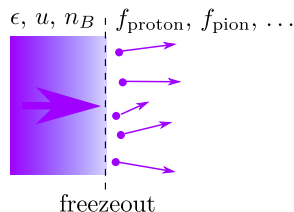
Freezeout of fluctuations and conservation laws

- Freezeout: translation of correlators of hydrodynamic fluctuations ($\psi = \pi, \epsilon, n_B$)

$$\langle \delta\psi \dots \delta\psi \rangle = H_{(N)}(\mathbf{x}_1, \dots, \mathbf{x}_N)$$

to particle correlators

$$\langle \delta f \dots \delta f \rangle = G_{(N)}(\mathbf{x}_1, \mathbf{p}_1, \dots, \mathbf{x}_N, \mathbf{p}_N).$$



- Conservation laws* relate \mathbf{p} integrals of $G_{(N)}$ to $H_{(N)}$,

since $\psi(x) = \int_{\mathbf{p}} P_{\psi} f(x, \mathbf{p})$, where P_{ψ} is single particle contribution to ψ .

- But these relations are not enough to fix \mathbf{p} dependence in $G_{(N)}$.

There are ∞ many possibilities/solutions ($G_{(N)}$) satisfying conservation laws.

Maximum entropy freezeout

Pradeep, MS, [2211.09142](#), PRL

- There is a unique solution which maximizes the entropy!
- Relative Entropy with respect to uncorrelated gas, as a functional of particle correlations $G_{(N)}$.
- Maximized under constraints imposed by *conservation laws*.

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- There is a unique solution which maximizes the entropy!
- Relative Entropy with respect to uncorrelated gas, as a functional of particle correlations $G_{(N)}$.
- Maximized under constraints imposed by *conservation laws*.
- not only baryon number is conserved, also energy, etc.
- for $N = 1$ equivalent to Cooper-Frye
- in agreement with, but more general than earlier approaches
- **model independent**, i.e., determined by QCD EOS + hydro

Particle correlators from hydrodynamic correlators

- The maximum entropy solution is most intuitive in terms of *irreducible* correlators $\hat{\Delta}G_{(N)}$.

For example, for $N = 3$,

$$\underbrace{\hat{\Delta}G_{ABC}}_{\text{irreducible particle correlations } (\sim \text{FC})} = \underbrace{\hat{\Delta}H_{abc}}_{\text{hydrodynamic correlations}} \times \underbrace{P_A^a P_B^b P_C^c}_{\text{kinematic factors}}$$

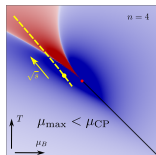
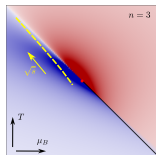
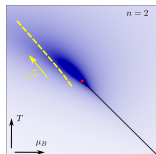
P_A^a are determined by the amount of the conserved quantity a carried by particle state A and by the corresponding susceptibility.

- $\hat{\Delta}G_{ABC}$ — vanishes for an uncorrelated hadron gas, or for a gas with only 2-particle correlations. [more](#)

- $\int_A \int_B \int_C \hat{\Delta}G_{ABC}$ is a factorial cumulant [more](#)

Theory vs BES-II data

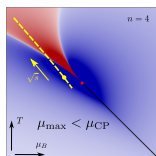
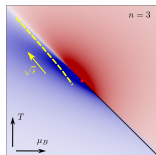
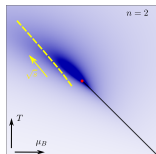
(universal EOS) critical χ_n :



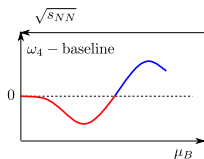
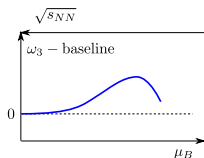
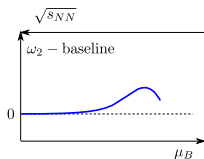
Bzdak et al review [1906.00936](#)

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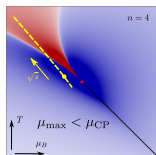
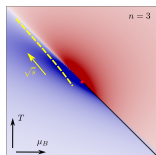
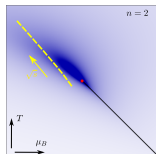
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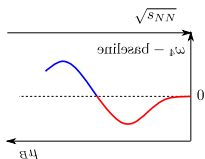
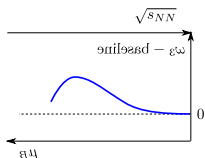
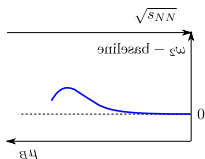
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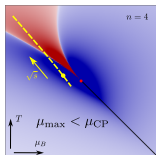
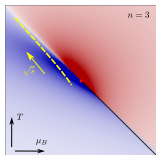
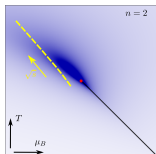


Bzdak et al review [1906.00936](#)

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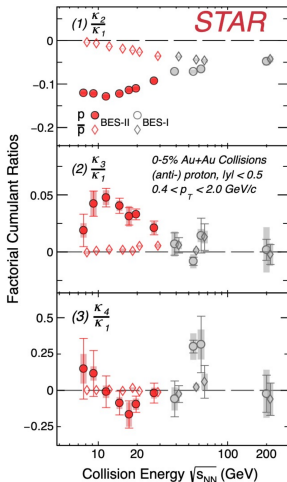
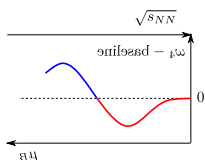
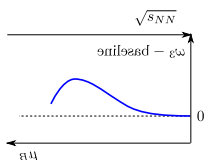
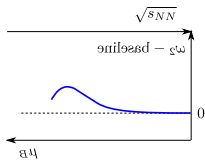
Theory vs BES-II data

(universal EOS) critical χ_n :



Bzdak et al review [1906.00936](#)

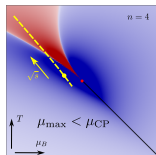
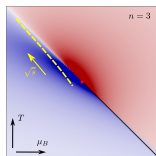
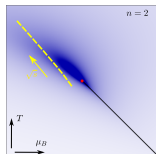
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Expected signatures: **bump** in ω_2 and ω_3 , **dip** then **bump** in ω_4 for CP at $\mu_B > 420$ MeV
[2410.02861](#) back

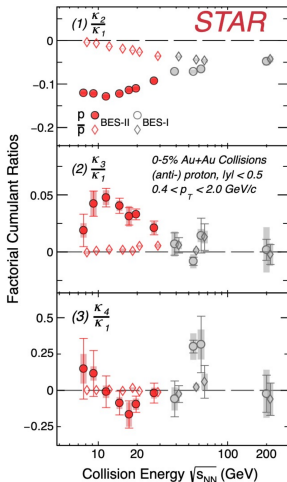
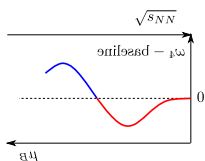
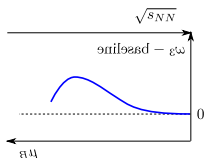
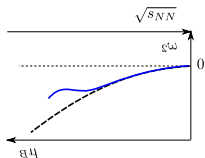
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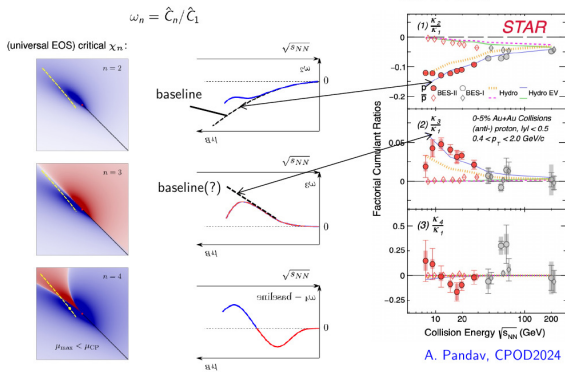
[back](#)

Baseline and non-equilibrium effects are important

From Vovchenko, BES seminar October 2024:

From M. Stephanov, SQM2024 & arXiv:2410.02861

STAR data:



baseline (hydro EV):

VV, V. Koch, C. Shen, PRC 105, 014904 (2022)

- describes right side of the peak in \hat{C}_2
- **signal relative to baseline:**
 - *positive* $\hat{C}_2 > 0$
 - *negative* $\hat{C}_3 < 0$

Conclusion 2:

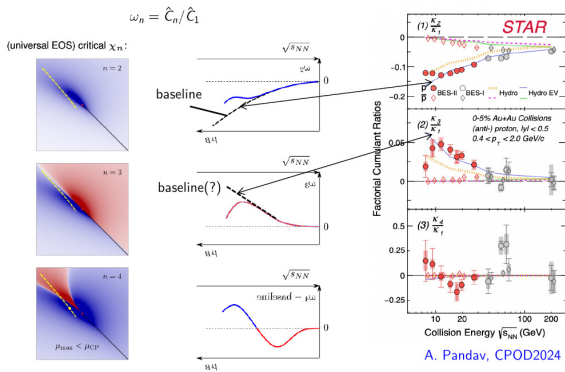
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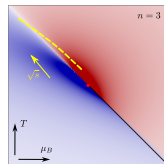
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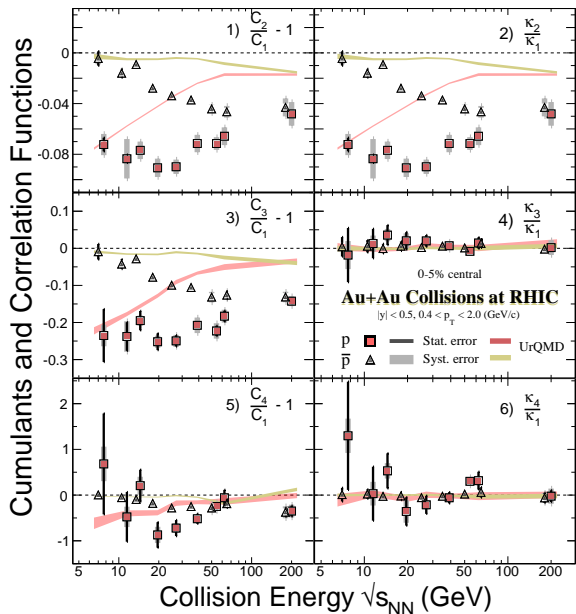
CP below the freezeout curve?

Dynamics, memory effect?



back

BES-I data



Generalizing Wigner transform

An et al 2009.10742 PRL

Definition:

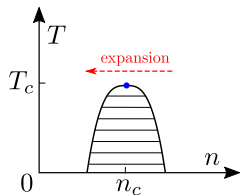
$$W_n(\mathbf{x}; \mathbf{q}_1, \dots, \mathbf{q}_n) \equiv \int d\mathbf{y}_1^3 \dots \int d\mathbf{y}_n^3 H_n(\mathbf{x} + \mathbf{y}_1, \dots, \mathbf{x} + \mathbf{y}_n) \delta^{(3)}\left(\frac{\mathbf{y}_1 + \dots + \mathbf{y}_n}{n}\right) e^{-i(\mathbf{q}_1 \cdot \mathbf{y}_1 + \dots + \mathbf{q}_n \cdot \mathbf{y}_n)},$$



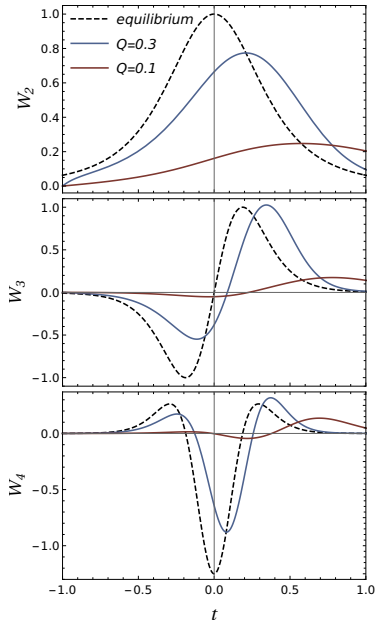
W_n 's quantify magnitude and non-gaussianity of fluctuation harmonics with wave-vectors \mathbf{q}_i .

Example: expansion through a critical region

An et al [2009.10742](#), PRL



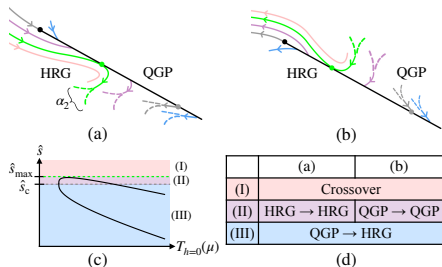
- Two main features:
 - Lag, "memory".
 - Smaller Q – slower evolution.
- Conservation laws.



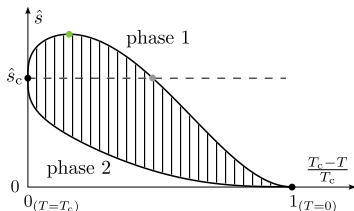
Critical point and non-trivial hydro trajectories

Pradeep, Sogabe, MS, Yee 2402.09519, PRC

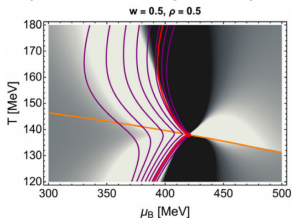
- $\hat{s} \equiv s/n$ is non-monotonic along coexistence (1st order) line
- non-trivial deformation of trajectories



depending on $(\partial P/\partial T)_n$ at CP



explains “lensing”, “cusp”



Critical lensing—Dore et al,22,
Nonaka&Asakawa, 05

Fluctuation evolution equations

An et al 2009.10742 PRL

- In hydrodynamics, fluctuations are *small* and *almost* Gaussian.
- There is a small expansion parameter ε , so that $\delta\psi \sim \sqrt{\varepsilon}$.

Then $H_n \equiv \varepsilon^{n-1}$ and to leading order in ε :

$$\partial_t \psi = -\nabla \cdot (\text{Flux}[\psi] + \mathcal{O}(\varepsilon));$$

$$\partial_t H = -2\Gamma(H - \bar{H}[\psi]) + \mathcal{O}(\varepsilon^2);$$

⋮

$$\partial_t H_n = -n\Gamma(H_n - \bar{H}_n[\psi, H, \dots, H_{n-1}]) + \mathcal{O}(\varepsilon^n);$$

To leading order, the equations are iterative and “linear”.

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- In hydrodynamics the small parameter is $(q/\Lambda)^3$, i.e., fluctuation wavelength $1/q \gg$ size of hydro cell $1/\Lambda$ (UV cutoff).

Non-gaussian correlators ($n \geq 3$ particles)

Linearized equations are simple and intuitive in terms of $\hat{\Delta}G_n$:

$$G_{AB} = \bar{G}_{AB} + \hat{\Delta}G_{AB}, \quad H_{ab} = \bar{H}_{ab} + \hat{\Delta}H_{ab},$$

$$G_{ABC} = \left[\underbrace{\bar{G}_{ABC}}_{A \bullet \bullet C^B} + \underbrace{3\hat{\Delta}G_{AD}\delta_{DBC}}_{A \bullet \text{wavy} \bullet C^B} + \underbrace{\hat{\Delta}G_{ABC}}_{\text{irreducible correlation}} \right]_{\overline{ABC} \leftarrow \text{permutation average}}$$

$$H_{abc} = \left[\bar{H}_{abc} + 3\Delta H_{ad}\delta_{dbc} + \hat{\Delta}H_{abc} \right]_{\overline{abc}}$$

Maximum entropy method gives:

$$\Delta G_{AB} = \Delta H_{ab} (\bar{H}^{-1} P \bar{G})_A^a (\bar{H}^{-1} P \bar{G})_B^b$$

$$\hat{\Delta}G_{ABC} = \hat{\Delta}H_{abc} (\bar{H}^{-1} P \bar{G})_A^a (\bar{H}^{-1} P \bar{G})_B^b (\bar{H}^{-1} P \bar{G})_C^c$$

back

Why Factorial Cumulants

Factorial Cumulants (FC) are better measures of particle correlations

Three reasons:

● Maximum Entropy freezeout:

Pradeep, MS [2211.09142](#)

FC_N are integrals of $\hat{\Delta}G_{(N)}$ (the irreducible particle correlators), which are related by ME freezeout to hydrodynamic correlators.

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● Acceptance dependence:

Ling, MS [1512.09125](#), Bzdak, Koch, Strodthoff [1607.07375](#)

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● Normal Cumulants (NC) – quantify non-gaussianity;

FC – quantify non-poissonianity, i.e., *irreducible* correlations.

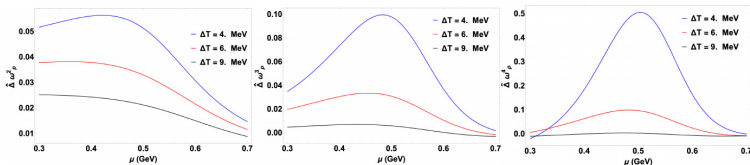
NC are for densities (continuous);

FC are for multiplicities (discrete).

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Equilibrium estimates for critical contribution to factorial cumulants of proton multiplicities

Karthein, MP, Rajagopal, Stephanov, Yin (in preparation)

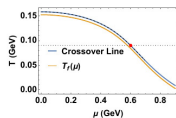


$$T_f(\mu) = T_{co}(\mu) - \Delta T$$

$$\mu_c = 600 \text{ MeV}, \alpha_2 = 0^\circ, \rho = 1, w = 20$$

Example choice of Mapping Parameters

$$\hat{\Delta} \omega_p^k = \frac{\hat{\Delta} \langle \delta N_p^k \rangle}{\langle N_p \rangle}$$



Freeze-out curve

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Signatures depend sensitively on ΔT , w , ρ , e.g.,

(approximately) the amplitude $\sim w^{-6/5}$, the width $\sim (w\rho)^{-1}$.

Thus, these parameters (T_c , μ_c , w , ρ) could be constrained by data.